

ORIGINAL
FILE COPY

REQUEST TO ESTABLISH DOCKET

(PLEASE TYPE)

Date 2/7/96

Docket No. 960149-WS

1. Division Name/Staff Name Division of Legal Services (Agarwal) *RA AS*

2. OPR Agarwal *RA*

3. OCR Mann

4. Suggested Docket Title INITIATION OF SHOW CAUSE PROCEEDINGS AGAINST

USEPPA ISLAND UTILITY, INC. FOR FAILURE TO REMIT PENALTY FEE FOR FILING

DELINQUENT 1993 ANNUAL REPORT *in Lee County*

5. Suggested Docket Mailing List (attach separate sheet if necessary)

A. Provide NAMES ONLY for regulated companies or ACRONYMS ONLY regulated industries,
as shown in Rule 25-22.104, F.A.C.

B. Provide COMPLETE name and address for all others. (Match representatives to clients.)

1. Parties and their representatives (if any)

USEPPA ISLAND UTILITY, INC. _____

POST OFFICE BOX 640 _____

BOKEELIA, FLORIDA 33922-0640 _____

2. Interested Persons and their representatives (if any)

6. Check one:

Documentation is attached.

Documentation will be provided with the recommendation.

I:\PSC\RAR\WP\ESTDKT.

PSC/RAR 10 (Revised 01/96)

DOCUMENT NUMBER-DATE

01531 FEB-88

FPSC-RECORDS/REPORTING

Commissioners:

SUSAN F. CLARK, CHAIRMAN
J. TERRY DEASON
JULIA L. JOHNSON
DIANE K. KIESLING
JOE GARCIA



DIVISION OF WATER &
WASTEWATER
CHARLES HILL
DIRECTOR
(904) 488-8482

Public Service Commission

April 10, 1995

Mr. Vincent Formosa
Useppa Island Utility, Inc.
Post Office Box 640
Bokeelia, Florida 33922-0640

RETURN RECEIPT NO. P731 432 382

Dear Mr. Formosa:

It appears that your Water and Wastewater utility failed to timely file its 1993 Annual Report with this Commission. Pursuant to Rule 25-30.110(3), Florida Administrative Code, the 1993 Annual Report was due on March 31, 1994. Our records indicate that your Annual Report was received on April 30, 1994.

The Commission may impose fines for this noncompliance as provided for under Rule 25-30.110(6) and (7), Florida Administrative Code. Staff has determined that the applicable penalty for this Class "C" utility for 30 days x \$3.00 per day is \$ 90.00.

Please submit payment of \$ 90.00 by check made payable to the Florida Public Service Commission. Your payment is due on or before May 10, 1995, and should be mailed with a copy of this letter to the Bureau of Fiscal Services, Florida Public Service Commission, 101 East Gaines Street, Tallahassee, Florida 32399-0850, ATTN: Evelyn Sewell.

Sincerely,

A handwritten signature in cursive script that reads "Charles H. Hill".

Charles H. Hill, Director
Division of Water and Wastewater

CHH/PD/tyg(formosa)

cc: Bureau of Fiscal Services (Sewell)
Division of Water and Wastewater (Dewberry, Snipes)
Division of Legal Services (Jaber)

CLASS "A" _____
CLASS "B" _____
CLASS "C"

1993 ANNUAL REPORT CLOSE-OUT

NAME OF UTILITY: Ukappa Island Util., Inc.

1993 ANNUAL REPORT DUE: 31 MAR 94

1993 ANNUAL REPORT RECEIVED: 30 Apr 94

NUMBER OF DAYS LATE (IF ANY): 30 days x \$3 = \$90.00

IF GRANTED EXTENSION

EXTENSION REQUESTED: _____

ANNUAL REPORT DUE: _____

ANNUAL REPORT RECEIVED: _____

NUMBER OF DAYS LATE (IF ANY): _____

SECOND EXTENSION REQUESTED: _____

ANNUAL REPORT DUE: _____

ANNUAL REPORT RECEIVED: _____

NUMBER OF DAYS LATE (IF ANY): _____

DEFICIENCIES

DEFICIENCIES DUE: _____

DEFICIENCIES RECEIVED: _____

NUMBER OF DAYS LATE (IF ANY): _____

UTILITY NAME: Useppa Island Cert # 354-W
310-S



1993 ANNUAL REPORT REVIEW SUMMARY

: Analyst Assigned	: <u>Dewberry</u>	: Date Requested	:	
: Received by WAS	: <u>5/4/94</u>	: Information Due	:	<u>1/1</u>
: Date Analyst Assigned	: <u>5/31/94</u>	: Date of Reminder Call:	:	<u>1/1</u>
: Due Date of Review	:	: Requested Information	:	
: (Rate of Return Report	:	: Received	:	<u>1/1</u>
: or Deficiency Letter)	: <u>6/30/94</u>	: Passed (Yes/No)	:	<u>6/28/94</u>
: Date Returned	: <u>1/1</u>	: Type of Entity	:	<u>1120 Corp</u>

RATE OF RETURN CALCULATION

RATE BASE	Water	Sever	Total
Plant in Service	\$ 191,827	\$ 227,384	\$ 419,211
Plant Held for Future Use			
Accumulated Depreciation	< 360,658 >	< 108,573 >	< 469,231 >
Acquisition Adjustment			
Amort. of Acquisition Adj.			
C.I.A.C. Net?	< 58326 >	< 60713 >	< 119039 >
Amort. of C.I.A.C.			
Advances for Construction			
Working Capital 1/8 of O&M	8,420	4,204	12,624
Rate Base	\$ 81,363	\$ 62,302	\$ 143,665

NET OPERATING INCOME	Water	Sever	Total
Operating Revenues	\$ 64,816	\$ 34,890	\$ 99,706
Operating Expenses:			
Operating Expense	\$ 67,356	\$ 33,629	\$ 100,985
Depreciation Expense - Net	7,620	7,620	15,240
Amortization Expense			
Taxes Other Than Income	none	none	
Other			
Income Taxes			
Total Expenses	\$ 74,976	\$ 41,249	\$ 116,225
Net Operating Income (Loss)	\$ < 10,160 >	\$ < 6,359 >	\$ < 16,519 >
Rate of Return	Loss	Loss	Loss

1992 CLASS C ANNUAL REPORT REVIEW

UTILITY NAME:

Useppa Island Utility Inc.

Reviewed by:

P. Deeberry

Status: (✓) Passed () Returned () Less than \$10,00 Revenue

Page	Points off	Requirements (Points)
F-2	✓	Compare Address, Phone #, and Contact with Utility List
	✓	Date of Original Organization (1)
	✓	Business Entity Type (3)
	✓	Name & Title of Officers (1)
	✓	Address/Phone: Location of Books & Records (1)
	✓	Name & Address of Preparer of Report (1)
	✓	Ownership (Return)
F-3	✓	Gross Revenue Breakdown (3)
	✓	O&M Ties to Water & Sewer Schedules (5)
	✓	Depreciation Ties to Analysis of Depreciation (1)
	(1)	Taxes Other Ties to Taxes Accrued Schedule (1)
	✓	Income Statement Complete (Return)
F-4	✓	Balance Sheet Complete & Balanced (Return)
F-5	✓	Net Utility Plant Complete (1)
	✓	UPIS Ties to Water & Sewer Plant Schedules (1)
	✓	Accumulated Depreciation Complete (1)
	✓	A/D Ties to Water & Sewer Analysis of Depreciation (1)
F-6	✓	Capital Stock Complete (3)
	✓	Retained Earnings Complete (3)
	✓	Long-Term Debt Complete (3)
F-7	(1)	Taxes Accrued Schedule Complete (1)
	✓	Outside Services Schedule Complete (1)
F-8	✓	CIAC Complete (5)
W-1	✓	Water Plant - Breakdown after 1 year (Return)
W-2	✓	Water Analysis of Depreciation Complete (1)
W-3	✓	Water O&M Expense - Breakdown Required (Return)
	✓	Water Customers Complete (2)
W-4	✓	Pumping & Purchased Water Complete (Return)
S-1	✓	Sewer Plant - Breakdown after 1 year (Return)
S-2	✓	Sewer Analysis of Depreciation Complete (1)
S-3	✓	Sewer O&M Expense - Breakdown Required (Return)
	✓	Sewer Customers Complete (2)
S-5	✓	Gallons of Sewage Treated (1)
Certifi-	✓	Certification Signed by Officers (Return)
cation	✓	Certification Page Missing (Return)
		TOTAL POINTS OFF

CLASS "C"

WATER and/or SEWER UTILITIES

(Gross Revenue of Less Than \$150,000 Each)

ANNUAL REPORT

OF

WS249
Useppa Island Utility, Inc.
P. O. Box 640
Bokeelia, FL 33922-0640

WS 36

354-W 310-S
Certificate Number(s)



OFFICIAL COPY
DIVISION OF
WATER AND SEWER
Services from this Office

FOR THE
YEAR ENDED DECEMBER 31, 19 93