

ORIGINAL
FILE COPY

REQUEST TO ESTABLISH DOCKET
(PLEASE TYPE)

Date 2/7/96

Docket No. 960151 -WU

- 1. Division Name/Staff Name Division of Legal Services (Agarwal) *BA* *JAS*
- 2. DPR Agarwal *RA*
- 3. OCR Okome

4. Suggested Docket Title INITIATION OF SHOW CAUSE PROCEEDINGS AGAINST
RAINTREE UTILITIES, INC. FOR FAILURE TO REMIT PENALTY FEE FOR FILING
DELINQUENT 1993 ANNUAL REPORT in Lake County

- 5. Suggested Docket Mailing List (attach separate sheet if necessary)
 - A. Provide NAMES ONLY for regulated companies or ACRONYMS ONLY regulated industries, as shown in Rule 25-22.104, F.A.C.
 - B. Provide COMPLETE name and address for all others. (Match representatives to clients.)
 - 1. Parties and their representatives (if any)

RAINTREE UTILITIES, INC. _____

37731 STATE ROAD 19 _____

UNATILLA, FLORIDA 32784-9618 _____

- 2. Interested Persons and their representatives (if any)
- _____
- _____
- _____
- _____
- _____

6. Check one:

Documentation is attached.

Documentation will be provided with the recommendation.

Commissioners:

SUSAN F. CLARK, CHAIRMAN
J. TERRY DEASON
JULIA L. JOHNSON
DIANE K. KIESLING
JOE GARCIA



DIVISION OF WATER &
WASTEWATER
CHARLES HILL
DIRECTOR
(904) 488-8482

Public Service Commission

April 10, 1995

Mr. Don Monn
Raintree Utilities, Inc.
37731 State Road 19
Umatilla, Florida 32784-9618

RETURN RECEIPT NO. P731 432 383

Dear Mr. Monn:

It appears that your Water utility failed to timely file its 1993 Annual Report with this Commission. Pursuant to Rule 25-30.110(3), Florida Administrative Code, the 1993 Annual Report was due on March 31, 1994. Our records indicate that your Annual Report was received on June 30, 1994.

The Commission may impose fines for this noncompliance as provided for under Rule 25-30.110(6) and (7), Florida Administrative Code. Staff has determined that the applicable penalty for this Class "C" utility for 91 days x \$3.00 per day is \$273.00.

Please submit payment of \$273.00 by check made payable to the Florida Public Service Commission. Your payment is due on or before May 10, 1995, and should be mailed with a copy of this letter to the Bureau of Fiscal Services, Florida Public Service Commission, 101 East Gaines Street, Tallahassee, Florida 32399-0850, ATTN: Evelyn Sewell.

Sincerely,

Handwritten signature of Charles H. Hill in cursive script.

Charles H. Hill, Director
Division of Water and Wastewater

CHH/PD/tyg(monn)

cc: Bureau of Fiscal Services (Sewell)
Division of Water and Wastewater (Dewberry, Snipes)
Division of Legal Services (Jaber)

CLASS "A" _____
CLASS "B" _____
CLASS "C"

1993 ANNUAL REPORT CLOSE-OUT

NAME OF UTILITY: Raintree Util., Inc.

1993 ANNUAL REPORT DUE: 31 MAR 94

1993 ANNUAL REPORT RECEIVED: 30 Jun 94

NUMBER OF DAYS LATE (IF ANY): 91 days x \$3 = \$273.00

*30 - APR
31 - MAR
30 - JUNE*

IF GRANTED EXTENSION

EXTENSION REQUESTED: _____

ANNUAL REPORT DUE: _____

ANNUAL REPORT RECEIVED: _____

NUMBER OF DAYS LATE (IF ANY): _____

SECOND EXTENSION REQUESTED: _____

ANNUAL REPORT DUE: _____

ANNUAL REPORT RECEIVED: _____

NUMBER OF DAYS LATE (IF ANY): _____

DEFICIENCIES

DEFICIENCIES DUE: _____

DEFICIENCIES RECEIVED: _____

NUMBER OF DAYS LATE (IF ANY): _____

UTILITY NAME: Paintree Utilities ✓

1993 ANNUAL REPORT REVIEW SUMMARY

: Analyst Assigned	: <u>Bryan</u>	: Date Requested	:
: Received by WAS	: <u>7/5/94</u>	: Information Due	: <u>1/1</u>
: Date Analyst Assigned	: <u>10/3/94</u>	: Date of Reminder Call	: <u>1/1</u>
: Due Date of Review	:	: Requested Information	:
: (Rate of Return Report	:	: Received	: <u>1/1</u>
: or Deficiency Letter)	: <u>10/21/94</u>	: Passed (Yes/No)	: <u>1/1</u>
: Date Returned	: <u>1/1</u>	: Type of Entity	: <u>1120 Corporation</u>

RATE OF RETURN CALCULATION

RATE BASE	Water	Sever	Total
Plant in Service	\$ 47,035		\$ 47,035
Plant Held for Future Use	(2,830)		(2,830)
Accumulated Depreciation	-		-
Acquisition Adjustment	-		-
Amort. of Acquisition Adj.	-		-
C.I.A.C.	-		-
Amort. of C.I.A.C.	-		-
Advances for Construction	653		653
Working Capital			
Rate Base	\$ 44,858		\$ 44,858

NET OPERATING INCOME	Water	Sever	Total
Operating Revenues	\$ 9293		\$ 9293
Operating Expenses:			
Operating Expense	\$ 5222		\$ 5222
Depreciation Expense	818		818
Amortization Expense	-		-
Taxes Other Than Income	-		-
Other	-		-
Income Taxes	-		-
Total Expenses	\$ 6040		\$ 6040
Net Operating Income (Loss)	\$ 3253		\$ 3253
Rate of Return	7.25%		7.25%

CLASS "C"

WATER and/or SEWER UTILITIES

(Gross Revenue of Less Than \$150,000 Each)

ANNUAL REPORT

OF

WU663
Raintree Utilities, Inc.
2101 South Bay Street
Eustis, FL 32726-6356

WU 35

RECEIVED

JUL 5 1994

Florida Public Service Commission
Division of Water and Wastewater

539-W
Certificate Number(s)



FOR THE
YEAR ENDED DECEMBER 31, 19 93

UTILITY NAME: Paintree Utilities ✓

1993 ANNUAL REPORT REVIEW SUMMARY

: Analyst Assigned	: <u>Byham</u>	: Date Requested	:
: Received by WAS	: <u>7/5/94</u>	: Information Due	: <u> / / </u>
: Date Analyst Assigned	: <u>10/3/94</u>	: Date of Reminder Call	: <u> / / </u>
: Due Date of Review	:	: Requested Information	:
: (Rate of Return Report	:	: Received	: <u> / / </u>
: or Deficiency Letter)	: <u>10/21/94</u>	: Passed (Yes/No)	: <u> / / </u>
: Date Returned	: <u> / / </u>	: Type of Entity	: <u>1120 Corporation</u>

RATE OF RETURN CALCULATION

RATE BASE	Water	Sewer	Total
Plant in Service	\$ 47,035		\$ 47,035
Plant Held for Future Use			
Accumulated Depreciation	(2,830)		(2,830)
Acquisition Adjustment			
Amort. of Acquisition Adj.			
C.I.A.C.			
Amort. of C.I.A.C.			
Advances for Construction			
Working Capital	653		653
Rate Base	\$ 44,858		\$ 44,858

NET OPERATING INCOME	Water	Sewer	Total
Operating Revenues	\$ 9293		\$ 9293
Operating Expenses:			
Operating Expense	\$ 5222		\$ 5222
Depreciation Expense	818		818
Amortization Expense			
Taxes Other Than Income			
Other			
Income Taxes			
Total Expenses	\$ 6040		\$ 6040
Net Operating Income (Loss)	\$ 3253		\$ 3253
Rate of Return	7.25%		7.25%

1992 CLASS C ANNUAL REPORT REVIEW

UTILITY NAME: Raintree Utilities, Inc

Reviewed by: Sanjeev Bhatnagar

Status: Passed () Returned Less than \$10,00 Revenue

Page	Points off	Requirements (Points)
F-2	<input checked="" type="checkbox"/>	Compare Address, Phone #, and Contact with Utility List
	<input checked="" type="checkbox"/>	Date of Original Organization (1)
	<input checked="" type="checkbox"/>	Business Entity Type (3)
	<input checked="" type="checkbox"/>	Name & Title of Officers (1)
	<input checked="" type="checkbox"/>	Address/Phone: Location of Books & Records (1)
	<input checked="" type="checkbox"/>	Name & Address of Preparer of Report (1)
	<input checked="" type="checkbox"/>	Ownership (Return)
F-3	<input checked="" type="checkbox"/>	Gross Revenue Breakdown (3)
	<input checked="" type="checkbox"/>	O&M Ties to Water & Sewer Schedules (5)
	<input checked="" type="checkbox"/>	Depreciation Ties to Analysis of Depreciation (1)
	<input checked="" type="checkbox"/>	Taxes Other Ties to Taxes Accrued Schedule (1)
	<input checked="" type="checkbox"/>	Income Statement Complete (Return)
F-4	<input checked="" type="checkbox"/>	Balance Sheet Complete & Balanced (Return)
F-5	<input checked="" type="checkbox"/>	Net Utility Plant Complete (1)
	<input checked="" type="checkbox"/>	UPIs Ties to Water & Sewer Plant Schedules (1)
	<input checked="" type="checkbox"/>	Accumulated Depreciation Complete (1)
	<input checked="" type="checkbox"/>	A/D Ties to Water & Sewer Analysis of Depreciation (1)
F-6	<input checked="" type="checkbox"/>	Capital Stock Complete (3)
	<input checked="" type="checkbox"/>	Retained Earnings Complete (3)
	<input checked="" type="checkbox"/>	Long-Term Debt Complete (3)
F-7	<input checked="" type="checkbox"/>	Taxes Accrued Schedule Complete (1)
	<input checked="" type="checkbox"/>	Outside Services Schedule Complete (1)
E-8	<input checked="" type="checkbox"/>	CIAC Complete (5)
W-1	<input checked="" type="checkbox"/>	Water Plant - Breakdown after 1 year (Return)
W-2	<input checked="" type="checkbox"/>	Water Analysis of Depreciation Complete (1)
W-3	<input checked="" type="checkbox"/>	Water O&M Expense - Breakdown Required (Return)
	<input checked="" type="checkbox"/>	Water Customers Complete (2)
W-4	<input checked="" type="checkbox"/>	Pumping & Purchased Water Complete (Return)
S-1	N/A	Sewer Plant - Breakdown after 1 year (Return)
S-2	N/A	Sewer Analysis of Depreciation Complete (1)
S-3	N/A	Sewer O&M Expense - Breakdown Required (Return)
	N/A	Sewer Customers Complete (2)
S-5	N/A	Gallons of Sewage Treated (1)
Certifi- cation	<input checked="" type="checkbox"/>	Certification Signed by Officers (Return)
	<input checked="" type="checkbox"/>	Certification Page Missing (Return)
	<input checked="" type="checkbox"/>	TOTAL POINTS OFF

CLASS "C"

WATER and/or SEWER UTILITIES

(Gross Revenue of Less Than \$150,000 Each)

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OF

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FOR THE
YEAR ENDED DECEMBER 31, 19 93