

DOCKET NO. 970758-WU

REQUEST TO ESTABLISH DOCKET

Date 6-20-97

1. Division Name/Staff Name WAW T. COKER

2. OPR T. COKER

3. OCR _____

4. Suggested Docket Title Application for transfer of Meadow Wood, ownership of Certificate No. 545-W to Wanda McKeever in Citrus County. from Richard Justice

5. Suggested Docket Mailing List (attach separate sheet if necessary)
A. Parties (Provide names of regulated companies or use abbreviation from list below if Parties should include all regulated companies in one or more industries; provide names and addresses of nonregulated companies; provide names, addresses, and affiliation (i.e., attorney, company liaison officer, or customer) of individuals.)

Wanda McKeever
Meadow Wood
1820 N. Cherry Terrace
Crystal River, FL 34429

B. Interested Persons/Companies (Provide names, complete mailing addresses, and affiliation. Use abbreviation from list below if interested persons should include all regulated companies in one or more industries.)

REGULATED INDUSTRIES

Investor-Owned Electrics (EI)	Water Utilities (W)
Electric Cooperatives (EC)	Local Exchange Telephone Cos. (TL)
Municipal Electrics (EM)	Interexchange Telephone Cos. (TI)
Gas Utilities (GU)	Coin-Operated Telephone Cos. (TC)
Wastewater Utilities (SU)	Shared Tenant Telephone Cos. (TS)
	Alternate Access Vendors (TA)

6. Check One:
 Documentation attached.
 Documentation will be provided with recommendation.

RECEIVED

JUN 13 1997

June 9, 1997

Florida Public Service Commission
Division of Water and Wastewater

John D. Williams, Chief
Bureau of Certification
Public Service Commission
2540 Shumard Oak Blvd.
Tallahassee, FL 32399-0850

Dear Mr. Williams:

Enclosed is application and papers to hopefully complete the transfer of Meadow Wood utility in Citrus County. I do not intend for some of the statements to be vague, but the only changes from the original application in 1992 is the name of the owner and part 11, which I have answered.

Entire part 111, will be a late file but will be mailed to you within a week from this date.

Due to the circumstances of acquisition of the utility, I am requesting the transfer fee be waived. I feel your charges are superfluous and need to be changed.

Sincerely,

Wanda McKeever

Wanda McKeever
Meadow Wood
1820 N. Cherry Terrace
Crystal River, FL 34429

Enc.

- B) The name, address and telephone number of the person to contact concerning this application:

WANDA McKeever (352) 795-6608
Name Phone No.
1820 N. Cherry Terr.
Street address
Crystal River, FL. 34429
City State Zip Code

- C) The full name (as it will appear on the certificate), address and telephone number of the buyer:

MEADOWWOOD
Name of utility
(352) 795-6608 () —
Phone No. Fax No.
1820 N. Cherry Terr.
Office street address
Crystal River FL. 34429
City State Zip Code
Same
Mailing address if different from street address
Internet address if applicable

- D) Indicate the organizational character of the buyer: (circle one)

Corporation Partnership Sole Proprietorship
Other: _____
(specify)

- E) The date and state of incorporation or organization of the buyer:

N/A

**APPLICATION FOR SALE, ASSIGNMENT OR TRANSFER
OF CERTIFICATE OR FACILITIES**

(Pursuant to Section 367.071, Florida Statutes)

TO: Director, Division of Records and Reporting
Florida Public Service Commission
2540 Shumard Oak Blvd.
Tallahassee, Florida 32399-0850

The undersigned hereby makes application for the sale,
assignment or transfer of (all ~~or part~~) of Water Certificate No.
545W and/or Wastewater Certificate No. N/A or facilities in
Citrus County, Florida, and submits the
following information:

PART I APPLICANT INFORMATION

A) The full name (as it appears on the certificate), address
and telephone number of the applicant:

Meadow Wood
Name of utility

(352) 795-6608 ()
Phone No. Fax No.

1820 N. Cherry terrace
Office street address

Crystal River FL 34429
City State Zip Code

SAME
Mailing address if different from street address

Internet address if applicable

B) The name, address and telephone number of the person to contact concerning this application:

WANDA McKeever (352) 795-6608
Name Phone No.
1820 N. Cherry Terr.
Street address
Crystal River, FL. 34429
City State Zip Code

C) The full name (as it will appear on the certificate), address and telephone number of the buyer:

MEADOWWOOD
Name of utility
(352) 795-6608 () —
Phone No. Fax No.
1820 N. Cherry Terr.
Office street address
Crystal River FL. 34429
City State Zip Code
Same
Mailing address if different from street address
Internet address if applicable

D) Indicate the organizational character of the buyer: (circle one)

Corporation Partnership Sole Proprietorship
Other: _____
(specify)

E) The date and state of incorporation or organization of the buyer:

N/A

- F) If the buyer is a corporation, list the names, titles, and addresses of corporate officers and directors. (Use additional sheet if necessary).

N/A

- G) If the buyer is not a corporation, list the names, titles, and addresses of all persons owning an interest in the organization. (Use additional sheet if necessary.)

WANDA McKeever - owner

1820 N. Cherry Terrace

Crystal River, FL. 34429

PART II FINANCIAL AND TECHNICAL INFORMATION

- A) Exhibit _____ - A statement indicating how the transfer is in the public interest, including a summary of the buyer's experience in water and/or wastewater utility operations, a showing of the buyer's financial ability to provide service and a statement that the buyer will fulfill the commitments, obligations and representations of the seller with regard to utility matters.

- B) ^{Assets approx. \$175,000 - no liabilities}
List the names and locations of other water and/or wastewater utilities owned by the buyer and PSC certificate numbers, if any.

N/A

C) Exhibit _____ - A copy of the contract for sale and all auxiliary or supplemental agreements, which shall include, if applicable: *There was no contract*

- (1) Purchase price and terms of payment. *None*
- (2) A list of and the dollar amount of the assets purchased and liabilities assumed or not assumed, including those of nonregulated operations or entities. *None*
- (3) A description of all consideration between the parties, for example, promised salaries, retainer fees, stock, stock options, assumption of obligations. *None*

The contract for sale shall also provide for the disposition, where applicable, of the following:

- (a) Customer deposits and interest thereon; *N/A*
- (b) Any guaranteed revenue contracts;
- (c) Developer agreements;
- (d) Customer advances;
- (e) Debt of the utility; and
- (f) Leases.

D) Exhibit _____ - A statement regarding the disposition of any outstanding regulatory assessment fees, fines or refunds owed. *There are none*

E) Exhibit _____ - A statement describing the financing the purchase. *There is none*

F) Exhibit _____ - A list of all entities upon which the applicant is relying to provide funding to the buyer, and an explanation of the manner and amount of such funding, which shall include their financial statements and copies of any financial agreements with the utility. This requirement shall not apply to any person or entity holding less than 10 percent ownership interest in the utility. *There is no funding.*

G) Exhibit _____ - The proposed net book value of the system as of the date of the proposed transfer. If rate base (or net book value) has been established previously by this Commission, state the Order No. and date issued. _____ Identify all adjustments made to update this rate base (or net book value) to the date of the proposed transfer. *N/A*

H) Exhibit _____ - A statement setting forth the reasons for the inclusion of an acquisition adjustment, if one is requested. (An acquisition adjustment results when the purchase price of the utility differs from the original cost calculation.) *N/A*

- I) The full name, address and telephone number of the person who has possession of the books and records of the seller:

WANDA McKeever (352) 795-6608
Name Phone No.

1820 N. Cherry Terr.
Street address

Crystal River, FL. 34429
City State Zip Code

- J) Exhibit _____ - If the books and records of the seller are not available for inspection by the Commission or are not adequate for purposes of establishing the net book value of the system, a statement by the buyer that a good faith, extensive effort has been made to obtain such books and records for inspection by the Commission and detailing the steps taken to obtain the books and records. *The records are here.*

- K) Exhibit _____ - A statement from the buyer that is has obtained or will obtain copies of all of the federal income tax returns of the seller from the date the utility was first established, or rate base was last established by the Commission or, if the tax returns have not been obtained, a statement from the buyer detailing the steps taken to obtain the returns. *The records are here.*

- L) Exhibit _____ - A statement from the buyer that after reasonable investigation, the system being acquired appears to be in satisfactory condition and in compliance with all applicable standards set by the Department of Environmental Protection (DEP) *It is in satisfactory condition & in compliance with DEP.*

If the system is in need of repair or improvement, has any outstanding Notice of Violation of any standard set by the DEP or any outstanding consent orders with the DEP, the buyer shall provide a list of the improvements and repairs needed and the approximate cost to make them, a list of the action taken by the utility with regard to the violation, a copy of the Notice of Violation(s), a copy of the consent order and a list of the improvements and repairs consented to and the approximate cost to make them. *NO VIOLATIONS.*

PART III NOTICE OF ACTUAL APPLICATION

- A) Exhibit _____ - An affidavit that the notice of actual application was given in accordance with Section 367.045(1)(a), Florida Statutes, and Rule 25-30.030, Florida Administrative Code, by regular mail to the following:

- (1) the governing body of the municipality, county, or counties in which the system or the territory proposed to be served is located;
- (2) the privately owned water and wastewater utilities that hold a certificate granted by the Public Service Commission and that are located within the county in which the utility or the territory proposed to be served is located;
- (3) if any portion of the proposed territory is within one mile of a county boundary, the utility shall notice the privately owned utilities located in the bordering counties and holding a certificate granted by the Commission;
- (4) the regional planning council;
- (5) the Office of Public Counsel;
- (6) the Public Service Commission's Director of Records and Reporting;
- (7) the appropriate regional office of the Department of Environmental Protection; and
- (8) the appropriate water management district.

Copies of the Notice and a list of entities noticed shall accompany the affidavit. THIS MAY BE A LATE-FILED EXHIBIT.

- B) Exhibit _____ - An affidavit that the notice of actual application was given in accordance with Rule 25-30.030, Florida Administrative Code, by regular mail or personal delivery to each customer of the system being transferred. A copy of the Notice shall accompany the affidavit. THIS MAY BE A LATE-FILED EXHIBIT.
- C) Exhibit _____ - Immediately upon completion of publication, an affidavit that the notice of actual application was published once in a newspaper of general circulation in the territory in accordance with Rule 25-30.030, Florida Administrative Code. A copy of the proof of publication shall accompany the affidavit. THIS MAY BE A LATE-FILED EXHIBIT.

PART IV FILING FEE

Indicate the filing fee enclosed with the application:

-0- Request waiver (for water) and N/A (for wastewater).

Note: Pursuant to Rule 25-30.020, Florida Administrative Code, the amount of the filing fee as follows:

- (1) For applications in which the utility to be transferred has the capacity to serve up to 500 ERC's, the filing fee shall be \$750.
- (2) For applications in which the utility to be transferred has the capacity to serve from 501 to 2,000 ERC's the filing fee shall be \$1,500.
- (3) For applications in which the utility to be transferred has the capacity to serve from 2,001 ERC's to 4,000 ERC's the filing fee shall be \$2,250.
- (4) For applications in which the utility to be transferred has the capacity to serve more than 4,000 ERC's the filing fee shall be \$3,000.

PART V OTHER

- A) Exhibit _____ - Evidence that the utility owns the land where the utility treatment facilities are located. Or, where the utility does not own the land, a copy of the agreement which provides for the long term, continuous use of the land, such as a 99-year lease. The Commission may consider a written easement or other cost-effective alternative. *Copy of deed sent with letter 12-16-96*
- B) Exhibit _____ - The original and two copies of sample tariff sheets reflecting the new name of the utility, the existing rates and charges and territorial description of the water and/or wastewater systems. *Sample tariff(s) are attached.*
- C) Exhibit _____ - The utility's current certificate(s) or, if not available, an explanation of the steps the applicant took to obtain the certificate(s).

PART VI **AFFIDAVIT**

I Wanda McKeever (applicant) do solemnly swear or affirm that the facts stated in the foregoing application and all exhibits attached thereto are true and correct and that said statements of fact thereto constitute a complete statement of the matter to which it relates.

BY: Wanda McKeever
Applicant's Signature
"Personally Known to Me"
Wanda McKeever
Applicant's Name (Typed)
Owner
Applicant's Title *

Subscribed and sworn to before me this 9th day
of June 1997.



MARIA CLOTILDE Korfagin
MY COMMISSION # CC448004 EXPIRES
October 14, 1998
BONDED THROUGH TRICOR INSURANCE, INC.

Maria Clotilde Korfagin
Notary Public
MARIA CLOTILDE KORFAGIN

* If the applicant is a corporation, the affidavit must be made by the president or other officer authorized by the by-laws of the corporation to act for it. If the applicant is a partnership or association, a member of the organization authorized to make such affidavit shall execute same.



MARIA CLOTILDE Korfagin
MY COMMISSION # CC448004 EXPIRES
October 14, 1998
BONDED THROUGH TRICOR INSURANCE, INC.