

LAW OFFICES
ROSE, SUNDBSTROM & BENTLEY, LLP

2548 BLAIRSTONE PINES DRIVE
TALLAHASSEE, FLORIDA 32301

FREDERICK L. ASCHAUER, JR.
CHRIS H BENTLEY, P.A.
ROBERT C. BRANNAN
F. MARSHALL DETERDING
JOHN R. JENKINS, P.A.
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CHASITY H. O'STEEN
WILLIAM E. SUNDBSTROM, P.A.
DIANE D. TREMOR, P.A.
JOHN L. WHARTON
ROBERT M. C. ROSE (1924-2006)

(850) 877-6555
FAX (850) 656-4029
www.rsbatorneys.com

REPLY TO CENTRAL FLORIDA OFFICE

CENTRAL FLORIDA OFFICE
SANLANDO CENTER
2180 W. STATE ROAD 434, SUITE 2118
LONGWOOD, FLORIDA 32779
(407) 830-6331
FAX (407) 830-8522

MARTIN S. FRIEDMAN, P.A.
CHRISTIAN W. MARCELLI
BRIAN J. STREET

January 15, 2010

VIA HAND DELIVERY

Ann Cole, Commission Clerk
Office of Commission Clerk
Florida Public Service Commission
2540 Shumard Oak Boulevard
Tallahassee, FL 32399

RECEIVED-FPSC
10 JAN 19 AM 11:30
COMMISSION
CLERK

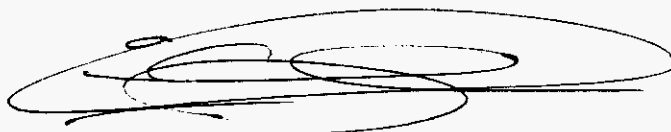
Re: Docket No.: 090531-WU; Lake Placid Utilities, Inc.'s Application for Staff-Assisted Rate Case
Our File No. 30057.178

Dear Ms. Cole:

Enclosed for filing is the application of Lake Placid Utilities, Inc., for a Staff Assisted Rate Case.

Also enclosed for filing are an original and one copy of an Affidavit of Mailing evidencing a copy of the Application for Staff-Assisted Rate Case has been sent to the Chief Executive Officer of Highlands County, Florida, as required by law.

Very truly yours,



CHRISTIAN W. MARCELLI
For the Firm

CWM/mp
Enclosures

cc: Steven M. Lubertozi, Executive Dir. of Regulatory Accounting & Affairs (via e-mail)
Kirsten E. Weeks, Rate Manager (via e-mail)
Patrick C. Flynn, Regional Director (via e-mail)
John Williams, Director of Governmental Affairs (via e-mail)
Mr. Bart Fletcher, Division of Economic Regulation (via e-mail)

DOCUMENT NUMBER-DATE
00432 JAN 19 09

FPSC-COMMISSION CLERK

FLORIDA PUBLIC SERVICE COMMISSION
APPLICATION FOR A STAFF ASSISTED RATE CASE

I. General Data

A. Name of utility Lake Placid Utilities, Inc.

B. Address 2335 Sanders Road, Northbrook, IL 60062
 1. Telephone Nos. (847) 498-6440
 2. County Highlands County Nearest city Lake Placid
 3. General area served Sun N Lakes Estates of Lake Placid and Dee Ann Estates (sewer only)

C. Authority
 1. Water certificate no. 414-W Date received 10/4/1993
 2. Wastewater certificate no. 347-S Date received 10/4/1993
 3. Date utility started operations Water 1969 Wastewater 1969

D. How system was acquired Sale of utility
 If utility was purchased, give date Jul-93 Amount paid \$55,000
 1. Name of seller CFD Incorporated out of receivership
 2. Was seller affiliated with present owners No
 3. Did you purchase Stock X Or assets only

E. Type of legal entity: corporation, partnership, or sole proprietorship Corporation

F. Ownership & officers

Name	Title	Percent Ownership
<u>1. Utilities, Inc.</u>	<u>Owner</u>	<u>100%</u>
<u>2. Jim Japczyk</u>	<u>CEO</u>	<u>None</u>
<u>3. John Hoy</u>	<u>CFO</u>	<u>None</u>
<u>4. Lisa Sparrow</u>	<u>President/COO</u>	<u>None</u>
<u>5. John Stover</u>	<u>Vice President</u>	<u>None</u>

G. List of associated companies and addresses
 1. Please see the enclosed document. The business address for all companies is 2335 Sanders Road, Northbrook, IL 60062

H. If you have retained an attorney and/or a consultant to represent the utility for this application, furnish the name(s) and address(es)
Martin S. Friedman
Rose Sundstrom & Bentley
Sanlando Center, 2180 W. State Road
2180 W. State Road 434, Suite 2118
Longwood, FL 32779

II. Accounting Data

A. Outside accountant
 1. Name N/A
 2. Firm N/A
 3. Address N/A
 4. Telephone N/A

DOCUMENT NUMBER-DATE

00432 JAN 19 93

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B. Individual to contact on accounting matters

1. Name Kirsten Weeks
 2. Telephone (847) 498-6440

C. Location of books and records

2335 Sanders Road, Northbrook, IL 60062

D. Have you filed an annual report with the Commission?

Yes

Date last filed Apr-09

E. Has your latest semiannual regulatory assessment fee payment been made (January 30 or July 30 whichever is applicable)?

Yes

E. Basic rate base data (most recent two years)

	<u>2007</u>	<u>2008</u>
1. Water		
Cost of plant in service	\$340,024	\$503,237
Less accumulated depreciation	(102,180)	(120,654)
Less contributed plant	(61,960)	(181,869)
Net owner's investment	<u>\$175,884</u>	<u>\$200,714</u>
2. Wastewater		
Cost of plant in service	\$380,791	\$527,539
Less accumulated depreciation	(265,822)	(283,213)
Less contributed plant	(81,821)	(213,851)
Net owner's investment	<u>\$33,149</u>	<u>\$30,475</u>

G. Basic income statement (most recent two years)

	<u>2007</u>	<u>2008</u>
1. Water		
Revenue (by class)		
a. Residential	\$35,444	\$30,976
b. Multi-family	-	7,842
c. Commercial	11,830	12,115
d. Miscellaneous	416	1,187
Total operating revenues	<u>\$47,690</u>	<u>\$52,120</u>
Less expenses		
a. Salaries & wages - employees	(\$1,428)	\$4,628
b. Salaries & wages - officers, directors, & majority stockholders	496	778
c. Employee pension & benefits	729	2,527
d. Purchased water	-	-
e. Purchased power	2,620	1,943
f. Fuel for power reduction	-	-
g. Chemicals	2,076	2,720
h. Materials & supplies	4,070	4,400
i. Contractual services	1,046	1,119
j. Rents	-	(0)
k. Transportation expenses	11	907
l. Insurance expense	258	1,092
m. Regulatory commission expense	2,014	12,972
n. Bad debt expense	163	357
o. Miscellaneous expense	28,632	23,190
p. Depreciation expense	11,787	10,338
q. Property taxes	5,213	58
r. Other taxes	2,971	4,002
s. Income taxes	3,515	(6,044)
Operating income (loss)	<u>(\$16,482)</u>	<u>(\$12,868)</u>

	<u>2007</u>	<u>2008</u>
i. Sewer		
Revenue (by class)		
a. Residential	53,569.83	42,281.31
b. Multi-family	-	11,349
c. Commercial	20,111	27,375
d. Miscellaneous	416	1,187
Total operating revenues	<u>\$74,097</u>	<u>\$82,193</u>
Less expenses		
a. Salaries & wages - employees	(\$2,857)	\$4,628
b. Salaries & wages - officers, directors, & majority stockholders	992	778
c. Employee pension & benefits	1,458	2,527
d. Purchased water	-	-
e. Purchased power	2,620	3,735
f. Fuel for power reduction	-	-
g. Chemicals	2,076	2,720
h. Materials & supplies	4,070	1,821
i. Contractual services	2,526	1,119
j. Rents	-	(0)
k. Transportation expenses	11	907
l. Insurance expense	258	1,092
m. Regulatory commission expense	2,014	12,972
n. Bad debt expense	163	357
o. Miscellaneous expense	32,439	23,526
p. Depreciation expense	11,787	13,157
q. Property taxes	5,213	58
r. Other taxes	2,971	4,002
s. Income taxes	3,515	(6,044)
Operating income (loss)	<u>\$4,842</u>	<u>\$14,837</u>

H. Outstanding debt	Creditor	Date borrowed	Balance due	Interest rate	Expiration date
1. JP Morgan		Various	49,775,000	Libor/Prime	N/A - short term revolver
2. JP Morgan		Jul-06	180,000,000	6.58%	Jul-36

- I. Indicate type of tax return filed
- Form 1120 - Corporation
 - Form 1120S - Subchapter S Corporation
 - Form 1065 - Partnership
 - Form 1040 - Schedule C - Individual (Proprietorship)

III. Engineering Data

- A. Engineering data
1. Name George MacDonald
 2. Firm MacDonald Group International, Inc.
 3. Address Inverness, FL
 4. Telephone (352) 637-1572

- B. Individual to contact on engineering matters
1. Name Patrick Flynn
 2. Telephone (407) 869-1919

- C. Is this utility under citation by the Department of Environmental Protection (DEP) or county health department?
If yes, please explain.
No

D. List any known service deficiencies and steps taken to remedy problems
None

E. Name of plant operator(s) and DEP operator certificate(s) held
 Qto Krucker Contract Operator C-water treatment, C-wastewater treatment
 Danny Holmes Contract Operator C-water treatment, C-wastewater treatment
 Stewart M. Scott Area Manager C-water treatment, B-wastewater treatment

F. Is the utility serving customers outside of its certificated area?
 If yes, please explain.
 No

G. Wastewater

- Gallons per day capacity of treatment facilities existing
 Under construction No Proposed 90,000 gpd
 None
- Type and make of present treatment facilities
 Extended aeration, Marolf design
- Approximate average daily flow of treatment plant effluent
 38,000 gpd
- Approximate length of wastewater mains
 Size (diameter) 8"
 Linear feet 3,500 LF (approximately)
- Number of manholes 20
- Number of liftstations 3
- How do you measure treatment effluent?
 Ultrasonic flow meter
- Is the treatment plant effluent chlorinated?
 If yes, what is the normal dosage rate?
 Yes
 6-8 gallons/day
- Tap in fees - wastewater \$817.00 Single family
- Service availability fees - wastewater None
- Note DEP treatment plant certificate number and date of expiration
 Number ELA014386 Expiration date 10/11/2010
- Total gallons treated during most recent twelve months (Jan-Dec '08)
 13,657,000
- Wastewater treatment purchased during most recent twelve months (Jan-Dec '08)
 None

H. Water

- Gallons per day capacity of treatment facilities existing
 Under construction No Proposed 288,000 gpd
 None
- Type of treatment Disinfection
- Approximate average daily flow of treated water 18,000 gpd
 Groundwater
- Source of water supply Sodium hypochlorite, 1-2 gallons/day
- Types of chemicals used and their normal dosage rates 2
 400 gpm
- Number of wells in service
 Total capacity in gallons per minute (gpm) 6"/192' (\$1) and 5"/6"/640' (\$2)
 Diameter/depth 15/15
 Motor horsepower 80/80
 Pump capacity (gpm) 80/80
 Reservoirs and/or hydro pneumatic tanks Hydro tank
 Description 5,000 gallon
 Capacity
 High service pumping N/A
 Motor horsepower N/A
 Pump capacity (gpm) N/A
- How do you measure treatment plant production?
 Approximate feet of water mains 2"/4"/6"
 Size (diameter) 275/2,907/3,090
 Linear feet

- b. Multi-residential 5 11
- c. General service 5 5
- d. Dec Ann Estates 1 1

IV. Affirmation

I, John P. Hoy, the undersigned owner, officer, or partner of the above named public utility, doing business in the State of Florida and subject to the control and jurisdiction of the Florida Public Service Commission, certify that the statements set forth herein are true and correct to the best of my information, knowledge, and belief.

Signed 
 Title Chief Regulatory Officer

Notice: Section 837.06, Florida Statutes, provides that any person who knowingly makes a false statement in writing with the intent to mislead a public servant in the performance of his duty shall be guilty of a misdemeanor of the second degree.

UTILITIES, INC. LIST OF COMPANIES

Company Name

Utilities Inc
Water Service Corporation
Water Service Disbursement
UI Consolidating Entries
Corporate Projects
Apple Canyon Utility Co
Camelot Utilities Inc
Charmar Water Co
Cherry Hill Water Co
Clarendon Water Co
Hilldale Manor
Del Mar Water Co
Ferson Creek Utilities Co
Galena Territory Utilities
Killarney Water Co
Lake Holiday Utilities Corp
Lake Wildwood Utilities Co
Northern Hills W & S Co
Lake Marian Water Corp
Wildwood Water Service Co
Valentine Water Service Inc
Walk Up Woods Water Co
Whispering Hills Water Co
Holiday Hills Utilities Inc
Medina Utilities Corp
Westlake Utilities Inc
Cedar Bluff Utilities Inc
Harbor Ridge Utilities Inc
Great Northern Utilities
Twin Lakes Utilities Inc
WSC Indiana
Indiana Water Service Inc
Holiday Service Corp
Hardscrabble
Elk River Utilities Inc
Carolina Water Service Inc of NC
CWS Systems
Carolina Trace Utilities Inc
Transylvania Utilities Inc
North Topsail Utilities Inc
Carolina Pines Utilities Inc
Bradfield Farms Water Co
Nero Utility Services Inc
Maria Park
Tennessee Water Service
Tierra Verde Utilities Inc
Lake Placid Utilities Inc
Pebble Creek Utilities Inc
Alafaya Utilities Inc
Utilities Inc of Longwood
Cypress Lakes Utilities Inc
Utilities Inc of Eagle Ridge
Mid-County Services Inc
Lake Utility Services Inc
Utilities Inc of Florida
Sanlando Utilities Corp
Utilities Inc of Sandalhaven
Bayside Utility Services
Labrador Utilities Inc
Utilities Inc of Pennbrooke
Sandy Creek Utility Services

Green Ridge Utilities Inc
Provinces Utilities Inc
Maryland Water Services Inc
Montague Water Co
Montague Sewer Co
Utilities Inc of Westgate
Utilities Inc of Pennsylvania
Penn Estates Utilities Inc
Colchester Public Service Corp
Massanutten Public Serv Corp
Water Serv Corp of Kentucky
Louisiana Water Service Inc
Utilities Inc of Louisiana
Utilities Inc of Georgia
Water Service Co of Georgia
Carolina Water Service Inc
Util Serv of South Carolina
Southland Utilities Inc
United Utility Company
Tega Cay Water Service Inc
Bermuda Water Co
Utilities Inc of Nevada
Spring Creek Utilities Co
Sky Ranch Water Service
Util Inc of Central Nevada
Midwest Region Cost Center
Atlantic Region Cost Center
Florida Region Cost Center
Mid-Atlantic Region Cost Center
South Region Cost Center
Southeast Region Cost Center
West Region Cost Center
State of IL Cost Center
State of IN Cost Center
State of OH Cost Center
State of NC Cost Center
State of TN Cost Center
State of FL Cost Center
State of MD Cost Center
State of NJ Cost Center
State of PA Cost Center
State of VA Cost Center
State of KY Cost Center
State of LA Cost Center
State of MS Cost Center
State of GA Cost Center
State of SC Cost Center
State of AZ Cost Center
State of NV Cost Center
Biotech Inc

BEFORE THE FLORIDA PUBLIC SERVICE COMMISSION

In re: Application of Lake Placid)
Utilities, Inc., for Staff-Assisted Rate)
Case.)
_____)

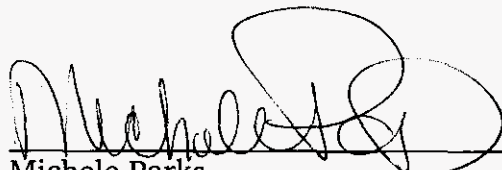
Docket No.: 090531-WS

AFFIDAVIT OF MAILING

STATE OF FLORIDA
COUNTY OF SEMINOLE

BEFORE ME, the undersigned authority, authorized to administer oaths and take acknowledgments, personally appeared Michele Parks, Legal Assistant to Christian W. Marcelli, the attorney for Lake Placid Utilities, Inc., who, after being duly sworn, did depose and say that on January 15, 2010, she did send by regular U.S. Mail a copy of the Application for Staff Assisted Rate Case to the Chief Executive Officer of Highlands County as required by Section 367.091 (2), Florida Statutes.

FURTHER AFFIANT SAYETH NAUGHT.


Michele Parks

Sworn to and subscribed before me this 15th day of January, 2010, by Michele Parks, who is personally known to me or has produced _____ as identification.



NOTARY PUBLIC - State of Florida

Printed Name: _____

My Commission Expires: _____

Respectfully submitted this 18th day of January, 2010, by:

ROSE, SUNDSTROM & BENTLEY, LLP
2180 W. State Road 434, Suite 2118
Longwood, FL 32779
PHONE: (407) 830-6331



CHRISTIAN W. MARCELLI NUMBER-DATE
For the Firm

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