





Case Assignment and Scheduling Record

Section 1 - Bureau of Records and Hearing Services Completes

Docket No. 020930-SU Date Docketed: 08/29/2002 Title: Application for transfer of majority organizational control of Colony Park Utilities, Inc. holder of Certificate No. 137-S in Brevard County, from Lenore Warren to Eileen Rogow.  
 Company: Colony Park Utilities, Inc.

Official Filing Date: \_\_\_\_\_  
 Last Day to Suspend: \_\_\_\_\_ Expiration: \_\_\_\_\_

Referred to: \_\_\_\_\_  
 ("(") indicates OPR) AUS CAF CCA CMP (ECR) EXT GCL MMS PIF  
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Section 2 - OPR Completes and returns to CCA in 10 workdays. Time Schedule

Program/Module B1(j)

Staff Assignments

OPR Staff S Clapp

Staff Counsel A Crosby

OCRs ( )

( )

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Recommended assignments for hearing and/or deciding this case:

Full Commission X Commission Panel \_\_\_\_\_  
 Hearing Examiner \_\_\_\_\_ Staff \_\_\_\_\_

Date filed with CCA: 01/15/2003

Initials: OPR \_\_\_\_\_  
 Staff Counsel \_\_\_\_\_

**WARNING: THIS SCHEDULE IS AN INTERNAL PLANNING DOCUMENT.  
 IT IS TENTATIVE AND SUBJECT TO REVISION.  
 FOR UPDATES CONTACT THE RECORDS SECTION: (850) 413-6770**  
 Current CASR revision level

1

	Due Dates	
	Previous	Current
1. Staff Recommendation	01/23/2003	02/06/2003
2. Agenda	02/04/2003	02/18/2003
3. Standard Order	02/24/2003	03/10/2003
4. Close Docket or Revise CASR	03/21/2003	04/11/2003
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Section 3 - Chairman Completes

Assignments are as follows:

- Hearing Officer(s)

Commissioners						Hrg. Exam.	Staff
ALL	JB	DS	BZ	BD	DV		
X							

- Prehearing Officer

Commissioners					ADM
JB	DS	BZ	BD	DV	
	X				

Where panels are assigned the senior Commissioner is Panel Chairman; the identical panel decides the case.  
 Where one Commissioner, a Hearing Examiner or a Staff Member is assigned the full Commission decides the case.

Approved: Bilim

Date: Pending 1/17/03

STATE OF FLORIDA

COMMISSIONERS:  
LILA A. JABER, CHAIRMAN  
J. TERRY DEASON  
BRAULIO L. BAEZ  
MICHAEL A. PALECKI  
RUDOLPH "RUDY" BRADLEY



DIVISION OF THE COMMISSION CLERK &  
ADMINISTRATIVE SERVICES  
BLANCA S. BAYÓ  
DIRECTOR  
(850) 413-6770 (CLERK)  
(850) 413-6330 (ADMIN)

Public Service Commission

August 30, 2002

CORRECTED LETTER

Art Rogow, Vice President  
Colony Park Utilities, Inc.  
8116 Hibiscus Circle  
Tamarac, Florida 33321

Re: Docket No. 020930-SU

Dear Mr. Rogow:

This will acknowledge receipt of an **application for transfer of majority organizational control of Colony Park Utilities, Inc. holder of Cert. No. 137-S in Brevard County from Lenore Warren to Eileen Rogow**, which was filed in this office on August 29, 2002, and assigned the above-referenced docket number. Appropriate staff members will be advised.

Mediation may be available to resolve any dispute in this docket. If mediation is conducted, it does not affect a substantially interested person's right to an administrative hearing. For more information, contact the Office of General Counsel at (850) 413-6248 or FAX (850) 413-7180.

Division of the Commission Clerk and Administrative Services  
Florida Public Service Commission



CCA Official Filing:

3/6/03\*\*\*\*\*9:26 AM\*\*\*\*\*Matilda Sanders\*\*\*\*\*1

**Matilda Sanders**

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0320-FOP

**From:** Janice Banka  
**Sent:** Thursday, March 06, 2003 9:21 AM  
**To:** CCA - Orders / Notices  
**Subject:** Order / Notice Submitted

10

**Date and Time:** 3/6/03 9:20:00 AM  
**Docket Number:** 020930-SU  
**Filename / Path:** 020930a.alc

Order Approving Transfer of Majority Organizational Control of Colony Park Utilities, Inc. from Lenore Warren to Eileen Rogow and Closing Docket.

Number of pages in order - 10.

*attach' online*

Thanks "J"

*1/2/0*

*+1 Clerk  
Brevard Co.*

STATE OF FLORIDA

COMMISSIONERS:  
LILA A. JABER, CHAIRMAN  
J. TERRY DEASON  
BRAULIO L. BAEZ  
RUDOLPH "RUDY" BRADLEY  
CHARLES M. DAVIDSON



TIMOTHY DEVLIN, DIRECTOR  
DIVISION OF ECONOMIC REGULATION  
(850) 413-6900

# Public Service Commission

March 21, 2003

RECEIVED PSC  
03 MAR 24 PM 12:17  
COMMISSION  
CLERK

Mrs. Eileen Rogow  
Colony Park Utilities, Inc.  
8116 Hibiscus Circle  
Tamarac, Florida 33321

Re: Docket No. 020930-SU Application for transfer of Majority Organizational Control of Colony Park Utilities, Inc., holder of Certificate No. 137-S in Brevard County, from Lenore Warren to Eileen Rogow

Dear Mrs. Rogow:

Enclosed please find Certificate No. 137-S which have been issued for Colony Park Utilities, Inc. This certificate should be retained in the utility's office. The certificate will have to be returned to the Commission along with any application the utility may file in the future requesting an amendment of certificated territory, transfer of ownership, or change in name of the utility. The utility's tariff will be sent under separate cover.

Enclosed with this letter are the following documents:

1. Chapter 367, Florida Statutes, (F.S.), which details the Commission's jurisdiction over private water and wastewater utilities;
2. Chapter 25-9, Florida Administrative Code (F.A.C.), which details the rules governing the filing of utility tariffs;
3. Chapter 25-22, Florida Administrative Code (F.A.C.), which details rules governing general Commission practices such as the procedures for conducting meetings, rule-making, hearings and the issuance of orders;
4. Chapter 25-30, Florida Administrative Code (F.A.C.), which details the specific rules promulgated to carry out the jurisdiction of the Commission over private water and wastewater utilities;

Mrs. Eileen Rogow  
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5. Uniform System of Accounts for Class C Water and/or Wastewater Utilities, which is the system of record keeping required pursuant to Rule 25-30.110, Florida Administrative Code.

Be advised that Section 367.161, F.S., provides that violation of any Commission rule, statute, or order could result in penalties of up to \$5,000 per day. Therefore, it is important that you review this material and make yourself aware of your responsibilities as a regulated utility. These documents should be placed in a binder and kept in a safe place for easy referral by utility staff and owners. Also, Rule 25-30.135, F.A.C., requires all utilities to maintain for customer inspection in the utility office a current copy of Chapters 25-9, 25-22 and 25-30, Florida Administrative Code, and Chapter 367, Florida Statutes, as well as a current copy of the utility's tariff and developer agreements.

From time to time, the rules and statutes will change. You need to be aware of these changes in order to stay current on your responsibilities and to maintain a current copy of the rules and statutes in the utility office. When the Commission proposes to revise any of its existing rules affecting the water and wastewater industry (Chapters 25-9, 25-22 and 25-30, F.A.C.), a notice of proposed rulemaking will be sent to you. After rulemaking is completed, a final Commission order adopting the new or revised rule will also be sent to you. This new or revised rule should be incorporated into your copy of the Commission rules. The Commission does not furnish utilities with changes enacted by the Florida Legislature relating to Chapter 367, F.S. However, changes to the Florida Statutes are published annually and copies may be obtained by visiting your local public library. You may also obtain the latest copy of the rules and statutes on-line at the Commission web site, [www.psc.state.fl.us](http://www.psc.state.fl.us).

In addition to the above, periodically the Commission will be sending you copies of Commission orders which relate to the water and wastewater industry, in general, and notices of hearings in dockets of related utilities. These are sent for your information. Whenever you have any questions concerning material you receive from the Commission, you may contact the Division of Economic Regulation - Rate Filings Bureau and/or the Certification, Economics and Tariffs Bureau at (850) 413-6900.

We would like to direct you to certain areas within the rules and statute which will affect your day to day operations as a utility:

1. As you should be aware, you were granted certain specific territory in the certificate process you just completed. It is a violation of Section 367.045, F.S., for a utility to serve outside this territory. If, in the future, you want to serve additional territory, you must file an application for amendment of your certificated territory. See Rule 25-30.036, F.A.C., for the current filing requirements for an application for amendment.

2. The utility's approved rates and charges and service availability policy are contained in its approved tariff. Please note that your approved tariff will be sent under a separate cover letter.

When you receive this document, it should also be placed in a binder and retained in the utility office for referral and review by utility personnel and customers. A utility may not charge customers any rates or charges not included in its approved tariff. If you find you need to revise any rates or charges, you should refer to Part V of Chapter 25-30, F.A.C., which contains the necessary steps for rate adjustment changes. Part VI of Chapter 25-30, F.A.C., contains the steps necessary to change service availability charges or policies. In addition, you may contact the Division of Economic Regulation for guidance related to tariffs.

3. To help keep up with inflation and to avoid the costly expense of filing a full rate case, the Commission establishes a price index for major categories of operating costs on or before March 31 each year and utilities are allowed to increase rates using this price index mechanism. In addition, utilities are allowed to increase rates as a result of increases in certain expenses, such as purchased power and property tax using a pass through mechanism. Rules 25-30.420 and 25-30.425, F.A.C., contain the criterion for water and wastewater utilities to follow in order to increase rates based upon the application of the price index or pass through rate adjustments. The Commission strongly encourages all regulated water and wastewater utilities under its jurisdiction to utilize these options which are available to them.

4. We would encourage you to review carefully Part IV of Chapter 25-30, F.A.C. This part of the water and wastewater rules describes the utility's responsibilities to its customers. They include customer deposits, termination of service, customer billing, refusal or discontinuance of service, backbilling, complaints, and refunds.

5. Each utility shall maintain its records in accordance with the National Association of Regulatory Utility Commissioners' (NARUC) uniform system of accounts (see Rule 25-30.110, F.A.C.). A copy of this system of accounts is enclosed for Class C utilities. However, should your utility be Class A or B or should you need additional copies please contact NARUC, Post Office Box 684, Washington, D.C. 20044. For further information or telephone orders, please call (202) 898-2200 in Washington, D.C.

6. Regulated water and wastewater utilities are also required to file two related reports with the Commission on an annual basis:

A. Annual Report. Each utility is required to submit an annual report which contains certain financial and operational data relating to the utility. (See Rule 25-30.110, F.A.C.)

B. Regulatory Assessment Fee form. Each utility is required to remit an annual regulatory assessment fee (RAF). RAFs are currently 4-1/2% of gross operating revenue and are designed to cover the Commission's costs of regulating water and wastewater utilities. (See Rule 25-30.120, F.A.C.)



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The annual report and RAF forms, as well as the payment for RAFs, are due to the Commission by March 31st of each year for the previous calendar year. For your convenience, the Commission will send the two report forms by January 15th of each year. However, failure to receive the forms does not abrogate the utility of its responsibility to timely file an annual report and remit the appropriate amount of RAFs.

There is one technical division within the Commission that is primarily responsible for matters pertaining to water and wastewater utilities:

The Division of Economic Regulation - Bureau of Certification, Economics and Tariffs, as you already know, is responsible for the certification of water and wastewater utilities, as well as any amendments or transfers thereof. Any questions relating to your certificated territory or the possible sale of the utility should be directed to Patti Daniel, Supervisor, Bureau of Certification, Economics and Tariffs at 850-413-6808.

The Division of Economic Regulation - Bureau of Rate Filings is responsible for accounting, engineering and rates concerns for all filings involving file and suspend rate cases (MFR's), staff assisted rate cases (SARC's), limited proceedings, allowance for funds prudently invested and service availability. Any questions concerning the filing of a rate case, a staff assisted rate case, limited proceeding, tariff or engineering related matters, should be directed to Marshall Willis, Bureau Chief, Bureau of Rate Filings at 850-413-6914.

The Division of Economic Regulation - Bureau of Surveillance/Finance is responsible for Annual Report review, RAF compliance, and processing of index and pass through applications. Any questions relating to Annual Reports, RAF's, or index or pass through applications should be directed to Dale Mailhot, Bureau Chief, Bureau of Surveillance/Finance at 850-413-6418.

We welcome and encourage regulated water and wastewater utilities under the Commission's jurisdiction to contact our staff and maintain working liaisons with us. This accomplishes better relations between the Commission staff and utilities and provides for faster and more efficient completion of regulatory requirements.

With the goal of accessibility and efficiency, the Commission now has application packages as well as model tariffs available on-line at the Commission web site, [www.psc.state.fl.us](http://www.psc.state.fl.us). The packages available include: amendment, original utility in existence, grandfather, true original, transfer, transfer to a governmental authority, and transfer of majority organizational control. Just click on the Water and Wastewater icon shown on the home page, then click on the Water and Wastewater Application Packages line, then the various packages will appear on a list of available documents.

Mrs. Eileen Rogow  
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If we can be of any assistance to you and your utility, please do not hesitate to contact Ms. Stephanie Clapp at (850) 413-6900.

Sincerely,

  
Timothy Devlin For TJD  
Director

TD/sac  
Enclosures

cc: Division of Economic Regulation (Daniel, Mailhot, Clapp)  
Office of the General Counsel (Crosby)  
Division of Commission Clerk and Administrative Services (Knight, Docket File, Security File)