#### Case Assignment and Scheduling Record

Section 1 - Bureau of Records and Hearin rvices Completes

Date Docketed: 07/22/2002 Title: Joint petition for acknowledgment of corporate Docket No. 020775-WS reorganization and for name change on Certificates Nos. 533-W and 464-S in Lake County from Southlake Utilities, Company: Southlake Utilities, Inc. Inc. to Southlake Water Works, L.L.C. Southlake Water Works, L.L.C. Official Filing Date: \_\_ Expiration: Last Day to Suspend: AUS CAF CCA CMP (ECR) EXT GCL MMS PIF Referred to: \_\_\_ <u>X \_\_ X</u> ("()" indicates OPR) Time Schedule Section 2 - OPR Completes and returns to CCA in 10 workdays. WARNING: THIS SCHEDULE IS AN INTERNAL PLANNING DOCUMENT. Program/Module B1(d);B1(j) IT IS TENTATIVE AND SUBJECT TO REVISION. FOR UPDATES CONTACT THE RECORDS SECTION: (850) 413-6770 Staff Assignments <u>Due Dates</u> Current CASR revision level OPR Staff Previous Current 0 3. 5. 6. Staff Counsel OCRs ( ) 8. 9. 10. \_ 11. \_ ( ) 13. 14. 15. 16. \_ 17 18. 19. 20. 21. 23. 24. 25. 26. 27. 28. \_ 29. 30. Recommended assignments for hearing 31. and/or deciding this case: 32. 33 Full Commission Commission Panel 34. Hearing Examiner Staff 35. 36-Date filed with CCA: \_ 37. 38. Initials: OPR 39. Staff Counsel 40. Section 3 - Chairman Completes Assignments are as follows: - Hearing Officer(s) - Prehearing Officer DOCUMENT NO Hrg. ADM Commissioners Staff Commissioners Exam. ALL. JB DS BZ PL BD .IR DS B7 PI BD Where panels are assigned the senior Commissioner is Panel Chairman; the identical panel decides the case. Approved: Where one Commissioner, a Hearing Examiner or a Staff Member is Date: \_//\_ assigned the full Commission decides the case. PSC/CCA015-C (Rev. 01/02) \* COMPLETED EVENTS

Case Assignment and Scheduling Record Section 1 - Bureau of Records and Hearing St. ces Completes Date Docketed: 07/22/2002 Title: Joint petition for acknowledgment of corporate reorganization and for name change on Certificates Nos. Docket No. 020775-WS 533-W and 464-S in Lake County from Southlake Utilities, Inc. to Southlake Water Works, L.L.C. Company: Southlake Utilities, Inc. Southlake Water Works, L.L.C. Official Filing Date: Expiration: Last Day to Suspend: AUS CAF CCA CMP (ECR) EXT GCL MMS PIF Referred to: ("()" indicates OPR) Time Schedule Section 2 - OPR Completes and returns to CCA in 10 workdays. WARNING: THIS SCHEDULE IS AN INTERNAL PLANNING DOCUMENT.

IT IS TENTATIVE AND SUBJECT TO REVISION.
FOR UPDATES CONTACT THE RECORDS SECTION: (850) 413-6770 Program/Module B1(d);B1(j) Staff Assignments Due Dates Current CASR revision level C Johnson OPR Staff Previous Current 0 Deficiency Notification to Applicant NONE 08/22/2002 09/27/2002 10/29/2002 12/02/2002 2. NONE Deficiencies Corrected Recommendation to Office of General Counsel Administrative Order NONE NONE 4. 5. 6 Staff Counsel A Crosby OCRs ( ) 10 11. 12 ) 13 14. 15 16 17. 18. 19 20. 21 22 23 24 25 26. 27 28 29 30 31 Recommended assignments for hearing 32 and/or deciding this case: 33 Full Commission Commission Panel 34 Hearing Examiner 35 Staff 36

<u>Section 3 - Chairman Completes</u>

Date filed with CCA: 07/26/2002

Staff Counsel

Initials: OPR

#### Assignments are as follows:

37

38

39

40

- Hearing Officer(s)

	Commissioners						Staff
ALL	JB	DS	BZ	PL	BD	Hrg. Exam.	
							Х

Where panels are assigned the senior Commissioner is Panel Chairman; the identical panel decides the case.
Where one Commissioner, a Hearing Examiner or a Staff Member is

assigned the full Commission decides the case.

- Prehearing Officer

	ADM				
JB	DS	BZ	PL	BD	
					X

Approved:

Date: 07/26/2002

PSC/CCA015-C (Rev. 01/02)

\* COMPLETED EVENTS

C

#### Case Assignment and Scheduling Record

ces Completes Section 1 - Bureau of Records and Hearing S Date Docketed: 07/22/2002 Title: Joint petition for acknowledgment of corporate reorganization and for name change on Certificates Nos. Docket No. 020775-WS 533-W and 464-S in Lake County from Southlake Utilities, Inc. to Southlake Water Works, L.L.C. d/b/a Southlake Utilities. Company: Southlake Utilities, Inc. Southlake Water Works, L.L.C. d/b/a Southlake Util Official Filing Date: \_ Expiration: Last Day to Suspend: AUS CAF CCA CMP (ECR) EXT GCL MMS PIF Referred to: ("()" indicates OPR) Time Schedule Section 2 - OPR Completes and returns to CCA in 10 workdays. WARNING: THIS SCHEDULE IS AN INTERNAL PLANNING DOCUMENT. IT IS TENTATIVE AND SUBJECT TO REVISION. FOR UPDATES CONTACT THE RECORDS SECTION: (850) 413-6770 Program/Module B1(d);B1(j) Staff Assignments Due Dates Current CASR revision level OPR Staff C Johnson Previous Current 1 Staff Recommendation 04/03/2003 NONE 04/15/2003 2. Agenda 05/05/2003 Standard Order NONE 4. 6. Staff Counsel A Crosby OCRs ( ) 9. 10. 11. 12. 13. 14. 15. 16 17. 18. 19. 20. 21. 22 23. 24. 25 26 27 28 29 30 Recommended assignments for hearing 31. and/or deciding this case: 32 33 Full Commission X
Hearing Examiner Commission Panel 34 35 Staff 36 Date filed with CCA: 03/05/2003 37 38 39 Initials: OPR Staff Counsel 40. Section 3 - Chairman Completes Assignments are as follows: - Hearing Officer(s) - Prehearing Officer Commissioners Hrg. Staff Exam. ALL JB DS BZ BD DV

X

Where panels are assigned the senior Commissioner is Panel Chairman; the identical panel decides the case. Where one Commissioner, a Hearing Examiner or a Staff Member is assigned the full Commission decides the case.

	ADM				
JB	DS	BZ	BD	DV	
					Х

Date: 03/05/2003

PSC/CCA015-C (Rev. 01/03)

\* COMPLETED EVENTS

#### STATE OF FLORIDA

COMMISSIONERS: LILA A. JABER, CHAIRMAN J. TERRY DEASON BRAULIO L. BAEZ MICHAEL A. PALECKI RUDOLPH "RUDY" BRADLEY



DIVISION OF THE COMMISSION CLERK & ADMINISTRATIVE SERVICES
BLANCA S. BAYÓ
DIRECTOR
(850) 413-6770 (CLERK)
(850) 413-6330 (ADMIN)

# Hublic Service Commission

July 23, 2002

Scott G. Schildberg, Esquire Ade & Schildberg, P.A. One Independent Drive, Suite 2000 Jacksonville, Florida 32202

Re: Docket No. 020775-WS

Dear Mr. Schildberg:

This will acknowledge receipt of a joint petition for acknowledgment of corporate reorganization and for name change on Certificates Nos. 533-W and 464-S in Lake County from Southlake Utilities, Inc. to Southlake Water Works, L.L.C., which was filed in this office on July 22, 2002, and assigned the above-referenced docket number. Appropriate staff members will be advised.

Mediation may be available to resolve any dispute in this docket. If mediation is conducted, it does not affect a substantially interested person's right to an administrative hearing. For more information, contact the Office of General Counsel at (850) 413-6248 or FAX (850) 413-7180.

Division of the Commission Clerk and Administrative Services Florida Public Service Commission



ADE & SCHILDBERG, P.A.

ADE & SCHILDBERG

ONE INDEPENDENT DRIVE - SUITE 2000

1ACKSONVILLE, FLORIDA 32202

TELEPHONE (904) 358-8818 TELECOPIER (904) 354-5842

### FACSIMILE TRANSMITTAL

February 21, 2003

TO:

Ms. Blanca Bayo

Company:

Florida Public Service Commission

FAX NUMBER:

(850) 413-7118

NUMBER OF PAGES (INCLUDING THIS PAGE): 4

FROM:

Mr. Scott G. Schildberg

SENT BY:

Anna

CLIENT NO .:

REFERENCE:

Joint Petition for Acknowledgment of Corporate Reorganization and Joint Application for the Approval of a Name Change, Docket No. 020775-WS

THIS DOCUMENT IS INTENDED ONLY FOR THE USE OF THE PERSON TO WHOM IT IS ADDRESSED. IT MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL, AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. If you are not the intended recipient, any dissemination, distribution, copying, or use of this document is strictly prohibited. If you have received this communication in error, please notify us by telephone (Collect: 904/358-8818) to arrange for the destruction or return of the original document to us.

> IF COMPLETE AND LEGIBLE TRANSMISSION IS NOT RECEIVED. PLEASE CALL (904) 358-8818 AND ASK FOR THE ABOVE SENDER

received 2/24/

#### ADE & SCHILDBERG, P.A.

ATTORNEYS AT LAW

ONE INDEPENDENT DRIVE - SUITE 2000

JACKSONVILLE, FLORIDA 32202

(904) 358-8818 FACSIMILE (904) 354-5842

JAMES L. ADE SCOTT G. SCHILDBERG

February 21, 2003

#### VIA FACSIMILE (850) 413-7118 AND U.S. MAIL

Ms. Blanca Bayo
Director, Division of Records & Reporting
Florida Public Service Commission
2540 Shumard Oak Boulevard
Tallahassee, Florida 32399-0850

Re: Joint Petition for Acknowledgment of Corporate Reorganization and Joint Application for the Approval of a Name Change, Docket No. 020775-WS

Dear Ms. Bayo:

Enclosed is a copy of Exhibit 6, which was filed with the Joint Petition for Acknowledgment of Corporate Reorganization and Joint Application for the Approval of a Name Change by Southlake Utilities, Inc., and Southlake Water Works, L.L.C. ("Petition"). As I discussed with Patti Daniels earlier today, Exhibit 6 accurately represents the current ownership interests in Southlake Utilities, Inc., a Florida corporation ("Southlake"), which is the holder of Water Certificate No. 533-W and Wastewater Certificate No. 464-S.

Southlake has recently completed Phase One of the two phases of reorganization discussed in the Petition. As noted in paragraph 8 of the Petition, "[t]here was no change in the majority organization of control of Southlake because the ultimate majority owner never changed during Phase One."

As set forth in the Motion for Acknowledgment of a Revision to Corporate Reorganization in connection with the Joint Petition for Acknowledgment of Corporate Reorganization and Joint Application for the Approval of a Name Change ("Motion"), recently filed herein, while Southlake had previously established Southlake Water Works, L.L.C., a limited liability company ("L.L.C."), as a wholly owned subsidiary, it no longer plans to transfer assets of Southlake to L.L.C. or to have L.L.C. serve as the utility operator as Southlake will not be completing Phase Two of the reorganization at this time. Put simply, Southlake does not wish to go any

Ms. Blanca Bayo February 21, 2003 Page 2

further with its original reorganization at this time and wishes to advise the Florida Public Service Commission of such.

If you have any additional questions or need any further information, please do not hesitate to call me.

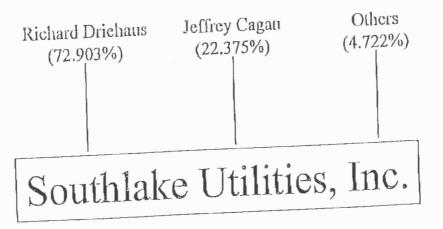
Sincerely yours,

Scott G. Schildberg

SGS/arp

cc: William J. Deas Randy Corbin Cheryl Johnson

# Reorganization - Phase One - Step Three B Summary of Ownership Interests after Step Three A Exhibit 6



## **CCA Official Filing:** 4/28/03\*\*\*\*\*\*\*\*\*\*\*1:57 PM\*\*\*\*\*\*\*\*\*\*\*\*\*Matilda Sanders\*

**Matilda Sanders** 

Janice Banka

From: Monday, April 28, 2003 11:37 AM Sent:

CCA - Orders / Notices To: Order / Notice Submitted Subject:

4/28/03 11:35:00 AM Date and Time:

020775-WS **Docket Number:** Filename / Path: 020775a.alc

Order Acknowledging Revisions to the Corporate Reorganization and Name Change of Southlake Utilities, Inc. and Closing Docket.

Number of pages in order - 4.

Thanks "J"

2/0 + I hake Clrk

# **CCA Official Filing:** 10/28/02\*\*\*\*\*\*\*\*\*12:11 PM\*\*\*\*\*\*\*\*\*\*\*\*Matilda Sanders\*\*\*\*\*\*\*\*\*\*\*\*\*1

**Matilda Sanders** 

Janice Banka

From: Sent:

Monday, October 28, 2002 12:12 PM

To: Subject: CCA - Orders / Notices Order / Notice Submitted

Date and Time: **Docket Number:**  10/28/02 12:11:00 PM

Filename / Path:

020775-WS 020775a.alc

Order Acknowledging Corporate Reorganization and Name Change.

Number of pages in order - 5.

Thanks "J"