

**REQUEST TO ESTABLISH DOCKET**

(Please Type)

<b>Date:</b>	8/24/2004	<b>Docket No.:</b>	040936-TT
<b>1. Division Name/Staff Name:</b>	Division Of Competitive Markets & Enforcement/Isler		
<b>2. OPR:</b>	Division Of Competitive Markets & Enforcement		
<b>3. OCR:</b>	Office Of The General Counsel		
<b>4. Suggested Docket Title:</b>	Compliance investigation of Universal Broadband Communications, Inc. d/b/a Business		

**i. Suggested Docket Mailing List (attach separate sheet if necessary)**

- A. Provide NAMES OR ACRONYMS ONLY if a regulated company.**
- B. Provide COMPLETE NAME AND ADDRESS for all others. (Match representatives to companies.)**

**1. Parties and their representatives (if any):**


**2. Interested persons and their representatives (if any):**


**6. Check one:**

- Documentation is attached.**
- Documentation will be provided with recommendation.**

DOCUMENT NUMBER-DATE

09258 AUG 24 8

Florida Department of State, Division of Corporations  
**Corporations Online**  
www.sunbiz.org Public Inquiry

**Foreign Profit**

**UNIVERSAL BROADBAND COMMUNICATIONS, INC.**

PRINCIPAL ADDRESS  
18200 VON KARMAN AVENUE  
SUITE 100  
IRVINE CA 92612

MAILING ADDRESS  
18200 VON KARMAN AVENUE  
SUITE 100  
IRVINE CA 92612

Domestic Name  
UNIVERSAL BROADBAND COMMUNICATIONS, INC.  
State  
CA

Foreign Name  
UNIVERSAL BROADBAND COMMUNICATIONS, INC.  
State  
IRVINE CA

Domestic Date  
09/19/02  
Effective Date  
02/01

Last Event  
REVOKES EGS ANNUAL  
10/20/02

Event Date Filed  
09/19/02

Event Credit Date  
09/19/02

**Registered Agent**

**Name & Address**

NRAI SERVICES, INC.  
526 E. PARK AVENUE  
TALLAHASSEE FL 32301

**Officer/Director Detail**

Name & Address	Title
ELLIS, MARK 18200 VON KARMAN AVE., 10TH FL IRVINE CA	PSTD
STOKES, HAROLD 18200 VON KARMAN AVE., 10TH FL IRVINE CA	D

### Annual Reports

Report Year	Filed Date
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[Previous Filing](#)

[Return to List](#)

[Next Filing](#)

[All Events](#)  
[No Name History Information](#)

### Document Images

Document images are being displayed for this filing.

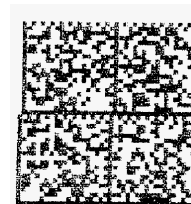
**01/02/2002 -- Foreign Profit**

THIS IS NOT AN OFFICIAL RECORD. SEE DOCUMENTS IF QUESTION OR CONFLICT.

[Corporation History](#)

[Corporation List](#)

State of Florida  
**Public Service Commission**  
2540 Shumard Oak Boulevard  
Tallahassee, Florida 32399-0850



At the post

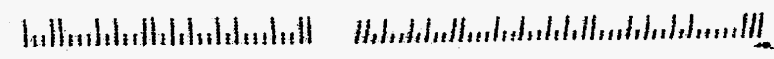
047J82004132  
**\$00.370**  
06/10/2004  
Mailed From: 32399  
US POSTAGE

Mr. Mark Ellis, President  
Business Savings Plan (TJ684)  
18200 Von Karman Avenue, 10<sup>th</sup> Floor  
Irvine, CA 92612-1023

RETURN  
TO SENDER

RETURN  
TO SENDER

92612+102398/0850



COMMISSIONERS:  
BRAULIO L. BAEZ, CHAIRMAN  
J. TERRY DEASON  
LILA A. JABER  
RUDOLPH "RUDY" BRADLEY  
CHARLES M. DAVIDSON

STATE OF FLORIDA



DIVISION OF COMPETITIVE MARKETS &  
ENFORCEMENT  
BETH W. SALAK  
DIRECTOR  
(850) 413-6600

## Public Service Commission

June 10, 2004

Mr. Mark Ellis, President  
Business Savings Plan (TJ684)  
18200 Von Karman Avenue, 10<sup>th</sup> Floor  
Irvine, CA 92612-1023

Dear Mr. Ellis:

The Regulatory Assessment Fee (RAF) is due by January 30<sup>th</sup> of each year for the preceding calendar year. For certificate holders, the RAF is owed even if a telecommunications company may not have started operations or had any revenues. Since payment has not been made, statutory penalty and interest charges are now applicable.

Our records show that the 2003 RAF return notice was mailed on December 12, 2003, and a delinquent notice was mailed on February 20, 2004. As of this date, our records do not show receipt of the RAF return or payment. A copy of the 2003 RAF return form is enclosed. If full payment, including penalty and interest charges, along with the RAF return form, are not received by July 2, 2004, a docket may be established. Your company may be fined or your certificate cancelled if you do not respond. Please note that once a docket has been established, **just paying the delinquent RAF amount will not prevent your certificate from being cancelled.**

If you wish to cancel your certificate voluntarily and leave in good standing with the Commission, your company should pay the past due amount in full, complete the 2003 RAF return form, either pay the 2004 RAF or provide a date certain it will be paid, and comply with the requirements of Rule 25-24.474, Florida Administrative Code, copy enclosed. Any unpaid RAFs, including penalty and interest charges, are turned over to collections. When returning payment and a copy of the completed 2003 Regulatory Assessment Fee return form, please use the enclosed blue envelope, which will insure prompt processing.

If you have any questions, please contact me at (850) 413-6502, by fax at (850) 413-6503, by e-mail at [pisler@psc.state.fl.us](mailto:pisler@psc.state.fl.us), or by writing to me at the address below.

Sincerely,

A handwritten signature in cursive script that reads "Paula J. Isler".

Paula J. Isler, Research Assistant  
Bureau of Service Quality

Enclosures  
TMS #1394

# Interexchange Company Regulatory Assessment Fee Return

STATUS:

- Actual Return  
 Estimated Return  
 Amended Return

## Florida Public Service Commission

(See Filing Instructions on Back of Form)

TJ684-03-0-R  
 Business Savings Plan  
 18200 Von Karman Avenue, 10th Floor  
 Irvine, CA 92612-1023

P. Isler

Please Complete Below If Official Mailing Address Has Changed

**FOR PSC USE ONLY**

Check# \_\_\_\_\_

\$ \_\_\_\_\_ 0603001  
003001

\$ \_\_\_\_\_ P 0603001  
004011

\$ \_\_\_\_\_ I

Postmark Date \_\_\_\_\_

Initials of Preparer \_\_\_\_\_

**PERIOD COVERED:**  
01/01/2003 TO 12/31/2003

\_\_\_\_\_  
(Name of Company) (Address) (City/State) (Zip)

LINE NO.	ACCOUNT CLASSIFICATION	FLORIDA GROSS OPERATING REVENUE	INTRASTATE REVENUE
1.	Long Distance Services	_____	_____
2.	Access Services	_____	_____
3.	Private Line Services	_____	_____
4.	Leased Facilities & Circuits Services	_____	_____
5.	Miscellaneous Services	_____	_____
6.	<b>TOTAL Telephone Services</b>	\$ _____	\$ _____
7.	LESS: Amounts Paid to Other Telecommunications Companies* (see "2. Fees" on back)	( _____ )	( _____ )
8.	<b>TOTAL REVENUES For Regulatory Assessment Fee Calculation</b>	_____	_____
9.	<b>Regulatory Assessment Fee Due (Multiply Line 8 by 0.0015)</b>	_____	_____
10.	<b>Penalty for Late Payment (see "3. Failure to File by Due Date" on back)</b>	_____	_____
11.	<b>Interest for Late Payment (see "3. Failure to File by Due Date" on back)</b>	_____	_____
12.	<b>TOTAL AMOUNT DUE</b>	_____	\$ _____

\* These amounts must be intrastate only and must be verifiable.

**AS PROVIDED IN SECTION 364.336, FLORIDA STATUTES, THE MINIMUM ANNUAL FEE IS \$500**

### CURRENT COMPANY STATUS

- Facilities-Based Carrier       Reseller       Call Aggregator  
 Alternate-Operator Service       Rebiller       Other: \_\_\_\_\_

### BILLING INFORMATION

Complete below if billing agent if other than yourself.

\_\_\_\_\_  
(Name) (Address: City/State/Zip) (Telephone)

What is the total amount of customer deposits collected?  
Amount: \$ \_\_\_\_\_ for 19 \_\_\_\_\_

What is the total amount of bond held (if applicable)?  
Amount: \$ \_\_\_\_\_ Expires: \_\_\_\_\_

### COMPANY INFORMATION

Do you lease telecommunications' facilities?  YES  NO

If YES, who do you lease these facilities from? Name: \_\_\_\_\_

Address: \_\_\_\_\_

I, the undersigned owner/officer of the above-named company, have read the foregoing and declare that to the best of my knowledge and belief the above information is a true and correct statement. I am aware that pursuant to Section 837.06, Florida Statutes, whoever knowingly makes a false statement in writing with the intent to mislead a public servant in the performance of his/her duty shall be guilty of a misdemeanor of the second degree.

\_\_\_\_\_  
(Signature of Company Official) (Title) (Date)

\_\_\_\_\_  
(Preparer of Form - Please Print Name)

Telephone Number ( ) Fax Number ( )

F.E.I. No. \_\_\_\_\_

**25-24.474 Cancellation of a Certificate.**

(1) The Commission may on its own motion cancel a company's certificate for any of the following reasons:

(a) Violation of the terms and conditions under which the authority was originally granted;

(b) Violation of Commission rule or order; or

(c) Violation of Florida Statutes.

(2) If a certificated company desires to cancel its certificate, it shall request cancellation from the Commission in writing and shall provide the following with its request:

(a) Statement of intent and date to pay Regulatory Assessment Fee.

(b) Statement of why the certificate is proposed to be cancelled.

(c) A statement on treatment of customer deposits and final bills.

(d) Proof of individual customer notice regarding discontinuance of service.

(3) Cancellation of a certificate shall be ordered subject to the holder providing the information required by subsection (2).

Specific Authority: 350.127(2), F. S.

Law Implemented: 350.113, 350.127(1), 364.285, 364.337, 364.345, F.S.

History: New 2/23/87, amended 3/13/96.

TJ684-03-0-D

**SENDER: COMPLETE THIS SECTION**

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

TJ684 Business Savings Plan  
18200 Von Karman Avenue, 10th Floor  
Irvine, California 92612-1023

**COMPLETE THIS SECTION ON DELIVERY**

A. Received by (Please Print Clearly) B. Date of Delivery

2/23/04

C. Signature

X

AP

Agent

Addressee

D. Is delivery address different from item 1?  Yes  
If YES, enter delivery address below:  No

3. Service Type

Certified Mail  Express Mail

Registered  Return Receipt for Merchandise

Insured Mail  C.O.D.

4. Restricted Delivery? (Extra Fee)  Yes

2. Article Number

(Transfer from service label)

7002 0860 0001 1760 2723



COMPANY IDENTIFICATION

Printed on 04/28/2004 at 08:47:55 by PJI

Complete Name: Universal Broadband Communications, Inc. d/b/a Business Savings Plan

Mailing Name: Business Savings Plan

Company Code: TJ684 FEID Number: 33-0930198

RAF ACCOUNT FOR THE PERIOD 01/01/2003 THROUGH 12/31/2003

Reg. Date: 08/19/2002 Inactive Date:   
 Service: IXC - Interexchange Telephone   
 Received: No RAF Form   
 Status: Pending   
 Amended: No Extension: No   
 Frozen: No Comments: No   
 Payment Count: 0 Payments Made to Date   
 Operating Rev: \$0.00 Interstate Rev: \$0.00   
 RAF Rate: Net RAF Due: \$0.00

Assessment	Due	Paid	Owe
RAF	\$0.00	\$0.00	\$0.00
Penalty	\$0.00	\$0.00	\$0.00
-----			
Extension Fee	\$0.00	\$0.00	\$0.00
Total	\$0.00	\$0.00	\$0.00

Last modification was made on Wednesday, December 3, 2003 at 9:35 AM by David Brown

Period covered: 01/01/2003 through 12/31/2003 RAF rate:   
 Operating rev: \$0.00 Interstate rev: \$0.00   
 Documents: Delinquent letter mailed on 02/20/2004   
 Delinquent letter mailed on 02/19/2004   
 Delinquent letter mailed on 02/18/2004   
 RAF form mailed on 12/03/2003

## MCD Company Information for TJ684

Printed on 08/24/2004 at 08:32:39 by PJI

Company Code: TJ684  
Complete Name: Universal Broadband Communications, Inc. d/b/a Business Savings Plan  
Mailing Name: Business Savings Plan  
Certificate No(s): 8162  
Status: Active  
Regulation Date: 08/19/2002  
Bankruptcy: No  
Company Liaison #1: Mark Ellis  
Title: President  
Mailing Address: 18200 Von Karman Avenue, 10th Floor  
  
Physical Location: Irvine, CA 92612-1023  
18200 Von Karman Avenue, 10th Floor  
  
Phone: Irvine, CA 92612-1023  
(949) 474-1500  
Fax: (949) 474-1404  
  
Related Dockets:  
020481-TI Application for certificate to provide interexchange telecommunications service by Universal Broadband Communications, Inc. d/b/a Business Savings Plan.  
030510-TI Request by Network US, Inc. d/b/a CA Affinity for waiver of carrier selection requirements of Rule 25-4.118, F.A.C., for transfer of long distance customers from Universal Broadband Communications, Inc. d/b/a Business Savings Plan.