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COMMISSION CLERK

December 1, 2010

BY HAND-DELIVERY

Ann Cole Director, Division of Commission Clerk Florida Public Service Commission 2540 Shumard Oak Boulevard Tallahassee, FL 32399

DEPOSIT

100452-50

Re:

Application for Transfer of Majority Organizational Control of Hunters Ridge Utility Co. of Lee County; and Request for Cancellation of Certificate No

13-3-10

Dear Ms. Cole:

Subject to approval of the Commission, Hunters Ridge Community Association, Inc. ("Community Association"), a non-profit corporation, has agreed to purchase the entire stock of Hunters Ridge Utility Co. of Lee County ("Utility"), which currently provides wastewater services exclusively to members of the Community Association pursuant to Certificate No. 472-S. For the Commission's review and approval, I enclose the original and five copies of an Application for Transfer of Majority Organization Control of the Utility, as well as check in the amount of \$1,500 for the application fee. Subject to the Commissions' approval of the transfer, the Community Association respectfully requests cancellation of the Utility's certificate (No. 472-S), pursuant to Section 376.022(7), Florida Statutes, which provides an exemption from regulation for non-profit corporations providing service solely to their members.

Please acknowledge receipt and filing of the above by stamping the duplicate copy of this letter and returning it to me. If you have any questions regarding this filing, please give me a call at 425-2359.

# Information Package to Comply with Rule 25-30.037(3), Florida Administrative Code FOR TRANSFER OF MAJORITY ORGANIZATIONAL CONTROL (Pursuant to Section 367.071, Florida Statutes)

TO: Office of Commission Clerk Florida Public Service Commission 2540 Shumard Oak Blvd. Tallahassee, Florida 32399-0850

The undersigned hereby makes application for the transfer of the majority organizational control of Hunters Ridge Utility Co., utility operating under Water Certificate No. N/A and/or Wastewater Certificate No. 472-S located in Lee County, Florida, and submits the following information:

#### PARTI **APPLICANT INFORMATION**

of the seller:				
Hunters Ridge Utility	Co. of Lee County			
Name of utility				
(239) 992-5393		(239) 992-9138		
Phone No. Fax No.		Fax No.		
Office street address  Bonita Springs	FL	34135		
Bonita Springs	<del></del>	34135		
City	State	Zip Code		
N/A	_			
Mailing address if diff	ferent from street a	ddress		
N1/A				
<u>N/A</u>		······································		

PSC/ECR 015-R (Rev. 2/91)

COCUMENT NUMBER-DATE COCUMENT

В)	he name, address and telephone number of the person to contact concerning his application:		
	Donal Huprich		(239) 992-4242
	Name		Phone No.
	12500 Hunters Ridge Drive Street address		
	Bonita Springs F	L	34135
	City	tate	Zip Code
C)	The full name (as it appears of the buyer:	on the certificate), addres	s and telephone number
	Hunters Ridge Community A	ssociation, Inc.	
	Name of utility		
	(239) 992-4242		(239) 992-9138
	Phone No.		Fax No.
	12500 Hunters Ridge Drive		
	Office street address		
	<u> </u>		34235
	City	State	Zip Code
	N/A	atract address	
	Mailing address if different for	om street address	
	N/A Internet address if applicable	<u> </u>	
D)	The name(s) and address(e partners and any other pers	s) of all of the buyer's corpon(s) who will own an inte	porate officers, directors, rest in the utility.
	Sandra S. Morgan, Presider	nt 12500 Hunters Rido	ge Dr., Bonita Springs, FL
	R. Thomas Padgett. V. Pres	ident 12500 Hunters Rido	ge Dr., Bonita Springs, FL
	Fred Forbes, Secretary	12500 Hunters Rido	ge Dr. Bonita Springs, FL
	Harold E. King, Treasurer	12500 Hunters Rido	e Dr., Bonita Springs FL
	Ben Butler, Director	12500 Hunters Rido	<u>je Dr., Bonita Springs, FL</u>
	David Miller, Director	12500 Hunters Rido	ge Dr., Bonita Springs, FL
	Dan Wirsbinksi, Director	12500 Hunters Rido	ge Dr., Bonita Springs, FL

## PART II FINANCIAL AND TECHNICAL INFORMATION

- A) Exhibit 1 A statement by the buyer indicating how the transfer is in the public interest, including a summary of the buyer's experience in water and/or wastewater utility operations, a showing of the buyer's financial ability to provide service and a statement that the buyer will fulfill the commitments, obligations and representations of the seller with regard to utility matters.
- B) List the names and locations of other water and/or wastewater utilities owned by the buyer and PSC certificate numbers, if any.

N/A – none		

- C) Exhibit 2 A copy of the purchase agreement.
- D) Exhibit <u>3</u> A statement of how the buyer is financing the purchase.
- E) Exhibit <u>4</u> A list of all entities, including affiliate which have provided or will provide funding to the buyer, and an explanation of the manner and amount of such funding, which shall include their financial statements and copies of any financial agreements with the utility. This requirement shall not apply to any person or entity holding less than 10 percent ownership interest in the utility.
- F) Exhibit <u>5</u> A statement from the buyer that after reasonable investigation, the system being acquired appears to be in satisfactory condition and in compliance with all applicable standards set by the DEP.

If the system is in need of repair or improvement, has any outstanding Notice of Violation(s) of any standard(s) set by the DEP or any outstanding consent orders with the DEP, the buyer shall provide a list of the improvements and repairs needed and the approximate cost to make them, a list of the action taken by the utility with regard to the violations, a copy of the Notice of Violation(s), a copy of the consent order and a list of the improvements and repairs consented to and the approximate cost.

# PART III NOTICE OF ACTUAL APPLICATION

- A) Exhibit <u>6</u> An affidavit that the notice of actual application was given in accordance with Section 367.045(1)(a), Florida Statutes, and Rule 25-30.030, Florida Administrative Code, by regular mail to the following:
  - (1) the governing body of the municipality, county, or counties in which the system or the territory proposed to be served is located;

- (2) the privately owned water and wastewater utilities that hold a certificate granted by the Public Service Commission and that are located within the county in which the utility or the territory proposed to be served is located;
- (3) if any portion of the proposed territory is within one mile of a county boundary, the utility shall notice the privately owned utilities located in the bordering counties and holding a certificate granted by the Commission;
- (4) the regional planning council;
- (5) the Office of Public Counsel;
- (6) the Public Service Commission's Office of Commission Clerk;
- (7) the appropriate regional office of the Department of Environmental Protection; and
- (8) the appropriate water management district. Copies of the Notice and a list of entities noticed shall accompany the affidavit. THIS MAY BE A LATE-FILED EXHIBIT.
- B) Exhibit \_\_7\_ An affidavit that the notice of actual application was given in accordance with Rule 25-30.030, Florida Administrative Code, by regular mail or personal delivery to each customer of the system being transferred. A copy of the Notice shall accompany the affidavit. THIS MAY BE A LATE-FILED EXHIBIT.
- C) Exhibit <u>8</u> Immediately upon completion of publication, an affidavit that the notice of actual application was published once in a newspaper of general circulation in the territory in accordance with Rule 25-30.030, Florida Administrative Code. A copy of the proof of publication shall accompany the affidavit. THIS MAY BE A LATE-FILED EXHIBIT.

### PART IV FILING FEE

Indicate the filing fee	enclosed with the	e application:	
\$	_ (for water)	\$1,5000	_ (for wastewater).
Note: Pursuant to Ruthe filing fee as follow		lorida Administ	rative Code, the amount or

(1) For applications in which the utility to be transferred has the capacity to serve up to 500 ERC's, the filing fee shall be \$750.

- (2) For applications in which the utility to be transferred has the capacity to serve from 501 to 2,000 ERC's the filing fee shall be **\$1,500**.
- (3) For applications in which the utility to be transferred has the capacity to serve from 2,001 ERC's to 4,000 ERC's the filing fee shall be **\$2,250**.
- (4) For applications in which the utility to be transferred has the capacity to serve more than 4,000 ERC's the filing fee shall be **\$3,000**.

#### PART V OTHER

- A) Exhibit 9 Evidence that the utility owns the land where the utility treatment facilities are located. If the utility does not own the land, a copy of the agreement which provides for the long term, continuous use of the land such as a 99-year lease. The Commission may consider a written easement or other cost-effective alternative.
- B) Exhibit MY The original and two copies of revised tariff sheet(s) reflecting the change in ownership. **Sample tariff sheets are attached**.
- C) Exhibit <u>10</u> The utility's current certificate(s). If not available, an explanation of the steps taken to obtain the certificate(s).

# PART VI AFFIDAVIT

	(applicant) do solemnly swear or forgoing application and all exhibits attached thereto are tements of fact thereto constitutes a complete statement	•
	BY: Sandra 5. Morgan Applicant's Signature  Sandra 5. Morgan Applicant's Name (Typed)	
	Applicant's Name (Typed)  President  Applicant's Title *	
	ne this 17th day of the month of <u>November</u> Sandra 5. Morgan (who is	-
personally known to me	or produced the following identification	
Type of Identification Produced	······································	
Notary Public State of Florida Gloria M Wolner My Commission DD738445 Expires 12/02/2011	Notary Public's Signature  Gloria Wolner  Print, Type or Stamp Commissioned Name of Notary Public	ł

\* If applicant is a corporation, the affidavit must be made by the president or other officer authorized by the by-laws of the corporation to act for it. If applicant is a partnership or association, a member of the organization authorized to make such affidavit shall execute same.