

State of Florida



# Public Service Commission

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TALLAHASSEE, FLORIDA 32399-0850

**-M-E-M-O-R-A-N-D-U-M-**

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COMMISSION  
CLERK

**DATE:** February 7, 2013

**TO:** Office of Commission Clerk (Cole)

**FROM:** Office of the General Counsel (Gervasi) *RS SMC*  
Division of Accounting and Finance (Slemkewicz, Bulecza-Banks) *CRAB JS*  
Division of Administrative and IT Services (Belcher, Kissell) *LR LC*  
Division of Economics (King) *NL J.WD*

**RE:** Docket No. 130033-PU – Proposed amendment of Rule 25-6.0131, F.A.C., Regulatory Assessment Fees; Investor-owned Electric Companies, Municipal Electric Utilities, Rural Electric Cooperatives, Rule 25-7.0131, F.A.C., Regulatory Assessment Fees; Gas Utilities, Gas Municipals, and Gas Districts, Rule 25-7.101, F.A.C., Regulatory Assessment Fees (Natural Gas Transmission Companies); and Rule 25-30.120, F.A.C., Regulatory Assessment Fees; Water and Wastewater Utilities.

**AGENDA:** 2/19/13 – Regular Agenda – Rule Proposal – Interested Persons May Participate

**COMMISSIONERS ASSIGNED:** All Commissioners

**PREHEARING OFFICER:** Brown

**RULE STATUS:** Proposal May Be Deferred

**SPECIAL INSTRUCTIONS:** None

**FILE NAME AND LOCATION:** S:\PSC\GCL\WP\130033.RCM.DOC

### Case Background

Rule 25-6.0131, Regulatory Assessment Fees; Investor-owned Electric Companies, Municipal Electric Utilities, Rural Electric Cooperatives, Florida Administrative Code (F.A.C), Rule 25-7.0131, Regulatory Assessment Fees; Gas Utilities, Gas Municipals, and Gas Districts, F.A.C., Rule 25-7.101, Regulatory Assessment Fees (Natural Gas Transmission Companies),

DOCUMENT NUMBER-DATE

00750 FEB-7 2013

FPSC-COMMISSION CLERK

F.A.C., and Rule 25-30.120, Regulatory Assessment Fees; Water and Wastewater Utilities, F.A.C., require Commission-regulated electric (including municipal utilities and rural cooperatives), gas, gas transmission, and water and wastewater companies to remit regulatory assessment fees (RAFs) based upon their gross operating revenues. These rules specify how RAFs are calculated, when they are due, how to request an extension of the due date for payment of RAFs, and penalties and interest to be applied to delinquent payments. Industry-specific RAF return forms are incorporated by reference in the rules.

Consistent with section 350.113(5), Florida Statutes (F.S.), and with certain amendments the Commission adopted in 2011 to Rule 25-4.0161, F.A.C., the telecommunications RAF rule, staff is recommending that the Commission propose to amend the RAF rules for the remaining regulated industries to clarify the requirements for requesting an extension of the due date for payment of RAFs. The recommended amendments include directives for the filing of either a 15-day or a 30-day RAF extension request, and specify that an extension request will not be granted if the utility has any unpaid RAFs, penalties, or interest due from a prior period. Moreover, in addition to the industry-specific RAF return forms, Form PSC/AIT 124, the RAF extension request form, is incorporated by reference into the recommended rule amendments. The RAF extension request form includes a requirement that RAF extension requests contain a statement of good cause/reason for the extension request. The recommended rule amendments do not include any changes to the calculation of RAFs or the amounts to be remitted.

The Commission's Notices of Development of Rulemaking were published on July 27, 2012, in Volume 38, Number 30, of the Florida Administrative Register. No rule development workshop was requested, and thus a workshop was not held. The Commission has jurisdiction pursuant to sections 120.54, 350.127(2), 350.113, 366.05, 366.14, 367.121(1), 367.145, 367.161, 368.104, and 368.109, F.S.

### **Discussion of Issues**

**Issue 1:** Should the Commission propose the amendment of Rule 25-6.0131, F.A.C., Regulatory Assessment Fees; Investor-owned Electric Companies, Municipal Electric Utilities, Rural Electric Cooperatives, Rule 25-7.0131, F.A.C., Regulatory Assessment Fees; Gas Utilities, Gas Municipals, and Gas Districts, Rule 25-7.101, F.A.C., Regulatory Assessment Fees (Natural Gas Transmission Companies); and Rule 25-30.120, F.A.C., Regulatory Assessment Fees; Water and Wastewater Utilities?

**Recommendation:** Yes, the Commission should propose the amendment of Rules 25-6.0131, 25-7.0131, 25-7.101, and 25-30.120, F.A.C., as set forth in Attachment A of this recommendation. (Gervasi, Slemkewicz, Bulecza-Banks, Belcher)

**Staff Analysis:** The recommended proposed amendments to Rules 25-6.0131, 25-7.0131, 25-7.101, and 25-30.120, F.A.C., and to the RAF filing forms incorporated therein, are consistent with Section 350.113(5), F.S., which states, in part, that

[t]he commission, for good cause shown by written request, may extend for a period not to exceed 30 days the time for paying any fee or for filing any report related thereto. If an extension is granted, there shall be collected a charge of 0.75 percent of the fee to be remitted for an extension of 15 days or less, or a charge of 1.5 percent of the fee for an extension of more than 15 days.

All recommended changes to the RAF rules and corresponding filing forms incorporated therein are shown in type and strike format on Attachment A. The substantive recommended amendments to the rules are discussed in more detail below.

#### **Rule 25-6.0131, F.A.C., Regulatory Assessment Fees; Investor-owned Electric Companies, Municipal Electric Utilities, Rural Electric Cooperatives**

Rule 25-6.0131(7), F.A.C., provides that electric utilities may request a 30-day extension of the due date for the payment of RAFs or for filing a RAF return form. The recommended rule amendment provides for either a 15-day or a 30-day request for extension of the RAF payment or RAF return form due date (Attachment A, page 9, lines 20-22). Paragraph (7)(b) of the recommended rule amendment provides that requests for extension will not be granted if the utility has any unpaid RAFs, penalties, or interest due from a prior period (Attachment A, page 10, lines 5-6).

#### **Rule 25-7.0131, F.A.C., Regulatory Assessment Fees; Gas Utilities, Gas Municipals, and Gas Districts**

Rule 25-7.0131, F.A.C., is virtually the same as Rule 25-6.0131, F.A.C., except that it applies to gas utilities rather than to electric utilities. Rule 25-7.0131(7), F.A.C., provides that gas utilities may request a 30-day extension of the due date for the payment of RAFs or for filing a RAF return form. The recommended rule amendment provides for either a 15-day or a 30-day request for extension of the RAF payment or RAF return form due date (Attachment A, page 12, lines 19-21). Paragraph (7)(b) of the recommended rule amendment provides that requests for

extension will not be granted if the utility has any unpaid RAFs, penalties, or interest due from a prior period (Attachment A, page 13, lines 4-5).

Rule 25-7.101, F.A.C., Regulatory Assessment Fees (Natural Gas Transmission Companies)

Staff recommends the Commission propose the inclusion of the same recommended rule amendment language as indicated above for Rules 25-6.0131 and 25-7.0131 for the electric and gas utilities, respectively. See paragraph (7), Attachment A, page 15, lines 10-11 (regarding 15-day and 30-day requests for extension of RAF payment or RAF return form due date), and paragraph (7)(b), Attachment A, page 15, lines 20-21 (regarding the denial of extension requests if the utility has any unpaid RAFs, penalties, or interest due from a prior period).

In addition, staff recommends that language be added to this rule to specify the following:

1) Paragraph (6), stating that when a natural gas transmission company remits less than its full fee, the remainder of the fee shall be due on or before the 30th day from the due date, and, where the amount remitted was less than 90 percent of the total RAF due, shall include interest as provided by paragraph (8)(b) (Attachment A, page 15, lines 3-6). This language is authorized by section 350.113(5), F.S., and is consistent with other industry RAF rules (see, e.g., Attachment A, page 9, lines 16-19, and page 12, lines 15-18);

2) Paragraph (7)(a), stating that requests for extension must be received at least two weeks before the due date (Attachment A, page 15, lines 18-19), and Paragraph (7)(c), stating that where a 15-day or a 30-day extension is received, the utility shall remit a charge as set out in section 350.113(5), F.S. (Attachment A, page 15, lines 22-24). This language is consistent with other industry RAF rules (see, e.g., Attachment A, page 10, lines 8-10, and page 13, lines 7-9); and

3) Paragraph (8), stating that the delinquency of any amount due begins with the first calendar day after the due date (Attachment A, page 15, line 25 through page 16, lines 1-2), and paragraphs (8)(a) and (b), stating that penalties as set out in section 350.113, F.S., and interest at the rate of 12 percent per year shall apply to any such delinquent amounts (Attachment A, page 16, lines 3-6). This language is consistent with section 350.113(4), F.S., regarding the calculation of penalties and interest, and with other industry RAF rules (see, e.g., Attachment A, page 10, lines 11-18, and page 13, lines 11-18).

Rule 25-30.120, F.A.C., Regulatory Assessment Fees; Water and Wastewater Utilities

Staff recommends the Commission propose the inclusion of the same recommended rule amendment language as indicated above for Rules 25-6.0131 and 25-7.0131 for the electric and gas utilities, respectively. See paragraph (6), Attachment A, page 19, lines 9-11 (regarding 15-day and 30-day requests for extension of RAF payment or RAF return form due date), and paragraph (6)(b), Attachment A, page 19, lines 24-25 (regarding the denial of extension requests if the utility has any unpaid RAFs, penalties, or interest due from a prior period).

In addition, staff recommends that certain portions of the rule should be stricken, as follows:

1) The portion of paragraph (2) that requires any utility subject to Commission jurisdiction for any part of a year to remit RAFs “whether or not the utility has actually applied for or been issued a certificate.” (Attachment A, page 17, lines 13-14). This change is consistent with section 350.111, F.S., which defines “regulated company” to include, in relevant part, “any person holding a valid and current certificate from the commission” under chapter 367, F.S.;

2) The portion of paragraph (6)(a) which states that requests for extension of the due date for payment of RAFs or for filing a RAF return will be granted if the utility has timely applied for the extension and has no unpaid RAFs, penalties, or interest due from a prior period (Attachment A, page 19, lines 20-23). This change is consistent with section 350.113(5), F.S., which authorizes such extensions “for good cause shown by written request,” and with other industry RAF rules which do not provide for such automatic extensions; and

3) Paragraph (9), requiring that interest be applied to RAF penalties that are unpaid within 30 days after their assessment by the Commission, and that such interest shall be compounded monthly based upon the 30-day commercial paper rate (Attachment A, page 20, lines 22-25, through page 21, line 1). This change is consistent with section 350.113(4), F.S., which sets forth how penalties and interest are to be calculated on delinquent RAFs, and with other industry RAF rules which do not contain this language.

#### Form PSC/AIT 124, “Regulatory Assessment Fee Extension Request”

Staff recommends that Form PSC/AIT 124, entitled “Regulatory Assessment Fee Extension Request,” be incorporated into the RAF rules by reference (Attachment A, page 9, lines 22-25; page 12, lines 21-24; page 15, lines 11-14; page 19, lines 11-14). Form PSC/AIT 124 includes, among other things, a requirement that the request for extension must contain a statement of good cause/reason for the extension request, and states that examples of good cause include reasons such as financial hardship, severe illness, or acts of God, but do not include reasons such as management oversight or vacation time (Attachment A, pages 22-23). This form was also incorporated into Rule 25-4.0161(8), F.A.C., the telecommunications RAF rule, in 2011.

#### Statement of Estimated Regulatory Costs

The Florida Administrative Procedure Act encourages an agency to prepare a Statement of Estimated Regulatory Costs (SERC). Section 120.54(3)(b), F.S. An agency must prepare a SERC if the proposed rule is likely to directly or indirectly increase regulatory costs in excess of \$200,000 in the aggregate within one year after implementation of the rule, and shall consider the impact of the rule on small businesses, small counties, and small cities. Id.

Section 120.541(2)(a), F.S., requires a SERC to include an economic analysis showing whether the rule, directly or indirectly, is likely to: 1) have an adverse impact on economic growth, private sector job creation, employment, or investment; 2) have an adverse impact on business competitiveness; or 3) increase regulatory costs; in excess of \$1 million in the aggregate

within five years after the implementation of the rule. Section 120.541(3), F.S., requires that if the adverse impact or regulatory costs of the rule exceed any of those criteria, the rule shall be submitted to the President of the Senate and Speaker of the House, and may not take effect until it is ratified by the Legislature.

The SERC prepared by staff is included as Attachment B to this recommendation. It indicates that economic growth, private job sector employment, investment, and business competitiveness are not expected to be adversely impacted during the five-year period following implementation of the rules because the recommended rule amendments clarify, but do not alter, the utilities' existing obligation to pay RAFs, file RAF forms, and follow certain procedures for requesting extensions. Based on the SERC, the recommended rules will not require legislative ratification.

Attachment B also contains the estimated number of individuals and entities likely to be required to comply with the rules, the estimated cost of implementing and enforcing the rules, the estimated transactional costs likely to be incurred by individuals and entities required to comply with the rules, and an analysis of the impact on small businesses, small counties, and small cities. Section 120.541(2)(b)-(e), F.S., requires that a SERC include these considerations.

### Conclusion

Based on the foregoing, staff recommends that the Commission propose the amendment of Rules 25-6.0131, 25-7.0131, 25-7.101, and 25-30.120, F.A.C., and the corresponding changes to the filing forms incorporated therein, as set forth in Attachment A of this recommendation.

Docket No. 130033-PU  
Date: February 7, 2013

**Issue 2:** Should this docket be closed?

**Recommendation:** Yes, if no requests for hearing or comments are filed, the rule amendments as proposed should be filed for adoption with the Secretary of State and the docket should be closed. (Gervasi)

**Staff Analysis:** Unless comments or requests for hearing are filed, the rules as proposed may be filed with the Secretary of State without further Commission action. The docket may then be closed.

1 **25-6.0131 Regulatory Assessment Fees; Investor-owned Electric Companies, Municipal**  
2 **Electric Utilities, Rural Electric Cooperatives.**

3 (1) As applicable and as provided in Section 350.113, F.S., each company, utility, or  
4 cooperative shall remit to the Commission a fee based upon its gross operating revenue. This  
5 fee shall be referred to as a regulatory assessment fee. Regardless of the gross operating  
6 revenue of a company, a minimum annual regulatory assessment fee of \$25 shall be imposed.

7 (a) Each investor-owned electric company shall pay a regulatory assessment fee in the  
8 amount of .00072 of gross operating revenues derived from intrastate business, excluding  
9 sales for resale between public utilities, municipal electric utilities, and rural electric  
10 cooperatives or any combination thereof.

11 (b) Each municipal electric utility and rural electric cooperative shall pay a regulatory  
12 assessment fee in the amount of 0.00015625 of its gross operating revenues derived from  
13 intrastate business, excluding sales for resale between public utilities, municipal electric  
14 utilities, and rural cooperatives or any combination thereof.

15 (2) Regulatory assessment fees are due each January 30 for the preceding period or any  
16 part of the period from July 1 until December 31, and on July 30 for the preceding period or  
17 any part of the period from January 1 until June 30.

18 (3) If the due date falls on a Saturday, Sunday, or a holiday, the due date is extended to  
19 the next business day. If the fees are sent by registered mail, the date of the registration is the  
20 United States Postal Service's postmark date. If the fees are sent by certified mail and the  
21 receipt is postmarked by a postal employee, the date on the receipt is the United States Postal  
22 Service's postmark date. The postmarked certified mail receipt is evidence that the fees were  
23 delivered. Regulatory assessment fees are considered paid on the date they are postmarked by  
24 the United States Postal Service or received and logged in by the Commission's Division of  
25 Administrative and Information Technology Services in Tallahassee. Fees are considered

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1 timely paid if properly addressed, with sufficient postage and postmarked no later than the due  
2 date.

3 (4) Commission Form PSC/AFD 68 (XX/XX) PSC/ECR-68 (01/99), entitled  
4 “Investor-Owned Electric Utility Regulatory Assessment Fee Return” is available at:  
5 ([hyperlink](#)); Form PSC/AFD 69 (XX/XX) PSC/ECR-69 (07/96), entitled “Municipal Electric  
6 Utility Regulatory Assessment Fee Return” is available at: ([hyperlink](#)); and Form PSC/AFD  
7 70 (XX/XX) PSC/ECR-70 (07/96), entitled “Rural Electric Cooperative Regulatory  
8 Assessment Fee Return” is available at: ([hyperlink](#)). These forms are incorporated into this  
9 rule by reference and may also be obtained from the Commission’s Division of Administrative  
10 and Information Technology Services. The failure of a utility to receive a return form shall not  
11 excuse the utility from its obligation to timely remit the regulatory assessment fees.

12 (5) Each company, utility, or cooperative shall have up to and including the due date in  
13 which to:

14 (a) Remit the total amount of its fee; or

15 (b) Remit an amount which the company, utility, or cooperative estimates is its full fee.

16 (6) Where the company, utility, or cooperative remits less than its full fee, the  
17 remainder of the full fee shall be due on or before the 30th day from the due date and shall,  
18 where the amount remitted was less than 90 percent of the total regulatory assessment fee,  
19 include interest as provided by paragraph (8)(b) of this rule.

20 (7) A company may request ~~from the Division of Administrative Services~~ either a 15-  
21 day or a 30-day extension of its due date for payment of regulatory assessment fees or for  
22 filing its return form by submitting to the Division of Administrative and Information  
23 Technology Services Commission Form PSC/AIT 124 (XX/XX) entitled “Regulatory  
24 Assessment Fee Extension Request,” which is incorporated into this rule by reference and is  
25 available at: ([hyperlink](#)). This form may also be obtained from the Commission’s Division of

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1 Administrative and Information Technology Services.

2 (a) The request for extension must be received by the Division of Administrative and  
3 Information Technology Services at least two weeks before the due date. The request for  
4 extension must be written and accompanied by a statement of good cause.

5 (b) The request for extension will not be granted if the utility has any unpaid regulatory  
6 assessment fees, penalties, or interest due from a prior period. The request for extension must  
7 be received by the Division of Administrative Services at least two weeks before the due date.

8 (c) Where a company, utility, or cooperative receives an extension of its due date  
9 pursuant to this rule, ~~then~~ the entity shall remit a charge as set out in Section 350.113(5), F.S.,  
10 in addition to the regulatory assessment fee, as set out in Section 350.113 F.S.

11 (8) The delinquency of any amount due to the Commission from the company, utility,  
12 or cooperative pursuant to the provisions of Section 350.113, F.S., and this rule, begins with  
13 the first calendar day after any date established as the due date either by operation of this rule  
14 or by an extension pursuant to this rule.

15 (a) A penalty, as set out in Section 350.113, F.S., shall apply to any such delinquent  
16 amounts.

17 (b) Interest at the rate of 12 percent per annum shall apply to any such delinquent  
18 amounts.

19 Rulemaking Specific Authority 350.127(2), 366.05 FS. Law Implemented 350.113, 366.14 FS.  
20 History—New 5-18-83, Amended 2-9-84, Formerly 25-6.131, Amended 6-18-86, 10-16-86, 3-7-  
21 89, 2-19-92, 7-7-96, 1-1-99, \_\_\_\_\_.

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1 **25-7.0131 Regulatory Assessment Fees; Gas Utilities, Gas Municipals, and Gas Districts.**

2 (1) As applicable and as provided in Sections 350.113 and 366.14, F.S., each gas  
3 utility, municipal, or gas district shall remit a fee based upon its gross operating revenue. This  
4 fee shall be referred to as a regulatory assessment fee. Regardless of the gross operating  
5 revenue of a company, a minimum annual regulatory assessment fee of \$25 shall be imposed.

6 (a) Each investor-owned gas utility shall pay a regulatory assessment fee in the amount  
7 of .005 of its gross operating revenue derived from intrastate business, excluding sales for  
8 resale between public utilities, municipal gas utilities, and gas districts or any combination  
9 thereof.

10 (b) Each municipal or gas district shall pay a regulatory assessment fee in the amount  
11 of 0.001919 of its gross operating revenue derived from intrastate business, excluding sales for  
12 resale between public utilities, municipal gas utilities, and gas district or any combination  
13 thereof.

14 (2) Regulatory assessment fees are due each January 30 for the preceding period or any  
15 part of the period from July 1 until December 31, and on July 30 for the preceding period or  
16 any part of the period from January 1 until June 30.

17 (3) If the due date falls on a Saturday, Sunday, or a legal holiday, the due date is  
18 extended to the next business day. If the fees are sent by registered mail, the date of the  
19 registration is the United States Postal Service's postmark date. If the fees are sent by certified  
20 mail and the receipt is postmarked by a postal employee, the date and the receipt is the United  
21 States Postal Service's postmark date. The postmarked certified mail receipt is evidence that  
22 the fees were delivered. Regulatory assessment fees are considered paid on the date they are  
23 postmarked by the United States Postal Service or received and logged in by the  
24 Commission's Division of Administrative and Information Technology Services in  
25 Tallahassee. Fees are considered timely paid if properly addressed, with sufficient postage and

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1 postmarked no later than the due date.

2 (4) Commission Form PSC/AFD 67 (XX/XX) PSC/ECR 67 (01/99), entitled  
3 “Investor-Owned Natural Gas Utility Regulatory Assessment Fee Return” is available at:  
4 (hyperlink) and Form PSC/AFD 71 (XX/XX) PSC/ECR 71 (07/96), entitled “Gas Municipal  
5 or Gas District Regulatory Assessment Fee Return” is available at: (hyperlink). These forms  
6 are incorporated into this rule by reference and may also be obtained from the Commission’s  
7 Division of Administrative and Information Technology Services. The failure of a utility to  
8 receive a return form shall not excuse the utility from its obligation to timely remit the  
9 regulatory assessment fees.

10 (5) Each utility, municipal, and gas district shall have up to and including the due date  
11 in which to:

12 (a) Remit the total amount of its fee or

13 (b) Remit an amount which the utility, municipal, or gas district estimates is its full  
14 fee.

15 (6) Where the utility, municipal, or gas district remits less than its full fee, the  
16 remainder of the full fee shall be due on or before the 30th day from the due date and shall,  
17 where the amount remitted was less than 90 percent of the total regulatory assessment fee,  
18 include interest as provided by paragraph (8)(b) of this rule.

19 (7) A utility, municipal, or gas district may request ~~from the Division of~~  
20 ~~Administrative Services~~ either a 15-day or a 30-day extension of its due date for payment of  
21 regulatory assessment fees or for filing its return form by submitting to the Division of  
22 Administrative and Information Technology Services Commission Form PSC/AIT 124  
23 (XX/XX) entitled “Regulatory Assessment Fee Extension Request,” which is incorporated  
24 into this rule by reference and is available at: (hyperlink). This form may also be obtained  
25 from the Commission’s Division of Administrative and Information Technology Services.

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1           (a) The request for extension must be received by the Division of Administrative and  
2 Information Technology Services at least two weeks before the due date. ~~The request for~~  
3 ~~extension must be written and accompanied by a statement of good cause.~~

4           (b) The request for extension will not be granted if the utility has any unpaid regulatory  
5 assessment fees, penalties, or interest due from a prior period. ~~The request for extension must~~  
6 ~~be received by the Division of Administrative Services at least two weeks before the due date.~~

7           (c) Where a utility, municipal, or gas district receives an extension of its due date  
8 pursuant to this rule, ~~then~~ the utility, municipal, or gas district shall remit a charge as set out in  
9 Section 350.113(5), F.S., in addition to the regulatory assessment fee, ~~as set out in Section~~  
10 ~~350.113, F.S.~~

11           (8) The delinquency of any amount due to the Commission from the utility, municipal,  
12 or gas district pursuant to the provisions of Section 350.113, F.S., and this rule, begins with  
13 the first calendar day after any date established as the due date either by operation of this rule  
14 or by an extension pursuant to this rule.

15           (a) A penalty, as set out in Section 350.113, F.S., shall apply to any such delinquent  
16 amounts.

17           (b) Interest at the rate of 12 percent per annum shall apply to any such delinquent  
18 amounts.

19 Rulemaking Specific Authority 350.127(2), 366.05-366.14 FS. Law Implemented 350.113,  
20 366.14 FS. History—New 5-18-83, Formerly 25-7.131, Amended 10-19-86, 4-25-90, 7-7-96, 1-  
21 1-9, \_\_\_\_\_.

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existing law.

1 **25-7.101 Regulatory Assessment Fees; Natural Gas Transmission Companies.**

2 (1) As provided in Section 368.109, F.S., each natural gas transmission company shall  
3 pay a regulatory assessment fee. The regulatory assessment fee shall be 0.25 percent annually  
4 of the natural gas transmission company's gross operating revenue derived from intrastate  
5 business, excluding sales of gas for resale to natural gas transmission companies, public  
6 utilities that supply gas, municipal gas utilities and gas districts.

7 (2) Regulatory assessment fees are due each January 30 for the preceding 6 month  
8 period or any part of the period from July 1 until December 31, and on July 30 for the  
9 preceding 6 month period or any part of the period from January 1 until June 30.

10 (3) If the due date falls on a Saturday, Sunday, or a legal holiday, the due date is  
11 extended to the next business day. If the fees are sent by registered mail, the date of the  
12 registration is the United States Postal Service's postmark date. If the fees are sent by certified  
13 mail and the receipt is postmarked by a postal employee, the date on the receipt is the United  
14 States Postal Service's postmark date. The postmarked certified mail receipt is evidence that  
15 the fees were delivered. Regulatory assessment fees are considered paid on the date they are  
16 postmarked by the United States Postal Service or received and logged in by the  
17 Commission's Division of Administrative and Information Technology Services in  
18 Tallahassee. Fees are considered timely paid if properly addressed, with sufficient postage,  
19 and postmarked no later than the due date.

20 (4) Commission Form PSC/AFD 244 (XX/XX) ~~PSC/ECR-244 (2/98)~~, entitled "Natural  
21 Gas Transmission Pipeline Company Regulatory Assessment Fee Return" is incorporated into  
22 this rule by reference and is available at: (hyperlink). This form may also be obtained from  
23 the Commission's Division of Administrative and Information Technology Services. The  
24 failure of a utility to receive a return form shall not excuse the utility from its obligation to  
25 timely remit the regulatory assessment fees.

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1           (5) Each natural gas transmission company shall have up to and including the due date  
2 in which to remit the total amount of its fee.

3           (6) Where the natural gas transmission company remits less than its full fee, the  
4 remainder of the full fee shall be due on or before the 30th day from the due date and shall,  
5 where the amount remitted was less than 90 percent of the total regulatory assessment fee,  
6 include interest as provided by paragraph (8)(b) of this rule. ~~The delinquency of any amount~~  
7 due to the Commission from the company, pursuant to the provisions of Section 368.109, F.S.,  
8 and this rule, begins with the first calendar day after any date established as the due date by  
9 operation of this rule.

10           (7) A company may request either a 15-day or a 30-day extension of its due date for  
11 payment of regulatory assessment fees or for filing its return form by submitting to the  
12 Division of Administrative and Information Technology Services Commission Form PSC/AIT  
13 124 (XX/XX) entitled "Regulatory Assessment Fee Extension Request," which is incorporated  
14 into this rule by reference and is available at: (hyperlink). This form may also be obtained  
15 from the Commission's Division of Administrative and Information Technology Services. A  
16 Regulatory Assessment Fee Return must be completed, signed, and filed even if there are no  
17 revenues to report.

18           (a) The request for extension must be received by the Division of Administrative and  
19 Information Technology Services at least two weeks before the due date.

20           (b) The request for extension will not be granted if the utility has any unpaid regulatory  
21 assessment fees, penalties, or interest due from a prior period.

22           (c) Where a utility receives either a 15-day or a 30-day extension of its due date  
23 pursuant to this rule, the utility shall remit a charge as set out in Section 350.113(5), F.S., in  
24 addition to the regulatory assessment fee.

25           (8) The delinquency of any amount due to the Commission from the company,

CODING: Words underlined are additions; words in ~~struck-through~~ type are deletions from existing law.

1 pursuant to the provisions of Section 368.109, F.S., and this rule, begins with the first calendar  
2 day after any date established as the due date by operation of this rule.

3 (a) A penalty, as set out in Section 350.113, F.S., shall apply to any such delinquent  
4 amounts.

5 (b) Interest at the rate of 12 percent per annum shall apply to any such delinquent  
6 amounts.

7 Rulemaking Specific Authority 350.127(2), 368.104 FS. Law Implemented 350.113, 368.109,  
8 ~~368.111~~ FS. History—New 9-13-98, \_\_\_\_\_.

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1 **25-30.120 Regulatory Assessment Fees; Water and Wastewater Utilities.**

2 (1) As applicable and as provided in Section 350.113, F.S., each utility shall remit a  
3 fee based upon its gross operating revenue. This fee shall be referred to as a regulatory  
4 assessment fee. Each utility shall pay a regulatory assessment fee in the amount of 0.045 of its  
5 gross revenues derived from intrastate business. The gross revenues reported for regulatory  
6 assessment fee purposes must agree with the amount reported as operating revenue on  
7 Schedule F-3 of the Operating Statement in the company's Annual Report, filed in accordance  
8 with Rule 25-30.110, F.A.C. A minimum annual regulatory assessment fee of \$25 shall be  
9 imposed if there are no revenues or if revenues are insufficient to generate a minimum annual  
10 fee.

11 (2) The obligation to remit the regulatory assessment fees for any year shall apply to  
12 any utility that is subject to this Commission's jurisdiction on or before December 31 of that  
13 year or for any part of that year, ~~whether or not the utility has actually applied for or been~~  
14 ~~issued a certificate.~~

15 (a) For large utilities with annual revenues of \$200,000 or more based on the most  
16 recent prior calendar year, regulatory assessment fees shall be filed with the Commission on or  
17 before July 30 for the preceding period or any part of the period from January 1 until June 30,  
18 and on January 30 for the preceding period or any part of the period from July 1 until  
19 December 31. Commission Form PSC/AFD 10-WL (XX/XX) PSC/ECR 10-WL (02/05)  
20 entitled "Large Water Utility System Regulatory Assessment Fee Return" is available at:  
21 (hyperlink) and Commission Form PSC/AFD 017-WL (XX/XX) PSC/ECR 017-WL (02/05)  
22 entitled "Large Wastewater Utility System Regulatory Assessment Fee Return" is available at:  
23 (hyperlink). These forms are incorporated into this rule by reference and may also be obtained  
24 from the Division of Administrative and Information Technology Services. The failure of a  
25 utility to receive a return form shall not excuse the utility from its obligation to timely remit

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1 the regulatory assessment fees.

2 (b) For small utilities with annual revenues of less than \$200,000 based on the most  
3 recent prior calendar year, regulatory assessment fees shall be filed with the Commission on or  
4 before March 31 for the preceding year ended December 31. Commission Form PSC/AFD  
5 010-WS (XX/XX) PSC/ECR-010-WS (02/05) entitled “Small Water Utility System  
6 Regulatory Assessment Fee Return” is available at: (hyperlink) and Commission Form  
7 PSC/AFD 017-WS (XX/XX) PSC/ECR-017-WS (02/05) entitled “Small Wastewater Utility  
8 System Regulatory Assessment Fee Return” is available at: (hyperlink). These forms are  
9 incorporated into this rule by reference and may also be obtained from the Commission’s  
10 Division of Administrative and Information Technology Services. The failure of a utility to  
11 receive a return form shall not excuse the utility from its obligation to timely remit the  
12 regulatory assessment fees.

13 (c) For the purpose of this rule, a utility operating both a water system and a  
14 wastewater system shall consider each system separately in determining the revenue threshold  
15 for filing regulatory assessment fees on either an annual or semi-annual basis.

16 (d) Regulatory assessment fees are considered paid on the date they are postmarked by  
17 the United States Postal Service or received and logged in by the Commission’s Division of  
18 Administrative and Information Technology Services in Tallahassee. Fees are considered  
19 timely paid if properly addressed, with sufficient postage and postmarked no later than the due  
20 date.

21 (3) If the due date falls on a Saturday, Sunday, or a legal holiday, the due date is  
22 extended to the next business day. If the fees are sent by registered mail, the date of the  
23 registration is the United States Postal Service’s postmark date. If the fees are sent by certified  
24 mail and the receipt is postmarked by a postal employee, the date on the receipt is the United  
25 States Postal Service’s postmark date. The postmarked certified mail receipt is evidence that

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1 the fees were delivered.

2 (4) Each utility shall have up to and including the due date in which to:

3 (a) Remit the total amount of its fee; or

4 (b) Remit an amount which the utility estimates is its full fee.

5 (5) Any utility that purchases water or wastewater treatment from another utility  
6 regulated by the Florida Public Service Commission is allowed to deduct the annual expense  
7 for purchased water or wastewater treatment from its gross operating revenues before  
8 calculating the amount of the regulatory assessment fees due.

9 (6) A utility may request ~~from the Division of Administrative Services~~ either a 15-day  
10 or a 30-day extension of its due date for payment of regulatory assessment fees or for filing its  
11 return form by submitting to the Division of Administrative and Information Technology  
12 Services Commission Form PSC/AIT 124 (XX/XX) entitled "Regulatory Assessment Fee  
13 Extension Request," which is incorporated into this rule by reference and is available at:  
14 ([hyperlink](#)). This form may also be obtained from the Commission's Division of  
15 Administrative and Information Technology Services. Commission Form PSC/ADM-124  
16 (Rev. 01/01/05), entitled "Regulatory Assessment Fee Extension Request", is incorporated  
17 into this rule by reference and may be obtained from the Commission's Division of  
18 Administrative Services.

19 (a) The request for extension must be received by the Division of Administrative and  
20 Information Technology Services at least two weeks before the due date. The request for  
21 extension will be granted if the utility has applied for the extension within the time required in  
22 paragraph (b) below and the utility does not have any unpaid regulatory assessment fees,  
23 penalties or interest due from a prior period.

24 (b) The request for extension will not be granted if the utility has any unpaid regulatory  
25 assessment fees, penalties, or interest due from a prior period. The request for extension must

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1 ~~be received by the Division of Administrative Services at least two weeks before the due date.~~

2 (c) Where a utility receives either a 15-day extension or a 30-day extension of its due  
3 date pursuant to this rule, the utility shall remit a charge as set out in Section 350.113(5), F.S.,  
4 in addition to the regulatory assessment fee, ~~set out in Section 350.113, F.S.~~

5 (7) The delinquency of any amount due to the Commission from the utility pursuant to  
6 the provisions of Section 350.113, F.S., and this rule, begins with the first calendar day after  
7 any date established as the due date either by operation of this rule or by an extension pursuant  
8 to this rule.

9 (a) Pursuant to Section 350.113, F.S., a penalty shall be assessed against any utility  
10 that fails to pay its regulatory assessment fee by March 31, in the following manner:

11 1. Five percent of the fee if the failure is for not more than 30 days, with an additional  
12 five percent for each additional 30 days or fraction thereof during the time in which the failure  
13 continues, not to exceed a total penalty of 25 percent.

14 2. The amount of interest to be charged is one percent for each thirty days or fraction  
15 thereof, not to exceed a total of 12 percent per annum.

16 (b) In addition to the penalties and interest otherwise provided, the Commission may  
17 impose an additional penalty upon a utility for failure to pay regulatory assessment fees in a  
18 timely manner in accordance with Section 367.161, F.S.

19 (8) Any utility that requests and receives an extension of not more than 30 days or  
20 remits, by the due date, an estimated fee payment of at least 90 percent of the actual fee due  
21 shall not be charged interest or penalty on the balance due if paid within the extension period.

22 ~~(9) Any utility that fails to pay a penalty within 30 days after its assessment by the~~  
23 ~~Commission shall be subject to interest applied to the penalty up to and including the date of~~  
24 ~~payment of the penalty. Such interest shall be compounded monthly, based on the 30-day~~  
25 ~~commercial paper rate for high-grade, unsecured notes sold through dealers by major~~

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1 ~~corporations in multiples of \$1,000 as regularly published in the Wall Street Journal.~~  
2 Rulemaking Specific Authority 350.127(2), 367.121(1) FS. Law Implemented 350.113,  
3 367.145, 367.161 FS. History—New 5-18-83, Formerly 25-10.24, Amended 10-19-86,  
4 Formerly 25-10.024, Amended 11-10-86, 2-8-90, 7-7-96, 2-3-05, \_\_\_\_\_.  
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**FLORIDA PUBLIC SERVICE COMMISSION**

*Template Blank*  
(Type of Industry)

**REGULATORY ASSESSMENT FEE EXTENSION REQUEST**

\_\_\_\_\_  
(Utility/Company)

\_\_\_\_\_  
(Utility/Co Code)

\_\_\_\_\_  
(FEID No.)

Mailing Address: \_\_\_\_\_

This is to request an extension for filing the Regulatory Assessment Fee Return for the above-named utility/company for the period indicated below:

PERIOD \_\_\_\_\_

15 days to \_\_\_\_\_

30 days to \_\_\_\_\_

**Statement of Good Cause (Reason For Request):** \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Title)

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
(Telephone Number)

\_\_\_\_\_  
(FAX Number)

**NOTE TO UTILITY/COMPANY**

- Your Regulatory Assessment Extension Fee Extension Request form must be filed and received by the Florida Public Service Commission at the address referenced below **BY CLOSE OF BUSINESS ON** before the payment due date of \_\_\_\_\_ . Once your request is received, you will be notified by fax (or by mail when a faxed number is not provided) indicating that your request was approved or denied. **THIS IS NOT AN AUTOMATIC EXTENSION, THEREFORE YOU MUST RECEIVE APPROVAL FROM THE COMMISSION IN ORDER TO RECEIVE AN EXTENSION. See approval criteria on the back of this form.**
- If an extension of 15 days or less is approved, 0.75% of the fee is to be included when making payment.
- If an extension of 16 to 30 days is approved, 1.5% of the fee is to be included when making payment.

**FOR PUBLIC SERVICE COMMISSION USE ONLY**

Request Approved

Request Denied

The 200\_\_ Regulatory Assessment Fee has not been received.

The 200\_\_ Regulatory Assessment Fee was delinquent. Prior penalty and/or interest has not been received for your 200\_\_ Regulatory Assessment Fee.

The request was received too late for processing.

APPROVED BY: \_\_\_\_\_

(Fiscal Services Section Supervisor)

(Date)

**If you have questions, please contact a staff member of the Fiscal Services Section: Template Blank, Template Blank - Template Blank; or write to Division of Administrative Services, Fiscal Services Section, 2540 Shumard Oak Boulevard, Tallahassee, Florida, 32399.**

### Criteria for Extension Request

- Form PSC/ADM 124, *Regulatory Assessment Fee Extension Request*, must contain a statement of good cause/reason for extension request. Examples of good cause include reasons such as financial hardship, severe illness, or acts of God; but do not include reasons such as management oversight or vacation time.
- The request for extension must be received by the Division of Administrative Services at least two weeks before the Regulatory Assessment Fee due date.
- The request for extension will not be granted if the utility has any unpaid regulatory assessment fees, penalties, and/or interest due from a prior period(s).
- Please be aware that pursuant to Section 837.06, F.S., whoever knowingly makes a false statement in writing with the intent to mislead a public servant in the performance of his or her official duty shall be guilty of a misdemeanor of the second degree.

Date: February 7, 2013

TO AVOID PENALTY AND INTEREST CHARGES, THE REGULATORY ASSESSMENT FEE RETURN MUST BE FILED ON OR BEFORE «Field1»

# Investor-Owned Electric Utility Regulatory Assessment Fee Return

## Florida Public Service Commission

STATUS:

- Actual Return
- Estimated Return
- Amended Return

PERIOD COVERED:

«Field3»

(See Filing Instructions on Back of Form)

«Field2»

Please Complete Below If Official Mailing Address Has Changed

**FOR PSC USE ONLY**

Check # \_\_\_\_\_

\$ \_\_\_\_\_ 06-02-002  
003001

\$ \_\_\_\_\_ E

\$ \_\_\_\_\_ P 06-02-002  
004011

\$ \_\_\_\_\_ I

Postmark Date \_\_\_\_\_

Initials of Preparer \_\_\_\_\_

(Name of Utility) (Address) (City/State) (Zip)

LINE NO.	ACCOUNT CLASSIFICATION	INTRASTATE AMOUNTS	SALES FOR RESALE & INTERSTATE AMOUNTS	TOTAL REVENUES
1.	Sales of Electricity:			
2.	Residential Sales (440)	\$ _____	\$ _____	\$ _____
3.	Commercial Sales (442)	_____	_____	_____
	Industrial Sales (442)	_____	_____	_____
4.	Public Street and Highway Lighting (444)	_____	_____	_____
5.	Other Sales to Public Authorities (445)	_____	_____	_____
6.	Sales to Railroads and Railways (446)	_____	_____	_____
7.	Interdepartmental Sales (448)	_____	_____	_____
8.	<b>Total Sales to Ultimate Consumers</b>	\$ _____	\$ _____	\$ _____
9.	Sales for Resale (447)	_____	_____	_____
10.	<b>Total Sales of Electricity</b>	\$ _____	\$ _____	\$ _____
11.	Provision for Rate Refunds (449.1)	_____	_____	_____
12.	<b>Total Revenue Net of Refunds</b>	\$ _____	\$ _____	\$ _____
13.	OTHER OPERATING REVENUES:			
14.	Forfeited Discounts (450)	_____	_____	_____
15.	Miscellaneous Service Revenues (451)	_____	_____	_____
16.	Sales of Water and Water Power (453)	_____	_____	_____
17.	Rent from Electric Property (454)	_____	_____	_____
18.	Interdepartmental Rents (455)	_____	_____	_____
19.	Other Electric Revenues (456)	_____	_____	_____
20.	Deferred Fuel Revenues	_____	_____	_____
21.	Deferred Conservation Revenues	_____	_____	_____
22.	Unbilled Revenues	_____	_____	_____
23.	Other	_____	_____	_____
24.	<b>Total Other Operating Revenues</b>	\$ _____	\$ _____	\$ _____
25.	<b>Total Electric Operating Revenues</b>	\$ _____	\$ _____	\$ _____
26.	Adjustments: (Specify)	_____	_____	_____
27.	_____	_____	_____	_____
28.	_____	_____	_____	_____
29.	_____	_____	_____	_____
30.	_____	_____	_____	_____
31.	_____	_____	_____	_____
32.	<b>Total Adjustments</b>	\$ _____	\$ _____	\$ _____
33.	Revenues Subject to Regulatory Assessment Fee	_____	_____	_____
34.	<b>REGULATORY ASSESSMENT FEE RATE</b>	_____	_____	_____
35.	<b>REGULATORY ASSESSMENT FEE DUE</b>	_____	_____	_____
	(Line 33 x Line 34)	_____	_____	_____
36.	<b>Less: Payment for Jan. 1 – Jun. 30 Period</b>	( _____ )		
37.	<b>NET REGULATORY ASSESSMENT FEE DUE</b>	_____	_____	_____
	(see #2 on back)	_____	_____	_____
38.	Penalty For Late Payment (see #3 on back)	_____	_____	_____
39.	Interest For Late Payment (see #3 on back)	_____	_____	_____
40.	Extension Payment Fee (see #4 on back)	_____	_____	_____
40.	<b>41. TOTAL AMOUNT DUE <sup>(1)</sup></b>	\$ _____	\$ _____	\$ _____

<sup>(1)</sup> As provided in Section 350.113, Florida Statutes, the Minimum Annual Fee is \$25; see Item #5 on back)

**AS PROVIDED IN SECTION 350.113, FLORIDA STATUTES, THE MINIMUM ANNUAL FEE IS \$25  
THIS FORM MUST BE COMPLETED AND RETURNED REGARDLESS OF THE AMOUNT OF REVENUES REPORTED**

I, the undersigned owner/officer of the above-named vendor, have read the foregoing and declare that to the best of my knowledge and belief the above information is a true and correct statement. I am aware that pursuant to Section 837.06, Florida Statutes, whoever knowingly makes a false statement in writing with the intent to mislead a public servant in the performance of his official duty shall be guilty of a misdemeanor of the second degree.



---

(Signature of Utility Official)

---

(Title)

---

(Date)

---

(Please Print Name)

Telephone Number ( )

Fax Number ( )

F.E.I. No. \_\_\_\_\_

## FLORIDA PUBLIC SERVICE COMMISSION

### Instructions For Filing Regulatory Assessment Fee Return (Investor-Owned Electric Utility)

1. **WHEN TO FILE:** To avoid payment of penalties and interest, the Regulatory Assessment Fee Return and payment must be filed or postmarked:

*On or before July 30* for the six-month period January 1 through June 30, **and**  
*On or before January 30* for the six-month period July 1 through December 31.

However, if July 30 or January 30 falls on a Saturday, Sunday, or holiday, the Regulatory Assessment Fee Return may be filed or postmarked on the next business day, without penalty.

2. **FEES:** Each utility shall pay the currently authorized percentage, as indicated on Line 34 on the reverse side, of its gross operating revenues derived from intrastate business. Gross Operating Revenues are defined as the total revenues before expenses. The currently authorized percentage was implemented by Section 25-6.0131(1)(a), Florida Administrative Code. Annual revenue amounts are to be reported on the return for the period ended December 31.
3. **FAILURE TO FILE BY DUE DATE:** A Regulatory Assessment Fee Return must be completed, signed, and filed even if there are no revenues to report or if the minimum amount is due. Failure to file a return by the established due date will result in a penalty being added to the amount of fee due, 5% for each 30 days or fraction thereof, not to exceed a total penalty of 25% (Line 38). In addition, interest shall be added in the amount of 1% for each 30 days or fraction thereof, not to exceed a total of 12% per year (Line 39).
4. **EXTENSION:** A utility, for good cause shown in a written request, may be granted up to a 30-day extension. A request ~~should~~ **must** be made by filing the enclosed *Request for Extension to File Regulatory Assessment Fee Return* form (PSC/CCA ADM 124), two weeks prior to the filing date. If an extension is granted, a charge shall be added to the amount due:
- 0.75% of the fee to be remitted for an extension of 15 days or less, *or*  
1.5% of the fee for an extension of 16 to 30 days.

In lieu of paying the charges outlined above, a system utility may file a return and remit payment based upon estimated gross operating revenues by checking the "Estimated Return" space in the top left-hand corner on the reverse side. If such return is filed by the normal due date, the system utility shall be granted a 30-day extension period in which to file and remit the actual fee due without paying the above charges, provided the estimated fee payment remitted is at least 90% of the actual fee due for the period. ~~An automatic 30-day extension to file an actual return may be obtained by checking the "Estimated Return" space in the top left-hand corner on the reverse side.~~

5. **REGULATORY ASSESSMENT FEE DUE:** Amounts are due and payable to the Commission by either January 30 or July 30 depending on the reporting period. If there are no revenues OR if revenues are insufficient to generate a minimum annual fee, remit the minimum fee. A Regulatory Assessment Fee Return must be completed, signed, and filed even if there are no revenues to report or if the minimum amount is due.
- 5.6. **FEE ADJUSTMENTS:** Computational errors and/or differences in gross operating revenues reported for regulatory assessment fee purposes and those reported in the annual report may cause adjustments to amounts paid to the Commission. The utility will be notified as to the amount and reason for any adjustment. Penalty and interest charges may be applicable to additional amounts owed to the Commission by reason of the adjustment. A utility may file a written request for a refund of any overpayments. The request should be directed to Fiscal Services at the below-referenced address.
- 6.7. **MAILING INSTRUCTIONS:** Please complete this form, make a copy for your files, and return the original in the enclosed preaddressed envelope. Use of this envelope should assure a more accurate and expeditious recording of your payment. If you are unable to use the enclosed envelope, please address your remittance as follows:

Florida Public Service Commission  
2540 Shumard Oak Boulevard  
Tallahassee, FL 32399-0850  
  
ATTENTION: Fiscal Services

- 7.8. **ADDITIONAL ASSISTANCE:** If any additional assistance is required in preparing the Regulatory Assessment Fee Return, please contact the Division of Economic Regulation at (850) 413-6900 or at the above-referenced address, directing correspondence to the attention of the division.

Date: February 7, 2013

TO AVOID PENALTY AND INTEREST CHARGES, THE REGULATORY ASSESSMENT FEE RETURN MUST BE FILED ON OR BEFORE «Field1»

# Municipal Electric Utility Regulatory Assessment Fee Return

Florida Public Service Commission

(See Filing Instructions on Back of Form)

STATUS:

- Actual Return
- Estimated Return
- Amended Return

PERIOD COVERED:

«Field3»

«Field2»

Please Complete Below If Official Mailing Address Has Changed

**FOR PSC USE ONLY**

Check # \_\_\_\_\_

\$ \_\_\_\_\_ 06-02-001  
003001

\$ \_\_\_\_\_ E

\$ \_\_\_\_\_ P 06-02-001  
004011

\$ \_\_\_\_\_ I

Postmark Date \_\_\_\_\_

Initials of Preparer \_\_\_\_\_

(Name of Utility)

(Address)

(City/State)

(Zip)

LINE NO.	ACCOUNT CLASSIFICATION	INTRASTATE AMOUNTS	SALES FOR RESALE & INTERSTATE AMOUNTS	TOTAL REVENUES
1.	Sales of Electricity:			
2.	Residential Sales (440)	\$ _____	\$ _____	\$ _____
3.	Commercial Sales (442)	_____	_____	_____
	Industrial Sales (442)	_____	_____	_____
4.	Public Street and Highway Lighting (444)	_____	_____	_____
5.	Other Sales to Public Authorities (445)	_____	_____	_____
6.	Sales to Railroads and Railways (446)	_____	_____	_____
7.	Interdepartmental Sales (448)	_____	_____	_____
8.	<b>Total Sales to Ultimate Consumers</b>	\$ _____	\$ _____	\$ _____
9.	Sales for Resale (447)	_____	_____	_____
10.	<b>Total Sales of Electricity</b>	\$ _____	\$ _____	\$ _____
11.	Provision for Rate Refunds (449.1)	_____	_____	_____
12.	<b>Total Revenue Net of Refunds</b>	\$ _____	\$ _____	\$ _____
13.	Other Operating Revenues:			
14.	Forfeited Discounts (450)	_____	_____	_____
15.	Miscellaneous Service Revenues (451)	_____	_____	_____
16.	Sales of Water and Water Power (453)	_____	_____	_____
17.	Rent from Electric Property (454)	_____	_____	_____
18.	Interdepartmental Rents (455)	_____	_____	_____
19.	Other Electric Revenues (456)	_____	_____	_____
20.	<b>Total Other Operating Revenues</b>	\$ _____	\$ _____	\$ _____
21.	<b>Total Electric Operating Revenues</b>	\$ _____	\$ _____	\$ _____
22.	Adjustments: (Specify)	_____	_____	_____
23.	_____	_____	_____	_____
24.	_____	_____	_____	_____
25.	_____	_____	_____	_____
26.	_____	_____	_____	_____
27.	_____	_____	_____	_____
28.	<b>Total Adjustments</b>	\$ _____	_____	_____
29.	Revenues Subject to Regulatory Assessment Fee	_____	_____	_____
30.	<b>REGULATORY ASSESSMENT FEE RATE</b>	_____	<b>.00015625</b>	_____
31.	<b>REGULATORY ASSESSMENT FEE DUE</b> (Line 29 x Line 30)	_____	_____	_____
32.	Less: Payment for Jan. 1 – Jun. 30 Period	(_____)	_____	_____
33.	<b>NET REGULATORY ASSESSMENT FEE DUE</b> (see #2 on back)	_____	_____	_____
34.	Penalty For Late Payment (see #3 on back)	_____	_____	_____
35.	Interest For Late Payment (see #3 on back)	_____	_____	_____
36.	Extension Payment Fee (see #4 on back)	_____	_____	_____
36.	<b>37. TOTAL AMOUNT DUE</b>	\$ _____	_____	_____

(1) As provided in Section 350.113, Florida Statutes, the Minimum Annual Fee is \$25; see Item #5 on back)

**AS PROVIDED IN SECTION 350.113, FLORIDA STATUTES, THE MINIMUM ANNUAL FEE IS \$25  
THIS FORM MUST BE COMPLETED AND RETURNED REGARDLESS OF THE AMOUNT OF REVENUES REPORTED**

I, the undersigned owner/officer of the above-named vendor, have read the foregoing and declare that to the best of my knowledge and belief the above information is a true and correct statement. I am aware that pursuant to Section 837.06, Florida Statutes, whoever knowingly makes a false statement in writing with the intent to mislead a public servant in the performance of his official duty shall be guilty of a misdemeanor of the second degree.

---

(Signature of ~~System~~ Utility Official)

---

(Title)

---

(Date)

---

(Please Print Name)

Telephone Number ( )

Fax Number ( )

F.E.I. No. \_\_\_\_\_

**FLORIDA PUBLIC SERVICE COMMISSION**

Instructions For Filing Regulatory Assessment Fee Return  
(Municipal Electric Utility)

1. **WHEN TO FILE:** To avoid payment of penalties and interest, the Regulatory Assessment Fee Return and payment must be filed or postmarked:

*On or before July 30 for the six-month period January 1 through June 30, and  
On or before January 30 for the six-month period July 1 through December 31.*

However, if July 30 or January 30 falls on a Saturday, Sunday, or holiday, the Regulatory Assessment Fee Return may be filed or postmarked on the next business day, without penalty.

2. **FEES:** Each utility shall pay the currently authorized percentage, as indicated on Line 30 on the reverse side, of its gross operating revenues derived from intrastate business. Gross Operating Revenues are defined as the total revenues before expenses. The currently authorized percentage was implemented by Section 25-6.0131(1)(b), Florida Administrative Code. Annual revenue amounts are to be reported on the return for the period ended December 31.
3. **FAILURE TO FILE BY DUE DATE:** A Regulatory Assessment Fee Return must be completed, signed, and filed even if there are no revenues to report or if the minimum amount is due. Failure to file a return by the established due date will result in a penalty being added to the amount of fee due, 5% for each 30 days or fraction thereof, not to exceed a total penalty of 25% (Line 34). In addition, interest shall be added in the amount of 1% for each 30 days or fraction thereof, not to exceed a total of 12% per year (Line 35).
4. **EXTENSION:** A utility, for good cause shown in a written request, may be granted up to a 30-day extension. A request ~~should~~ **must** be made by filing the enclosed *Request for Extension to File Regulatory Assessment Fee Return* form (PSC/CCA ADM 124), two weeks prior to the filing date. If an extension is granted, a charge shall be added to the amount due:

0.75% of the fee to be remitted for an extension of 15 days or less, *or*  
1.5% of the fee for an extension of 16 to 30 days.

In lieu of paying the charges outlined above, a ~~system utility~~ **system utility** may file a return and remit payment based upon estimated gross operating revenues by checking the "Estimated Return" space in the top left-hand corner on the reverse side. If such return is filed by the normal due date, the ~~system utility~~ **system utility** shall be granted a 30-day extension period in which to file and remit the actual fee due without paying the above charges, provided the estimated fee payment remitted is at least 90% of the actual fee due for the period. ~~An automatic 30-day extension to file an actual return may be obtained by checking the "Estimated Return" space in the top left-hand corner on the reverse side.~~

5. **REGULATORY ASSESSMENT FEE DUE:** Amounts are due and payable to the Commission by either January 30 or July 30 depending on the reporting period. If there are no revenues OR if revenues are insufficient to generate a minimum annual fee, remit the minimum fee. A Regulatory Assessment Fee Return must be completed, signed, and filed even if there are no revenues to report or if the minimum amount is due.
- ~~5.6.~~ **FEE ADJUSTMENTS:** The utility will be notified as to the amount and reason for any adjustment. Penalty and interest charges may be applicable to additional amounts owed to the Commission by reason of the adjustment. A utility may file a written request for a refund of any overpayments. The request should be directed to Fiscal Services at the below-referenced address.
- ~~6.7.~~ **MAILING INSTRUCTIONS:** Please complete this form, make a copy for your file, and return the original in the enclosed preaddressed envelope. Use of this envelope should assure a more accurate and expeditious recording of your payment. If you are unable to use the enclosed envelope, please address your remittance as follows:

Florida Public Service Commission 2540 Shumard Oak Boulevard Tallahassee, FL 32399-0850  ATTENTION: Fiscal Services
---

- ~~7.8.~~ **ADDITIONAL ASSISTANCE:** If any additional assistance is required in preparing the Regulatory Assessment Fee Return, please contact the Division of Economic Regulation at (850) 413-6900 or at the above-referenced address, directing correspondence to the attention of the division.

Date: February 7, 2013

TO AVOID PENALTY AND INTEREST CHARGES, THE REGULATORY ASSESSMENT FEE RETURN MUST BE FILED ON OR BEFORE «Field1»

# Rural Electric Cooperative Regulatory Assessment Fee Return

Florida Public Service Commission

STATUS:

- Actual Return
- Estimated Return
- Amended Return

PERIOD COVERED:

«Field3»

(See Filing Instructions on Back of Form)

«Field2»

Please Complete Below If Official Mailing Address Has Changed

**FOR PSC USE ONLY**

Check # \_\_\_\_\_

\$ \_\_\_\_\_ 06-02-001  
003001

\$ \_\_\_\_\_ E

\$ \_\_\_\_\_ P 06-02-001  
004011

\$ \_\_\_\_\_ I

Postmark Date \_\_\_\_\_

Initials of Preparer \_\_\_\_\_

(Name of Utility)

(Address)

(City/State)

(Zip)

LINE NO.	ACCOUNT CLASSIFICATION	INTRASTATE AMOUNTS	SALES FOR RESALE & INTERSTATE AMOUNTS	TOTAL REVENUES
1.	Sales of Electricity:			
2.	Residential Sales (440)	\$ _____	\$ _____	\$ _____
3.	Commercial Sales (442)	_____	_____	_____
	Industrial Sales (442)	_____	_____	_____
4.	Public Street and Highway Lighting (444)	_____	_____	_____
5.	Other Sales to Public Authorities (445)	_____	_____	_____
6.	Sales to Railroads and Railways (446)	_____	_____	_____
7.	Interdepartmental Sales (448)	_____	_____	_____
8.	<b>Total Sales to Ultimate Consumers</b>	\$ _____	\$ _____	\$ _____
9.	Sales for Resale (447)	_____	_____	_____
10.	<b>Total Sales of Electricity</b>	\$ _____	\$ _____	\$ _____
11.	Provision for Rate Refunds (449.1)	_____	_____	_____
12.	<b>Total Revenue Net of Refunds</b>	\$ _____	\$ _____	\$ _____
13.	Other Operating Revenues:			
14.	Forfeited Discounts (450)	_____	_____	_____
15.	Miscellaneous Service Revenues (451)	_____	_____	_____
16.	Sales of Water and Water Power (453)	_____	_____	_____
17.	Rent from Electric Property (454)	_____	_____	_____
18.	Interdepartmental Rents (455)	_____	_____	_____
19.	Other Electric Revenues (456)	_____	_____	_____
20.	<b>Total Other Operating Revenues</b>	\$ _____	\$ _____	\$ _____
21.	<b>Total Electric Operating Revenues</b>	\$ _____	\$ _____	\$ _____
22.	Adjustments: (Specify)			
23.	_____	\$ _____		
24.	_____	_____		
25.	_____	_____		
26.	_____	_____		
27.	_____	_____		
28.	<b>Total Adjustments</b>	\$ _____		
29.	Revenues Subject to Regulatory Assessment Fee	_____		
30.	<b>REGULATORY ASSESSMENT FEE RATE</b>	.00015625		
31.	<b>REGULATORY ASSESSMENT FEE DUE</b> (Line 29 x Line 30)	_____		
32.	<b>Less:</b> Payment for Jan. 1 – Jun. 30 Period	( _____ )		
33.	<b>NET REGULATORY ASSESSMENT FEE DUE</b> (see #2 on back)	_____		
34.	Penalty For Late Payment (see #3 on back)	_____		
35.	Interest For Late Payment (see #3 on back)	_____		
36.	Extension Payment Fee (see #4 on back)	_____		
36.	<b>37. TOTAL AMOUNT DUE</b>	\$ _____		

(1) As provided in Section 350.113, Florida Statutes, the Minimum Annual Fee is \$25; see Item #5 on back)

**AS PROVIDED IN SECTION 350.113, FLORIDA STATUTES, THE MINIMUM ANNUAL FEE IS \$25  
THIS FORM MUST BE COMPLETED AND RETURNED REGARDLESS OF THE AMOUNT OF REVENUES REPORTED**

I, the undersigned owner/officer of the above-named vendor, have read the foregoing and declare that to the best of my knowledge and belief the above information is a true and correct statement. I am aware that pursuant to Section 837.06, Florida Statutes, whoever knowingly makes a false statement in writing with the intent to mislead a public servant in the performance of his official duty shall be guilty of a misdemeanor of the second degree.

\_\_\_\_\_  
(Signature of Utility Official)

\_\_\_\_\_  
(Title)

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
(Please Print Name)

Telephone Number ( ) \_\_\_\_\_

Fax Number ( ) \_\_\_\_\_

F.E.I. No. \_\_\_\_\_

## FLORIDA PUBLIC SERVICE COMMISSION

### Instructions For Filing Regulatory Assessment Fee Return (Rural Electric Cooperative)

1. **WHEN TO FILE:** To avoid payment of penalties and interest, the Regulatory Assessment Fee Return and payment must be filed or postmarked:

*On or before July 30 for the six-month period January 1 through June 30, and  
On or before January 30 for the six-month period July 1 through December 31.*

However, if July 30 or January 30 falls on a Saturday, Sunday, or holiday, the Regulatory Assessment Fee Return may be filed or postmarked on the next business day, without penalty.

2. **FEES:** Each utility shall pay the currently authorized percentage, as indicated on Line 30 on the reverse side, of its gross operating revenues derived from intrastate business. Gross Operating Revenues are defined as the total revenues before expenses. The currently authorized percentage was implemented by Section 25-6.0131(1)(b), Florida Administrative Code. Annual revenue amounts are to be reported on the return for the period ended December 31.
3. **FAILURE TO FILE BY DUE DATE:** A Regulatory Assessment Fee Return must be completed, signed, and filed even if there are no revenues to report or if the minimum amount is due. Failure to file a return by the established due date will result in a penalty being added to the amount of fee due, 5% for each 30 days or fraction thereof, not to exceed a total penalty of 25% (Line 34). In addition, interest shall be added in the amount of 1% for each 30 days or fraction thereof, not to exceed a total of 12% per year (Line 35).
4. **EXTENSION:** A utility, for good cause shown in a written request, may be granted up to a 30-day extension. A request ~~should~~ **must** be made by filing the enclosed *Request for Extension to File Regulatory Assessment Fee Return* form (PSC/CCA ADM 124), two weeks prior to the filing date. If an extension is granted, a charge shall be added to the amount due:

0.75% of the fee to be remitted for an extension of 15 days or less, *or*  
1.5% of the fee for an extension of 16 to 30 days.

In lieu of paying the charges outlined above, a system utility may file a return and remit payment based upon estimated gross operating revenues by checking the "Estimated Return" space in the top left-hand corner on the reverse side. If such return is filed by the normal due date, the system utility shall be granted a 30-day extension period in which to file and remit the actual fee due without paying the above charges, provided the estimated fee payment remitted is at least 90% of the actual fee due for the period. ~~An automatic 30-day extension to file an actual return may be obtained by checking the "Estimated Return" space in the top left-hand corner on the reverse side.~~

5. **REGULATORY ASSESSMENT FEE DUE:** Amounts are due and payable to the Commission by either January 30 or July 30 depending on the reporting period. If there are no revenues OR if revenues are insufficient to generate a minimum annual fee, remit the minimum fee. A Regulatory Assessment Fee Return must be completed, signed, and filed even if there are no revenues to report or if the minimum amount is due.
- 5-6. **FEE ADJUSTMENTS:** The utility will be notified as to the amount and reason for any adjustment. Penalty and interest charges may be applicable to additional amounts owed to the Commission by reason of the adjustment. A utility may file a written request for a refund of any overpayments. The request should be directed to Fiscal Services at the below-referenced address.
- 6-7. **MAILING INSTRUCTIONS:** Please complete this form, make a copy for your file, and return the original in the enclosed preaddressed envelope. Use of this envelope should assure a more accurate and expeditious recording of your payment. If you are unable to use the enclosed envelope, please address your remittance as follows:

Florida Public Service Commission  
2540 Shumard Oak Boulevard  
Tallahassee, FL 32399-0850

ATTENTION: Fiscal Services

- 7-8. **ADDITIONAL ASSISTANCE:** If any additional assistance is required in preparing the Regulatory Assessment Fee Return, please contact the Division of Economic Regulation at (850) 413-6900 or at the above-referenced address, directing correspondence to the attention of the division.



Date: February 7, 2013

TO AVOID PENALTY AND INTEREST CHARGES, THE REGULATORY ASSESSMENT FEE RETURN MUST BE FILED ON OR BEFORE «Field1»

# Investor-Owned Natural Gas Utility Regulatory Assessment Fee Return

## Florida Public Service Commission

STATUS:

- Actual Return
- Estimated Return
- Amended Return

PERIOD COVERED:

«Field3»

«Field2»

(See Filing Instructions on Back of Form)

Please Complete Below If Official Mailing Address Has Changed

FOR PSC USE ONLY	
Check # _____	
\$ _____	06-01-001 003001
\$ _____ E	
\$ _____ P	06-01-001 004011
\$ _____ I	
Postmark Date _____	
Initials of Preparer _____	

\_\_\_\_\_  
 (Name of Utility) (Address) (City/State) (Zip)

LINE NO.	ACCOUNT CLASSIFICATION	INTRASTATE AMOUNTS	LINE NO.	ACCOUNT CLASSIFICATION	INTRASTATE AMOUNTS
1.	<b>GAS SERVICE REVENUES:</b>		24.	Deferred Conservation Cost Recovery Revenues	\$ _____
2.	Residential Sales (480)	\$ _____	25.	Unbilled Revenues	_____
3.	Commercial Sales (481)	_____	26.	Other	_____
4.	Industrial Sales (481)	_____	27.	Provision for Rate Refund (496)	_____
5.	Other Sales to Public Authorities (482)	_____	28.	Pipeline Refunds	_____
6.	Interdepartmental Sales (484)	_____	29.	Other Refunds	_____
7.	<b>Total Sales to Ultimate Consumers</b>	\$ _____	30.	<b>Total Other Operating Revenues</b>	\$ _____
8.	Sales for Resale (483)	_____	31.	<b>Total Gas Operating Revenues</b>	\$ _____
9.	<b>Total Natural Gas Service Revenues</b>	\$ _____	32.	Adjustments: (Specify)	_____
10.	Revenues from Manufactured Gas	_____	33.	_____	_____
11.	<b>Total Gas Service Revenues</b>	\$ _____	34.	_____	_____
12.	<b>OTHER OPERATING REVENUES</b>		35.	_____	_____
13.	Intrautility Transfers (485)	_____	36.	<b>Total Adjustments</b>	\$ _____
14.	Forfeited Discounts (487)	_____	37.	Revenues Subject to Regulatory Assessment Fee	_____
15.	Miscellaneous Service Revenues (488)	_____	38.	<b>REGULATORY ASSESSMENT FEE RATE</b>	_____ <b>.005</b>
16.	Revenue from Transportation of Others' Gas (489)	_____	39.	<b>REGULATORY ASSESSMENT FEE DUE (Line 37 x Line 38)</b>	\$ _____
17.	Sales of Products Extracted from Natural Gas (490)	_____	40.	<b>Less: Payment for Jan. 1 – Jun. 30 Period</b>	( _____ )
18.	Revenue from Natural Gas Processed by Others (491)	_____	41.	<b>NET REGULATORY ASSESSMENT FEE DUE (see #2 on back)</b>	_____
19.	Incidental Gasoline and Oil Sales (492)	_____	42.	Penalty for Late Payment (see #3 on back)	_____
20.	Rent From Gas Property (493)	_____	43.	Interest For Late Payment (see #3 on back)	_____
21.	Interdepartmental Rents (494)	_____	44.	Extension Payment Fee (see #4 on back)	_____
22.	<b>OTHER GAS REVENUES (495)</b>	_____	44.	<b>45. TOTAL AMOUNT DUE <sup>(1)</sup></b>	\$ _____
23.	Deferred Purchased Gas Adjustment Revenues	_____			

<sup>(1)</sup> As provided in Section 350.113, Florida Statutes, the Minimum Annual Fee is \$25; see Item #5 on back)

**AS PROVIDED IN SECTION 350.113, FLORIDA STATUTES, THE MINIMUM ANNUAL FEE IS \$25**

**THIS FORM MUST BE COMPLETED AND RETURNED REGARDLESS OF THE AMOUNT OF REVENUES REPORTED**

I, the undersigned owner/officer of the above-named vendor, have read the foregoing and declare that to the best of my knowledge and belief the above information is a true and correct statement. I am aware that pursuant to Section 837.06, Florida Statutes, whoever knowingly makes a false statement in writing with the intent to mislead a public servant in the performance of his official duty shall be guilty of a misdemeanor of the second degree.

\_\_\_\_\_  
(Signature of Utility Official)

\_\_\_\_\_  
(Title)

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
(Please Print Name)

Telephone Number ( ) \_\_\_\_\_

Fax Number ( ) \_\_\_\_\_

F.E.I. No. \_\_\_\_\_

## FLORIDA PUBLIC SERVICE COMMISSION

### Instructions For Filing Regulatory Assessment Fee Return (Investor-Owned Natural Gas Utility)

1. **WHEN TO FILE:** To avoid payment of penalties and interest, the Regulatory Assessment Fee Return and payment must be filed or postmarked:

*On or before July 30* for the six-month period January 1 through June 30, **and**  
*On or before January 30* for the six-month period July 1 through December 31.

However, if July 30 or January 30 falls on a Saturday, Sunday, or holiday, the Regulatory Assessment Fee Return may be filed or postmarked on the next business day, without penalty.

2. **FEES:** Each utility shall pay the currently authorized percentage, as indicated on Line 38 on the reverse side, of its gross operating revenues derived from intrastate business. Gross Operating Revenues are defined as the total revenues before expenses. The currently authorized percentage was implemented by Section 25-7.0131(1)(a), Florida Administrative Code. Annual revenue amounts are to be reported on the return for the period ended December 31.
3. **FAILURE TO FILE BY DUE DATE:** A Regulatory Assessment Fee Return must be completed, signed, and filed even if there are no revenues to report or if the minimum amount is due. Failure to file a return by the established due date will result in a penalty being added to the amount of fee due, 5% for each 30 days or fraction thereof, not to exceed a total penalty of 25% (Line 42). In addition, interest shall be added in the amount of 1% for each 30 days or fraction thereof, not to exceed a total of 12% per year (Line 43).
4. **EXTENSION:** A utility, for good cause shown in a written request, may be granted up to a 30-day extension. Such A request should must be made by filing the enclosed Request for Extension to File Regulatory Assessment Fee Return form (PSC/CCA ADM 124), two weeks prior to the filing date. If an extension is granted, a charge shall be added to the amount due:

0.75% of the fee to be remitted for an extension of 15 days or less, *or*  
1.5% of the fee for an extension of 16 to 30 days.

In lieu of paying the charges outlined above, a system utility may file a return and remit payment based upon estimated gross operating revenues by checking the "Estimated Return" space in the top left-hand corner on the reverse side. If such return is filed by the normal due date, the system utility shall be granted a 30-day extension period in which to file and remit the actual fee due without paying the above charges, provided the estimated fee payment remitted is at least 90% of the actual fee due for the period. ~~An automatic 30-day extension to file an actual return may be obtained by checking the "Estimated Return" space in the top left-hand corner on the reverse side.~~

5. **REGULATORY ASSESSMENT FEE DUE:** Amounts are due and payable to the Commission by either January 30 or July 30 depending on the reporting period. If there are no revenues OR if revenues are insufficient to generate a minimum annual fee, remit the minimum fee. A Regulatory Assessment Fee Return must be completed, signed, and filed even if there are no revenues to report or if the minimum amount is due.

- 5.6. **FEE ADJUSTMENTS:** Computation errors and/or differences in gross operating revenues reported for regulatory assessment fee purposes and those reported in the annual report may cause adjustments to amounts paid to the Commission. The utility will be notified as to the amount and reason for any adjustment. Penalty and interest charges may be applicable to additional amounts owed to the Commission by reason of the adjustment. A utility may file a written request for a refund of any overpayments. The request should be directed to Fiscal Services at the below-referenced address.

- 6.7. **MAILING INSTRUCTIONS:** Please complete this form, retain the last copy for your records, and return the original and the remaining copy in the enclosed preaddressed envelope. This will assure a more accurate and expeditious recording of your payment. However, if you are unable to use the envelope, please address your remittance as follows:

Florida Public Service Commission  
2540 Shumard Oak Boulevard  
Tallahassee, FL 32399-0850  
  
ATTENTION: Fiscal Services

- 7.8. **ADDITIONAL ASSISTANCE:** If any additional assistance is required in preparing the Regulatory Assessment Fee Return, please contact the Division of Economic Regulation at (850) 413-6900 or at the above-referenced address, directing correspondence to the attention of the division.

Date: February 7, 2013

TO AVOID PENALTY AND INTEREST CHARGES, THE REGULATORY ASSESSMENT FEE RETURN MUST BE FILED ON OR BEFORE «Field1»

### Gas Municipal or Gas District Regulatory Assessment Fee Return

#### Florida Public Service Commission

(See Filing Instructions on Back of Form)

STATUS:

- Actual Return
- Estimated Return
- Amended Return

PERIOD COVERED:

«Field3»

«Field2»

Please Complete Below If Official Mailing Address Has Changed

**FOR PSC USE ONLY**

Check # \_\_\_\_\_

\$ \_\_\_\_\_ 06-01-002  
003001

\$ \_\_\_\_\_ E

\$ \_\_\_\_\_ P 06-01-002  
004011

\$ \_\_\_\_\_ I

Postmark Date \_\_\_\_\_

Initials of Preparer \_\_\_\_\_

\_\_\_\_\_  
(Name of Utility) (Address) (City/State) (Zip)

LINE NO.	ACCOUNT CLASSIFICATION	AMOUNT
1.	Gas Service Revenues	\$ _____
2.	Other Operating Revenues	_____
3.	Other Gas Revenues	_____
4.	<b>TOTAL GROSS REVENUES</b>	\$ _____
5.	<b>Less:</b>	
6.	Sales For Resale	( _____ )
7.	Sales For Electric Generation To Electric Cooperatives, Municipalities, and Investor-Owned Utilities	( _____ )
8.	Revenues Subject to Regulatory Assessment Fee	_____
9.	Regulatory Assessment Fee Rate	<b>.001919</b>
10.	Regulatory Assessment Fee Due (Line 8 x Line 9)	_____
11.	Penalty For Late Payment (see #3 on back)	_____
12.	Interest For Late Payment (see #3 on back)	_____
13.	Extension Payment Fee (see #4 on back)	_____
<del>13-14.</del> 14.	<b>TOTAL AMOUNT DUE</b>	\$ _____

(u) As provided in Section 350.113, Florida Statutes, the Minimum Annual Fee is \$25; see Item #5 on back)

**AS APPROVED IN SECTION 350.113, FLORIDA STATUTES, THE MINIMUM ANNUAL FEE IS \$25  
THIS FORM MUST BE COMPLETED AND RETURNED REGARDLESS OF THE AMOUNT OF REVENUES REPORTED**

I, the undersigned owner/officer of the above-named vendor, have read the foregoing and declare that to the best of my knowledge and belief the above information is a true and correct statement. I am aware that pursuant to Section 837.06, Florida Statutes, whoever knowingly makes a false statement in writing with the intent to mislead a public servant in the performance of his official duty shall be guilty of a misdemeanor of the second degree.

\_\_\_\_\_  
(Signature of Utility Official) (Title) (Date)

---

(Please Print Name)

Telephone Number ( ) \_\_\_\_\_ Fax Number ( ) \_\_\_\_\_

F.E.I. No. \_\_\_\_\_



## FLORIDA PUBLIC SERVICE COMMISSION

### Instructions For Filing Regulatory Assessment Fee Return (Gas Municipal and Gas District)

1. **WHEN TO FILE:** To avoid payment of penalties and interest, this Regulatory Assessment Fee Return and payment must be filed or postmarked:

*On or before July 30* for the six-month period January 1 through June 30, and  
*On or before January 30* for the six-month period July 1 through December 31.

However, if July 30 or January 30 falls on a Saturday, Sunday, or holiday, the Regulatory Assessment Fee Return may be filed or postmarked on the next business day, without penalty.

2. **FEES:** Each utility shall pay the currently authorized percentage, as indicated on Line 9 on the reverse side, of its gross operating revenues derived from intrastate business. Gross Operating Revenues are defined as the total revenues before expenses. The currently authorized percentage was implemented by Section 25-7.0131(1)(b), Florida Administrative Code.
3. **FAILURE TO FILE BY DUE DATE:** A Regulatory Assessment Fee Return must be completed, signed, and filed even if there are no revenues to report or if the minimum amount is due. Failure to file a return by the established due date will result in a penalty being added to the amount of fee due, 5% for each 30 days or fraction thereof, not to exceed a total penalty of 25% (Line 11). In addition, interest shall be added in the amount of 1% for each 30 days or fraction thereof, not to exceed a total of 12% per year (Line 12).
4. **EXTENSION:** A utility, for good cause shown in a written request, may be granted up to a 30-day extension. A request should must be made by filing the enclosed *Request for Extension to File Regulatory Assessment Fee Return* form (PSC/CCA ADM 124), two weeks prior to the filing date. If an extension is granted, a charge shall be added to the amount due:

0.75% of the fee *to* be remitted for an extension of 15 days or less, *or*  
1.5% of the fee for *an* extension of 16 to 30 days.

In lieu of paying the charges outlined above, a system utility may file a return and remit payment based upon estimated gross operating revenues by checking the "Estimated Return" space in the top left-hand corner on the reverse side. If such return is filed by the normal due date, the system utility shall be granted a 30-day extension period in which to file and remit the actual fee due without paying the above charges, provided the estimated fee payment remitted is at least 90% of the actual fee due for the period. An automatic 30-day extension to file an actual return may be obtained by checking the "Estimated Return" space in the top left-hand corner on the reverse side.

5. **REGULATORY ASSESSMENT FEE DUE:** Amounts are due and payable to the Commission by either January 30 or July 30 depending on the reporting period. If there are no revenues OR if revenues are insufficient to generate a minimum annual fee, remit the minimum fee. A Regulatory Assessment Fee Return must be completed, signed, and filed even if there are no revenues to report or if the minimum amount is due.
- 5-6. **FEE ADJUSTMENTS:** The utility will be notified as to the amount and reason for any adjustment. Penalty and interest charges may be applicable to additional amounts owed to the Commission by reason of the adjustment. A utility may file a written request for a refund of any overpayments. The request should be directed to Fiscal Services at the below-referenced address.
- 6-7. **MAILING INSTRUCTIONS:** Please complete this form, make a copy for your file, and return the original in the enclosed preaddressed envelope. Use of this envelope should assure a more accurate and expeditious recording of your payment. If you are unable to use the enclosed envelope, please address your remittance as follows:

Florida Public Service Commission  
2540 Shumard Oak Boulevard  
Tallahassee, FL 32399-0850

ATTENTION: Fiscal Services

- 7-8. **ADDITIONAL ASSISTANCE:** If any additional assistance is required in preparing the Regulatory Assessment Fee Return, please contact the Division of Economic Regulation at (850) 413-6900 or at the above-referenced address, directing correspondence to the attention of the division.



THE REGULATORY ASSESSMENT FEE RETURN MUST BE FILED ON OR BEFORE «Field1»

**Natural Gas Transmission Pipeline Regulatory Assessment Fee Return**

Florida Public Service Commission

(See Filing Instructions on Back of Form)

STATUS:

- Actual Return
- Estimated Return
- Amended Return

PERIOD COVERED:

«Field3»

«Field2»

Please Complete Below If Official Mailing Address Has Changed

**FOR PSC USE ONLY**

Check # \_\_\_\_\_

\$ \_\_\_\_\_ 06-01-003  
003001

\$ \_\_\_\_\_ E

\$ \_\_\_\_\_ P 06-01-003  
004010

\$ \_\_\_\_\_ I

Postmark Date \_\_\_\_\_

Initials of Preparer \_\_\_\_\_

\_\_\_\_\_  
(Name of Utility) (Address) (City/State) (Zip)

LINE NO.	ACCOUNT CLASSIFICATION	AMOUNT
1.	Gas Service Revenues	\$ _____
2.	Other Operating Revenues	_____
3.	Other Gas Revenues	_____
4.	<b>TOTAL GROSS REVENUES</b>	\$ _____
5.	<b>Less:</b>	
6.	Sales For Resale To Natural Gas Transmission Companies, Public Utilities That Supply Gas, Municipal Gas Utilities, and Gas Districts. (Attach a Listing of Companies with Amounts)	( _____ )
7.6.	Revenues Subject to Regulatory Assessment Fee	_____
8.7.	Regulatory Assessment Fee Rate	_____ .0025
9.8.	<b>Regulatory Assessment Fee Due</b> (Line 7.6 x Line 8.7)	\$ _____
9.	Penalty For Late Payment (see #3 on back)	_____
10.	Interest For Late Payment (see #3 on back)	_____
11.	Extension Payment Fee (see #4 on back)	_____
12.	<b>TOTAL AMOUNT DUE</b> <sup>(1)</sup>	\$ _____

<sup>(1)</sup> As provided in Section 350.113, Florida Statutes, the Minimum Annual Fee is \$25; see Item #5 on back)

**THIS FORM MUST BE COMPLETED AND RETURNED REGARDLESS OF THE AMOUNT OF REVENUES REPORTED**

I, the undersigned owner/officer of the above-named vendor, have read the foregoing and declare that to the best of my knowledge and belief the above information is a true and correct statement. I am aware that pursuant to Section 837.06, Florida Statutes, whoever knowingly makes a false statement in writing with the intent to mislead a public servant in the performance of his official duty shall be guilty of a misdemeanor of the second degree.

\_\_\_\_\_  
(Signature of Utility Official)

\_\_\_\_\_  
(Title)

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
(Please Print Name)

Telephone Number (\_\_\_\_) \_\_\_\_\_ Fax Number (\_\_\_\_) \_\_\_\_\_

F.E.I. No. \_\_\_\_\_



## FLORIDA PUBLIC SERVICE COMMISSION

Instructions For Filing Regulatory Assessment Fee Return  
(Natural Gas Transmission Pipeline Company)

1. **WHEN TO FILE:** To avoid payment of penalties and interest, this Regulatory Assessment Fee Return and payment must be filed or postmarked:  
*On or before July 30 for the six-month period January 1 through June 30, and  
On or before January 30 for the six-month period July 1 through December 31.*  
However, if July 30 or January 30 falls on a Saturday, Sunday, or holiday, the Regulatory Assessment Fee Return may be filed or postmarked on the next business day.
2. **FEES:** Each utility shall pay the currently authorized percentage, as indicated on Line 8 7 on the reverse side, of its gross operating revenues derived from intrastate business. Gross Operating Revenues are defined as the total revenues before expenses. The currently authorized percentage was implemented by Section 25-7.101(1), Florida Administrative Code. Annual revenue amounts are to be reported on the return for the period ended December 31.
3. **FAILURE TO FILE BY DUE DATE:** A Regulatory Assessment Fee Return must be completed, signed, and filed even if there are no revenues to report. Failure to file a return by the established due date will result in a penalty being added to the amount of fee due, 5% for each 30 days or fraction thereof, not to exceed a total penalty of 25% (Line 9-8). In addition, interest shall be added in the amount of 1% for each 30 days or fraction thereof, not to exceed a total of 12% per year.
4. **EXTENSION:** A utility, for good cause shown in a written request, may be granted up to a 30-day extension. A request must be made by filing the enclosed Request for Extension to File Regulatory Assessment Fee Return form (PSC/ADM 124), two weeks prior to the filing date. If an extension is granted, a charge shall be added to the amount due:  
0.75% of the fee to be remitted for an extension of 15 days or less, or  
1.5% of the fee for an extension of 16 to 30 days.

In lieu of paying the charges outlined above, a utility may file a return and remit payment based upon estimated gross operating revenues by checking the "Estimated Return" space in the top left-hand corner on the reverse side. If such return is filed by the normal due date, the utility shall be granted a 30-day extension period in which to file and remit the actual fee due without paying the above charges, provided the estimated fee payment remitted is at least 90% of the actual fee due for the period.

5. **REGULATORY ASSESSMENT FEE DUE:** Amounts are due and payable to the Commission by either January 30 or July 30 depending on the reporting period. If there are no revenues OR if revenues are insufficient to generate a minimum annual fee, remit the minimum fee. A Regulatory Assessment Fee Return must be completed, signed, and filed even if there are no revenues to report or if the minimum amount is due.
- 4.6 **FEE ADJUSTMENTS:** The utility will be notified as to the amount and reason for any adjustment. Penalty and interest charges may be applicable to additional amounts owed to the Commission by reason of the adjustment. A utility may file a written request for a refund of any overpayments. The request should be directed to Fiscal Services at the below-referenced address.
- 5.7 **MAILING INSTRUCTIONS:** Please complete this form, make a copy for your file, and return the original in the enclosed preaddressed envelope. Use of this envelope should assure a more accurate and expeditious recording of your payment. If you are unable to use the enclosed envelope, please address your remittance as follows:

Florida Public Service Commission  
2540 Shumard Oak Boulevard  
Tallahassee, FL 32399-0850  
  
ATTENTION: Fiscal Services

6. **ADDITIONAL ASSISTANCE:** If any additional assistance is required in preparing the Regulatory Assessment Fee Return, please contact the Division of Economic Regulation at (850) 413-6900 or at the above-referenced address, directing correspondence to the attention of the division.



Date: February 7, 2013

TO AVOID PENALTY AND INTEREST CHARGES, THE REGULATORY ASSESSMENT FEE RETURN MUST BE FILED ON OR BEFORE «Field1»

# Large Water System Utility Regulatory Assessment Fee Return

## Florida Public Service Commission

(See Filing Instructions on Back of Form)

STATUS:

- Actual Return
- Estimated Return
- Amended Return

PERIOD COVERED:

«Field3»

«Field2»

Please Complete Below If Official Mailing Address Has Changed

**FOR PSC USE ONLY**

Check # \_\_\_\_\_

\$ \_\_\_\_\_ 0604001  
003001

\$ \_\_\_\_\_ E

\$ \_\_\_\_\_ P 0604001  
004010

\$ \_\_\_\_\_ I

Postmark Date \_\_\_\_\_

Initials of Preparer \_\_\_\_\_

(SYSTEM'S NAME Name Of Utility)	(Address)	(City/State)	(ZIP)
<b>Florida Public Service Commission Certificate</b>			
<b>WATER OPERATING REVENUES</b>			
1. Unmetered Water Revenues (460)	\$ _____	\$ _____	\$ _____
<b>MEASURED WATER REVENUES</b>			
2. Residential Revenues (461.1)	_____	_____	_____
3. Commercial Revenues (461.2)	_____	_____	_____
4. Industrial Revenues (461.3)	_____	_____	_____
5. Revenues from Public Authorities (461.4)	_____	_____	_____
6. Multiple Family Dwelling Revenues (461.5)	_____	_____	_____
7. <b>TOTAL METERED SALES</b>	\$ _____	\$ _____	\$ _____
<b>FIRE PROTECTION REVENUES</b>			
8. Public Fire Protection (462.1)	_____	_____	_____
9. Private Fire Protection (462.2)	_____	_____	_____
10. <b>TOTAL FIRE PROTECTION REVENUE</b>	\$ _____	\$ _____	\$ _____
11. Other Sales to Public Authorities (464)	_____	_____	_____
12. Sales to Irrigation Customers (465)	_____	_____	_____
13. SALES FOR RESALE (466)	_____	_____	_____
14. Interdepartmental Sales (467)	_____	_____	_____
15. <b>TOTAL WATER SALES (Lines 1+7+10+11+12+13+14)</b>	\$ _____	\$ _____	\$ _____
<b>OTHER WATER REVENUES</b>			
16. Guaranteed Revenues (Include Revenues from A.F.P.I. Charges) (469)	_____	_____	_____
17. Forfeited Discounts (470)	_____	_____	_____
18. Miscellaneous Service Revenues (471)	_____	_____	_____
19. Rents From Water Property (472)	_____	_____	_____
20. Interdepartmental Rents (473)	_____	_____	_____
21. Other Water Revenues (474)	_____	_____	_____
<b>Describe:</b>			
22. <b>TOTAL OTHER WATER REVENUES (Lines 16+17+18+19+20+21)</b>	\$ _____	\$ _____	\$ _____
23. <b>TOTAL WATER OPERATING REVENUES (Lines 15+22)</b>	\$ _____	\$ _____	\$ _____
24. <b>Less: Expense for Purchased Water From FPSC-Regulated Utility</b>	( _____ )	( _____ )	( _____ )
25. <b>NET WATER OPERATING REVENUES (Line 23 Less Line 24)</b>	_____	_____	_____
26. <b>REGULATORY ASSESSMENT FEE DUE (Multiply Line 25 by 0.045)</b> (If more than \$25, enter amount. If less, enter \$25) (2)	_____	_____	_____
27. <b>Less: Payment for January 1-June 30 Period</b>	_____	( _____ )	( _____ )
28. <b>Less: Approved Prior-Period Credit</b>	_____	( _____ )	( _____ )
29. <b>NET REGULATORY ASSESSMENT FEE (Line 26 Less Line 27 See #11 on back)</b>	_____	\$ _____	_____
30. <b>Penalty for Late Payment (see "4. Failure to File by Due Date" on back)</b>	_____	_____	_____
31. <b>Interest for Late Payment (see "4. Failure to File by Due Date" on back)</b>	_____	_____	_____
32. <b>Extension Payment Fee (see "5. Extension" on back)</b>	_____	_____	_____
33. <b>TOTAL AMOUNT DUE (Line 29 + 30 + 31 + 32)</b>	_____	\$ _____	_____

(1) These amounts must agree with Annual Report Schedule F-3  
 (2) As provided in Section 350.113, Florida Statutes, the Minimum Annual Fee is \$25; see Item #7 on back

If service was purchased from a regulated utility, please insert its name:

AS PROVIDED IN SECTION 350.113, FLORIDA STATUTES, THE MINIMUM ANNUAL FEE IS \$25

I, the undersigned owner/officer of the above-named vendor, have read the foregoing and declare that to the best of my knowledge and belief the above information is a true and correct statement. I am aware that pursuant to Section 837.06, Florida Statutes, whoever knowingly makes a false statement in writing with the intent to mislead a public servant in the performance of his official duty shall be guilty of a misdemeanor of the second degree.

\_\_\_\_\_  
 (Signature of System-Utility Official) (Title) (Date)

---

(Please Print Name)

Telephone Number ( ) \_\_\_\_\_ Fax Number ( ) \_\_\_\_\_

F.E.I. No. \_\_\_\_\_

## FLORIDA PUBLIC SERVICE COMMISSION

### Instructions For Filing Regulatory Assessment Fee Return (Large Water System Utility)

1. **WHO MUST FILE:** Each large regulated utility under the jurisdiction of the Florida Public Service Commission (Commission) for any part of the six-month periods, January 1 through June 30 and July 1 through December 31, preceding the due date as reflected in the following paragraph. A large utility is defined as a utility with annual revenues of \$200,000 or more based on the most recent prior calendar year.
2. **WHEN TO FILE:** To avoid payment of penalties and interest, the Regulatory Assessment Fee Return form must be filed or postmarked:

*On or before July 30 for the six-month period January 1 through June 30, and  
On or before January 30 for the six-month period July 1 through December 31.*

However, if July 30 or January 30 falls on a Saturday, Sunday, or holiday, the Regulatory Assessment Fee Return may be filed or postmarked on the next business day, without penalty.

3. **FEES:** Each Commission-regulated system utility shall pay the presently established percentage (Line 26) of its gross operating revenues derived from intrastate business. (Gross Operating Revenues are defined as the total revenues before expenses.) To assure an accurate recording of your fee payment, it is most important that you identify each certificate number in the appropriate space.
4. **FAILURE TO FILE BY DUE DATE:** Failure to file a return by the established due date will result in a penalty being added to the amount of fee due, 5% for each 30 days or fraction thereof, not to exceed a total penalty of 25% (Line 30). In addition, interest shall be added in the amount of 1% for each 30 days or fraction thereof, not to exceed a total of 12% per year (Line 31).
5. **EXTENSION:** A utility, for good cause shown in a written request, may be granted up to a 30-day extension. A system may be granted an extension for a period not to exceed 30 days. Such a request should must be made by filing the enclosed Request for Extension to File Regulatory Assessment Fee Return form (PSC/CCA ADM 124), two weeks prior to the filing date. If an extension is granted, a charge shall be added to the amount due:

*0.75% of the fee to be remitted for an extension of 15 days or less, or  
1.5% of the fee for an extension of 16 to 30 days.*

In lieu of paying the charges outlined above, a system utility may file a return and remit payment based upon estimated gross operating revenues by checking the "Estimated Return" space in the top left-hand corner on the reverse side. If such return is filed by the normal due date, the system utility shall be granted a 30-day extension period in which to file and remit the actual fee due without paying the above charges, provided the estimated fee payment remitted is at least 90% of the actual fee due for the period. An automatic 30-day extension to file an actual return may be obtained by checking the "Estimated Return" space in the top left-hand corner on the reverse side.

6. **AUTHORITY:** The authority to collect regulatory assessment fees is granted to the Commission by Section 350.113 and 367.145, Florida Statutes.
7. **REGULATORY ASSESSMENT FEE DUE:** Amounts are due and payable to the Commission by either January 30 or July 30 depending on the reporting period. If there are no revenues **OR** if revenues are insufficient to generate a minimum annual fee, remit the minimum fee. A Regulatory Assessment Fee Return must be completed, signed, and filed even if there are no revenues to report or if the minimum amount is due.
8. **FEE ADJUSTMENTS:** Computation errors and/or differences in gross operating revenues reported for regulatory assessment fee purposes and those reported in the annual report may cause adjustments to amounts paid to the Commission. You will be notified as to the amount and reason for any adjustment. Penalty and interest charges may be applicable to additional amounts owed the Commission by reason of the adjustment.
9. **MAILING INSTRUCTIONS:** Please complete this form, make a copy for your files, and return the original in the enclosed preaddressed envelope. Use of this envelope should assure a more accurate and expeditious recording of your payment. However, if you are unable to use the envelope, please address your remittance as follows:

Florida Public Service Commission 2540 Shumard Oak Boulevard Tallahassee, FL 32399-0850  ATTENTION: Fiscal Services
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10. **ADDITIONAL ASSISTANCE:** If you need additional information or assistance in preparing your Regulatory Assessment Fee Return, please contact the Division of Economic Regulation at (850) 413-6900 or at the above-referenced address, changing the Attention Line.

Date: February 7, 2013

11. **AMOUNT OF REVENUES TO BE REPORTED:** For the January 1 through June 30 reporting period, the amount of gross operating revenues to be reported is for that period. However, for the July 1 through December 31 reporting period, the amount of gross operating revenues to be reported is for the entire 12-month period of January 1 through December 31. After calculating the regulatory assessment fee due for the 12-month period (Line 26), deduct the payment made for the January 1 through June 30 period (Line 27), Less Line 28 (if applicable), to determine the ~~TOTAL AMOUNT DUE (Line 32~~ NET REGULATORY ASSESSMENT FEE, Line 29).



Date: February 7, 2013

TO AVOID PENALTY AND INTEREST CHARGES, THE REGULATORY ASSESSMENT FEE RETURN MUST BE FILED ON OR BEFORE «Field1»

Small Water System Utility Regulatory Assessment Fee Return

Florida Public Service Commission

(See Filing Instructions on Back of Form)

STATUS:

- Actual Return
Estimated Return
Amended Return

PERIOD COVERED:

«Field3»

«Field2»

Please Complete Below If Official Mailing Address Has Changed

FOR PSC USE ONLY
Check #
\$ 0604001 003001
\$ E
\$ P 0604001 004010
\$I
Postmark Date
Initials of Preparer

Table with columns: (SYSTEM'S NAME) Name Of Utility, (Address), (City/State), (Zip). Rows include Florida Public Service Commission Certificate, WATER OPERATING REVENUES, MEASURED WATER REVENUES, FIRE PROTECTION REVENUES, OTHER WATER REVENUES, and TOTAL AMOUNT DUE.

\* These amounts must agree with Annual Report Schedule F-3
(2) As provided in Section 350.113, Florida Statutes, the Minimum Annual Fee is \$25; see Item #7 on back)

If service was purchased from a regulated utility, please insert its name:

AS PROVIDED IN SECTION 350.113, FLORIDA STATUTES, THE MINIMUM ANNUAL FEE IS \$25

I, the undersigned owner/officer of the above-named vendor, have read the foregoing and declare that to the best of my knowledge and belief the above information is a true and correct statement. I am aware that pursuant to Section 837.06, Florida Statutes, whoever knowingly makes a false statement in writing with the intent to mislead a public servant in the performance of his official duty shall be guilty of a misdemeanor of the second degree.

(Signature of System-Utility Official) (Title) (Date)

Docket No. 130033-PU  
Date: February 7, 2013

Attachment A

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(Please Print Name)

Telephone Number ( ) \_\_\_\_\_ Fax Number ( ) \_\_\_\_\_

F.E.I. No. \_\_\_\_\_



## FLORIDA PUBLIC SERVICE COMMISSION

### Instructions For Filing Regulatory Assessment Fee Return (Small Water System Utility)

1. **WHO MUST FILE:** Each small regulated utility under the jurisdiction of the Florida Public Service Commission (Commission) for any part of the 12-month period, January 1 through December 31, preceding the due date as reflected in the following paragraph. A small utility is defined as a utility with annual revenues of **less than \$200,000** based on the most recent prior calendar year.
2. **WHEN TO FILE:** To avoid payment of penalties and interest, the Regulatory Assessment Fee Return form must be filed or postmarked before March 31 for the report period January 1 through December 31. However, if March 31 falls on a Saturday, Sunday, or holiday, the Regulatory Assessment Fee Return may be filed or postmarked on the next business day, without penalty.
3. **FEES:** Each Commission-regulated system utility shall pay the presently established percentage (Line 26) of its gross operating revenues derived from intrastate business. (Gross Operating Revenues are defined as the total revenues before expenses.) To assure an accurate recording of your fee payment, it is most important that you identify each certificate number in the appropriate space.
4. **FAILURE TO FILE BY DUE DATE:** Failure to file a return by the established due date will result in a penalty being added to the amount of fee due, 5% for each 30 days or fraction thereof, not to exceed a total penalty of 25% (Line 29). In addition, interest shall be added in the amount of 1% for each 30 days or fraction thereof, not to exceed a total of 12% per year (Line 30).
5. **EXTENSION:** A utility, for good cause shown in a written request, may be granted up to a 30-day extension. A system may be granted an extension for a period not to exceed 30 days. Such a request should must be made by filing the enclosed Request for Extension to File Regulatory Assessment Fee Return form (PSC/CCA ADM 124), two weeks prior to the filing date. If an extension is granted, a charge shall be added to the amount due:

0.75% of the fee to be remitted for an extension of 15 days or less, *or*  
1.5% of the fee for an extension of 16 to 30 days.

In lieu of paying the charges outlined above, a system utility may file a return and remit payment based upon estimated gross operating revenues by checking the "Estimated Return" space in the top left-hand corner on the reverse side. If such return is filed by the normal due date, the system utility shall be granted a 30-day extension period in which to file and remit the actual fee due without paying the above charges, provided the estimated fee payment remitted is at least 90% of the actual fee due for the period. ~~An automatic 30-day extension to file an actual return may be obtained by checking the "Estimated Return" space in the top left-hand corner on the reverse side.~~

6. **AUTHORITY:** The authority to collect regulatory assessment fees is granted to the Commission by Section 350.113 and 367.145, Florida Statutes.
7. **REGULATORY ASSESSMENT FEE DUE:** Amounts are due and payable to the Commission by March 31. If there are no revenues **OR** if revenues are insufficient to generate a minimum annual fee, remit the minimum fee. A Regulatory Assessment Fee Return must be completed, signed, and filed even if there are no revenues to report or if the minimum amount is due.
8. **FEE ADJUSTMENTS:** Computation errors and/or differences in gross operating revenues reported for regulatory assessment fee purposes and those reported in the annual report may cause adjustments to amounts paid to the Commission. You will be notified as to the amount and reason for any adjustment. Penalty and interest charges may be applicable to additional amounts owed the Commission by reason of the adjustment.
9. **MAILING INSTRUCTIONS:** Please complete this form, make a copy for your files, and return the original in the enclosed preaddressed envelope. Use of this envelope should assure a more accurate and expeditious recording of your payment. However, if you are unable to use the envelope, please address your remittance as follows:

Florida Public Service Commission 2540 Shumard Oak Boulevard Tallahassee, FL 32399-0850  ATTENTION: Fiscal Services
---

10. **ADDITIONAL ASSISTANCE:** If you need additional information or assistance in preparing your Regulatory Assessment Fee Return, please contact the Division of Economic Regulation at (850) 413-6900 or at the above-referenced address, changing the Attention Line.



Date: February 7, 2013

TO AVOID PENALTY AND INTEREST CHARGES, THE REGULATORY ASSESSMENT FEE RETURN MUST BE FILED ON OR BEFORE «Field1»

Large Wastewater System Utility Regulatory Assessment Fee Return

Florida Public Service Commission

STATUS:

- Actual Return
Estimated Return
Amended Return

PERIOD COVERED:

«Field3»

«Field2»

Please Complete Below If Official Mailing Address Has Changed

FOR PSC USE ONLY
Check #
\$ 0604002 000000
\$ E
\$ P 0604002 000000
\$ I
Postmark Date
Initials of Preparer

Table with columns: (System's Name of Utility), (Address), (City/State), (Zip), #, #, #. Rows include Florida Public Service Commission Certificate, WASTEWATER OPERATING REVENUES (FLAT-RATE, MEASURED, OTHER), and REGULATORY ASSESSMENT FEE DUE.

These amounts must agree with Annual Report Schedule F-3

As provided in Section 350.113, Florida Statutes, the Minimum Annual Fee is \$25; see Item #7 on back

If service was purchased from a regulated utility, please insert its name:

AS PROVIDED IN SECTION 350.113, FLORIDA STATUTES, THE MINIMUM ANNUAL FEE IS \$25

I, the undersigned owner/officer of the above-named vendor, have read the foregoing and declare that to the best of my knowledge and belief the above information is a true and correct statement.

\_\_\_\_\_  
(Signature of System-Utility Official)  
\_\_\_\_\_  
(Please Print Name)

\_\_\_\_\_  
(Title)  
Telephone Number (\_\_\_\_\_) \_\_\_\_\_ Fax Number (\_\_\_\_\_) \_\_\_\_\_  
\_\_\_\_\_  
(Date)  
F.E.I. No. \_\_\_\_\_



## FLORIDA PUBLIC SERVICE COMMISSION

### Instructions For Filing Regulatory Assessment Fee Return (Large Wastewater-System Utility)

- WHO MUST FILE:** Each large regulated system utility under the jurisdiction of the Florida Public Service Commission (Commission) for any part of the 12-month period, January 1 through December 31, preceding the due date as reflected in the following paragraph. A large utility is defined as a utility with annual revenues of \$200,000 or more based on the most recent prior calendar year.
- WHEN TO FILE:** To avoid payment of penalties and interest, the Regulatory Assessment Fee Return form must be filed or postmarked:

*On or before July 30 for the six-month period January 1 through June 30, and  
On or before January 30 for the six-month period July 1 through December 31.*

However, if July 30 or January 30 falls on a Saturday, Sunday, or holiday, the Regulatory Assessment Fee Return may be filed or postmarked on the next business day, without penalty.

- FEES:** Each Commission-regulated system utility shall pay the presently established percentage (Line 28) of its gross operating revenues derived from intrastate business. (Gross Operating Revenues are defined as the total revenues before expenses.) To assure an accurate recording of your fee payment, it is most important that you identify each certificate number in the appropriate space.
- FAILURE TO FILE BY DUE DATE:** Failure to file a return by the established due date will result in a penalty being added to the amount of fee due, 5% for each 30 days or fraction thereof, not to exceed a total penalty of 25% (Line 31). In addition, interest shall be added in the amount of 1% for each 30 days or fraction thereof, not to exceed a total of 12% per year (Line 32).
- EXTENSION:** A utility, for good cause shown in a written request, may be granted up to a 30-day extension. A system may be granted an extension for a period not to exceed 30 days. Such a request should must be made by filing the enclosed Request for Extension to File Regulatory Assessment Fee Return form (PSC/CCA ADM 124), two weeks prior to the filing date. If an extension is granted, a charge shall be added to the amount due:

*0.75% of the fee to be remitted for an extension of 15 days or less, or  
1.5% of the fee for an extension of 16 to 30 days.*

In lieu of paying the charges outlined above, a system utility may file a return and remit payment based upon estimated gross operating revenues by checking the "Estimated Return" space in the top left-hand corner on the reverse side. If such return is filed by the normal due date, the system utility shall be granted a 30-day extension period in which to file and remit the actual fee due without paying the above charges, provided the estimated fee payment remitted is at least 90% of the actual fee due for the period. ~~An automatic 30-day extension to file an actual return may be obtained by checking the "Estimated Return" space in the top left-hand corner on the reverse side.~~

- AUTHORITY:** The authority to collect regulatory assessment fees is granted to the Commission by Section 350.113 and 367.145, Florida Statutes.
- REGULATORY ASSESSMENT FEE DUE:** Amounts are due and payable to the Commission by January 30 or July 30 depending on the reporting period. If there are no revenues *OR* if revenues are insufficient to generate a minimum annual fee, remit the minimum fee. A Regulatory Assessment Fee Return must be completed, signed, and filed even if there are no revenues to report or if the minimum amount is due.
- FEE ADJUSTMENTS:** Computation errors and/or differences in gross operating revenues reported for regulatory assessment fee purposes and those reported in the annual report may cause adjustments to amounts paid to the Commission. You will be notified as to the amount and reason for any adjustment. Penalty and interest charges may be applicable to additional amounts owed the Commission by reason of the adjustment.
- MAILING INSTRUCTIONS:** Please complete this form, make a copy for your files, and return the original in the enclosed preaddressed envelope. Use of this envelope should assure a more accurate and expeditious recording of your payment. However, if you are unable to use the envelope, please address your remittance as follows:

Florida Public Service Commission 2540 Shumard Oak Boulevard Tallahassee, FL 32399-0850  ATTENTION: Fiscal Services
---

- ADDITIONAL ASSISTANCE:** If you need additional information or assistance in preparing your Regulatory Assessment Fee Return, please contact the Division of Economic Regulation at (850) 413-6900 or at the above-referenced address, changing the Attention line.

11. **AMOUNT OF REVENUES TO BE REPORTED:** For the January 1 through June 30 reporting period, the amount of gross operating revenues to be reported is for that period. However, for the July 1 through December 31 reporting period, the amount of gross operating revenues to be reported is for the entire 12-month period of January 1 through December 31. After calculating the regulatory assessment fee due for the 12-month period (Line 28), deduct the payment made for the January 1 through June 30 period (Line 29) to determine the TOTAL AMOUNT DUE (Line 34).



Date: February 7, 2013

TO AVOID PENALTY AND INTEREST CHARGES, THE REGULATORY ASSESSMENT FEE RETURN MUST BE FILED ON OR BEFORE «Field1»

Small Wastewater System Utility Regulatory Assessment Fee Return

Florida Public Service Commission

STATUS:

- Actual Return
Estimated Return
Amended Return

PERIOD COVERED:

«Field3»

«Field2»

Please Complete Below If Official Mailing Address Has Changed

FOR PSC USE ONLY
Check #
\$ 0604002 000000
\$ E
\$ P 0604002 000000
\$ I
Postmark Date
Initials of Preparer

Table with columns: (System's Name of Utility), (Address), (City/State), (Zip). Rows include: Florida Public Service Commission Certificate, WASTEWATER OPERATING REVENUES, FLAT-RATE REVENUES (1-7), MEASURED REVENUES (8-17), OTHER WASTEWATER REVENUES (18-23), TOTAL OTHER WASTEWATER REVENUES (24), TOTAL WASTEWATER OPERATING REVENUES\* (25), Less: Expense for Purchased Wastewater Treatment (26), NET WASTEWATER OPERATING REVENUES (27), REGULATORY ASSESSMENT FEE DUE (28), Less: Approved Prior-Period Credit (29), NET REGULATORY ASSESSMENT FEE (30), Penalty for Late Payment (31), Interest for Late Payment (32), Extension Payment Fee (33), TOTAL AMOUNT DUE (34).

\*These amounts must agree with Annual Report Schedule F-3

As provided in Section 350.113, Florida Statutes, the Minimum Annual Fee is \$25; see Item #7 on back)

If service was purchased from a regulated utility, please insert its name:

AS PROVIDED IN SECTION 350.113, FLORIDA STATUTES, THE MINIMUM ANNUAL FEE IS \$25

I, the undersigned owner/officer of the above-named vendor, have read the foregoing and declare that to the best of my knowledge and belief the above information is a true and correct statement. I am aware that pursuant to Section 837.06, Florida Statutes, whoever knowingly makes a false statement in writing with the intent to mislead a public servant in the performance of his official duty shall be guilty of a misdemeanor of the second degree.

(Signature of System-Utility Official)
PSC/ECR 017-WS (Rev. 02/05 XX/XX)

(Title)
I:\APPEALS\2013 Dockets\130033-PU RAF rule amendments\130033 RAF Recommendation.doc

(Date)

---

(Please Print Name)

Telephone Number ( ) \_\_\_\_\_ Fax Number ( ) \_\_\_\_\_

F.E.I. No. \_\_\_\_\_



## FLORIDA PUBLIC SERVICE COMMISSION

### Instructions For Filing Regulatory Assessment Fee Return (Small Wastewater ~~System~~ Utility)

- WHO MUST FILE:** Each small regulated ~~system~~ utility under the jurisdiction of the Florida Public Service Commission (Commission) for any part of the 12-month period, January 1 through December 31, preceding the due date as reflected in the following paragraph. A small utility is defined as a utility with annual revenues of **less than \$200,000** based on the most recent prior calendar year.
- WHEN TO FILE:** To avoid payment of penalties and interest, the Regulatory Assessment Fee Return form must be filed or postmarked before March 31 for the report period January 1 through December 31. However, if March 31 falls on a Saturday, Sunday, or holiday, the Regulatory Assessment Fee Return may be filed or postmarked on the next business day, without penalty.
- FEES:** Each Commission-regulated ~~system~~ utility shall pay the presently established percentage (Line 28) of its gross operating revenues derived from intrastate business. (Gross Operating Revenues are defined as the total revenues before expenses.) To assure an accurate recording of your fee payment, it is most important that you identify each certificate number in the appropriate space.
- FAILURE TO FILE BY DUE DATE:** Failure to file a return by the established due date will result in a penalty being added to the amount of fee due, 5% for each 30 days or fraction thereof, not to exceed a total penalty of 25% (Line 31). In addition, interest shall be added in the amount of 1% for each 30 days or fraction thereof, not to exceed a total of 12% per year (Line 32).
- EXTENSION:** A utility, for good cause shown in a written request, may be granted ~~an extension for a period not to exceed up to a 30 days extension~~. Such ~~A~~ request ~~should~~ must be made by filing the enclosed *Request for Extension to File Regulatory Assessment Fee Return* form (PSC/CCA ADM 124), two weeks prior to the filing date. If an extension is granted, a charge shall be added to the amount due:

0.75% of the fee to be remitted for an extension of 15 days or less, *or*  
1.5% of the fee for an extension of 16 to 30 days.

In lieu of paying the charges outlined above, a ~~system~~ utility may file a return and remit payment based upon estimated gross operating revenues by checking the "Estimated Return" space in the top left-hand corner on the reverse side. If such return is filed by the normal due date, the ~~system~~ utility shall be granted a 30-day extension period in which to file and remit the actual fee due without paying the above charges, provided the estimated fee payment remitted is at least 90% of the actual fee due for the period. ~~An automatic 30-day extension to file an actual return may be obtained by checking the "Estimated Return" space in the top left-hand corner on the reverse side.~~

- AUTHORITY:** The authority to collect regulatory assessment fees is granted to the Commission by Section 350.113 and 367.145, Florida Statutes.
- REGULATORY ASSESSMENT FEE DUE:** Amounts are due and payable to the Commission by March 31. If there are no revenues *OR* if revenues are insufficient to generate a minimum annual fee, remit the minimum fee. A Regulatory Assessment Fee Return must be completed, signed, and filed even if there are no revenues to report or if the minimum amount is due.
- FEE ADJUSTMENTS:** Computation errors and/or differences in gross operating revenues reported for regulatory assessment fee purposes and those reported in the annual report may cause adjustments to amounts paid to the Commission. You will be notified as to the amount and reason for any adjustment. Penalty and interest charges may be applicable to additional amounts owed the Commission by reason of the adjustment.
- MAILING INSTRUCTIONS:** Please complete this form, make a copy for your files, and return the original in the enclosed preaddressed envelope. Use of this envelope should assure a more accurate and expeditious recording of your payment. However, if you are unable to use the envelope, please address your remittance as follows:

Florida Public Service Commission 2540 Shumard Oak Boulevard Tallahassee, FL 32399-0850  ATTENTION: Fiscal Services
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- ADDITIONAL ASSISTANCE:** If you need additional information or assistance in preparing your Regulatory Assessment Fee Return, please contact the Division of Economic Regulation at (850) 413-6900 or at the above-referenced address, changing the Attention line.



State of Florida



**Public Service Commission**

CAPITAL CIRCLE OFFICE CENTER • 2540 SHUMARD OAK BOULEVARD  
TALLAHASSEE, FLORIDA 32399-0850

**-M-E-M-O-R-A-N-D-U-M-**

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**DATE:** October 8, 2012  
**TO:** Rosanne Gervasi, Senior Attorney, Office of the General Counsel  
**FROM:** Laura V. King, Economic Analyst, Division of Economics  
**RE:** Statement of Estimated Regulatory Costs for Proposed Amendments to Rules 25-6.0131, Florida Administrative Code (F.A.C.), Regulatory Assessment Fees; Investor-Owned Electric Companies, Municipal Electric Utilities, Rural Electric Cooperatives; 25-7.0131, Regulatory Assessment Fees; Gas Utilities, Gas Municipals, and Gas Districts; 25-7.101, Regulatory Assessment Fees (Natural Gas Transmission Companies); 25-30.120, Regulatory Assessment Fees; Water and Wastewater Utilities.

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Summary of Rules

Rules 25-6.0131, 25-7.0131, 25-7.101, and 25-30.120, F.A.C., contain the guidelines utilities must follow regarding the fees to be paid (based on gross operating revenues) pursuant to Section 350.113, Florida Statutes (F.S.). These fees are referred to as Regulatory Assessment Fees (RAFs). The rules specifically state when RAFs are due, how they are calculated, how penalties and interest are calculated for late payments, and how a utility may request an extension of time to file its RAF. The intent of the rule amendments is to:

- clarify that an extension request (of either 15 or 30 days) for paying the RAF is not automatic, but requires a written statement of good cause/reason pursuant to Section 350.113(5), F.S.;
- update the applicable forms incorporated in the rules by reference and provide the hyperlinks where the forms may be found;
- specifically state that a request for extension will not be granted if the utility has any unpaid RAFs, penalties, or interest due from a prior period; and,
- make the RAF rules consistent, where possible, across the different industries.

Economic Analysis Showing Whether The Rules Discussed Above Are Likely To Have An Adverse Impact On Either Economic Growth Or Business Competitiveness In Excess Of \$1 Million Within 5 Years

Section 120.541(2)(a)1 requires an economic analysis showing whether the draft rule directly or indirectly is likely to have an adverse impact on economic growth, private sector job



creation or employment, or private sector investment in excess of \$1 million in the aggregate within 5 years after the implementation of the rule. Similarly, Section 120.541(2)(a)2 requires an economic analysis showing whether the draft rule directly or indirectly is likely to have an adverse impact on business competitiveness in excess of \$1 million in the aggregate within 5 years after the implementation of the rule. Utilities have been required to pay RAFs, file specific forms, and follow certain procedures for requesting extensions for many years.<sup>1</sup> The proposed rule revisions do not alter these obligations; instead, they clarify existing requirements and amend applicable forms accordingly. As such, the proposed changes are not expected to adversely impact economic growth, private job sector employment, private sector investment, and business competitiveness during the five year period identified in the statute.

#### Economic Analysis Showing Whether The Rules Discussed Above Are Likely To Increase Regulatory Costs In Excess Of \$1 Million Within 5 Years

Section 120.541(2)(a)3 requires an economic analysis showing whether the draft rule directly or indirectly is likely to increase regulatory cost, including any transactional costs, in excess of \$1 million in the aggregate within 5 years after the implementation of the rule. Since the intent of the rule amendments is to clarify existing procedures and requirements and to update existing forms, currently incorporated in the rules by reference, it is unlikely regulatory costs will increase in excess of \$1 million within 5 years.

#### Estimated Number Of Entities Required To Comply And General Description Of Individuals Affected

Section 120.541(2)(b) requires a good faith estimate of the number of individuals and entities likely to be required to comply with the rule, together with a general description of the types of individuals anticipated to be affected by the rule. The total number of electric companies required to comply with Rule 25-6.0131, F.A.C., is 58; the total number of gas companies required to comply with Rule 25-7.0131, F.A.C., is 38; the total number of natural gas transmission companies required to comply with Rule 25-7.101, F.A.C., is 2; and the total number of water and wastewater utilities required to comply with 25-30.120, F.A.C., is 148.

#### Rule Implementation And Enforcement Cost And Impact On Revenues For The Agency And Other State And Local Government Entities

Section 120.541(2)(c) requires a good faith estimate of the cost to the agency, and to any other state and local government entities, of implementing and enforcing the proposed rule, and any anticipated effect on state or local revenues. The rule development process includes a sequence of actions by the agency which vary according to the rule being implemented. These steps include rule drafting; a rule development workshop; preparing, filing, and presenting a rule recommendation (including a SERC); Commission consideration of the draft rule recommendation at an Agenda Conference; a rule hearing if one is requested; a possible additional agenda conference for those cases where a rule hearing is conducted or written comments are filed; and ultimately, filing the rule with the Secretary of State. Most of the costs to the agency associated with these rule development actions, including a possible rule hearing,

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<sup>1</sup> Rules 25-6.0131, 25-7.0131, and 25-30.120, F.A.C., have been in place since 1983. Rule 25-7.101, F.A.C., was implemented in 1998.

are fixed costs and not likely to be affected substantially for the level of complexity associated with the development of these rules. Enforcement costs with the rule change are also primarily fixed costs and should remain at approximately the same levels as they have been in the past. The impact on state and local government revenue is expected to be nil.

#### Estimated Transactional Costs To Individuals And Entities

Section 120.541(2)(d) requires a good faith estimate of the transactional costs likely to be incurred by individuals and entities, including local government entities, required to comply with the requirements of the rule. The estimated transactional costs to individuals and entities will be de minimus.

#### Impact On Small Businesses, Small Cities, Or Small Counties

Section 120.541(2)(e) requires an analysis of the impact of the proposed rule change on small businesses as defined by Section 288.703, F.S., and an analysis of the impact on small counties and small cities as defined in Section 120.52, F.S. Staff believes the impact of the proposed rule changes on small businesses, small counties, and small cities will be de minimus.

#### Additional Information Deemed Useful By The Agency

None.

cc: Jim Dean  
Connie Kummer  
Cheryl Bulecza-Banks  
John Slemkewicz  
Karen Belcher  
ECO SERC File