

ORIGINAL FILE COPY

REQUEST TO ESTABLISH DOCKET (PLEASE TYPE)

Date 2/7/96

Docket No. 960146-NW

- 1. Division Name/Staff Name Division of Legal Services (Agarwal)
2. OPR Agarwal
3. OCR Casey

4. Suggested Docket Title INITIATION OF SHOW CAUSE PROCEEDINGS AGAINST RAIN TREE UTILITIES, INC. FOR FAILURE TO REMIT PENALTY FEE FOR FILING DELINQUENT 1992 ANNUAL REPORT in Lake County

- 5. Suggested Docket Mailing List (attach separate sheet if necessary)
A. Provide NAMES ONLY for regulated companies or ACRONYMS ONLY regulated industries, as shown in Rule 25-22.104, F.A.C.
B. Provide COMPLETE name and address for all others. (Match representatives to clients.)
1. Parties and their representatives (if any)

RAIN TREE UTILITIES, INC.
37731 STATE ROAD 19
UMATILLA, FLORIDA 32784-9618

- 2. Interested Persons and their representatives (if any)

6. Check one:
[X] Documentation is attached.
Documentation will be provided with the recommendation.

Commissioners:
J. TERRY DEASON, CHAIRMAN
SUSAN F. CLARK
JULIA L. JOHNSON
DIANE K. KIESLING
JOE GARCIA



DIVISION OF WATER &
WASTEWATER
CHARLES HILL
DIRECTOR
(904) 488-8482

Public Service Commission

September 21, 1994

Don Monn, President
Raintree Utilities, Inc.
2101 South Bay Street
Eustis, FL 32726

Mailed to:
37731 State Rd 19
Umatilla, Florida 32784
9/27/94

Certified Return Mail
(P483 994 953)

Dear Mr. Monn:

It appears that your water utility failed to timely file its 1992 Annual Report with this Commission.

Pursuant to Rule 25-30.110(3), Florida Administrative Code, the 1992 Annual Report was due on March 31, 1993. Our records indicate that your Annual Report was received on May 20, 1993.

The Commission may impose fines for this noncompliance as provided for under Rule 25-30.110(6) and (7), Florida Administrative Code. Staff has determined that the applicable penalty for this Class "C" utility for 50 days x \$3.00 per day is \$150.00

Please submit payment of \$150.00 by check made payable to the Florida Public Service Commission. Your payment is due on or before October 21, 1994 and should be mailed with a copy of this letter to the Bureau of Fiscal Services, Florida Public Service Commission, 101 East Gaines Street, Tallahassee, FL 32399-0850, Attn: Evelyn Sewell.

Sincerely,

Charles H. Hill, Director
Division of Water and Wastewater

CHH/PD/jj

cc: Bureau of Fiscal Service (Sewell)
Division of Water and Wastewater (Dowberry, Snipes)
Division of Legal Services (Davis)

Commissioners:

J. TERRY DEASON, CHAIRMAN
SUSAN F. CLARK
JULIA L. JOHNSON
DIANE K. KIESLING
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Public Service Commission

September 21, 1994

Don Monn, President
Raintree Utilities, Inc.
2101 South Bay Street
Eustis, FL 32726

Certified Return Mail
(P 062 875 925)

Dear Mr. Monn:

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Sincerely,

A handwritten signature in black ink, appearing to read "CH Hill".

Charles H. Hill, Director
Division of Water and Wastewater

CHH/PD/jj

cc: Bureau of Fiscal Service (Sewell)
Division of Water and Wastewater (Dewberry, Snipes)
Division of Legal Services (Davis)

1992 ANNUAL REPORT CLOSE-OUT

NAME OF UTILITY: Randree Utilities

1992 ANNUAL REPORT DUE: 3/31/93

1992 ANNUAL REPORT RECEIVED: 5/20/93

NUMBER OF DAYS LATE (IF ANY): 50 days x 3.00 per day = 150.00

IF GRANTED EXTENSION

EXTENSION REQUESTED: _____

ANNUAL REPORT DUE: _____

ANNUAL REPORT RECEIVED: _____

NUMBER OF DAYS LATE (IF ANY): _____

SECOND EXTENSION REQUESTED: _____

ANNUAL REPORT DUE: _____

ANNUAL REPORT RECEIVED: _____

NUMBER OF DAYS LATE (IF ANY): _____

DEFICIENCIES

DEFICIENCIES DUE: _____

DEFICIENCIES RECEIVED: _____

NUMBER OF DAYS LATE (IF ANY): _____

UTILITY NAME: Ramtree Utilities

1992 ANNUAL REPORT REVIEW SUMMARY

: Analyst Assigned	: <u>Amerson</u>	: Date Requested	:
: Received by WAS	: <u>5/20/93</u>	: Information Due	: <u>1/1</u>
: Date Analyst Assigned	: <u>5/24/93</u>	: Date of Reminder Call	: <u>1/1</u>
: Due Date of Review	:	: Requested Information	:
: (Rate of Return Report	:	: Received	: <u>1/1</u>
: or Deficiency Letter)	: <u>6/18/93</u>	: Passed (Yes/No)	: <u>5/2/94</u>
: Date Returned	: <u>1/1</u>	: Type of Entity	: <u>Corp.</u>

RATE OF RETURN CALCULATION

RATE BASE	Water	Sewer	Total
Plant in Service	\$ 46,235	\$ N/A	\$
Plant Held for Future Use	-	-	-
Accumulated Depreciation	< 2,012 >	-	-
Acquisition Adjustment	-	-	-
Amort. of Acquisition Adj.	-	-	-
C.I.A.C.	-	-	-
Amort. of C.I.A.C.	-	-	-
Advances for Construction	-	-	-
Working Capital	1,104	-	-
Rate Base	\$ 45,377	\$	\$

NET OPERATING INCOME	Water	Sewer	Total
Operating Revenues	\$ 5,542	\$	\$
Operating Expenses:			
Operating Expense	\$ 8,830	\$	\$
Depreciation Expense	811	-	-
Amortization Expense	0	-	-
Taxes Other Than Income	0	-	-
Other	0	-	-
Income Taxes	-	-	-
Total Expenses	\$ 9,641	\$	\$
Net Operating Income (Loss)	\$ < 4,093 >	\$	\$
Rate of Return	Neg		

CLASS "C"
RECEIVED

WATER and/or SEWER UTILITIES
ADMINISTRATION
(Gross Revenue of Less Than \$150,000 Each)

ANNUAL REPORT

OF

WU 35

WU663
Raintree Utilities, Inc.
2101 South Bay Street
Eustis, FL 32726-6356

RECEIVED

MAY 20 1993

Florida Public Service Commission
Division of Water and Wastewater

539W
Certificate Number(s)

OFFICIAL COPY
DIVISION OF
WATER AND SEWER
Do Not Remove from this Office



FOR THE
YEAR ENDED DECEMBER 31, 19 92

UTILITY NAME:

Ramtree Utilities

1992 ANNUAL REPORT REVIEW SUMMARY

: Analyst Assigned	: <u>Avignone</u>	: Date Requested	:	:
: Received by WAS	: <u>5/20/93</u>	: Information Due	:	: <u>1/1</u>
: Date Analyst Assigned	: <u>5/24/93</u>	: Date of Reminder Call	:	: <u>1/1</u>
: Due Date of Review	:	: Requested Information	:	:
: (Rate of Return Report	:	: Received	:	: <u>1/1</u>
: or Deficiency Letter)	: <u>6/18/93</u>	: Passed (Yes/No)	:	: <u>5/2/94</u>
: Date Returned	: <u>1/1</u>	: Type of Entity	:	: <u>Corp.</u>

RATE OF RETURN CALCULATION

RATE BASE	Water	Sever	Total
Plant in Service	\$ 14,285	\$ N/A	\$
Plant Held for Future Use			
Accumulated Depreciation	< 2,012 >		
Acquisition Adjustment			
Amort. of Acquisition Adj.			
C.I.A.C.			
Amort. of C.I.A.C.			
Advances for Construction			
Working Capital	1,104		
Rate Base	\$ 45,377	\$	\$

NET OPERATING INCOME	Water	Sever	Total
Operating Revenues	\$ 5,548	\$	\$
Operating Expenses:			
Operating Expense	\$ 8,830	\$	\$
Depreciation Expense	811		
Amortization Expense	0		
Taxes Other Than Income	0		
Other	0		
Income Taxes			
Total Expenses	\$ 9,641	\$	\$
Net Operating Income (Loss)	\$ < 4,093 >	\$	\$
Rate of Return	Neq		

1992 CLASS C ANNUAL REPORT REVIEW

UTILITY NAME: Raintree Utilities, Inc.

Reviewed by: Jennifer J. Trevijero

Status: (x) Passed () Returned () Less than \$10,00 Revenue

Page	Points off	Requirements (Points)
F-2	✓	Compare Address, Phone #, and Contact with Utility List
	✓	Date of Original Organization (1)
	✓	Business Entity Type (3)
	✓	Name & Title of Officers (1)
	✓	Address/Phone: Location of Books & Records (1)
	Owner	Name & Address of Preparer of Report (1)
	✓	Ownership (Return)
F-3	✓	Gross Revenue Breakdown (3)
	✓	O&M Ties to Water & Sewer Schedules (5)
	✓	Depreciation Ties to Analysis of Depreciation (1)
	✓	Taxes Other Ties to Taxes Accrued Schedule (1)
	✓	Income Statement Complete (Return)
F-4	✓	Balance Sheet Complete & Balanced (Return)
F-5	✓	Net Utility Plant Complete (1)
	✓	UPIS Ties to Water & Sewer Plant Schedules (1)
	✓	Accumulated Depreciation Complete (1)
	✓	A/D Ties to Water & Sewer Analysis of Depreciation (1)
F-6	✓	Capital Stock Complete (3)
	✓	Retained Earnings Complete (3)
	N/A	Long-Term Debt Complete (3)
F-7	✓	Taxes Accrued Schedule Complete (1)
	N/A	Outside Services Schedule Complete (1)
F-8	✓ N/A	CIAC Complete (5)
W-1	✓	Water Plant - Breakdown after 1 year (Return)
W-2	✓	Water Analysis of Depreciation Complete (1)
W-3	✓	Water O&M Expense - Breakdown Required (Return)
	✓	Water Customers Complete (2)
W-4	✓	Pumping & Purchased Water Complete (Return)
S-1	N/A	Sewer Plant - Breakdown after 1 year (Return)
S-2	✓	Sewer Analysis of Depreciation Complete (1)
S-3	✓	Sewer O&M Expense - Breakdown Required (Return)
	✓	Sewer Customers Complete (2)
S-5	✓	Gallons of Sewage Treated (1)
Certifi- cation	✓	Certification Signed by Officers (Return)
	✓	Certification Page Missing (Return)
	0	TOTAL POINTS OFF

CLASS "C"
RECEIVED

WATER and/or SEWER UTILITIES
ADMINISTRATION
(Gross Revenue of Less Than \$150,000 Each)

ANNUAL REPORT

OF

NU663
Raintree Utilities, Inc.
2101 South Bay Street
Eustis, FL 32726-6356

NU 35

RECEIVED

MAY 20 1993

Florida Public Service Commission
Division of Water and Wastewater

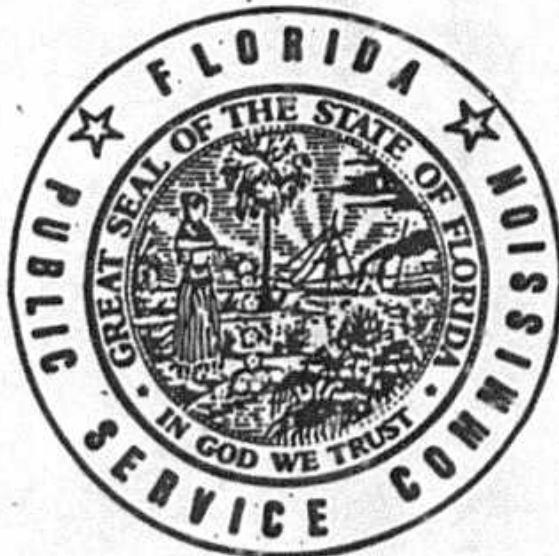
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Certificate Number(s)

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DIVISION OF

WATER AND SEWER

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FOR THE
YEAR ENDED DECEMBER 31, 19 92