

REQUEST TO ESTABLISH DOCKET
(PLEASE TYPE)

Date August 16, 1999

Docket No. 991130-TC

1. Division Name/Staff Name Communications/Isler

2. OPR Communications/Isler

3. OCR Legal Services/K. Pena; C. Bedell

4. Suggested Docket Title Cancellation by Florida Public Service Commission of PATS Certificate No. 5042 Issued to Richard C. Herschler d/b/a Futures Trading Group, for Violation of Rules 25-4.0161, F.A.C., Regulatory Assessment Fees; Telecommunications Companies and 25-24.520, F.A.C., Reporting Requirements.

5. Suggested Docket Mailing List (attach separate sheet if necessary)

- A. Provide NAMES ONLY for regulated companies or ACRONYMS ONLY regulated industries, as shown in Rule 25-22.104, F.A.C.
- B. Provide COMPLETE name and address for all others. (Match representatives to clients.)

1. Parties and their representatives (if any)

<u>Richard C. Herschler</u>	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

2. Interested Persons and their representatives (if any)

_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

6. Check one:

- Documentation is attached.
- Documentation will be provided with recommendation.

I:\PSC\RAR\WP\ESTDKT.

PSC/RAR 10 (Revised 01/96)

DOCUMENT NUMBER-DATE
 09802 AUG 18 88
 FPSC-RECORDS/REPORTING

Report of Delinquent Regulatory Assessment Fees

As of 08/13/1999

TF938: Futures Trading Group
23224 Fullerton Avenue
Port Charlotte, FL 33980-1920

Liaison: Richard C. Herschler, Partner, (941) 255-3255

Certificates: 5042, Status is active,

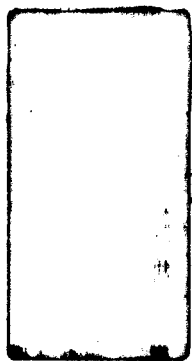
Dates: Effective Date 01/07/1997
Inactive Date / /

RAF Owed: \$ Unknown 01/01/1998 through 12/31/1998
\$ Unknown 01/01/1997 through 12/31/1997

* Rept. Reg. - RAR
returned mail

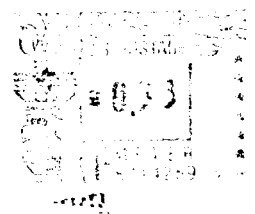
* '98 RAF notice set.

* PATs memo set.



State of Florida
Public Service Commission

2540 Shumard Oak Boulevard
 Tallahassee, Florida 32399-0850



Futures Trading Group
 23224 Fullerton Avenue
 Port Charlotte, FL 33980-1920



REASON CHECKED
 UNCLAIM'D _____ REUSED _____
 ATTEMPTED NOT KNOWN _____
 INSUFFICIENT ADDRESS _____
 NO FORWARD UNIT NUMBER _____
 INABLE TO DELIVER _____
 RETURN TO SENDER 04 JUN 30 1999

33980-1920-0830



State of Florida

-M-E-M-O-R-A-N-D-U-M-



Public Service Commission

DATE: July 29, 1999

TO: All Pay Telephone Providers

FROM: Walter D'Haeseleer, Director, Division of Telecommunications 

RE: Proposed Fine Increase

Chairman Joe Garcia announced at the Agenda Conference yesterday that the proposed fines for apparent violations of the pay telephone rules are going to increase. Accordingly, staff will be recommending to the Commission a proposed fine of \$1,000 per repeat infraction of the rules.

Commission staff has been conducting random service evaluations of pay telephones across the state and has been sending letters notifying the providers of the apparent violations. Staff has then been reevaluating the pay telephones and if the same apparent violation was found, a show cause docket was opened. In the past, the recommended fines have been low; however, as a pay telephone service provider, you are required to be aware of, and comply with, the rule requirements.

If you receive a notice from staff of apparent violations, please be sure you understand what the apparent violation is and respond to staff within the time frame indicated in the letter. In your response, please indicate what was done to correct the problem, or if you could not duplicate the problem or disagree with the findings of staff, please so indicate on the return form. Additionally, you are always welcome to call us at anytime for clarification.

Failure to correct violations or to respond to staff will result in a recommendation by Commission staff to fine or cancel your certificate.

M E M O R A N D U M

July 28, 1999

TO: Rick Moses, Division of Communications
FROM: Nonnye Grant, Division of Records and Reporting *nbg*
RE: Returned Mail

We have received returned mail from the United States Post Office on the following Company: 4th REQUEST

FUTURES TRADING GROUP (RICHARD C, HERSCHLER d/b/a) - TF938

The Post Office returned the mail on the above Company stating the following reason(s):

____ Addressee Unknown
____ Attempted - Not Known
____ Box Closed - No Order
____ Forwarding Order Expired
____ Insufficient Address
____ Moved, Left No Forwarding Address
____ No Forward Order on File
____ No Mail Receptacle/Vacant
____ No Such Person or Number
____ No Such Office in State
____ No Such Street
____ Not at this Address
____ Refused
XXX Return to Sender
____ Return for Better Address
XXX Unable to Forward
____ Unable to Locate
____ Unclaimed
XXX Undeliverable as Addressed
____ Vacant
____ Verification of Address furnished by Post Office

RECEIVED

JUL 30 1999

CMU

Please furnish us with a current address, both for mail and location. Also, we need to have new phone and fax numbers since they have moved. Should you not be able to get a current address, can staff initiate proceedings to have the Company's certificate canceled?

Copy of returned envelope attached for your information.

/nbg
Attachment(s)

Florida Public Service Commission
2540 Shumard Oak Blvd.
Tallahassee, FL 32399-0870



NOT DELIVERABLE AS ADDRESSED
UNABLE TO FORWARD

TF 9 38

Futures Trading Group (Richard C. Herschler dba)
23224 Fullerton Avenue
Port Charlotte FL 33980-1920

4th Reg

M E M O R A N D U M

February 25, 1999

TO: Rick Moses, Division of Communications
FROM: Nonnye Grant, Division of Records and Reporting *nb*
RE: Returned Mail

We have received returned mail from the United States Post Office on the following Company: 3rd REQUEST

FUTURES TRADING GROUP (RICHARD C. HERSCHLER D/B/A) - (TF938)

The Post Office returned the mail on the above Company stating the following reason(s):

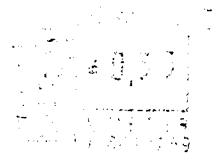
Addressee Unknown
 Attempted - Not Known
 Box Closed - No Order
 Forwarding Order Expired
 Insufficient Address
 Moved, Left No Forwarding Address
 No Forward Order on File
 No Mail Receptacle/Vacant
 No Such Person or Number
 No Such Office in State
 No Such Street
 Not at this Address
 Refused
 Return to Sender
 Return for Better Address
 Unable to Forward
 Unable to Locate
 Unclaimed
 Undeliverable as Addressed
 Vacant
 Verification of Address furnished by Post Office

Please furnish us with a current address, both for mail and location. Also, we need to have new phone and fax numbers since they have moved. Should you not be able to get a current address, can staff initiate proceedings to have the Company's certificate canceled?

Copy of returned envelope attached for your information.

/nbg
Attachment(s)

Commission
3-0870



(Richard C. Herschler dba)



Futures Trading Group
23224 Fullerton Avenue
Port Charlotte FL 33980-1920

REASON CHECKED

UNCLAIMED _____ REFUSED

ATTEMPTED NOT KNOWN

INSUFFICIENT ADDRESS _____

NO SUCH STREET _____ NUMBER _____

NO UNIT NUMBER _____

FORWARD ORDER EXPIRED _____

VACANT _____ NO MAIL BOX _____

ROUTE NO _____ CARRIER _____

TF938

1st Reg 10-1-98

2nd Reg 12-23-98

3rd Reg 2-25-99

M E M O R A N D U M

December 23, 1998

TO: Rick Moses, Division of Communications
FROM: Nonnye Grant, Division of Records and Reporting *11/9*
RE: Returned Mail

We have received returned mail from the United States Post Office on the following Company: 2nd REQUEST

FUTURES TRADING GROUP (RICHARD C. HERSCHLER D/B/A) - (TF938)

The Post Office returned the mail on the above Company stating the following reason(s):

- Addressee Unknown
- Attempted - Not Known
- Box Closed - No Order
- Forwarding Order Expired
- Insufficient Address
- Moved, Left No Forwarding Address
- No Forward Order on File
- No Mail Receptacle/Vacant
- No Such Person or Number
- No Such Office in State
- No Such Street
- Not at this Address
- Refused
- Return to Sender
- Return for Better Address
- Unable to Forward
- Unable to Locate
- Unclaimed
- Undeliverable as Addressed
- Vacant
- Verification of Address furnished by Post Office

RECEIVED
DEC 25 1998
CMU

Please furnish us with a current address, both for mail and location. Also, we need to have new phone and fax numbers since they have moved. Should you not be able to get a current address, can staff initiate proceedings to have the Company's certificate canceled?

Copy of returned envelope attached for your information.

/nbg
Attachment(s)

TF 938

Futures Trading Group (Richard C. Herschler dba)
23224 Fullerton Avenue
Port Charlotte FL 33980-1920

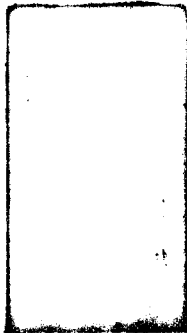
FUTU224 339801013 1897 11 11/24/98
FORWARD TIME EXP RIN TO SEND
; FUTURES TRADING GROUP
5184 BOYLE TER
PORT CHARLOTTE FL 33981-2202

RETURN TO SENDER



1st Reg 10-1-98

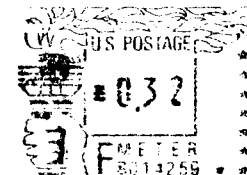
2nd Reg 12-23-98



State of Florida

Public Service Commission

2540 Shumard Oak Boulevard
Tallahassee, Florida 32399-0850



'98 RAF Notice

(SEE FILING INSTRUCTIONS ON BACK OF COVER)

n

TF938
Futures Trading Group
23224 Fullerton Avenue
Port Charlotte, FL 33980-1

FUTU224 339802097 1897 22 12/14/98
FORWARD TIME EXP RTN TO SEND
FUTURES TRADING GROUP
5184 BOYLE TER
PORT CHARLOTTE FL 33981-2202

RETURN TO SENDER

33980-1920 79



M E M O R A N D U M

October 1, 1998

TO: Rick Moses, Division of Communications
FROM: Nonnye Grant, Division of Records and Reporting *NG*
RE: Returned Mail

We have received returned mail from the United States Post Office on the following Company:

FUTURES TRADING GROUP (RICHARD C. HERSCHLER d/b/a) - (TF938)

The Post Office returned the mail on the above Company stating the following reason(s):

Addressee Unknown
 Attempted - Not Known
 Box Closed - No Order
 Forwarding Order Expired
 Insufficient Address
 Moved, Left No Forwarding Address
 No Forward Order on File
 No Mail Receptacle/Vacant
 No Such Person or Number
 No Such Office in State
 No Such Street
 Not at this Address
 Refused
 Return to Sender
 Return for Better Address
 Unable to Forward
 Unable to Locate
 Unclaimed
 Undeliverable as Addressed
 Vacant
 Verification of Address furnished by Post Office

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Copy of returned envelope attached for your information.

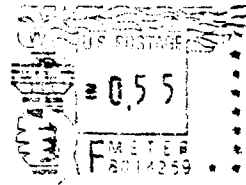
/nbg
Attachment (s)

RECEIVED

OCT 1 1998

CMU

Florida Public Service Commission
2540 Shumard Oak Blvd.
Tallahassee, FL 32399-0870



TF938

Futures Trading Group *(Richard C. Herschler dba)*
23224 Fullerton Avenue
Port Charlotte FL 33980-1920

FUTU224 339802020 1477 24 09/18/98
FORWARD TIME EXP RTN TO SEND
FUTURES TRADING GROUP
5184 BOYLE TER
PORT CHARLOTTE FL 33981-2202
RETURN TO SENDER

