## **STATE OF FLORIDA**

Commissioners: Lila A. Jaber, Chairman J. Terry Deason Braulio L. Baez Michael A. Palecki Rudolph "Rudy" Bradley



OFFICE OF THE GENERAL COUNSEL HAROLD A. MCLEAN GENERAL COUNSEL (850) 413-6199

## Hublic Service Commission

September 13, 2002

Melanie Davis 26650 Robin Way Bonita Springs, FL 34135

RE: Docket No. 020640-SU - Application for certificate to provide wastewater service in Lee County by Gistro, Inc.

Dear Ms. Davis:

SEC

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Pursuant to Section 120.573, Florida Statutes, state agencies have been charged with the responsibility of promoting mediation of administrative disputes consistent with the provisions contained therein. Mediation is an informal dispute resolution process in which a neutral third party, the mediator, helps the disputing parties reach an agreement upon the disputed matter. The mediator has no power to impose a decision on the parties. Mediation is a desirable alternative to an administrative hearing in that it can be less expensive, less time-consuming, and allows the parties themselves to negotiate settlement of disputed issues.

We will soon contact you to discuss whether this case can be handled through mediation. We encourage you to raise any questions or concerns you may have concerning mediation at that time.

If the affected persons agree to proceed to mediation, Commission staff will draft and submit for your approval an agreement to mediate. For your information, a sample Agreement to Engage AUS in Mediation is enclosed with this letter. The agreement will include provisions for mediator CAF CMP selection, the allocation of any costs and fees associated with the mediation, and the mediating COM -----parties' understanding regarding the confidentiality of discussions and documents introduced during CTR mediation, as well as the participation by Commission staff in the mediation process. Pursuant to ECR Section 120.573, Florida Statutes, the mediation will conclude within 60 days of the agreement GCI. OPC unless otherwise agreed upon by the parties. MMS

In order for the mediation to be successful, all parties must be committed to engaging in good Taith negotiations in order to reach a binding agreement on how to resolve this matter. Therefore, it is important for all parties to be present at the mediation who are able to agree to a binding solution.

DOCUMENT NITMPER 24-1

CAPITAL CIRCLE OFFICE CENTER • 2540 SHUMARD OAK BOULEVARD • TALLAHASSEE, FL 32399-0850 An Affirmative Action/Equal Opportunity Employer Melanie Davis Page 2 September 13, 2002

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If you have any questions, please feel free to contact me at (850) 413- 6224. Thank you for your attention to this matter.

Sincerely, n me

Rosanne Gervasi Senior Attorney

Enclosure rg/dm

cc: Division of the Commission Clerk and Administrative Services Division of Economic Regulation (Brady, Daniel) Office of the General Counsel (Helton)

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## Sample AGREEMENT TO ENGAGE IN MEDIATION FLORIDA PUBLIC SERVICE COMMISSION

Upon signing of this document, each of the parties identified below voluntarily agrees to engage in mediation in good faith for the purpose of resolving the disputed issues of Docket No. . The process shall be concluded within 60 days of the date this agreement is signed unless otherwise agreed in writing by all parties.

Each party understands that choosing to engage in mediation is voluntary and does not confer or limit standing in any subsequent judicial or administrative proceeding. However, nonparticipation may limit standing as provided in Rule 28-106.111, FAC. Each party retains the right to a formal hearing before the Florida Public Service Commission (Commission) should the issues fail to be resolved through the mediation process. If any issues remain unresolved, the Commission shall notify the parties in writing of the legal remedies which remain available for disposition of the dispute and the deadlines for pursing each within 7 days of the conclusion of the mediation.

If the parties have selected a mediator, provide the following. Name:\_\_\_\_\_;

Address:\_\_\_

; Telephone Number:\_\_\_

Each party agrees that the cost of engaging the services of an outside mediator will be shared equally among the parties (excluding the Commission) unless specifically stated otherwise and agreed to in writing by all parties.

Each party agrees that the Commission may assign a staff member to conduct the mediation unless a mutually agreed upon mediator is selected by the parties. There is no charge for mediation services when the mediation is conducted by a member of the Commission staff.

Each party agrees that all mediation communications of the parties or the mediator prepared for the purpose of mediation shall be considered confidential offers of settlement and are not admissible in subsequent administrative, legislative, or judicial proceedings, unless: agreed to in writing by all parties; the communication has already been made public; or, the communication is required to be made public by statute or judicial order.

Each party understands that any agreement reached through the mediation process is subject to final review by the Commission. The Commission's final review of the mediated agreement shall be limited to whether the agreement is lawful and in the public interest.

Signature

Date

Signature

Name of Party

Name of Party

Date

Below, please list the date, time and place of the first mediation session.

Date of first mediation session \_\_\_\_\_\_ Time\_\_\_\_\_

Place first session to be conducted \_\_\_\_\_\_.

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Below, please list the names, addresses, and telephone numbers of any persons who may attend the mediation; please also indicate whether the person is authorized to settle or recommend settlement in this case:

Name			Name		
Representing		<u> </u>	Representing		
Address			Address		
City	State	zipcode	City	State	zipcode
Telephone number Authorized to negotiate settlement: Yes No			Telephone number Authorized to negotiate settlement: Yes No		
Name			Name		•
Representing			Representing		
Address			Address		
City	State	zipcode	City	State	zipcode
Telephone number Authorized to negotiate settlement: Yes No			Telephone number Authorized to negotiate settlement: Yes No		
Name		· ·	Name		
Representing			Representing		
Address			Address		
City	State	zipcode	City	State	zipcode
Telephone number Authorized to negotiate settlement: Yes No			Telephone number Authorized to negotiate settlement: Yes No		

## Sample REQUEST FOR MEDIATION

Florida Public Service Commission

NAME OF PARTY

PARTY'S REPRESENTATIVE (IF ANY)

TELEPHONE NUMBER (VOICE)

TELEPHONE NUMBER (FAX)

ADDRESS

ADDRESS

TELEPHONE NUMBER (VOICE)

TELEPHONE NUMBER (FAX)

Statement of preliminary agency action in Docket No.\_\_\_\_\_.

Using the space below or additional pages if necessary, explain how your substantial interests will be affected by the Florida Public Service Commission's decision in this case. Please also specifically state the relief sought.

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