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Florida Applications Copy and Mailing Instructions:

Total copies: 8

Mailing Instructions:

Original and 6 copies:

Florida Public Service Commission
Division of Competitive Markets and Enforcement
Certification
2540 Shumard Oak Blvd.
Tallahassee, Florida 32399-0850

Telephone:(850) 413-6600

One copy:
Gwen Rowden
Vice President and General Counsel
Rockefeller Group International, Inc.
1221 Avenue of the Americas, 9th Floor
New York, New York 10020

One copy:
Tom Zink
Assistant Vice President
Rockefeller Group Telecommunications Services, Inc.
1221 Avenue of the Americas, 3rd Floor
New York, New York 10020

Return Address:

Kathleen Hawkins Berkowe, PC
Attorney at Law
122 Smith Ridge Road
South Salem, New York 10590

Please retain one copy for my (Kathleen's files)

RECEIVED-FPSC
03 JUL -1 AM 9:24
COMMISSION
CLERK

ROCKEFELLER GROUP
TELECOMMUNICATIONS SERVICES, INC.

DOCUMENT NUMBER-DATE

05843 JUL-13

FPSC-COMMISSION CLERK

KATHLEEN HAWKINS BERKOWE, PC
ATTORNEY AT LAW

122 SMITH RIDGE ROAD
SOUTH SALEM, NEW YORK 10590

TEL/FAX 914.533.3280

EMAIL: khblawyr@aol.com

WEBSITE: <http://lawyers.com/kathleenhawkinsberkove>

June 26, 2003

Florida Public Service Commission
Division of Competitive Markets and Enforcement Certification
2540 Shumard Oak Blvd.
Tallahassee, Florida 32399-0850

Dear Sirs/Mesdames:

Re: Application of RGT Utilities of Florida, Inc. for Local Service

Enclosed please find the Application of RGT Utilities of Florida, Inc. to provide Local Service in the State of Florida.

Also enclosed with the application are the following:

- A check for \$250.00
- A request for waiver of rule 25-24.490(2) which forbids the holding of deposits.

The financial information of the parent corporation of applicant is being submitted under separate cover together with a request for confidentiality of the financial information.

Please call or email with any questions, comments, issues or requests for additional information prior to taking any adverse action.

Very truly yours,


Kathleen Hawkins Berkowe

KATHLEEN HAWKINS BERKOWE PC
Attorney at Law
122 Smith Ridge Road
South Salem, New York 10021

Tel/Fax. 914.533.3280

email: khblawyr@aol.com

06/26/2003

Florida Public Service Commission
Division of the Commission Clerk and Administrative Services
2540 Shumard Oak Boulevard
Tallahassee, Florida 32399-0850

RE: Application for RGT Utilities of Florida, Inc. for Authority to Provide Local Service within the state of Florida – Request for Waiver of RULE 25-24.490(2)

Dear Sirs/ Mesdames:

We respectfully request a waiver of RULE 25-24.490(2), which forbids holding deposits.

RGT Utilities of Florida, Inc. will request deposits only in circumstances in which it is reasonable to anticipate that payments may not be made. Because of the circumstances in which deposits will be required, it is anticipated that deposits will be held for a small number of customers. Thus, it is anticipated that the total amount of deposits held by RGT Utilities of Florida, Inc. at any time will be limited. It is anticipated that RGT Utilities of Florida, Inc. should be in a position to repay all deposits.

Moreover, the obligations of RGT Utilities of Florida, Inc., Inc. will be guaranteed by its parent, as indicated in Attachment 18C (the contents whereof are confidential). The guaranteed obligations include customer deposits.

Please contact the undersigned with any questions or comments, and particular, in advance of any decision to the contrary.

Thank you for your attention to this application.

Very truly yours,



Kathleen Hawkins Berkowe

REDACTED

1029

KATHLEEN HAWKINS BERKOWE, PC

THE CHASE MANHATTAN BANK
BRIARCLIFF, NY 10510
1-2-210

June 24, 2003.

PAY TO THE
ORDER OF

Florida Public Service Commission \$250.00
two hundred and fifty and ~~00~~/~~100~~

DOLLAR

MEMO RGT Utilities of florida, Inc. (local)

Kathleen Hawkins Berkowe

**** FLORIDA PUBLIC SERVICE COMMISSION ****

DIVISION OF COMPETITIVE MARKETS AND ENFORCEMENT
CERTIFICATION

APPLICATION FORM
for
AUTHORITY TO PROVIDE
ALTERNATIVE LOCAL EXCHANGE SERVICE
WITHIN THE STATE OF FLORIDA

Instructions

- ◆ This form is used as an application for an original certificate and for approval of the assignment or transfer of an existing certificate. In the case of an assignment or transfer, the information provided shall be for the assignee or transferee (See Page 12).
- ◆ Print or type all responses to each item requested in the application and appendices. If an item is not applicable, please explain why.
- ◆ Use a separate sheet for each answer which will not fit the allotted space.
- ◆ Once completed, submit the original and six (6) copies of this form along with a non-refundable application fee of **\$250.00** to:

Florida Public Service Commission
Division of the Commission Clerk and Administrative Services
2540 Shumard Oak Blvd.
Tallahassee, Florida 32399-0850
(850) 413-6770

- ◆ If you have questions about completing the form, contact:

Florida Public Service Commission
Division of Competitive Markets and Enforcement
Certification
2540 Shumard Oak Blvd.
Tallahassee, Florida 32399-0850
(850) 413-6600

APPLICATION

1. This is an application for (check one):

Original certificate (new company).

Approval of transfer of existing certificate: Example, a non-certificated company purchases an existing company and desires to retain the original certificate of authority.

Approval of assignment of existing certificate: Example, a certificated company purchases an existing company and desires to retain the certificate of authority of that company.

Approval of transfer of control: Example, a company purchases 51% of a certificated company. The Commission must approve the new controlling entity.

2. Name of company:

RGT Utilities of Florida, Inc.

3. Name under which the applicant will do business (fictitious name, etc.):

RGT Utilities of Florida, Inc.

4. Official mailing address (including street name & number, post office box, city, state, zip code):

1221 Avenue of the Americas 3rd Floor
New York, New York, 10021

5. Florida address (including street name & number, post office box, city, state, zip code):

None at this time

6. Structure of organization:

- | | |
|---|--|
| <input type="checkbox"/> Individual | <input type="checkbox"/> Corporation |
| <input checked="" type="checkbox"/> Foreign Corporation | <input type="checkbox"/> Foreign Partnership |
| <input type="checkbox"/> General Partnership | <input type="checkbox"/> Limited Partnership |
| <input type="checkbox"/> Other | |

7. If individual, provide:

Name:

Title:

Address:

City/State/Zip:

Telephone No.: _____ Fax No.:

Internet E-Mail Address:

Internet Website Address:

8. If incorporated in Florida, provide proof of authority to operate in Florida: N/A

(a) **The Florida Secretary of State corporate registration number:**

9. If foreign corporation, provide proof of authority to operate in Florida:

(a) The Florida Secretary of State corporate registration number:

10. If using fictitious name-d/b/a, provide proof of compliance with fictitious name statute (Chapter 865.09, FS) to operate in Florida: N/A

(a) The Florida Secretary of State fictitious name registration number:

11. If a limited liability partnership, provide proof of registration to operate in Florida: N/A

(a) The Florida Secretary of State registration number:

12. If a partnership, provide name, title and address of all partners and a copy of the partnership agreement. N/A

Name:

Title:

Address:

City/State/Zip:

Telephone No.: _____ Fax No.:

Internet E-Mail Address:

Internet Website Address:

13. If a foreign limited partnership, provide proof of compliance with the foreign limited partnership statute (Chapter 620.169, FS), if applicable. N/A

(a) The Florida registration number:

14. Provide F.E.I. Number(if applicable): N/A

15. Indicate if any of the officers, directors, or any of the ten largest stockholders have previously been:

(a) adjudged bankrupt, mentally incompetent, or found guilty of any felony or of any crime, or whether such actions may result from pending proceedings. Provide explanation.

None of the above have occurred with respect to any of the officers, directors or ten largest stockholders.

(b) an officer, director, partner or stockholder in any other Florida certificated telephone company. If yes, give name of company and relationship. If no longer associated with company, give reason why not.

No officer, director or stockholder is or has been an officer of any other Florida certificated telephone company.

16. Who will serve as liaison to the Commission with regard to the following?

(a) The application:

Name: Kathleen Hawkins Berkowe

Title: Attorney

Address: Kathleen Hawkins Berkowe PC
122 Smith Ridge Road

City/State/Zip: South Salem, New York 10590

Telephone No.: 914-533-3280 Fax No.: 914-533-3280

Internet E-Mail Address: khblawyr@aol.com

Internet Website Address: N/A

(b) Official point of contact for the ongoing operations of the company:

Name: Tom Zink
Title: Assistant Vice President
Rockefeller Group Telecommunications Services, Inc.
Address: 1221 Avenue of the Americas

City/State/Zip: New York, New York 10021

Telephone No.: 212-282-2200

Fax No.: 212-282-2668

Internet E-Mail Address: tzink@rgts.com

Internet Website Address: www.rgts.com

(c) Complaints/Inquiries from customers:

Name: Mary Martorano

Title: Director, Network Operations Center

Address: Rockefeller Group Telecommunications Services, Inc.
1221 Avenue of the Americas

City/State/Zip: New York, New York 10021

Telephone No.: 212-282-2222

Fax No.: 212-282-2604

Internet E-Mail Address: noc@rgts.com

Internet Website Address: rgts.com

17. List the states in which the applicant:

(a) has operated as an alternative local exchange company.
None

(b) has applications pending to be certificated as an alternative local exchange company.
None

(c) is certificated to operate as an alternative local exchange company.
None

- (d) has been denied authority to operate as an alternative local exchange company and the circumstances involved.

None

- (e) has had regulatory penalties imposed for violations of telecommunications statutes and the circumstances involved.

None

- (f) has been involved in civil court proceedings with an interexchange carrier, local exchange company or other telecommunications entity, and the circumstances involved.

None

18. Submit the following:

- A. Managerial capability: give resumes of employees/officers of the company that would indicate sufficient managerial experiences of each.**

See Attachment 18 A

- B. Technical capability: give resumes of employees/officers of the company that would indicate sufficient technical experiences or indicate what company has been contracted to conduct technical maintenance.**

See Attachment 18 B

C. Financial capability.

See Attachment 18 C

The application **should contain** the applicant's audited financial statements for the most recent 3 years. If the applicant does not have audited financial statements, it shall so be stated.

The unaudited financial statements should be signed by the applicant's chief executive officer and chief financial officer **affirming that the financial statements are true and correct** and should include:

1. the balance sheet:
2. income statement: and
3. statement of retained earnings.

NOTE: *This documentation may include, but is not limited to, financial statements, a projected profit and loss statement, credit references, credit bureau reports, and descriptions of business relationships with financial institutions.*

Further, the following (which includes supporting documentation) should be provided:

1. **written explanation** that the applicant has sufficient financial capability to provide the requested service in the geographic area proposed to be served.
2. **written explanation** that the applicant has sufficient financial capability to maintain the requested service.
3. **written explanation** that the applicant has sufficient financial capability to meet its lease or ownership obligations.

THIS PAGE MUST BE COMPLETED AND SIGNED


APPLICANT ACKNOWLEDGMENT STATEMENT

1. **REGULATORY ASSESSMENT FEE:** I understand that all telephone companies must pay a regulatory assessment fee in the amount of .15 of one percent of gross operating revenue derived from intrastate business. Regardless of the gross operating revenue of a company, a minimum annual assessment fee of \$50 is required.
2. **APPLICATION FEE:** I understand that a non-refundable application fee of \$250.00 must be submitted with the application.

UTILITY OFFICIAL:

Gwen Rowden

Print Name

X 
Signature

Vice President and General Counsel

Title

X 6-19-03

Date

212-282-2006

212-282-2005

Telephone No.

Fax No.

Address:

**1221 Avenue of the Americas, 11th Floor
New York, New York 10020**

THIS PAGE MUST BE COMPLETED AND SIGNED

AFFIDAVIT

By my signature below, I, the undersigned officer, attest to the accuracy of the information contained in this application and attached documents and that the applicant has the technical expertise, managerial ability, and financial capability to provide interexchange telecommunications service in the State of Florida. I have read the foregoing and declare that, to the best of my knowledge and belief, the information is true and correct. I attest that I have the authority to sign on behalf of my company and agree to comply, now and in the future, with all applicable Commission rules and orders.

Further, I am aware that, pursuant to Chapter 837.06, Florida Statutes, "Whoever knowingly makes a false statement in writing with the intent to mislead a public servant in the performance of his official duty shall be guilty of a misdemeanor of the second degree, punishable as provided in s. 775.082 and s. 775.083."

UTILITY OFFICIAL:

Gwen A Rowden

Print Name


Signature

Vice President

Title

Date 6/20/03

212-282-2004

Telephone No.

Fax No. 212-282-2005

Address: 1221 Avenue of the Americas
New York, New York 10020

INTRASTATE NETWORK (if available)

Chapter 25-24.825 (5), Florida Administrative Code, requires the company to make available to staff the alternative local exchange service areas only upon request.

1. **POP:** Addresses where located, and indicate if owned or leased.

1) _____ 2)

3) _____ 4)

2. **SWITCHES:** Address where located, by type of switch, and indicate if owned or leased.

1) _____ 2)

3) _____ 4)

3. **TRANSMISSION FACILITIES:** POP-to-POP facilities by type of facilities (microwave, fiber, copper, satellite, etc.) and indicate if owned or leased.

POP-to-POP

OWNERSHIP

1) _____

2) _____

3) _____

4) _____

CERTIFICATE SALE, TRANSFER, OR ASSIGNMENT STATEMENT

I, (Name)
(Title) _____ of (Name of Company)

and current holder of Florida Public Service Commission Certificate Number #
_____, have reviewed this application and join in the petitioner's request for a:

- () sale
- () transfer
- () assignment

of the above-mentioned certificate.

UTILITY OFFICIAL:

Print Name

Signature

Title

Date

Telephone No.

Fax No.

Address:

**Application for Authority to Provide
Alternative Local Exchange Service
within the State of Florida**

RGT Utilities of Florida, Inc.

ATTACHMENT 18 A – Managerial Capability –

Name: Richard W. Helmuth

Current position: RGTS President and Chief Executive Officer,
11/00 – Present

Education: BS, Economics, Susquehanna University

MBA, Management Information Systems, Philadelphia
University

**Telecom
Experience:** 17 years of telecommunications industry experience

- ◆ Genuity, Vice President, Provisioning, 1/98 – 10/00 —
Responsible for all domestic circuit provisioning
- ◆ GTE Service Corporation, Assistant Vice President,
11/97 – 1/98 – Responsible for operations process
planning and service assurance
- ◆ RWH Consulting, Inc./NextWave Telecom Inc.,
President/Vice President, 5/96-11/97 – Consulted on and
carried responsibilities in projects involving marketing,
profit and loss management, network design and
operations, and business development
- ◆ PECO Energy Company, Director, 2/92 – 4/96 – lead
network engineering program; developed
telecommunications strategies for business growth and
efficiency; developed corporate communications
infrastructure strategy using SONET and RF
technologies leveraged to migrate into
telecommunications industry
- ◆ General Electric Company, Manager, Operations and
Engineering, 6/90 – 2/92 – Military and data systems
operations
- ◆ General Electric Company, Manager, Network
Operations, 8/87 – 6/90 – Military and data systems
operations; communication system design leadership
- General Electric Company, Manager, Ground Systems
Engineering, 1/86 – 8/87 – Technical direction of the
Systems Engineering team

**Application for Authority to Provide
Alternative Local Exchange Service
within the State of Florida**

RGT Utilities of Florida, Inc.

ATTACHMENT 18 A - Managerial Capability -

Name: Daniel R. Healy

Current position: RGTS Executive Vice President, 2001-present

Education: BS, 1969, Siena College

Telecom

Experience:

- 23 years in telecommunications industry
- ◆ Sr. Vice President, Telecommunications Services, RGTS, 2 years
 - ◆ Vice President, Sales and Operations, RGTS, 1 year
 - ◆ Vice President, Sales and Client Support Services, RGTS, 1 year
 - ◆ Vice President, Sales, RGTS, 6 years
 - ◆ Vice President of Marketing & Sales, Coradian Corporation, 3 years
 - ◆ Marketing Manager, IBM/Rolm Corporation, 2 years
 - ◆ Director Major Accounts, IBM/Rolm Corporation, 2 years
 - ◆ Vice President TRAC Services, Coradian Corporation, 2 years
 - ◆ Albany Branch Sales Manager, Coradian Corporation, 2 years

**Application for Authority to Provide
Alternative Local Exchange Service
within the State of Florida**

RGT Utilities of Florida, Inc.

ATTACHMENT 18 A – Managerial Capability –

Name: Dennis P. Oliver

Current position: RGTS Senior vice president of Opportunity Development

Education: BA from Rutgers

MBA from New York University

Telecom

Experience:

35 years in telecommunication industry

- ◆ 20 years in AT&T, numerous positions with progressive levels of responsibility including engineering, regulatory support, and division manager in product development.
- ◆ 15 years with RGTS with progressive levels of responsibility, including director of operations (6 years), vice president of operations (6 years), and senior vice president of opportunity development (3 years).

**Application for Authority to Provide
Alternative Local Exchange Service
within the State of Florida**

RGT Utilities of Florida, Inc.

ATTACHMENT 18 A – Managerial Capability –

Name: Richard Gross

Current position: RGTS Assistant Vice President, Marketing and Strategic Management), 1/2003 - Present

Education: BBA from IONA (Marketing & Management)

Telecom

Experience:

20 Years in telecommunications Industry

- ◆ 7 years with Siemens (Account Executive and Regional Manager of Quality Assurance and System Design)
- ◆ 10 years with NEC (Sr. Manager of Client Services)
- ◆ 3 years with RGTS (Client Support Director, Business Development and Marketing Director)

**Application for Authority to Provide
Alternative Local Exchange Service
within the State of Florida**

RGT Utilities of Florida, Inc.

ATTACHMENT 18 A – Managerial Capability –

Name: Thomas C. Zink

Current position: Assistant Vice President, Financial Operations,
1/2003 - Present

Education: MBA Finance, Adelphi University

BS Business Administration/Finance, Bryant College

**Telecom
Experience:**

- 14.5 years in telecommunications industry
- Telephonics Corporation, Manager of Finance, 11 years
 - ◆ RGTS, Financial Operations Director, 4 years

**Application for Authority to Provide
Alternative Local Exchange Service
within the State of Florida**

RGT Utilities of Florida, Inc.

Attachment 18 B Technological Capabilities

SUMMARY OF TECHNOLOGICAL CAPABILITIES

RGT Utilities of Florida, Inc. is a newly created member of the Rockefeller Group Telecommunications Services, Inc. family of companies that has provided telecommunications services including local service, intrastate, interstate and international service, equipment, and ancillary services to business customers in the Rockefeller Center area of New York City since 1984. Customers include Fortune-500 public and private company headquarters, as well as law firms, financial advisors and consultants, and small business customers. The family group has expanded to other states and to Canada primarily in response to demands of existing customers to service their offices in other states.

The technology managers of Rockefeller Group Telecommunications Services, Inc., the parent of RGT Utilities of Florida, Inc. also serve as the technology managers of RGTU of Florida, Inc. John Worman, Director of Engineering has over 18 years experience in the telecommunications industry; three years with Rockefeller Group Telecommunications Services, Inc. Mary Martorano, Director, Network Operations Center, has over twenty years experience in the telecommunications industry. Ray Cirasa, Director of Project Management, has over 30 years experience in the telecommunications industry. Frank Weber, Director of Operational Support Systems, has more than fifteen years' experience in the telecommunications industry. Their resumes are attached.

**Application for Authority to Provide
Alternative Local Exchange Service
within the State of Florida**

RGT Utilities of Florida, Inc.

Attachment 18 B Technological Capabilities

Name: Jon Worman

Current position: Director, Engineering, 2000 – present

Education: MS, Systems Management, University of Southern California, 1987

Post Graduate Certificate, Information Systems, University of Southern California, 1986

BA, Computer Science, Rutgers, The State University of New Jersey, 1984

United States Naval Academy, 1980-1983

Continuing Professional Education and Management Seminars, 1988-2000

- ◆ Motorola Augusta Institute of Management, 1998
- ◆ General Electric Management Institute, Crotonville, NY 1991

**Telecom
Experience:**

- 18 years in telecommunications industry
- ◆ Motorola Network Management Group (Propel), Director Business Development, 1 year
 - ◆ Motorola, Communications Enterprise, Director Business Development, 2 years
 - ◆ NextWave Telecom, Inc. Director Specialized Communications Services, 1 year
 - ◆ North American Wireless, Inc., Director, Network Development, 1 year
 - ◆ PECO Energy Company, Telecommunications Consultant, 3 years
 - ◆ General Electric Company, Aerospace Division, Project Engineer, 4 years
 - ◆ United States Marine Corps, Computer Operations Supervisor, 3 years

**Application for Authority to Provide
Alternative Local Exchange Service
within the State of Florida**

RGT Utilities of Florida, Inc.

Attachment 18 B Technological Capabilities

Name: Mary Martorano

Current position: Director, Network Operations Center, 2002 - present

Education: Bachelor of Science Management & Communications,
Adelphi University

Telecom

Experience: 22 years in telecommunications industry

- ◆ Citibank, N.A. - Telecomm Analyst, 2years
- ◆ Chase Manhattan Bank - Telecomm Analyst, 2 years
- ◆ Implementation Specialist, 2 years
- ◆ Business Development Consultant, RGTS, 1 year
- ◆ Implementation and Technical Manager, RGTS, 4 years
- ◆ Client Service Manager, RGTS, 7 years
- ◆ Client Support Representative, RGTS, 3 years

**Application for Authority to Provide
Alternative Local Exchange Service
within the State of Florida**

RGT Utilities of Florida, Inc.

Attachment 18 B Technological Capabilities

Name: Ray Cirasa

Current position: Director, Project Management Office; Enhance the project management process throughout RGTS

Education: High School
Some college, Electrical Engineering/ Management Science

Telecom Experience: 31 years in telecommunications industry

- ◆ 15 years NJ Bell –
 - ◆ 3 years Installation Technician--Installed and serviced residential and public telephones
 - ◆ 9 years Systems Technician--Installed and serviced PBX and associated equipment
 - ◆ 3 years Test Bureau Technician--Remote diagnosis of voice/data circuits/coordinated field and C.O. repairs
 - ◆ 8 years BellSouth
 - ◆ 2 years Field Service Engineer--Tier 2 field support of PBX's and voice applications
 - ◆ 6 years Field Service Manager--Manage installation and service of PBX and voice applications
- ◆ 5 years WilTel/Williams –
 - ◆ 3 years Division Manager--Manage installation service and support (engineering, dispatch, inventory control, and billing) of PBX and voice applications
 - ◆ 2 years VP of Operations--Manage service and support (engineering, dispatch, inventory control, and billing) of voice and data systems and applications
- ◆ 3 years RGTS – Director of Service--Manage installation and service of PBX and voice applications

**Application for Authority to Provide
Alternative Local Exchange Service
within the State of Florida**

RGT Utilities of Florida, Inc.

Attachment 18 B Technological Capabilities

Name: Frank K. Weber, Jr.

Current position: Director of Operational Support Systems, 2001 - present

Education: Bachelor of Science (Computer Science), Hofstra University

**Telecom
Experience:** 15.5 years in telecommunication industry

- ◆ 15.5 years with RGTS
 - 3.5 years-Business Systems Analyst
 - 4 years-Senior Business Systems Analyst
 - 6.9 years-Billing Supervisor/Manager

**Application for Authority to Provide
Alternative Local Exchange Service
within the State of Florida**

RGT Utilities of Florida, Inc.

Attachment 18 B Technological Capabilities

Name: Jessica Bell

Current position: Director, Administration and Organizational Development,
9/2002 - present

Education: BA, Rhetoric, University of California, Berkeley, 1986

MS Educational Psychology, Instructional Design &
Technology, University of Southern California, 1990

Intensive French Studies, Université d'Aix-Marseille III

Continuing Professional Education, 1998:
Finance & Accounting for the Non-Financial Manager
University of Southern California, Marshall School of
Business

*Influence & Leadership, Managing Without Defined
Authority*, Babson College, School of Executive Education

**Telecom
Experience:** 4.5 years in telecommunications industry

- ◆ Performance Improvement Leader, RGTS, 2001 - 2002
- ◆ Production Manager, TelCom Training Corporation, 1 .
year, 1999 - 2000
- ◆ Instructional Design Consultant, Sprint w/Synesis
Corporation, 1999
- ◆ Instructional Design Consultant, Sprint w/Caribiner
International, 1998 - 1999

**Application for Authority to Provide
Alternative Local Exchange Service
within the State of Florida**

RGT Utilities of Florida, Inc.

ATTACHMENT 18 C – Financial Capability

ATTACHMENT 18-C – Financial Capability

The capital necessary to fund the activities of RGT Utilities of Florida, Inc. will be funded through operations of the parent company, Rockefeller Telecommunications Services, Inc. (“RGTS”). RGTS has provided telecommunications services including local service, intrastate, interstate and international service, equipment, and ancillary services to business customers in the Rockefeller Center area of New York City since 1984. Customers include Fortune-500 public and private company headquarters, as well as law firms, financial advisors and consultants, and small business customers. The ongoing operations of RGTS will be sufficient to fund any capital necessary to operate in Florida.

The operations of RGTS are consolidated in the financial statements of its parent, Rockefeller Group International, Inc. (“RGII”). RGII develops, owns and manages real estate and operates other services such as brokerage, as well as telecommunications. RGII’s primary real estate interest is a complex of buildings in Rockefeller Center on the West side of 6th Avenue between 6th Avenue and between 48th and 52nd Streets in New York City. RGII’s financials are not publicly available.

The consolidated financials of RGII have been submitted under separate cover with a request for confidentiality.