

State of Florida



ORIGINAL

Public Service Commission

CAPITAL CIRCLE OFFICE CENTER • 2540 SHUMARD OAK BOULEVARD
TALLAHASSEE, FLORIDA 32399-0850

RECEIVED-FPSC

04 APR -5 PM 12:07

-M-E-M-O-R-A-N-D-U-M-

COMMISSION
CLERK

DATE: April 1, 2004
TO: Blanca S. Bayó, Commission Clerk and Administrative Services Director
FROM: Melinda H. Watts, Engineer III, Division of Competitive Markets & Enforcement *MWA*
RE: Docket No. 040196-TI – Compliance investigation of Globcom, Inc. for apparent violations of Sections 364.02(13) and 364.336, Florida Statutes.

Please add this document to the Docket file for Docket No. 040196-TI.

- AUS _____
- CAF _____
- CMP _____
- CDM _____
- CTR _____
- ECR _____
- GCL _____
- OPC _____
- MMS _____
- SEC I
- OTH _____

DOCUMENT NUMBER-DATE

04262 APR-5 8

FPSC-COMMISSION CLERK

STATE OF FLORIDA

COMMISSIONERS:
LILA A. JABER, CHAIRMAN
J. TERRY DEASON
BRAULIO L. BAEZ
MICHAEL A. PALECKI
RUDOLPH "RUDY" BRADLEY



DIVISION OF AUDITING & SAFETY
DANIEL M. HOPPE, DIRECTOR
(850) 413-6480

Public Service Commission

November 7, 2002

Mr. Glenn Kofman
Globcom, Inc.
2100 Sanders Road, Suite 150
Northbrook, IL 60062-6140

Re: Undocketed; Globcom, Inc.; Audit Request: audit billing records to verify compliance with Commission rules; Audit Control No. 02-311-1-1

Dear Mr. Kofman:

The Florida Public Service Commission will audit billing records to verify compliance with Commission rules, in accordance with Commission audit procedures. Access will be requested to documents and records of the utility and, if necessary, supporting records for affiliate company transactions that affect regulated operations. Staff auditors may also request to review the utility's external audit working papers for the most recent independent audit. Lynn Deamer, (850) 413-6416, the district office supervisor, will coordinate this audit. Questions regarding the audit or audit staff should be directed to the district supervisor or myself. My phone number is (850) 413-6487.

The Audit Access to Records rule for each industry states:

In those instances where the utility disagrees with the auditor's assessment of a reasonable response time to the audit request, the utility shall first attempt to discuss the disagreement with the auditor and reach an acceptable revised date. If agreement cannot be reached, the utility shall discuss the issue with successive levels of supervisors at the Commission until an agreement is reached.

Mr. Glenn Kofman
Page 2
November 7, 2002

A formal report is expected to be issued for internal Commission use on January 15, 2003. A copy of the final report will be mailed to the company liaison listed in the Commission Mailing Directory.

Sincerely,

A handwritten signature in black ink that reads "Denise N. Vandiver". The signature is written in a cursive style with a large initial "D".

Denise N. Vandiver
Bureau Chief of Auditing

cc: Division of Auditing and Safety (Tallahassee District Office Supervisor, File Folder)
Office of the General Counsel
Office of the Public Counsel