

WEST LAKELAND WASTEWATER  
P.O. BOX 1798  
EATON PARK, FL. 33840  
863-904-5574

RECEIVED FPSC  
12 OCT 25 AM 8:19  
COMMISSION  
CLERK

Marshall Willis  
Florida Public Service Commission  
2540 Shumard Oak Blvd.  
Tallahassee, FL. 32399

RE: Application for staff assisted rate case fro West Lakeland Wastewater,  
LLC in Polk County.

Dear Mr. Willis:

Enclosed please find an application for a staff assisted rate case for West  
Lakeland Wastewater, LLC in Polk County.

On behalf of the utility,



Mike Smallridge

RECEIVED  
FLORIDA PUBLIC SERVICE  
COMMISSION  
12 OCT 23 PM 4:26  
DIVISION OF  
ACCOUNTING & FINANCE

DOCUMENT NUMBER-DATE

07261 OCT 25 2

FPSC-COMMISSION CLERK

FLORIDA PUBLIC SERVICE COMMISSION

APPLICATION FOR A  
STAFF ASSISTED RATE CASE

120270-WU

I. GENERAL DATA

A. Name of Utility: West Lakeland wastewater, LLC.

B. Address: P.O. Box 1798  
Eaton Park, FL. 33840

1. Telephone Nos.: (352) 302-7406

2. County: Polk

Nearest City: Lakeland

3. General Area Served: Villages of Lakeland.

C. Authority:

1. Water Certificate No.

Date Received:

2. Wastewater Certificate No. 515-5

Date Received:

3. Date Utility Started Operations: Water:

Wastewater: 1972

D. How System Was Acquired: Receivorship

If utility was purchased, give date

Amount Paid \$

1. Name of Seller:

2. Was seller affiliated with present owners?  Yes  No

3. Did you purchase:  Stock  or assets only

E. Type of Legal Entity:

Corporation  Partnership  Sole Proprietorship

F. Ownership & Officers:

	Name	Title	Percent Ownership
1.	Michael Smallridge	owner	100%
2.			
3.			
4.			

G. List of Associated Companies and Addresses:

Pinecrest utilities, LLC  
 PO Box 1798  
 Eaton Park, FL 33840.

H. If you have retained an attorney and/or a consultant to represent the utility for this application, furnish the name(s) and address(es):

Name:

Address:

None

II. ACCOUNTING DATA

A. Outside Accountant

1. Name:
2. Firm:
3. Address:
4. Telephone: ( )

B. Individual To Contact On Accounting Matters:

1. Name: ~~Michael~~ <sup>Mike</sup> Smallridge
2. Telephone: (352) 302-7406

C. Location of Books and Records: 1902 Barton Park Rd Auburndale, FL 33840 Suite #201.

D. Have you filed an Annual Report with the Commission?  Yes  No

Date Last Filed: 2011

E. Has your latest Regulatory Assessment Fee Payment been made?

(January 30 or July 30 whichever is applicable)  Jan 30  July 30

F. Basic Rate Base Data: (Most recent two years)

1. Water:

Cost of Plant In Service

	2011	2010
\$	<del>244,718</del>	\$ _____

Less Accumulated Depreciation

<del>202,001</del>	_____
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Less Contributed Plant

_____	_____
-------	-------

Net Owner's Investment

\$	_____	\$	_____
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2. Wastewater:

2011	2010
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Cost of Plant In Service

\$ 244,718    \$ 234,812

Less Accumulated Depreciation

702,201    225,033

Less Contributed Plant

42,517    9,809

Net Owner's Investment

\$ 54,000    \$ 11,501.

G. Basic Income Statement: (Most recent two years)

1. Water:

20

20

Revenues (By Class)

a.

\$ \_\_\_\_\_ \$ \_\_\_\_\_

b.

\_\_\_\_\_

c.

\_\_\_\_\_

Total Operating Revenues:

\$ \_\_\_\_\_    \$ \_\_\_\_\_

Less Expenses:

a. Salaries & Wages - Employees

\_\_\_\_\_

b. Salaries & Wages - Officers, Directors, & Majority Stockholders

\_\_\_\_\_

c. Employee Pensions & Benefits

\_\_\_\_\_

d. Purchased Water

\_\_\_\_\_

e. Purchased Power

\_\_\_\_\_

f. Fuel for Power Production

\_\_\_\_\_

g. Chemicals

\_\_\_\_\_

h. Materials & Supplies

\_\_\_\_\_

i. Contractual Services

\_\_\_\_\_

j. Rents

\_\_\_\_\_

k. Transportation Expenses

\_\_\_\_\_

l. Insurance Expense

\_\_\_\_\_

m. Regulatory Commission Expense

\_\_\_\_\_

n. Bad Debt Expense

\_\_\_\_\_

o. Miscellaneous Expense

\_\_\_\_\_

p. Depreciation Expense

\_\_\_\_\_

q. Property Taxes

\_\_\_\_\_

r. Other Taxes

\_\_\_\_\_

s. Income Taxes

\_\_\_\_\_

Operating Income (Loss)

\$ \_\_\_\_\_    \$ \_\_\_\_\_

2. Wastewater

	2011	2010
Revenues (By Class):	\$ _____	\$ _____
a.	_____	_____
b.	_____	_____
c.	_____	_____
Total Operating Revenues:	\$ <u>_____</u>	\$ <u>_____</u>
Less Expenses:		
a. Salaries & Wages - Employees	_____	_____
b. Salaries & Wages - Officers, Directors, & Majority Stockholders	_____	_____
c. Employee Pensions & Benefits	_____	_____
d. Purchased Wastewater Treatment	_____	_____
e. Sludge Removal Expense	8133	4397
f. Purchased Power	12154	13698
g. Fuel for Power Production	8	_____
h. Chemicals	2419	2125
i. Materials & Supplies	1898	<del>903</del> 903
j. Contractual Services	48649	35218
k. Rents	18	_____
l. Transportation Expenses	1687	_____
m. Insurance Expense	2303	3014
n. Regulatory Commission Expense	5559	1399
o. Bad Debt Expense	_____	_____
p. Miscellaneous Expense	14560	8212
q. Depreciation Expense	_____	_____
r. Property Taxes	867	353
s. Other Taxes	_____	1381
t. Income Taxes	_____	_____
Operating Income (Loss)	\$ <u>98250.</u>	\$ <u><del>6</del>70700</u>

H. Outstanding Debt:

	Creditor	Date Borrowed	Balance Due	Interest Rate	Expiration Date
1.	<u>Mike Smallridge</u>	<u>2011</u>	<u>6175.</u>	<u>5%.</u>	_____
2.	_____	_____	_____	_____	_____
3.	_____	_____	_____	_____	_____
4.	_____	_____	_____	_____	_____

I. Indicate Type of Tax Return Filed:

- Form 1120 -Corporation
- Form 1120S -Subchapter S Corporation
- Form 1065 - Partnership
- Form 1040 - Schedule C - Individual (Proprietorship)

West Lakeland Wastewater, LLC

# Revenue Summary

From 1/1/2010 to 12/31/2010

**Period**

<u>Period</u>	Total Billings	Total Receipts	Balance Owing	Total Usage
<u>Jan 10</u>	\$7,692.09	\$8,013.39	(\$321.30)	957828
<u>Feb 10</u>	\$7,705.96	\$6,942.43	\$763.53	976518
<u>Mar 10</u>	\$7,749.13	\$8,728.99	(\$979.86)	939211
<u>Apr 10</u>	\$7,767.82	\$7,516.35	\$251.47	1031484
<u>May 10</u>	\$7,890.81	\$8,374.03	(\$483.22)	1090332
<u>Jun 10</u>	\$7,990.63	\$7,378.26	\$612.37	1210303
<u>Jul 10</u>	\$7,902.67	\$7,014.07	\$888.60	1148018
<u>Aug 10</u>	\$7,689.67	\$7,152.88	\$536.79	1085084
<u>Sep 10</u>	\$7,699.91	\$7,556.74	\$143.17	1055285
<u>Oct 10</u>	\$7,749.61	\$7,445.51	\$304.10	1200181
<u>Nov 10</u>	\$7,784.98	\$8,307.48	(\$522.50)	1025682
<u>Dec 10</u>	\$7,787.98	\$7,842.12	(\$54.14)	1077886
<b>Total Billings and Receipts</b>	<b>\$93,411.26</b>	<b>\$92,272.25</b>	<b>\$1,139.01</b>	<b>12797812</b>

**West Lakeland Wastewater, LLC**

# Revenue Summary

From 1/1/2011 to 12/31/2011

**Period**

<u>Period</u>	Total Billings	Total Receipts	Balance Owing	Total Usage
<b><u>Jan 11</u></b>	\$7,731.77	\$9,121.53	(\$1,389.76)	1282007
<b><u>Feb 11</u></b>	\$8,029.43	\$8,177.12	(\$147.69)	1246665
<b><u>Mar 11</u></b>	\$15,563.19	\$7,860.37	\$7,702.82	2098045
<b><u>Apr 11</u></b>	(\$3.23)	\$7,557.55	(\$7,560.78)	
<b><u>May 11</u></b>	\$7,987.91	\$8,902.04	(\$914.13)	1094270
<b><u>Jun 11</u></b>	\$8,225.94	\$8,052.41	\$173.53	1149473
<b><u>Jul 11</u></b>	\$8,132.90	\$6,054.82	\$2,078.08	574010
<b><u>Aug 11</u></b>	\$8,273.50	\$7,501.52	\$771.98	1138820
<b><u>Sep 11</u></b>	\$7,676.81	\$6,774.32	\$902.49	973967
<b><u>Oct 11</u></b>	\$7,978.18	\$8,132.82	(\$154.64)	46003
<b><u>Nov 11</u></b>	\$7,786.81	\$6,974.08	\$812.73	858098
<b><u>Dec 11</u></b>	\$7,981.81	\$8,744.51	(\$762.70)	927512
<b>Total Billings and Receipts</b>	<b>\$95,365.02</b>	<b>\$93,853.09</b>	<b>\$1,511.93</b>	<b>11388870</b>

III

ENGINEERING DATA

A. Outside Engineering Consultant:

- 1. Name:
- 2. Firm:
- 3. Address:
- 4. Telephone: ( )

B. Individual to contact on engineering matters:

- 1. Name:
- 2. Telephone: ( )

C. Is the utility under citation by the Department of Environmental Protection (DEP) or County Health Department? If yes, explain:

*no*

D. List any known service deficiencies and steps taken to remedy problems:

E. Name of plant operator(s) and DEP operator certificate number(s) held:

*Consta-Flow*      ~~XXXXXXXXXX~~      *SEE Attached.*

F. Is the utility serving customers outside of its certificated area?

If yes, explain: *NO*

G. Wastewater:

1. Gallons per day capacity of treatment facilities:

a. Existing: *70,000*      b. Under Construction:      c. Proposed:

2. Type and make of present treatment facilities:

*Defiant, Extended aeration, concrete.*

3. Approximate average daily flow of treatment plant effluent:

*34,389.*

4. Approximate length of wastewater mains:

Size (diameter):	<i>6"-12"</i>				
Linear feet:					

5. Number of manholes: *53*

6. Number of lift stations: *3*

7. How do you measure treatment plant effluent?

*meter*

8. Is the treatment plant effluent chlorinated?  Yes     No



**State of Florida**  
**Department of Environmental Protection**

**ISSUED:** 3/2/2011

**LICENSE NO.:** 0005025

THE CLASS A WASTEWATER TREATMENT PLANT OPERATOR NAMED BELOW IS  
LICENSED UNDER THE PROVISIONS OF CHAPTER 403, FLORIDA STATUTES.

**VALID UNTIL:** 4/30/2013

**GAINES E. ALEXANDER**

RICK SCOTT

HERSCHEL T. VINYARD, JR

GOVERNOR

**DISPLAY IS REQUIRED BY LAW**

SECRETARY

**State of Florida**  
**Department of Environmental Protection**

**ISSUED:** 3/2/2011

**LICENSE NO.:** 0007801

THE CLASS C WASTEWATER TREATMENT PLANT OPERATOR NAMED BELOW IS  
LICENSED UNDER THE PROVISIONS OF CHAPTER 403, FLORIDA STATUTES.

**VALID UNTIL:** 4/30/2013

**DANIEL THOMAS ALEXANDER**

RICK SCOTT

HERSCHEL T. VINYARD, JR

GOVERNOR

**DISPLAY IS REQUIRED BY LAW**

SECRETARY

**State of Florida**  
**Department of Environmental Protection**

**ISSUED:** 3/11/2011

**LICENSE NO.:** 0017313

THE CLASS C WASTEWATER TREATMENT PLANT OPERATOR NAMED BELOW IS  
LICENSED UNDER THE PROVISIONS OF CHAPTER 403, FLORIDA STATUTES.

**VALID UNTIL:** 4/30/2013

**JAIMIE CAMACHO FIGUEROA**

RICK SCOTT

HERSCHEL T. VINYARD, JR

GOVERNOR

**DISPLAY IS REQUIRED BY LAW**

SECRETARY

**State of Florida**  
**Department of Environmental Protection**

**ISSUED:** 3/2/2011

**LICENSE NO.:** 0012379

THE CLASS C DRINKING WATER TREATMENT PLANT OPERATOR NAMED BELOW IS  
LICENSED UNDER THE PROVISIONS OF CHAPTER 403, FLORIDA STATUTES.

**VALID UNTIL:** 4/30/2013

**DANIEL THOMAS ALEXANDER**

RICK SCOTT

HERSCHEL T. VINYARD, JR

**GOVERNOR**

**DISPLAY IS REQUIRED BY LAW**

**SECRETARY**

**State of Florida**  
**Department of Environmental Protection**

**ISSUED:** 3/2/2011

**LICENSE NO.:** 0005472

THE CLASS C DRINKING WATER TREATMENT PLANT OPERATOR NAMED BELOW IS  
LICENSED UNDER THE PROVISIONS OF CHAPTER 403, FLORIDA STATUTES.

**VALID UNTIL:** 4/30/2013

**GAINES E. ALEXANDER**

RICK SCOTT

HERSCHEL T. VINYARD, JR

**GOVERNOR**

**DISPLAY IS REQUIRED BY LAW**

**SECRETARY**

If yes, what is the normal dosage rate?

- 9. Tap in fees – Wastewater: \$ 430
- 10. Service availability fees – Wastewater: \$
- 11. Note DEP Treatment Plant Certificate Number and date of expiration:  
Number Expiration Date: FLA013009
- 12. Total gallons treated during most recent twelve months: 12,552,000
- 13. Wastewater treatment purchased during most recent twelve months:

H. Water:

- 1. Gallons per day capacity of treatment facilities:
  - a. Existing:
  - b. Under Construction :
  - c. Proposed:
- 2. Type of treatment:
- 3. Approximate average daily flow of treated water:
- 4. Source of water supply:
- 5. Types of chemicals used and their normal dosage rates:

- 6. Number of wells in service:  
Total capacity in gallons per minute (gpm):

Diameter/Depth:	/	/	/
Motor horsepower:			
Pump capacity (gpm):			

- 7. Reservoirs and/or hydropneumatic tanks:

Description:			
Capacity:			

- 8. High service pumping:

Motor horsepower:				
Pump capacity (gpm):				

- 9. How do you measure treatment plant production?

- 10. Approximate feet of water mains:

Size (diameter):				
Linear feet:				

- 11. Note any fire flow requirements and imposing government agency:

- 12. Number of fire hydrants in service:

13. Do you have a meter change out program?  No  Yes
14. Meter installation or tap in fees - Water \$ \_\_\_\_\_
15. Service availability fees - Water \$ \_\_\_\_\_
16. Has the existing treatment facility been approved by DEP?  No  Yes
17. Total gallons pumped during most recent twelve months:
18. Total gallons sold during most recent twelve months:
19. Gallons unaccounted for during most recent twelve months:
20. Gallons purchased during most recent twelve months:

**IV. RATE DATA**

A. Individual to contact on tariff matters:

1. Name: *Mike Smalley*
2. Telephone Number: ( )

B. Schedule of present rates: (Attach additional sheets if more space is needed)

1. Water:

- a. Residential Water \_\_\_\_\_
- b. General Service \_\_\_\_\_
- c. Special Contract \_\_\_\_\_
- d. Other - Specify \_\_\_\_\_

2. Wastewater:

- a. Residential Wastewater \_\_\_\_\_
- b. General Service \_\_\_\_\_
- c. Special Contract \_\_\_\_\_
- d. Other - Specify \_\_\_\_\_

C. Number of Customers: (Most recent two years)

1. Water Metered	20 //	20
a. Residential	<i>207 205 302</i>	_____
b. General Service	<i>3</i>	_____
c. Special Contract	_____	_____
d. Other - Specify	_____	_____
2. Water Unmetered	20	20
a. Residential	_____	_____
b. General Service	_____	_____
c. Special Contract	_____	_____
d. Other - Specify	_____	_____
3. Wastewater	20 //	20 //
a. Residential	<i>312</i>	<i>312</i>
b. General Service	<i>3</i>	<i>3</i>
c. Special Contract	_____	_____
d. Other - Specify	_____	_____

WEST LAKELAND WASTEWATER, INC.

WASTEWATER TARIFF

GENERAL SERVICE

RATE SCHEDULE GS

- AVAILABILITY - Available throughout the area served by the Company.
- APPLICABILITY - For wastewater service to all Customers for which no other schedule applies.
- LIMITATIONS - Subject to all of the Rules and Regulations of this tariff and General Rules and Regulations of the Commission.
- BILLING PERIOD - Monthly

<u>RATE</u> -	<u>Meter Size</u>	<u>Base Facility Charge</u>
	5/8" x 3/4"	\$ 13.39
	1"	18.29
	1-1/2"	28.09
	2"	52.61
	3"	82.02
	4"	160.45
	6"	248.71
	8"	493.82
	Charge per 1,000 gallons	\$ 3.89

- MINIMUM CHARGE - Base Facility Charge
- TERMS OF PAYMENT - Bills are due and payable when rendered. In accordance with Rule 25-30.320, Florida Administrative Code, if a Customer is delinquent in paying the bill for wastewater service, service may then be discontinued.

EFFECTIVE DATE - 2/26/2010

TYPE OF FILING - Receiver Appointed

Mike Smallridge  
ISSUING OFFICER

Receiver  
TITLE

VEST LAKELAND WASTEWATER, INC.

WASTEWATER TARIFF

RESIDENTIAL SERVICE

RATE SCHEDULE RS

- AVAILABILITY - Available throughout the area served by the Company.
- APPLICABILITY - For wastewater service for all purposes in private residences and individually metered apartment units.
- LIMITATIONS - Subject to all of the Rules and Regulations of this Tariff and General Rules and Regulations of the Commission.

BILLING PERIOD - Monthly

<u>RATE</u> -	<u>Meter Size</u>	<u>Base Facility Charge</u>
	All Meter Sizes	\$ 13.39
	Charge per 1,000 gallons (8,000 gallons maximum)	3.26

MINIMUM CHARGE - Base Facility Charge

TERMS OF PAYMENT - Bills are due and payable when rendered. In accordance with Rule 25-30.320, Florida Administrative Code, if a Customer is delinquent in paying the bill for wastewater service, service may then be discontinued.

EFFECTIVE DATE - 2/26/2010

TYPE OF FILING - Receiver Appointed

Mike Smallridge  
ISSUING OFFICER

Receiver  
TITLE

V. AFFIRMATION

*Michael Smallbridge.*

I, \_\_\_\_\_ the undersigned owner, officer, or partner of the above named public utility, doing business in the State of Florida and subject to the control and jurisdiction of the Florida Public Service Commission, certify that the statements set forth herein are true and correct to the best of my information, knowledge, and belief.

Signed *Michael Smallbridge*

Title *owner.*

Notice: Section 837.06, Florida Statutes, provides that any person who knowingly makes a false statement in writing with the intent to mislead a public servant in the performance of his duty shall be guilty of a misdemeanor of the second degree.