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FILED AUG 29, 2013
DOCUMENT NO. 05100-13
FPSC - COMMISSION CLERK

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Exhibit B

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ECO	<input type="checkbox"/>
ENG	<input type="checkbox"/>
GCL	<input type="checkbox"/>
IDM	<input type="checkbox"/>
TEL	<input type="checkbox"/>
CLK	<input type="checkbox"/>



Approval of Business Transactions Policy

Applicability:	Applies to Enterprise
Originator:	Finance
Approval:	President & Chief Executive Officer ("CEO")

Effective Date:	07/01/2000
Revision Date:	07/03/2012
Reissue Date:	07/03/2012

Statement of Purpose and Scope:

[REDACTED]

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Accountability: Roles and Responsibilities:

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Transaction Approval Protocol:

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Exceptions to Authority Limits:

[REDACTED]

[REDACTED]

Additional Approvals and Notifications:

[REDACTED]

[REDACTED]

[Redacted content]

Authority Limit Matrix for President and CEO and Direct Reports to the President and CEO:

[Redacted content]

Related Links:

- [Approval of Business Transactions – FAQs](#)
- [Request for Exception to ABT Authority Limits](#)
- [Delegation of Authority](#)
- [Delegation of Authority – International Employees](#)
- [TRC Presentations Process and Guidelines](#)
- [Financial Analysis Manual](#)
- [Financing Activity and Financial Risk Management Policy](#)
- [Credit Policy](#)
- [Credit Delegation of Authority](#)
- [Intercompany Funding Policy](#)
- [Purchasing Controls Policy](#)

- Legal Settlement Policy
- Commodity Risk Policy
- Creation, Dissolution, or Restructuring of Legal Entities and Subsidiaries

Authority Limit Matrix

[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
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[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]



Duke Energy Policy Statement

Credit Policy

[REDACTED]

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Delegation of Authority Policy

Applicability:	Applies to Enterprise
Originator:	Supply Chain
Approval:	Corporate Controller
Effective Date:	08/31/2000
Revision Date:	09/11/2012

Statement of Purpose and Philosophy:

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

Scope of Policy

[REDACTED]

[REDACTED]

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Delegation of Authority Policy

Roles and Responsibilities

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Standard Approval Authority Limits

[Redacted text block]

Delegation of Authority Policy

Exceptions to Standard Approval Authority Levels

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

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Related Documents

- [Delegation of Authority – International Employees](#)
- [DOA Frequently Asked Questions](#)
- [DOA Inquiry Tool](#)
- [Approval of Business Transactions Policy](#)
- [Approval of Business Transactions Frequently Asked Questions](#)
- [Purchasing Controls Policy](#)
- [Purchasing Authority Policy](#)
- [Delegation of Authority Portal Page](#)



Dodd-Frank Act Governance Policy

Applicability:	Applies to Enterprise
Originator:	Ethics and Compliance
Approval:	Chief Ethics and Compliance Officer

Effective Date:	3/1/2013
Revision Date:	

1. Dodd-Frank Act Overview

[REDACTED]

[REDACTED]

[REDACTED]

2. Statement of Purpose and Philosophy

[REDACTED]

[REDACTED]

3. Policy Expectations: Commercial End-User Status

[REDACTED]

[REDACTED]

Dodd-Frank Act Governance Policy

[REDACTED]

[REDACTED]

[REDACTED]

4. Policy Expectations: Reporting Obligations

[REDACTED]

[REDACTED]

5. Board of Director Approval and Annual Commercial End-User Exception Process

[REDACTED]

6. Policy Exceptions

[REDACTED]

Dodd-Frank Act Governance Policy

7. Errors or Omissions

[REDACTED]

[REDACTED]

8. Roles and Responsibilities

[REDACTED]

[REDACTED]

[REDACTED]

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Dodd-Frank Act Governance Policy

[REDACTED]

[REDACTED]

9. Related Links

- [Commodity Risk Policy](#)
- [Code of Business Ethics](#)
- [Transaction and Risk Committee Presentations Process and Guidelines](#)



Financing Activity and Financial Risk Management

Applicability:	Applies to Enterprise
Originator:	Corporate Treasury
Approval:	Corporate Treasurer
Effective Date:	04/01/2006
Revision Date:	07/03/2012

Statement of Purpose and Philosophy:

[REDACTED]

Policy Expectations

[REDACTED]

[REDACTED]

Roles and Responsibilities

[REDACTED]



Financing Activity and Financial Risk Management

Approval, Execution and Administration:

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

Financing Activity and Financial Risk Management

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

Related Documents

[Delegation of Authority](#)

[Approval of Business Transactions Policy](#)

[Transaction Review Committee Presentations Process and Guidelines](#)

Corporate Treasury

**Process: Corporate & Business Unit
Finance & Planning
Sub -Process: Managing Interest Rate and
Foreign Exchange Derivative Transactions
Process Type – Routine**

Approved by Process Owner Name: Kitty McDonough Date: June 14, 2013 REDACTED
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Overview

[REDACTED]

Authorization and Limits

[REDACTED]

Deal Execution and Validation

[REDACTED]

[REDACTED]

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Valuation and Reporting

[REDACTED]

[REDACTED]

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