

State of Florida



Public Service Commission

CAPITAL CIRCLE OFFICE CENTER • 2540 SHUMARD OAK BOULEVARD
TALLAHASSEE, FLORIDA 32399-0850

-M-E-M-O-R-A-N-D-U-M-

DATE: December 4, 2014
TO: Marguerite McLean, Records Technician, Office of Commission Clerk
FROM: Dale N. Mailhot, Director, Office of Auditing and Performance Analysis *DM*
RE: Inventory of Confidential Documents in Dockets Closed during October 2014

Attached is the listing of confidential documents in dockets closed during October 2014 identifying whether each document should be retained at the Commission or returned to the source. Of the 43 documents listed, 43 should be retained and zero may be returned.

cc: Lisa Harvey (Without attachment)
Theresa Walsh (With attachment)

DM/hv

RECEIVED--FPSC
14 DEC -4 PM 3:28
COMMISSION
CLERK

Inventory of Confidential Documents in Dockets Closed During October 2014

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
100426-WS	Application for increase in water and wastewater rates in Lake County by Lake Utility Services, Inc.	01907-11	Buys Young	Order issued	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 3 of 3 for LUSI for headquarters audit of affiliated transactions. (Audit Control No. 11-004-4-2) [x-ref. DN 02393-11]	✓	—
		02393-11	Buys Young	Order issued	LUSI (Marcelli) - (CONFIDENTIAL) Documents submitted in connection with responses to staff's audit requests. (Audit Control No. 11-004-4-2) [x-ref. DN 01907-11]	✓	—
120076-SU	Investigation of rates of Mid-County Services, Inc. in Pinellas County for possible overearnings.	07765-11	Wright Lawson	Order issued	APA/Prestwood - (CONFIDENTIAL) Audit working papers Vol 4 of 4 for Mid-County Services, Inc. regarding earnings review. (Audit Control No. 11-230-4-1) [x-ref. DN	✓	—

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		00305-12	Wright Lawson	Order issued	00305-12] [CLK note: This document moved from Docket 110000-OT to Docket 120076-SU per DN 02243-12.] Mid-County (Friedman) - (CONFIDENTIAL) Documents submitted in connection with staff's audit (Audit Control No. 11-230-4-1). [CLK note: Part 2 of 2 of document returned to source on 2/27/12; see DN 01098-12; x-ref. DN 07765-11] [CLK note: This document moved from Docket 120000-OT to Docket 120076-SU per DN 02243-12.]	✓	—

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130001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	02303-13	Cowdery Cowdery	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 3] for Progress, for A3a fuel cost recovery clause audit (Audit Control No. 13-016-2-1). [x-ref. DN 02553-13]	✓	—
		02305-13	Cowdery Cowdery	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for FPL, for A3a capacity cost recovery clause (Audit Control No. 13-016-4-3). [x-ref. DN 02680-13]	✓	—
		02342-13	Cowdery Cowdery	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 1 [of 2] for TECO, for A3a capacity cost recovery clause audit. (Audit Control No. 13-016-2-4) [x-ref. DN 02766-13]	✓	—

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130001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	02344-13	Cowdery Cowdery	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for GPC, for A3a capacity cost recovery clause audit (Audit Control No. 13-016-1-2). [x-ref. DN 02794-13]	✓ —	—
		02346-13	Cowdery Cowdery	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for GPC, for A3a fuel cost recovery clause audit (Audit Control No. 13-016-1-1). [x-ref. DN 02792-13]	✓ —	—
		02442-13	Cowdery Cowdery	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 4] for FPL, for A3a fuel cost recovery clause audit (Audit Control No. 13-016-4-1). [x-ref. DN 02840-13]	✓ —	—

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130001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	02443-13	Cowdery Cowdery	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 4 [of 4] for FPL, for A3a fuel cost recovery clause audit (Audit Control No. 13-016-4-1). [x-ref. DN 02840-13]	✓	—
		02553-13	Cowdery Cowdery	Order issued	[Progress] (Triplet) - (CONFIDENTIAL) Information contained in staff's audit work papers provided pursuant to Audit No. 13-016-2-1. [x-ref. DN 02303-13]	✓	—
		02680-13	Cowdery Cowdery	Order issued	FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 13-016-4-3. [x-ref. DN 02305-13]	✓	—

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130001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	02766-13	Cowdery Cowdery	Order issued	TECO (Beasley) - (CONFIDENTIAL) Highlighted information contained in confidential workpapers associated with capacity audit report (Audit Control No. 13-016-2-4). [x-ref. DN 02342-13]	✓ —	—
		02792-13	Cowdery Cowdery	Order issued	GPC (McGee) - (CONFIDENTIAL) Documents produced in connection with a review of 2012 fuel and purchased power transactions (Audit Control No. 13-016-1-1). [x-ref. DN 02346-13]	✓ —	—
		02794-13	Cowdery Cowdery	Order issued	GPC (McGee) - (CONFIDENTIAL) Documents produced in connection with a review of 2012 capacity expenditures (Audit Control No. 13-016-1-2).	✓ —	—

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		02840-13	Cowdery Cowdery	Order issued	[x-ref. DN 02344-13] FPL (Moncada) - (CONFIDENTIAL) Work papers provided pursuant to Audit No. 13-016-4-1.	✓	—
		03097-13	Cowdery Cowdery	Order issued	[x-ref. DNs 02442-13 and 02443-13; see DN 03097-13 for corrected pages of work papers.] FPL (Moncada) - (CONFIDENTIAL) Corrected Exh B for workpaper 50-2/2 (pg 1 only); Exhs A and B for workpaper 50-2/2 (pgs 1 and 2 only); and corrected Exh C. (Audit Control No. 13-016-4-1) [CLK note: See DN 02840-13 for initial filing of work papers.]	✓	—

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130001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	04444-13	Cowdery Cowdery	Order issued	FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 11-006-4-2. [x-ref. DNs 03689-11 and 04073-11 (returned to source)]	✓	—
		05034-13	Cowdery Cowdery	Order issued	FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit No. 05-031-4-1. [x-ref. DNs 03798-05, 03799-05, and 05921-11 (returned to source)]	✓	—
		05236-13	Cowdery Cowdery	Order issued	FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit No. 04-022-4-1. [x-ref. DNs 07270-04, 07271-04, and 07272-04; x-ref. DNs 07442-04, 03431-11, and 06218-11 returned to source]	✓	—

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130001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	05238-13	Cowdery Cowdery	Order issued	FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit No. 08-003-4-3. [See DNs 05001-08, 05002-08, 05003-08, 05004-08, 05005-08, 05626-08 (returned to source), and 05533-11 (returned to source)]	✓	—
		05289-13	Cowdery Cowdery	Order issued	FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit No. 06-045-4-1. [x-ref. DNs 03638-06 and 05983-11 (returned to source)]	✓	—
		05422-13	Cowdery Cowdery	Order issued	FPL (Moncada) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit No. 05-028-4-1 [second revised Exh A to fourth request for extension of	✓	—

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		05626-13	Cowdery Cowdery	Order issued	confidential classification of information provided pursuant to Audit No. 05-028-4-1]. [x-ref. DNs 04671-05, 04672-05, 04864-05 (returned to source), and 08054-11 (returned to source)] [CLK note: See DN 06969-13 for Workpaper 53-2/1-1/6 (pg 2), previously excluded from filing.] APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for GPC, for A3a hedging activities audit (Audit Control No. 13-102-1-1). [x-ref. DN 06111-13]	✓	—

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130001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	05628-13	Cowdery Cowdery	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 3] for FPL, for A3a hedging activities audit (Audit Control No. 13-102-4-1). [x-ref. DN 06036-13]	✓	—
		05629-13	Cowdery Cowdery	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for FPL, for A3a hedging activities audit (Audit Control No. 13-102-4-1). [x-ref. DN 06036-13]	✓	—
		05641-13	Cowdery Cowdery	Order issued	FPL (Moncada) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit No. 06-046-4-1. [x-ref. DNs 04323-06, 04324-06, and 05988-11 (returned to source)]	✓	—

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130001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	05643-13	Cowdery Cowdery	Order issued	FPL (Moncada) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit No. 09-041-4-3. [x-ref. DNs 04938-09; and 05482-09 (returned to source)]	✓	—
		05666-13	Cowdery Cowdery	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for TECO, for A3a hedging activities audit (Audit Control No. 13-102-2-2). [x-ref. DN 06185-13]	✓	—
		05668-13	Cowdery Cowdery	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Duke Energy, for A3a hedging activities audit (Audit Control No. 13-102-2-1). [x-ref. DN 06032-13]	✓	—

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130001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	05677-13	Cowdery Cowdery	Order issued	FPL (Moncada) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit No. 10-004-4-1. [x-ref. DNs 05823-10, 05824-10, 05825-10, and 06422-10 (returned to source)]	✓ —	—
		05723-13	Cowdery Cowdery	Order issued	FPL (Moncada) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit No. 08-003-4-2. [x-ref. DNs 03913-08, 03914-08, and 03915-08; x-ref. DNs 04588-08 and 03897-10 returned to the source.]	✓ —	—
		05725-13	Cowdery Cowdery	Order issued	FPL (Moncada) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit No. 10-004-4-3. [x-ref. DNs 05711-10 and 06189-10 (returned to the source)]	✓ —	—

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130001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	05834-13	Cowdery Cowdery	Order issued	FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 09-041-4-4. [x-ref. DNs 04935-09, 04936-09, and 05485-09 (returned to the source)]	✓ —	—
		06032-13	Cowdery Cowdery	Order issued	Duke Energy (Bernier) - (CONFIDENTIAL) Information contained in staff's audit work papers pertaining to 2013 hedging activities audit (Audit Control No. 13-102-2-1). [x-ref. DN 05668-13] [CLK note: See DN 06680-13 for the 15th page of document 10-1/16 which was inadvertently omitted from DN 06032-13.]	✓ —	—

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130001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	06036-13	Cowdery Cowdery	Order issued	FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 13-102-4-1. [x-ref. DNs 05628-13 and 05629-13]	✓ —	—
		06111-13	Cowdery Cowdery	Order issued	GPC (Badders) - (CONFIDENTIAL) Certain documents produced by staff and GPC in connection with a review of 2013 hedging settlements (Audit Control No. 13-102-1-1). [x-ref. DN 05626-13]	✓ —	—
		06185-13	Cowdery Cowdery	Order issued	TECO (Beasley) - (CONFIDENTIAL) Staff's audit work papers (Audit Control No. 13-102-2-2). [x-ref. DN 05666-13]	✓ —	—

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130001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	06680-13	Cowdery Cowdery	Order issued	Duke Energy (Bernier) - (CONFIDENTIAL) 15th page of document 10-1/16 which was inadvertently omitted from DN 06032-13. (Audit Control No. 13-102-2-1)	✓	—
		06969-13	Cowdery Cowdery	Order issued	FPL (Moncada) - (CONFIDENTIAL) Workpaper 53-2/1-1/6 (pg 2), which was inadvertently excluded from previous filing [DN 05422-13]. (Audit No. 05-028-4-1)	✓	—
		07001-13	Cowdery Cowdery	Order issued	FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit No. 07-353-4-1. [x-ref. DNs 04474-08 (returned to source), 03776-08, and 03777-08]	✓	—

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130054-EI	Request for confidential classification of audit workpapers of staff's audit report entitled Review of Progress Energy Florida, Inc.'s Quality Assurance Process for Distribution Construction.	00953-13	Cordiano Page	Order issued	Progress (Triplett) - (CONFIDENTIAL) Information contained in the FPSC's review of Progress' quality assurance process for distribution construction and related work papers (PA-12-04-001). [CLK note: Pages 303-314, 373-392, 460-462, and 579-583 have been returned to the source; see DN 01353-13.]	✓ —	—

Total number of records: 43