

State of Florida



## Public Service Commission

CAPITAL CIRCLE OFFICE CENTER • 2540 SHUMARD OAK BOULEVARD  
TALLAHASSEE, FLORIDA 32399-0850

**-M-E-M-O-R-A-N-D-U-M-**

---

**DATE:** May 21, 2015  
**TO:** Carlotta S. Stauffer, Commission Clerk, Office of Commission Clerk  
**FROM:** Clyde D. Rome, Public Utility Analyst II, Division of Economics *CDR*  
**RE:** Proposed Revisions to Chapter 25-30, F.A.C.  
Filing in Undocketed File 150000-OT

---

Please file the attached document (approximately 180 pages) as a single document in the Undocketed File No. 150000-OT.

Thank you for your assistance.

CDR

RECEIVED - FPSC  
15 MAY 21 AM 10:19  
COMMISSION  
CLERK

1 25-30.029 Legal Description of Service Area

2 (1) The utility shall provide a legal description of the service area to be served, extended,  
3 deleted, or transferred in the following applications:

4 (a) An original certificate of authorization and initial rates and charges as provided in Rule 25-  
5 30.033, F.A.C.

6 (b) An original certificate of authorization for an existing utility currently charging for service  
7 provided in Rule 25-30.034, F.A.C.;

8 (c) An original certificate of authorization following rescission of jurisdiction by a county as  
9 provided in Rule 25-30.035, F.A.C.;

10 (d) An extension of service area as provided in Sections 25-30.036(2) or (3), F.A.C.;

11 (e) A deletion of service area as provided in Section 25-30.036(4), F.A.C.;

12 (f) A transfer of a regulated utility to another regulated utility as provided in Section 25-  
13 30.037(2), F.A.C.;

14 (g) A transfer of an exempt entity or a utility in a nonjurisdictional county to a regulated utility  
15 as provided in Section 25-30.037(3), F.A.C.;

16 (h) A transfer of majority organizational control of a regulated utility as provided in Section  
17 25-30.037(4), F.A.C.;

18 (i) A transfer of a regulated utility to an exempt entity other than a governmental authority as  
19 provided in Section 25-30.037(5), F.A.C.; or

20 (j) A partial transfer of a regulated utility to a governmental authority as provided in paragraph  
21 25-30.038(2)(h)2., F.A.C.;

22 (2) The legal description of the service area to be served, extended, deleted or transferred shall  
23 identify:

24 (a) A reference to township(s), range(s), land section(s), and county(s); and

25 (b) A complete and accurate description of the service area to be served, added, deleted, or

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

1 transferred. The description may reference interstates, state roads, local streets, and major  
2 bodies of water, but shall not rely on references to government lots, recorded plats or lots,  
3 tracts, or other recorded instruments. The description shall be provided in one of the  
4 following formats:  
5 1. Sections. If the service area includes complete sections, the description shall only include  
6 the township, range, and section reference. If the service area includes partial sections, the  
7 description shall identify the subsections to be included or excluded.  
8 2. Metes and bounds. The description shall identify a point of beginning which is referenced  
9 from either a section corner or a subsection corner, such as a quarter corner. The perimeter  
10 shall be described by traversing the service area boundary and closing at the point of  
11 beginning. The description shall identify all bearings and distances necessary to provide  
12 continuous description.  
13 Rulemaking Authority 350.127(2), 367.045, 367.121(1) FS. Law Implemented 367.045,  
14 367.071 FS. History New-\_\_\_\_\_.

15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

1 | 25-30.030 Notice of Application and of Customer Meeting.

2 | (1) ~~When A utility applies for a certificate of authorization, an extension or deletion of its~~

3 | ~~service area, or a sale, assignment or transfer of its certificate of authorization, facilities or any~~

4 | ~~portion thereof or majority organizational control,~~ it shall provide notice of its application in

5 | the manner and to the entities described in this rule when it applies for any of the following:

6 | section.

7 | (a) An original certificate of authorization and initial rates and charges as provided in Rule 25-

8 | 30.033, F.A.C.;

9 | (b) An original certificate of authorization for existing utility currently charging for service as

10 | provided in Rule 25-30.034, F.A.C.;

11 | (c) An extension of service area as provided in Rule 25-30.036(2) or (3), F.A.C.;

12 | (d) A deletion of service area as provided in Rule 25-30.036(4), F.A.C.;

13 | (e) A transfer of a regulated utility to another regulated utility as provided in Rule 25-

14 | 30.037(2), F.A.C.;

15 | (f) A transfer of an exempt entity or a utility in a nonjurisdictional county to a regulated

16 | utility as provided in Rule 25-30.037(3), F.A.C.;

17 | (g) A transfer of majority organizational control of a regulated utility as provided in Rule 25-

18 | 30.037(4), F.A.C.; or

19 | (h) A transfer of a regulated utility to an exempt entity other than a governmental authority as

20 | provided in Rule 25-30.037(5), F.A.C.

21 | (2) After filing an application as described in subsection (1) above, and bBefore providing

22 | notice in accordance with this section, a utility shall obtain from the Commission staff a list of

23 | the names and addresses of the governing body of the county(ies) or municipality(ies)

24 | affected, municipalities, the county or counties, the appropriate regional planning council, the

25 | Office of Public Counsel, the Commission's Office of Commission Clerk, the appropriate

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

1 regional office of the Department of Environmental Protection, the appropriate water  
2 management district, and privately-owned water and wastewater utilities that hold a certificate  
3 granted by the ~~Public Service~~ Commission and that are located within the county in which the  
4 utility or the territory proposed to be served is located. ~~In addition,~~ If any portion of the  
5 proposed territory is within one mile of a county boundary, the utility ~~shall obtain from the~~  
6 ~~Commission~~ a list shall include of the names and addresses of the privately-owned utilities  
7 located in the bordering county ~~counties~~ and holding a certificate granted by the Commission.  
8 ~~The utility's request for the list shall include a complete legal description of the territory to be~~  
9 ~~requested in the application that includes:~~

10 ~~(a) A reference to township(s), range(s), land section(s) and county; and~~  
11 ~~(b) A complete and accurate description of the territory served or proposed to be served in one~~  
12 ~~of the following formats. The description may reference interstates, state roads, and major~~  
13 ~~bodies of water. The description shall not rely on references to government lots, local streets,~~  
14 ~~recorded plats or lots, tracts, or other recorded instruments.~~

15 ~~1. Sections: If the territory includes complete sections, the description shall only include the~~  
16 ~~township, range, and section reference. If the territory includes partial sections, the description~~  
17 ~~shall either identify the subsections included or excluded.~~

18 ~~2. Metes and bounds: A point of beginning which is referenced from either a section corner or~~  
19 ~~a subsection corner, such as a quarter corner. The perimeter shall be described by traversing~~  
20 ~~the proposed territory and closing at the point of beginning. The description shall include all~~  
21 ~~bearings and distances necessary to provide a continuous description.~~

22 (3) The notice shall be titled, as applicable appropriately styled:

23 (a) Notice of Application for Original ~~an Initial~~ Certificate of Authorization and Initial Rates  
24 and Charges for Water, Wastewater, or Water and Wastewater Service Certificate;

25 (b) Notice of Application for Original Certificate of Authorization for Existing Utility

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

- 1 Currently Charging for Water, Wastewater, or Water and Wastewater Service;
- 2 ~~(c)(b)~~ Notice of Application for Amendment to Certificate of Authorization To Extend an
- 3 Extension of Service Area;
- 4 ~~(d)(e)~~ Notice of Application for Amendment to Certificate of Authorization To Delete
- 5 Deletion of Service Area;
- 6 ~~(e)(d)~~ Notice of Application for Authority to a Transfer of Water, Wastewater, or Water and
- 7 Wastewater Certificate(s) of Authorization, to Another Regulated Utility; or
- 8 ~~(f)~~ Notice of Application for Authority to Transfer an Exempt Entity or a Utility in a Non-
- 9 jurisdictional County To a Regulated Utility That Results in a System Whose Service
- 10 Transverses County Boundaries;
- 11 ~~(g)(e)~~ Notice of Application for Authority to a Transfer of Majority Organizational Control
- 12 of a Regulated Utility; or
- 13 ~~(h)~~ Notice of Application for Authority to Transfer a Regulated Utility to an Exempt Entity
- 14 Other than a Governmental Authority.
- 15 (4) The Notice of Application notice shall be provided to the Office of Commission Clerk, for
- 16 Commission staff approval prior to distribution and shall state include the following:
- 17 (a) The date the notice is given;
- 18 (b) The name, and address, telephone number, and, if available, e-mail address, and fax
- 19 number of the applicant;
- 20 ~~(c)~~ The common name of developments served by the utility;
- 21 ~~(d)~~ The application docket number and title, if available;
- 22 ~~(e)~~ The common reference of street names bordering the area served by the utility, as
- 23 applicable;
- 24 ~~(f)(e)~~ A description, ~~using township, range and section references,~~ of the service area territory
- 25 proposed to be either served, extended, added, deleted, or transferred as provided by Rule 25-

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

1 30.029, F.A.C. An abbreviated description using section, township, and range of the subject  
2 service area may be provided so long as the notice contains a disclosure that the legal  
3 description has been simplified and that a complete legal description can be obtained from the  
4 applicant; and  
5 (g) If applicable, the notice shall include a statement that the utility is not requesting any  
6 changes to its rates, classifications, charges, rules, and regulations in the application; and  
7 ~~(h)(d)~~ A statement that any objections to the application must be filed with the Director,  
8 Office of Commission Clerk, 2540 Shumard Oak Boulevard, Tallahassee, Florida 32399-  
9 0850, no later than 30 days after the last date that the notice was mailed or published;  
10 ~~whichever is later.~~  
11 (5) ~~Within 7 days of filing its application,~~ The utility shall provide a copy of the notice of the  
12 service area proposed to be served, extended, deleted, or transferred as follows by regular mail  
13 to:  
14 (a) By regular mail to the governmental entities and utilities identified on the list described in  
15 subsection (2) above;  
16 ~~(a) The governing body of the county in which the utility system or the territory proposed to~~  
17 ~~be served is located;~~  
18 ~~(b) The governing body of any municipality contained on the list obtained pursuant to~~  
19 ~~subsection (2) above;~~  
20 ~~(c) The regional planning council designated by the Clean Water Act, 33 U.S.C. 1288(2);~~  
21 ~~(d) All water or wastewater utilities contained on the list(s) obtained pursuant to subsection (2)~~  
22 ~~above;~~  
23 ~~(e) The office of Public Counsel;~~  
24 ~~(f) The Commission's Office of Commission Clerk;~~  
25 ~~(g) The appropriate regional office of the Department of Environmental Protection; and~~

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

1 ~~(h) The appropriate Water Management District.~~

2 ~~(b)(6) No sooner than 21 days before the application is filed and no later than 7 days after the~~  
3 ~~application is filed, the utility shall also provide a copy of the Notice, Bby regular mail or~~  
4 ~~personal service, to each customer and owner of property located within the existing service~~  
5 ~~area and the service area to be served, extended, deleted or transferred; and, of the system to~~  
6 ~~be certificated, transferred, acquired, or deleted.~~

7 ~~(c)(7) By publication The Notice shall be published once in a newspaper of general circulation~~  
8 ~~in the proposed service area. If the utility service area crosses county lines, notice shall be~~  
9 ~~published in a newspaper of general circulation in each county. territory proposed to be~~  
10 ~~served, added, deleted, or transferred. The publication shall be within 7 days of filing the~~  
11 ~~application.~~

12 ~~(6) All applications requiring noticing shall be deemed deficient until affidavits of noticing~~  
13 ~~required by Sections 367.045(1)(e) and (2)(f), F.S., along with a copy of the notice, are filed~~  
14 ~~with the Office of Commission Clerk. The affidavits shall attest that the notices were given as~~  
15 ~~prescribed in paragraphs (5)(a), (b), and (c) above. The applicant shall obtain the affidavit of~~  
16 ~~notice publication, pursuant to paragraph (5)(c) above, from the newspaper(s).~~

17 ~~(8) A copy of the notice(s) and list of the entities receiving notice pursuant to this rule shall~~  
18 ~~accompany the affidavit required by Sections 367.045(1)(e) and (2)(f), F.S. The affidavit shall~~  
19 ~~be filed no later than 15 days after filing the application.~~

20 ~~(7) No less that 14 days and no more than 30 days prior to the date of a customer meeting~~  
21 ~~conducted by the Commission staff, the utility shall provide written notice of the date, time,~~  
22 ~~location, and purpose of the customer meeting to all customers within the affected service~~  
23 ~~areas. The notice shall be approved by the Commission staff prior to distribution. The notice~~  
24 ~~shall be mailed to the out-of-town address of all customers who have provided the utility with~~  
25 ~~an out-of-town address.~~

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.



1 ~~(8)~~(9) This rule does not apply to applications for ~~grandfather~~ certificates following rescission  
2 of jurisdiction by counties filed under Section 367.171, F.S.; ~~or to applications for~~ transfers  
3 to governmental authorities filed under Section 367.071, F.S.; or abandonments filed under  
4 Section 367.165, F.S. ~~to name changes.~~

5 *Rulemaking Authority 350.127(2), 367.045(2), 367.121(1) FS. Law Implemented 367.031,*  
6 *367.045, 367.071, FS. History—New 4-5-81, Formerly 25-10.061, 25-10.0061, Amended 11-*  
7 *10-86, 1-27-91, 11-30-93, \_\_\_\_\_.*

8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

1 25-30.032 Combined Applications.

2 ~~(1) Each utility subject to regulation by the Commission shall apply for an initial certificate of~~  
3 ~~authorization, amendment to an existing certificate of authorization, transfer, or name change,~~  
4 ~~by filing a completed application and six copies, in accordance with either Rule 25-30.033,~~  
5 ~~25-30.034, 25-30.035, 25-30.036, subsection 25-30.037(1) or (2), or Rule 25-30.039, F.A.C.~~  
6 ~~However, a utility shall apply for a transfer to a governmental authority by filing a completed~~  
7 ~~application and two copies, in accordance with subsections 25-30.037 (3) and (4), F.A.C. The~~  
8 ~~application shall be filed with the Office of Commission Clerk, 2540 Shumard Oak Boulevard,~~  
9 ~~Tallahassee, Florida 32399-0850. Sample application forms may be obtained from the~~  
10 ~~Division of Engineering, 2540 Shumard Oak Boulevard, Tallahassee, Florida 32399-0850.~~

11 ~~(2) A utility may file a combined application ~~applications~~ for multiple certificate actions if it is~~  
12 ~~applying for certificates of authorization or any amendments thereto for both water and~~  
13 ~~wastewater systems; however, the utility shall remit a separate application fee for each action~~  
14 ~~and system service. The Commission will treat a combined application as if a separate~~  
15 ~~application had been filed for each action and system service.~~

16 ~~(3) The official filing date of an application for an original certificate, any amendment to an~~  
17 ~~existing certificate, or any transfer shall be the date a completed application is filed with the~~  
18 ~~Office of Commission Clerk, except that the noticing requirements set forth in Rule 25-~~  
19 ~~30.030, F.A.C., do not need to be completed at that time. If, however, the utility has not~~  
20 ~~completed the noticing within the time limits prescribed by Rule 25-30.030, F.A.C., the~~  
21 ~~official filing date shall be the date the noticing is complete.~~

22 *Rulemaking Authority 350.127(2), 367.121 FS. Law Implemented 367.031, 367.045, 367.071,*  
23 *367.083 FS. History—New 1-27-91, Amended 11-30-93, 5-29-08, \_\_\_\_\_.*

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

1 25-30.033 Application for Original Certificate of Authorization and Initial Rates and Charges.

2 (1) Each applicant ~~application~~ for an original certificate of authorization and initial rates and  
3 charges shall file with the Commission Clerk one original of ~~provide the following~~  
4 information; set forth in paragraphs (a) through (y). Form PSC/ENG 020 (XX/XX), entitled  
5 “Application for Original Certificate of Authorization for a Proposed or Existing System  
6 Requesting Initial Rates and Charges,” which is incorporated by reference in this rule and is  
7 available at [Dept. of State hyperlink], is an example application that may be completed by the  
8 applicant and filed with the Office of Commission Clerk to comply with this subsection. This  
9 form is also available on the Commission’s Web site, www.floridapsc.com.

10 (a) A filing fee pursuant to Rule 25-30.020(2)(a), F.A.C.;

11 (b) Proof of noticing pursuant to Rule 25-30.030, F.A.C.;

12 (c)(a) The utility’s applicant’s name, and address, telephone number, Federal Employer  
13 Identification Number, authorized representative, and, if available, e-mail address and fax  
14 number.

15 (d)(b) The nature of the utility’s applicant’s business organization, i.e., corporation, limited  
16 liability company, partnership, limited partnership, sole proprietorship, or association, etc.;

17 The applicant must provide documentation from the Florida Department of State, Division of  
18 Corporations, showing:

19 1. The utility’s business name and registration/document number for the business, unless  
20 operating as a sole proprietor, and

21 2. The utility’s fictitious name and registration number for the fictitious name, if operating  
22 under a fictitious name;

23 (e)(e) The name(s), and address(es), and percentage of ownership of each entity or person  
24 which owns or will own more than 5 percent interest in the utility of all corporate officers,  
25 directors, partners, or any other person(s) or entities owning an interest in the applicant’s

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

- 1 ~~business organization;~~
- 2 (f)(d) The election the business has made ~~Whether the applicant has made an election under~~  
3 the Internal Revenue Code for taxation purposes § 1362 to be an S corporation;
- 4 (g) A statement indicating whether the application is for water, wastewater, or both. If the  
5 applicant is applying for water or wastewater only, the statement shall include how the other  
6 service is provided;
- 7 (h)(e) To demonstrate ~~A statement showing the necessary financial and technical ability of~~  
8 the applicant to provide service to the proposed service area, the applicant shall provide; and  
9 ~~the need for service in the proposed area. The statement shall identify any other utilities within~~  
10 ~~the area proposed to be served that could potentially provide service, and the steps the~~  
11 ~~applicant took to ascertain whether such other service is available;~~
- 12 1. A detailed financial statement (balance sheet and income statement), audited if available, of  
13 the financial condition of the applicant, which shows all assets and liabilities of every kind and  
14 character. The financial statements shall be for the preceding calendar or fiscal year. The  
15 financial statement shall be prepared in accordance with Rule 25-30.115, F.A.C. If available,  
16 a statement of the sources and uses of funds shall also be provided;
- 17 2. A list of all entities, including affiliates, upon which the applicant is relying to provide  
18 funding to the utility and an explanation of the manner and amount of such funding. The list  
19 need not include any person or entity holding less than 5 percent ownership interest in the  
20 utility. The applicant shall provide copies of any financial agreements between the listed  
21 entities and the utility and proof of the listed entities' ability to provide funding, such as  
22 financial statements;
- 23 (i) To demonstrate the technical ability of the applicant to provide service, the applicant shall  
24 provide:
- 25 1. A statement of the applicant's experience in the water or wastewater industry;

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

- 1 2. A copy of all current permits from the Department of Environmental Protection (DEP) and  
2 the water management district;
- 3 3. A copy of the most recent DEP and/or county health department sanitary survey,  
4 compliance inspection report, and secondary standards drinking water report; and
- 5 4. A copy of all correspondence with the DEP, county health department, and water  
6 management district, including consent orders and warning letters, and the utility's responses  
7 to the same, for the past five years;
- 8 (j) A legal description of the proposed service area, including an electronic copy, in the format  
9 described in Rule 25-30.029, F.A.C.;
- 10 (k) To demonstrate the need for service in the proposed area, the applicant shall provide:
- 11 1. The number of customers currently being served and proposed to be served, by customer  
12 class and meter size, including a description of the types of customers currently being served  
13 and anticipated to be served, i.e., single family homes, mobile homes, duplexes, golf course  
14 clubhouse, or commercial. If the development will be in phases, this information shall be  
15 separated by phase;
- 16 2. A copy of all requests for service from property owners or developers in areas not currently  
17 served;
- 18 3. The current land use designation of the proposed service territory as described in the local  
19 comprehensive plan at the time the application is filed. If the proposed development will  
20 require a revision to the comprehensive plan, describe the steps taken and to be taken to  
21 facilitate those changes, including changes needed to address the proposed need for service;  
22 and
- 23 ~~(f) A statement that to the best of the applicant's knowledge, the provision of service will be~~  
24 ~~consistent with the water and wastewater sections of the local comprehensive plan as approved~~  
25 ~~by the Department of Community Affairs at the time the application is filed, or, if not~~

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

1 ~~consistent, a statement demonstrating why granting the certificate of authorization would be in~~  
2 ~~the public interest;~~

3 4. Any known land use restrictions, such as environmental restrictions imposed by  
4 governmental authorities;

5 ~~(l)(g) The date applicant began or plans to begin serving customers. If already serving~~  
6 customers, a description of when and under what circumstances the applicant began serving;

7 ~~(h) The number of equivalent residential connections (ERCs) proposed to be served, by meter~~  
8 ~~size and customer class. If development will be in phases, separate this information by phase;~~

9 ~~(i) A description of the types of customers anticipated, i.e., single family homes, mobile~~  
10 ~~homes, duplexes, golf course clubhouse, commercial, etc.;~~

11 ~~(m)(j) Documentation of the utility's right to access and continued use of Evidence, in the~~  
12 ~~form of a warranty deed, that the utility owns the land upon which the utility treatment~~  
13 ~~facilities are or will be located. Documentation of continued use shall be in the form of a~~  
14 recorded warranty deed, recorded quit claim deed accompanied by title insurance, recorded  
15 lease or a copy of an agreement which provides for the continued use of the land, such as a 99-  
16 year lease, or recorded easement. The Commission may consider a written easement or other  
17 ~~most effective alternative. The applicant may submit a contract for the continued use purchase~~  
18 ~~and sale of land with an unexecuted unrecorded copy of the instrument warranty deed,~~  
19 ~~provided the applicant files a an executed and recorded copy of the deed, or executed copy of~~  
20 ~~the lease, within the time required in 30 days after the order granting the certificate;~~

21 ~~(n)(k) One original and one electronic media copy two copies of a sample tariff, containing all~~  
22 ~~rates, classifications, charges, rules, and regulations, which shall be consistent with Chapter~~  
23 25-9, F.A.C. Form PSC/ENG 031 (XX/XX), entitled "Water Tariff," which is incorporated by  
24 reference in this rule and is available at [Department of State hyperlink] and Form PSC/ENG  
25 032 (XX/XX), entitled "Wastewater Tariff," which is incorporated by reference in this rule

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

1 and is available at [Department of State hyperlink], are example tariffs that may be completed  
2 by the applicant and included in the application. These forms may also be obtained from the  
3 Commission's website, www.floridapsc.com; Model tariffs are available from the Division of  
4 Economics, 2540 Shumard Oak Boulevard, Tallahassee, Florida 32399-0850;  
5 ~~(l) A description of the territory to be served, using township, range and section references as~~  
6 ~~specified in subsection 25-30.030(2), F.A.C.;~~  
7 ~~(o)(m) One copy of Aa detailed system map showing the existing and proposed lines; and~~  
8 ~~treatment facilities, with and the territory proposed to be served plotted thereon, consistent~~  
9 ~~with the legal description provided in paragraph (j) above. The map shall be of sufficient~~  
10 ~~scale and detail to enable correlation with the description of the territory proposed to be~~  
11 ~~served;~~  
12 ~~(p)(n) An One copy of the official county tax assessment map, or other map showing~~  
13 ~~township, range, and section with a scale such as 1" = 200' or 1" = 400', with the proposed~~  
14 ~~territory plotted thereon, consistent with the legal description provided in paragraph (j) above;~~  
15 ~~by use of metes and bounds or quarter sections, and with a defined reference point of~~  
16 ~~beginning;~~  
17 ~~(q)(o) A description of ~~statement regarding~~ the separate capacities of the existing and~~  
18 ~~proposed lines and treatment facilities in terms of equivalent residential connections (ERCs)~~  
19 ~~and gallons per day estimated demand per ERC for water and wastewater and the basis for~~  
20 ~~such estimate. If the development will be in phases, ~~separate~~ this information shall be~~  
21 ~~separated by phase;~~  
22 ~~(r)(p) A ~~written~~ description of the type of water treatment, wastewater treatment, and method~~  
23 ~~of effluent disposal;~~  
24 ~~(q) If subsection (p) above does not include effluent disposal by means of reuse, a statement~~  
25 ~~that describes with particularity the reasons for not using reuse;~~

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

1 ~~(r) A detailed financial statement (balance sheet and income statement), certified if available,~~  
2 ~~of the financial condition of the applicant, that shows all assets and liabilities of every kind~~  
3 ~~and character. The income statement shall be for the preceding calendar or fiscal year. If an~~  
4 ~~applicant has not operated for a full year, then the income statement shall be for the lesser~~  
5 ~~period. The financial statement shall be prepared in accordance with Rule 25-30.115, F.A.C. If~~  
6 ~~available, a statement of the source and application of funds shall also be provided;~~  
7 ~~(s) A list of all entities, including affiliates, upon which the applicant is relying to provide~~  
8 ~~funding to the utility, and an explanation of the manner and amount of such funding, which~~  
9 ~~shall include their financial statements and copies of any financial agreements with the utility.~~  
10 ~~This requirement shall not apply to any person or entity holding less than 10 percent~~  
11 ~~ownership interest in the utility;~~  
12 ~~(t) A cost study including customer growth projections supporting the proposed rates, charges~~  
13 ~~and service availability charges. A sample cost study, and assistance in preparing initial rates~~  
14 ~~and charges, are available from the Division of Economics;~~  
15 (s) The existing and projected cost of the system(s) and associated depreciation by year until  
16 design capacity is reached using the National Association of Regulatory Utility  
17 Commissioners (NARUC) 1996 Uniform System of Accounts (USOA), which is incorporated  
18 by reference in Rule 25-30.115, F.A.C. The applicant shall identify the year that 80 percent of  
19 design capacity is anticipated; ~~(u) A schedule showing the projected cost of the proposed~~  
20 ~~system(s) by uniform system of accounts (USOA) account numbers pursuant to Rule 25-~~  
21 ~~30.115, F.A.C., and the related capacity of each system in ERCs and gallons per day. If the~~  
22 ~~utility will be built in phases, this shall apply to the first phase;~~  
23 (t) The existing and projected annual contributions-in-aid-of-construction (CIAC) and  
24 associated amortization by year including a description of assumptions regarding customer  
25 growth projections using the same projections used in paragraph (1)(k)(1) for the proposed

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.



1 service area. The projected CIAC shall identify cash and property contributions and  
2 amortization at 100 percent of design capacity and identify the year when 80 percent of design  
3 capacity is anticipated. The projected CIAC shall be consistent with the service availability  
4 policy and charges in the proposed tariff provided in paragraph (n) above, the schedule  
5 provided in paragraph (x) below, and the CIAC guidelines in 25-30.580, F.A.C.;  
6 (u) The current annual operating expenses and the projected annual operating expenses at 80  
7 percent of design capacity using the NARUC USOA;  
8 ~~(v) A schedule showing the projected operating expenses of the proposed system by USOA~~  
9 ~~account numbers, when 80 percent of the designed capacity of the system is being utilized. If~~  
10 ~~the utility will be built in phases, this shall apply to the first phase; and~~  
11 (v)(w) A schedule showing the projected capital structure including the methods of financing  
12 the construction and operation of the utility until the utility reaches 80 percent of the design  
13 capacity of the system; -  
14 (w) A schedule showing how the proposed rates were developed;  
15 (x) A schedule showing how the proposed service availability policy and charges were  
16 developed, including meter installation, main extension, and plant capacity charges, and  
17 proposed donated property; and  
18 (y) A schedule showing how the customer deposits and miscellaneous service charges were  
19 developed, including initial connection, normal reconnection, violation reconnection, and  
20 premises visit fees, consistent with Rules 25-30.311 and 25-30.460, F.A.C.  
21 (2) The base facility and usage rate structure (as defined in subsection 25-30.437(6), F.A.C.)  
22 shall be utilized for metered service, unless an alternative rate structure is supported by the  
23 applicant and authorized by the Commission.  
24 (3) A return on common equity shall be established using the current equity leverage formula  
25 established by order of this Commission pursuant to Section 367.081(4), F.S., unless there is

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

1 competent substantial evidence supporting the use of a different return on common equity.  
2 (4) Utilities obtaining original ~~initial~~ certificates of authorization pursuant to this rule are  
3 authorized to accrue allowance for funds used during construction (AFUDC) for projects  
4 found eligible pursuant to subsection 25-30.116(1), F.A.C.  
5 (a) The applicable AFUDC rate shall be determined as the utility's projected weighted cost of  
6 capital as demonstrated in its application for original certificate and initial rates and charges.  
7 (b) A discounted monthly AFUDC rate calculated in accordance with subsection 25-  
8 30.116(3), F.A.C., shall be used to insure that the annual AFUDC charged does not exceed  
9 authorized levels.  
10 (c) The date the utility shall begin to charge the AFUDC rate shall be the date the certificate of  
11 authorization is issued to the utility so that such rate can apply to the initial construction of the  
12 utility facilities.

13 *Rulemaking Authority 350.127(2), 367.045(1), 367.121, 367.1213 FS. Law Implemented*  
14 *367.031, 367.045, 367.1213 FS. History—New 1-27-91, Amended 11-30-93, \_\_\_\_\_.*  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25

1 25-30.034 Application for Original Certificate of Authorization for Existing Utility Currently  
2 Charging for Service.

3 (1) Each applicant for an original certificate of authorization for an existing utility currently  
4 charging for service, which is applying for an initial certificate of authorization, other than an  
5 application filed under Section 367.171, F.S., shall file with the Commission Clerk, one  
6 original of provide the following information: set forth in paragraphs (a) through (q), and if  
7 applicable, paragraphs (2)(a) through (c). Form PSC/ENG 021 (XX/XX), entitled  
8 “Application for Original Certificate of Authorization for Existing Utility Currently Charging  
9 for Service,” which is incorporated by reference in this rule and is available at [Department of  
10 State hyperlink], is an example application that may be completed by the applicant and filed  
11 with the Office of Commission Clerk to comply with this subsection. This form is also  
12 available on the Commission’s website, [www.floridapsc.com](http://www.floridapsc.com).

13 (a) A filing fee pursuant to Rule 25-30.020(2)(a), F.A.C.;  
14 (b) Proof of noticing pursuant to Rule 25-30.030, F.A.C.;  
15 (c)(a) The utility’s ~~complete~~ name, and address, telephone number, Federal Employer  
16 Identification Number, authorized representative, and if available, e-mail address and fax  
17 number;

18 (d)(b) The nature of the utility’s business organization, i.e., corporation, limited liability  
19 company, partnership, limited partnership, sole proprietorship, association, etc.; The  
20 applicant must provide documentation from the Florida Department of State, Division of  
21 Corporations, showing:

22 1. The utility’s business name and registration/document number for the business, unless  
23 operating as a sole proprietor, and  
24 2. The utility’s fictitious name and registration number for the fictitious name, if operating  
25 under a fictitious name;

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

- 1 (e)(e) The name(s), and address(es), and percentage of ownership of each entity or person  
2 which owns or will own more than a 5 percent of all corporate officers, directors, partners, or  
3 any other person(s) owning an interest in the utility;
- 4 (f) A statement indicating whether the application is for water, wastewater, or both. If the  
5 applicant is applying for water or wastewater only, the statement shall include how the other  
6 service is provided;
- 7 (g) A description of the types of customers served, i.e., single family homes, mobile homes,  
8 duplexes, golf course clubhouse, or commercial;
- 9 (h) A schedule showing the number of customers currently served, by class and meter size, as  
10 well as the number of customers projected to be served when the requested service territory is  
11 fully developed;
- 12 (i)(d) To demonstrate A statement regarding that the applicant has the necessary financial and  
13 technical ability of the applicant to continue to provide service to the proposed service area,  
14 the applicant shall provide:
- 15 1. A detailed financial statement (balance sheet and income statement), audited if available, of  
16 the financial condition of the applicant, which shows all assets and liabilities of every kind and  
17 character. The financial statements shall be for the preceding calendar or fiscal year. The  
18 financial statement shall be prepared in accordance with Rule 25-30.115, F.A.C. If available,  
19 a statement of the sources and uses of funds shall also be provided;
- 20 2. A list of all entities, including affiliates, upon which the applicant is relying to provide  
21 funding to the utility and an explanation of the manner and amount of such funding. The list  
22 need not include any person or entity holding less than 5 percent ownership interest in the  
23 utility. The applicant shall provide copies of any financial agreements between the listed  
24 entities and the utility and proof of the listed entities' ability to provide funding, such as  
25 financial statements;

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

- 1 (j) To demonstrate the technical ability of the applicant to provide service, the applicant shall  
2 provide the following:
- 3 1. A statement of the applicant's experience in the water and wastewater industry;
  - 4 2. A copy of all current permits from the Department of Environmental Protection (DEP) and  
5 the water management district;
  - 6 3. A copy of the most recent DEP and/or county health department sanitary survey,  
7 compliance inspection report, and secondary standards drinking water report; and
  - 8 4. A copy of all correspondence with the DEP, county health department, and water  
9 management district, including consent orders and warning letters, and the utility's responses  
10 to the same, for the past five years;
- 11 (k) A legal description of the proposed service area, including an electronic copy, in the  
12 format prescribed in Rule 25-30.029, F.A.C.;
- 13 (l) A statement explaining when and under what circumstances the applicant began providing  
14 service prior to obtaining a certificate of authorization;
- 15 (m)(e) Documentation of the utility's right to access and continued term use of ~~Evidence that~~  
16 ~~the utility owns~~ the land upon which the utility treatment facilities are located. Documentation  
17 of continued use shall be in the form of a recorded warranty deed, recorded quit claim deed  
18 accompanied by title insurance, recorded lease or a copy of an agreement which provides for  
19 ~~the continued use of the land,~~ such as a 99-year lease, or recorded easement. The applicant  
20 may submit a contract for the continued use of land with an unrecorded copy of the instrument  
21 if the applicant files a recorded copy within the time required in the order granting the  
22 certificate. The Commission may consider a written easement or other cost-effective  
23 alternative;
- 24 (n) Documentation specifying on what date and under what authority the current rates and  
25 charges were established;

CODING: Words underlined are additions; words in ~~struck-through~~ type are deletions from existing law.

- 1 ~~(o)(f)~~ One original and one electronic media copy ~~two copies~~ of a model tariff, containing all  
2 rates, classifications, charges, rules, and regulations, which shall be consistent with Chapter  
3 25-9, F.A.C. Form PSC/ENG 031 (XX/XX), entitled "Water Tariff" and Form PSC/ENG 032  
4 (XX/XX), entitled "Wastewater Tariff," which are incorporated by reference in Rule 25-  
5 30.033, F.A.C., are example tariffs that may be completed by the applicant and included in the  
6 application. These forms may also be obtained from the Commission's website,  
7 www.floridapsc.com; Model tariffs are available from the Division of Economics, 2540  
8 Shumard Oak Boulevard, Tallahassee, Florida 32399-0850;
- 9 ~~(g)~~ A statement specifying on what date and under what authority the current rates and charges  
10 were established;
- 11 ~~(h)~~ A description of the territory to be served, using township, range and section references as  
12 specified in subsection 25-30.030(2), F.A.C.;
- 13 ~~(p)(i)~~ One copy of Aa detailed system map showing existing and proposed the lines, and  
14 treatment facilities with and the territory proposed to be served plotted thereon, consistent  
15 with the legal description provided in paragraph (1)(k) above. Any territory not served at the  
16 time of the application shall be specifically identified on the system map. The map shall be of  
17 sufficient scale and detail to enable correlation with the description of the territory proposed to  
18 be served; and
- 19 ~~(q)(j)~~ An One copy of the official county tax assessment map, or other map showing township,  
20 range, and section with a scale such as 1"=200' or 1"=400', with the proposed territory plotted  
21 thereon, consistent with the legal description provided in paragraph (k) above. ~~by use of~~  
22 ~~metes and bounds or quarter sections, and with a defined reference point of beginning;~~
- 23 ~~(k)~~ The numbers and dates of any permits issued for the systems by the Department of  
24 Environmental Protection;
- 25 ~~(l)~~ The date the utility was established;

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

- 1 ~~(m) A statement explaining how and why applicant began providing service prior to obtaining~~  
2 ~~a certificate of authorization; and~~
- 3 ~~(n) A schedule showing the number of customers currently served, by class and meter size, as~~  
4 ~~well as the number of customers projected to be served when the requested service territory is~~  
5 ~~fully occupied.~~
- 6 (2) If the applicant is requesting any territory not served at the time of application, provide the  
7 following:
- 8 (a) To demonstrate ~~A statement showing~~ the need for service in the proposed area, the  
9 applicant shall provide the following:
- 10 1. The number of customers proposed to be served, by customer class and meter size,  
11 including a description of the types of customers anticipated to be served, i.e., single family  
12 homes, mobile homes, duplexes, golf course clubhouse, or commercial. If the development  
13 will be in phases, this information shall be separated by phase; and
- 14 2. A copy of all requests for service from property owners or developers in areas not currently  
15 served;
- 16 (b) The current land use designation of the proposed service territory as described in A  
17 ~~statement that to the best of the applicant's knowledge, the provision of service in this territory~~  
18 ~~will be consistent with the water and wastewater sections of the local comprehensive plan as~~  
19 ~~approved by the Department of Community Affairs at the time the application is filed. If the~~  
20 proposed development will require a revision to the comprehensive plan, describe the steps  
21 taken and to be taken to facilitate those changes, including changes needed to address the  
22 proposed need for service described in paragraph (2)(a) above; and, or, if not consistent, a  
23 ~~statement demonstrating why granting the territory would be in the public interest.~~
- 24 (c) Any known land use restrictions, such as environmental restrictions imposed by  
25 governmental authorities.

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25

*Rulemaking Authority 350.127(2), 367.045, 367.121(1), 367.1213 FS. Law Implemented  
367.045, 367.1213 FS. History—New 1-27-91, Amended 11-30-93, \_\_\_\_\_.*

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.



1 | 25-30.035 Application for Original Grandfather Certificate of Authorization Following  
2 | Rescission of Jurisdiction by a County.

3 | (1) Each applicant for an original a certificate of authorization following rescission of  
4 | jurisdiction by a county under the provisions of Section 367.171, F.S., (commonly known as  
5 | the grandfather provision), shall file with the Commission Clerk one original of provide the  
6 | following information: set forth in paragraphs (2) through (16) below. Form PSC/ENG 022  
7 | (XX/XX), entitled "Application for Original Certificate of Authorization Following  
8 | Rescission of Jurisdiction by a County (Grandfather Certificate)," which is incorporated by  
9 | reference in this rule and is available at [Department of State hyperlink], is an example  
10 | application that may be completed by the applicant and filed with the Office of Commission  
11 | Clerk to comply with this subsection. This form may also be obtained from the Commission's  
12 | website, [www.floridapsc.com](http://www.floridapsc.com);

13 | (2) A filing fee pursuant to Rule 25-30.020(2)(d), F.A.C.

14 | (3)(4) The utility's complete name, and address, telephone number, Federal Employer  
15 | Identification Number, authorized representative, and, if available, e-mail address and fax  
16 | number;

17 | (4)(2) The nature of the utility's business organization, i.e., corporation, limited liability  
18 | company, partnership, limited partnership, sole proprietorship, or association,,-ete. The  
19 | applicant must provide documentation from the Florida Department of State, Division of  
20 | Corporations, showing:

21 | (a) The utility's business name and registration/document number for the business, unless  
22 | operating as a sole proprietor, and

23 | (b) The utility's fictitious name and registration number for the fictitious name, if operating  
24 | under a fictitious name;

25 | (5)(3) The name(s), and address(es), and percentage of ownership of each entity or person

CODING: Words underlined are additions; words in ~~struck-through~~ type are deletions from existing law.

- 1 which owns or will own of all corporate officers, directors, partners, or any other person(s)  
2 owning more than a 5 percent an interest in the utility;
- 3 (6) A statement indicating whether the application is for water, wastewater, or both. If the  
4 applicant is applying for water or wastewater only, the statement shall include how the other  
5 service is provided;
- 6 (7)(4) The date the utility was established;
- 7 (8)(5) A description of the types of customers served, i.e., single family homes, mobile homes,  
8 duplexes, golf course clubhouse, or commercial, etc.;
- 9 (9) A schedule showing the number of customers currently served, by class and meter size, as  
10 well as the number of customers projected to be served when the requested service territory is  
11 fully developed;
- 12 (10) A legal description of the proposed service area, including an electronic media copy, in  
13 the format prescribed in Rule 25-30.029, F.A.C. The utility must provide documentation of  
14 the territory the utility was serving, or was authorized to serve, from the county which had  
15 jurisdiction over the utility on the day Chapter 367, F.S., became applicable to the utility;
- 16 (11)(6) Documentation of the utility's right to access and continued use of Evidence that the  
17 utility owns the land upon which the utility treatment facilities are located. Documentation of  
18 continued use shall be in the form of a recorded warranty deed, recorded quit claim deed  
19 accompanied by title insurance, recorded lease or a copy of an agreement which provides for  
20 the continued use of the land, such as a 99-year lease, or recorded easement. The applicant  
21 may submit a contract for the continued use of land with an unrecorded copy of the instrument  
22 provided the applicant files a recorded copy within the time required in the order granting the  
23 certificate; The Commission may consider a written easement or other cost-effective  
24 alternative;
- 25 (12) Documentation, or if no documentation exists, a statement, specifying on what date and

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

1 under what authority the current rates and charges were established;  
2 ~~(13)(7)~~ One original and one electronic media copy ~~two copies~~ of a tariff; containing all rates,  
3 classifications, charges, rules, and regulations, which shall be consistent with Chapter 25-9,  
4 F.A.C. Form PSC/ENG 031 (XX/XX), entitled "Water Tariff" and Form PSC/ENG 032  
5 (XX/XX), entitled "Wastewater Tariff," which are incorporated by reference in Rule 25-  
6 30.033, F.A.C., are example tariffs that may be completed by the applicant and included in the  
7 application. These forms may also be obtained from the Commission's website,  
8 www.floridapsc.com; Sample tariffs are available from the Division of Economic Regulation,  
9 2540 Shumard Oak Boulevard, Tallahassee, Florida 32399-0850;  
10 ~~(8)~~ ~~A statement specifying on what date and under what authority the current rates and charges~~  
11 ~~were established;~~  
12 ~~(9)~~ ~~A description using township, range, and section references as specified in subsection 25-~~  
13 ~~30.030(2), F.A.C., of the territory the utility was serving, or was authorized to serve by the~~  
14 ~~county which had jurisdiction over the utility on the day Chapter 367, F.S., became applicable~~  
15 ~~to the utility;~~  
16 ~~(14)~~~~(10)~~ One copy of A a detailed system map showing the existing and proposed lines; and  
17 treatment facilities, with and the territory proposed to be served plotted thereon, consistent  
18 with the legal description provided in subsection (10) above. Any territory not served at the  
19 time of the application shall be specifically identified, and T he map shall be of sufficient  
20 scale and detail to enable correlation with the description of the territory to be served;  
21 ~~(15)~~~~(11)~~ An One copy of the official county tax assessment map, or other map showing  
22 township, range, and section; with a scale such as 1" = 200' or 1" = 400', with the proposed  
23 territory plotted thereon, consistent with the legal description provided in subsection (10)  
24 above by use of metes and bounds or quarter sections, and with a defined reference point of  
25 beginning; and

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25

~~(16)(12)~~ The numbers and dates of any A copy of all current permits issued for the systems by the Department of Environmental Protection and water management district. ~~;~~ and

~~(13)~~ A schedule showing the number of customers currently served, by class and meter size, as well as the number of customers projected to be served when the requested service territory is fully occupied.

*Rulemaking Authority 350.127(2), 367.121, 367.1213 FS. Law Implemented 367.1213, 367.171 FS. History—Amended 7-21-65, 1-7-69, 2-3-70, 3-6-71, Revised 9-12-74, Amended 3-26-81, Formerly 25-10.02, 25-10.002, Amended 11-10-86, 1-27-91, 11-30-93, \_\_\_\_\_.*

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

1 25-30.036 Application for Amendment to Certificate of Authorization to Extend or Delete  
2 Service Area.

3 (1) This rule applies to any certificated water or wastewater utility that proposes to extend its  
4 service territory into an area in which there is no existing water or wastewater system or  
5 proposes to delete a portion of its service territory. Form PSC/ENG 023 (XX/XX) entitled  
6 “Application for Amendment of Certificate (Extension, Quick Take Extension, or Deletion),”  
7 which is incorporated by reference in this rule and is available at [Department of State  
8 hyperlink], is an example application that may be completed by the applicant and filed with  
9 the Office of Commission Clerk to comply with subsection (2), (3), or (4) below. This form  
10 may also be obtained from the Commission’s website, [www.floridapsc.com](http://www.floridapsc.com).

11 ~~(2) A request for service territory expansion and amendment of an existing certificate or~~  
12 ~~issuance of a new certificate shall be considered approved under the following conditions if no~~  
13 ~~protest is timely filed to the notice of application:~~

14 ~~(a) The utility has provided a written statement of an officer of the utility that the proposed~~  
15 ~~new territory includes a maximum of 25 equivalent residential connections within such~~  
16 ~~territory at the time the territory is at buildout; and~~

17 ~~(b) The utility has provided the written statement of an officer of the utility that, upon~~  
18 ~~investigation, to the best of his or her knowledge:~~

19 ~~1. There is no other utility in the area of the proposed territory that is willing and capable of~~  
20 ~~providing reasonably adequate service to the new territory; and~~

21 ~~2. The person(s) or business(es) requesting water or wastewater service have demonstrated to~~  
22 ~~the utility that service is necessary because (1) a private well has been contaminated or gone~~  
23 ~~dry, (2) a septic tank has failed; or (3) service is otherwise not available.~~

24 ~~(c) The utility has filed a completed application in accordance with subsection (2) of this rule~~  
25 ~~within 45 days of the completion of the notice requirements.~~

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

1 ~~(2)~~<sup>(3)</sup> Each utility proposing to extend its service area (except applications filed pursuant to  
2 subsection (3) below), shall file with the Commission Clerk one original of the information set  
3 forth in paragraphs (a) through (q). ~~(2) above, which shall file only (a), (d), (e), (i), (m), (o),~~  
4 ~~(p), (q), and (r) listed below) shall provide the following:~~

5 (a) A filing fee pursuant to Rule 25-30.020(2)(b), F.A.C.;  
6 (b) Proof of Noticing pursuant to Rule 25-30.030, F.A.C.;  
7 (c)(a) The utility's certificated complete name, and address, telephone number, Federal  
8 Employer Identification Number, authorized representative, and, if available, e-mail address  
9 and fax number;  
10 ~~(b) A statement showing the financial and technical ability of the utility to provide service and~~  
11 ~~the need for service in the area requested;~~

12 (d) To demonstrate the need for service in the proposed area, the applicant must provide:

13 1. The number of customers currently being served and proposed to be served, by customer  
14 class and meter size, including a description of the types of customers anticipated to be served,  
15 i.e., single family homes, mobile homes, duplexes, golf course clubhouse, or commercial.  
16 2. A copy of all requests for service from property owners or developers in areas not currently  
17 served;  
18 3. The current land use designation of the proposed service territory as described in the local  
19 comprehensive plan at the time the application is filed. If the proposed development will  
20 require a revision to the comprehensive plan, describe the steps taken and to be taken to  
21 facilitate those changes, including changes needed to address the proposed need for service;  
22 and

23 ~~(e) A statement that to the best of the applicant's knowledge the provision of service will be~~  
24 ~~consistent with the water and wastewater sections of the local comprehensive plan at the time~~  
25 ~~the application is filed, as approved by the Department of Community Affairs, or, if not, a~~

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

1 ~~statement demonstrating why granting the amendment would be in the public interest;~~  
2 4. Any known land use restrictions, such as environmental restrictions imposed by  
3 governmental authorities;  
4 (e)(d) If the utility is planning to build a new water or wastewater treatment plant to serve the  
5 proposed territory, provide documentation of the utility's right to access and continued use of  
6 Evidence that the utility owns the land upon which the new utility treatment facilities that will  
7 serve the proposed territory will be are located. Documentation of continued use shall be in  
8 the form of a recorded warranty deed, recorded quit claim deed accompanied by title  
9 insurance, recorded lease or a copy of an agreement, such as a 99-year lease, or recorded  
10 easement which provides for the continued use of the land. The applicant may submit a  
11 contract for the continued use of land with an unrecorded copy of the instrument, provided  
12 that the applicant files a recorded copy within the time required in the order granting the  
13 amendment to the certificate of authorization; The Commission may consider a written  
14 easement or other cost-effective alternative;  
15 (f)(e) A legal description of the territory proposed to be served, including an electronic media  
16 copy, in the format prescribed in Rule 25-30.029, F.A.C. In addition, if the extension of  
17 territory is adjacent to existing territory, provide a legal description of the resulting territory  
18 including both existing and expanded portions, including an electronic media copy, in the  
19 format prescribed in Rule 25-30.029, F.A.C. using township, range and section references as  
20 specified in subsection 25-30.030(2), F.A.C.;  
21 (g)(f) One copy of Aa detailed system map showing the proposed lines; and treatment  
22 facilities, with and the territory proposed to be served plotted thereon, consistent with the legal  
23 description provided in paragraph (2)(f) above. If the territory to be served is adjacent to the  
24 utility's existing territory, provide a complete map showing both existing and expanded  
25 territories. The map shall be of sufficient scale and detail to enable correlation with the

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

- 1 description of the territory;
- 2 ~~(g) If the utility is planning to build a new wastewater treatment plant, or upgrade an existing~~  
3 ~~plant to serve the proposed territory, provide a written description of the proposed method(s)~~  
4 ~~of effluent disposal;~~
- 5 ~~(h) If (g) above does not include effluent disposal by means of reuse, a statement that~~  
6 ~~describes with particularity the reasons for not using reuse;~~
- 7 ~~(h)(i) An~~ One copy of the official county tax assessment map or other map showing township,  
8 range, and section, with a scale such as 1" = 200' or 1" = 400', with the proposed territory  
9 plotted thereon, consistent with the legal description provided in paragraph (2)(f) above;  
10 ~~by use of metes and bounds or quarter sections, and with a defined reference point of~~  
11 ~~beginning;~~
- 12 ~~(i)(j)~~ A statement describing the capacity of the existing lines, the capacity of the existing  
13 treatment facilities, and the design capacity of the proposed extension;
- 14 ~~(j)(k) A copy of all current~~ The numbers and dates of any permits issued for the proposed  
15 systems by the Department of Environmental Protection and by the water management  
16 district;
- 17 ~~(k) A copy of the most recent DEP and/or county health department sanitary survey,~~  
18 ~~compliance inspection report, and secondary standards drinking water report;~~
- 19 ~~(l) A copy of all correspondence with the DEP, county health department and water~~  
20 ~~management district, including consent orders and warning letters, and the utility's response to~~  
21 ~~the same, for the past five years;~~
- 22 ~~(m)(4) A detailed statement regarding the proposed method of financing the construction; and~~  
23 ~~the projected impact on the utility's capital structure;~~
- 24 ~~(m) A description of the types of customers anticipated to be served by the extension, i.e.,~~  
25 ~~single family homes, mobile homes, duplexes, golf course clubhouse, commercial, etc.;~~

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.



- 1 (n) Aa statement regarding the projected impact of the extension on the utility's monthly rates  
2 and service availability charges;
- 3 (o) One original and one electronic media of all tariff pages that reflect the additional  
4 proposed service area, which shall be consistent with Chapter 25-9, F.A.C. Form PSC/ENG  
5 031 (XX/XX), entitled "Water Tariff" and Form PSC/ENG 032 (XX/XX), entitled  
6 "Wastewater Tariff," which are incorporated by reference in Rule 25-30.033, F.A.C., are  
7 example tariffs that may be completed by the applicant and included in the application. These  
8 forms may also be obtained from the Commission's website, [www.floridapsc.com](http://www.floridapsc.com); The  
9 original and two copies of sample tariff sheets reflecting the additional service area; and  
10 ~~(p) The applicant's current certificate for possible amendment.~~
- 11 ~~(p)(q)~~ (p) The number of the most recent order of the Commission establishing or changing the  
12 applicant's rates and charges; and -
- 13 ~~(q)(r)~~ (q) An affidavit that the utility has tariffs and annual reports on file with the Commission.
- 14 (3) A request for service territory extension and amendment of an existing certificate  
15 (commonly known as a "quick take" amendment) shall be considered approved under the  
16 following conditions if no protest is timely filed to the notice of application:
- 17 (a) The utility has provided a written statement that the proposed new territory includes a  
18 maximum of 25 equivalent residential connections within such territory at the time the  
19 territory is at buildout. In addition, the statement must include a description of the types of  
20 customers anticipated to be served by the extension, i.e., single family homes, mobile homes,  
21 duplexes, golf course clubhouse, or commercial;
- 22 (b) The utility has provided a written statement that, upon investigation:
- 23 1. There is no other utility in the area of the proposed territory that is willing and capable of  
24 providing reasonably adequate service to the new territory; and
- 25 2. The person(s) or business(es) requesting water or wastewater service have demonstrated to

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

1 the utility that service is necessary because (1) a private well has been contaminated or gone  
2 dry, (2) a septic tank has failed, or (3) service is otherwise not available; and  
3 (c) The utility has filed an application which meets the requirements of paragraphs (2)(a), (b),  
4 (c), (e), (f), (h), (j), (o), (p) and (q) above.  
5 (4) Each utility proposing to delete a portion of its service area shall file with the Commission  
6 Clerk one original of the information set forth in paragraphs (4)(a) through (k) below. submit  
7 the following:  
8 (a) A filing fee pursuant to Rule 25-30.020(2)(b), F.A.C.;  
9 (b) Proof of noticing pursuant to Rule 25-30.030, F.A.C.;  
10 (c)(a) The utility's complete name, and address, telephone number, authorized representative,  
11 and, if available, e-mail address and fax number. The utility's name should reflect the  
12 business and/or fictitious name(s) registered with the Department of State's Division of  
13 Corporations;  
14 (d) A statement specifying the reasons for the proposed deletion of territory;  
15 (e)(b) A legal description of the territory proposed to be deleted and a legal description of the  
16 remaining territory, including an electronic media copy of each legal description, in the format  
17 prescribed in Rule 25-30.029, F.A.C. -using township, range and section references;  
18 (f)(e) ~~One copy of~~ Aa detailed system map with the territory proposed to be deleted and  
19 retained plotted thereon, consistent with the legal description provided in paragraph (e) above.  
20 showing the existing lines, treatment facilities, and territory served. The map shall show  
21 existing lines and treatment facilities in the area retained and shall be of sufficient scale and  
22 detail to enable correlation with the ~~legal~~ description of the territory;  
23 (d) ~~The number of current active connections within the territory to be deleted;~~  
24 (g)(e) An One copy of the official county tax assessment map, or other map, showing  
25 township, range, and section with a scale such as 1" = 200' or 1" = 400', with the territory

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

1 proposed to be deleted plotted thereon, consistent with the legal description provided in  
2 paragraph (e) above by use of metes and bounds or quarter sections, and with a defined  
3 reference point of beginning;  
4 ~~(f) A statement specifying the reasons for the proposed deletion of territory;~~  
5 ~~(g) A statement indicating why the proposed deletion of territory is in the public interest;~~  
6 (h) The number of current active connections within the territory to be deleted, as well as the  
7 number of connections retained. For each active connection in the area to be deleted, if any,  
8 the A statement must detail as to the effect of the proposed deletion on the ability of those  
9 customers any customer or potential customer to receive water and wastewater services  
10 service, including alternative source(s) of service;  
11 (i) One The original, and two copies one electronic media copy of all sample tariff pages  
12 sheets that reflect reflecting the revised service area, which shall be consistent with Chapter  
13 25-9, F.A.C. Form PSC/ENG 031 (XX/XX), entitled "Water Tariff," and Form PSC/ENG  
14 032 (XX/XX), entitled "Wastewater Tariff," which are incorporated by reference in Rule 25-  
15 30.033, F.A.C., are example tariffs that may be completed by the applicant and included in the  
16 application. These forms may also be obtained from the Commission's website,  
17 www.floridapsc.com;  
18 ~~(j) The applicant's current certificate for possible amendment;~~  
19 (j)(k) The number of the most recent order of the Commission establishing or changing the  
20 applicant's rates and charges; and  
21 (k)(l) An affidavit that the utility has tariffs and annual reports on file with the Commission.  
22 Rulemaking Authority 350.127(2), 367.045, 367.121, 367.1213 FS. Law Implemented 367.045,  
23 367.1213 FS. History—New 1-27-91, Amended 11-30-93, \_\_\_\_\_.  
24  
25

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

1 25-30.037 Application for Authority to Transfer.

2 (1) This rule applies to any application for the transfer of an existing water or wastewater  
3 utility system, regardless of whether service is currently being provided. ~~This rule does not~~  
4 ~~apply where the transfer is of an exempt or non-jurisdictional system and will result in the~~  
5 ~~system continuing to be exempt from or not subject to Commission jurisdiction.~~ The  
6 application for transfer may result in the transfer or cancellation of the seller's existing  
7 certificate, amendment of the buyer's existing certificate or granting of an original initial  
8 certificate to the buyer, or a transfer of majority organizational control of the utility.

9 (a) If a transfer occurs prior to Commission approval, the utility shall submit an application for  
10 authority to transfer no later than 90 days after the sale closing date.

11 (b) When a utility applies for any of the following transfer authorizations by the Commission,  
12 it shall provide its application as prescribed in the appropriate subsection below:

13 1. A transfer of a regulated utility to another regulated utility shall be pursuant to subsection

14 (2) below;

15 2. A transfer of an exempt entity to a regulated utility shall be pursuant to subsection (3)

16 below;

17 3. A transfer of a utility in a nonjurisdictional county to a regulated utility that results in a  
18 system whose service transverses county boundaries shall be pursuant to subsection (3) below;

19 4. A change of majority organizational control of a regulated utility shall be pursuant to  
20 subsection (4) below; or

21 5. A transfer of a regulated utility to an exempt entity other than a governmental authority  
22 shall be pursuant to subsection (5) below.

23 (c) Form PSC/ENG 024 (XX/XX), entitled "Application for Transfer of Certificates or  
24 Facilities from a Regulated Utility to Another Regulated Utility," which is incorporated by  
25 reference in this rule and which is available at [Department of State hyperlink], Form

CODING: Words underlined are additions; words in ~~struck-through~~ type are deletions from existing law.

1 PSC/ENG 025 (XX/XX), entitled “Application for Transfer of an Exempt Entity or Utility in a  
2 Non-jurisdictional County to a Regulated Utility That Results in a System Whose Service  
3 Transverses County Boundaries,” which is incorporated by reference in this rule and which is  
4 available at [Department of State hyperlink], Form PSC/ENG 026 (XX/XX), entitled  
5 “Application for a Transfer of Majority Organizational Control of a Regulated Utility,” which  
6 is incorporated by reference in this rule and which is available at [Department of State  
7 hyperlink], and Form PSC/ENG 028 (XX/XX), entitled “Application for Transfer of Facilities  
8 from a Regulated Utility to an Exempt Entity Other Than a Governmental Authority,” which  
9 is incorporated by reference in this rule and which is available at [Department of State  
10 hyperlink], are example applications that may be completed by the applicant and filed with the  
11 Office of Commission Clerk to comply with subsection (2), (3), (4), or (5) below, respectively.

12 These forms may also be obtained from the Commission’s website, [www.floridapsc.com](http://www.floridapsc.com).

13 (2) Transfer of a regulated utility to another regulated utility. Each applicant application for  
14 transfer of certificate of authorization, facilities, or any portion thereof; ~~from a regulated utility~~  
15 to another regulated utility to a non-governmental entity shall file with the Commission Clerk  
16 one original of the information set forth in paragraphs (a) through (v) below. ~~include the~~  
17 following information:

18 (a) A filing fee pursuant to Rule 25-30.020(2)(c), F.A.C.;

19 (b) Proof of noticing pursuant to Rule 25-30.030, F.A.C.;

20 (c)(a) The ~~certificated complete~~ name, and address, telephone number, certificate number(s),  
21 authorized representative, and, if available, e-mail address and fax number of the utility/seller;

22 (d)(b) The complete name and, address, telephone number, Federal Employer Identification  
23 Number, authorized representative and, if available, e-mail address and fax number of the  
24 buyer(s) ~~buyer~~ and the new name of the utility if the buyer plans to operate under a different  
25 name;

CODING: Words underlined are additions; words in ~~struck-through~~ type are deletions from existing law.

- 1 (e) The name, address, telephone number, and if available, e-mail address and fax number of  
2 the person in possession of the books and records when the application is filed;
- 3 (f)(e) The nature of the buyer's business organization, i.e., corporation, limited liability  
4 company, partnership, limited partnership, sole proprietorship, or association. The buyer must  
5 provide documentation from the Florida Department of State, Division of Corporations,  
6 showing:
- 7 1. The utility's/buyer's business name and registration/document number for the business,  
8 unless operating as a sole proprietor, and
- 9 2. The utility's/buyer's fictitious name and registration number for the fictitious name, if  
10 operating under a fictitious name;
- 11 (g)(d) The name(s), and address(es) and percentage of ownership of each entity or person  
12 which owns or of all of the buyer's corporate officers, directors, partners or any other  
13 person(s) who will own more than a 5 percent an interest in the utility;
- 14 (h)(e) The date and state of incorporation or organization of the buyer;
- 15 (f) ~~The names and locations of any other water or wastewater utilities owned by the buyer;~~
- 16 (i)(g) A copy of the contract for sale and all auxiliary or supplemental agreements. If the sale,  
17 assignment, or transfer occurs prior to Commission approval, the contract shall include a  
18 provision stating that the contract is contingent upon Commission approval;  
19 ~~, which shall include, if applicable:~~
- 20 (j) The buyer must provide the following documentation of the terms of the transfer:
- 21 1. The date the closing occurred or will occur;
- 22 ~~2.1. The purchase price and terms of payment;~~
- 23 ~~3.2. A list of and the dollar amount of the assets purchased and liabilities assumed or not~~  
24 assumed, including those of nonregulated operations or entities; ~~and~~
- 25 ~~4.3. A description of all consideration between the parties, including for example, promised~~

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

1 salaries, retainer fees, stock, stock options, and assumption of obligations; -

2 5.(h) Provisions ~~The contract for sale shall also provide~~ for the disposition, where applicable,

3 ~~of the following:~~ 1. Customer deposits and interest thereon, ; 2. Any guaranteed revenue

4 contracts, ; 3. Developer agreements, ; 4. Customer advances, ; 5. Debt of the utility, ; and

5 6. Leases;

6 6. A statement that the buyer will fulfill the commitments, obligations and representations of

7 the seller with regard to utility matters;

8 7. A statement that the buyer has or will obtain the books and records of the seller, including

9 all supporting documentation for rate base additions since the last time rate base was

10 established for the utility;

11 8. A statement that the utility's books and records will be maintained using the 1996 National

12 Association of Regulatory Utilities Commissioners (NARUC) Uniform System of Accounts

13 (USOA), incorporated by reference in Rule 25-30.115, F.A.C.; and

14 9. A statement that the utility's books and records will be maintained at the utility's office(s)

15 within Florida, or that the utility will comply with the requirements of Rule 25-30.110(1)(b)

16 and (c), F.A.C., regarding maintenance of utility records at another location or out-of-state. If

17 the records will be maintained at the utility's office(s), the statement should include the

18 location where the utility intends to maintain the books and records.

19 (i) A statement describing the financing of the purchase;

20 (k)(j) A statement explaining why ~~indicating how~~ the transfer is in the public interest,

21 ~~including a summary of the buyer's experience in water or wastewater utility operations, a~~

22 ~~showing of the buyer's financial ability to provide service, and a statement that the buyer will~~

23 ~~fulfill the commitments, obligations and representations of the seller with regard to utility~~

24 ~~matters;~~

25 (l) To demonstrate the financial ability of the buyer to maintain and operate the acquired

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

1 utility, the buyer shall provide:

2 1. A detailed financial statement (balance sheet and income statement), audited if available, of  
3 the financial condition of the applicant, that shows all assets and liabilities of every kind and  
4 character. The financial statements shall be for the preceding calendar or fiscal year. The  
5 financial statement shall be prepared in accordance with Rule 25-30.115, F.A.C. If available,  
6 a statement of the sources and uses of funds shall also be provided;

7 2.(k) A list of all entities, including affiliates, upon which the buyer applicant is relying to  
8 provide funding to the utility buyer, and an explanation of the manner and amount of such  
9 funding. The list need not include any person or entity holding less than 5 percent ownership  
10 interest in the utility. The applicant shall provide copies of any financial agreements between  
11 the listed entities and the utility and proof of the listed entities' ability to provide funding, such  
12 as financial statements; ~~which shall include their financial statements and copies of any~~  
13 financial agreements with the utility. This requirement shall not apply to any person or entity  
14 holding less than 10 percent ownership interest in the utility;

15 (m) To demonstrate the technical ability of the buyer to provide service, the buyer shall  
16 provide:

17 1. An explanation of the buyer's experience in the water or wastewater industry; and

18 2. The buyer's plans for ensuring continued operation of the utility, such as retaining the  
19 existing plant operator(s) and office personnel, or contracting with outside entities;

20 (n) A legal description of the proposed service area, including an electronic media copy, in the  
21 format prescribed in Rule 25-30.029, F.A.C.;

22 (o)(t) The proposed net book value of the system as of the date of the proposed transfer, and a  
23 statement setting out the reasons for the inclusion of an acquisition adjustment, if one is  
24 requested. If rate base has been established by this Commission, provide the docket and state  
25 the order number, and date issued and identify all adjustments made to update this rate base to

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.



1 | the date of transfer; In addition, provide a schedule of all subsequent changes to rate base;  
2 | ~~(m) A statement setting out the reasons for the inclusion of an acquisition adjustment, if one is~~  
3 | ~~requested;~~  
4 | ~~(n) If the books and records of the seller are not available for inspection by the Commission or~~  
5 | ~~are not adequate for purposes of establishing the net book value of the system, a statement by~~  
6 | ~~the buyer that a good faith, extensive effort has been made to obtain such books and records~~  
7 | ~~for inspection by the Commission and detailing the steps taken to obtain the books and~~  
8 | ~~records;~~  
9 | ~~(p)(e)~~ A statement from the buyer that it has obtained or will obtain copies of all of the federal  
10 | income tax returns of the seller from the date the utility was first established, or the rate base  
11 | was last established by the Commission, whichever is later. ~~or, If~~ the tax returns have not  
12 | been obtained, provide a description of a statement from the buyer detailing the steps taken to  
13 | obtain the tax returns;  
14 | ~~(q)(p)~~ A statement from the buyer that after reasonable investigation, the system being  
15 | acquired appears to be in satisfactory condition and in compliance with all applicable  
16 | standards set by the Department of Environmental Protection (DEP) or, if the system is in  
17 | need of repair or improvement, has any outstanding Notice of Violation of any standard set by  
18 | the DEP or any outstanding consent orders with the DEP, the buyer shall provide a description  
19 | of the repairs or improvements that have been identified, the governmental authority that  
20 | required the repairs or improvements, if applicable, the approximate cost to complete the  
21 | repairs or improvements, and any agreements between the seller and buyer regarding who will  
22 | be responsible for any identified repairs or improvements; list of the improvements and repairs  
23 | ~~needed and the approximate cost to make them, a list of the action taken by the utility with~~  
24 | ~~regard to the violation, a copy of the Notice of Violation(s), a copy of the consent order and a~~  
25 | ~~list of the improvements and repairs consented to and the approximate cost to make them;~~

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

- 1 (r) The applicant shall provide the following documents:
- 2 1. A copy of the utility's current permits from the DEP and the water management district;
- 3 2. A copy of the most recent DEP and/or county health department sanitary survey,
- 4 compliance inspection report, and secondary standards drinking water report; and
- 5 3. A copy of all of the utility's correspondence with the DEP, county health department, and
- 6 water management district, including consent orders and warning letters, and the utility's
- 7 responses to the same, for the past five years; and
- 8 4. A copy of all customer complaints that the utility has received regarding DEP secondary
- 9 water quality standards during the past five years.
- 10 (s)(q) Documentation of the utility's right to access and continued use of ~~Evidence that the~~
- 11 ~~utility owns~~ the land upon which the utility treatment facilities are located. Documentation of
- 12 continued use shall be in the form of a recorded warranty deed, recorded quit claim deed
- 13 accompanied by title insurance, recorded lease, or a copy of an agreement which provides for
- 14 ~~the continued use of the land,~~ such as a 99-year lease, or recorded easement. The applicant
- 15 may submit a contract for the continued use of land with an unrecorded copy of the
- 16 instrument, provided that the applicant files a recorded copy within the time required in the
- 17 order granting the transfer; The Commission may consider a written easement or other cost-
- 18 effective alternative;
- 19 (t)(r) A statement regarding the disposition of any outstanding regulatory assessment fees,
- 20 finances, or refunds owed and which entity will be responsible for paying regulatory assessment
- 21 fees and filing the annual report for the year of the transfer and subsequent years;
- 22 (u)(s) One ~~The original and one electronic media copy two copies of sample~~ tariff sheets
- 23 reflecting any changes resulting from the transfer, the change in ownership; Form PSC/ENG
- 24 031 (XX/XX), entitled "Water Tariff" and Form PSC/ENG 032 (XX/XX), entitled
- 25 "Wastewater Tariff," which are incorporated by reference in Rule 25-30.033, F.A.C., are

CODING: Words underlined are additions; words in ~~struck-through~~ type are deletions from existing law.

1 example tariffs that may be completed by the applicant and included in the application. These  
2 forms are also available on the Commission's website, www.floridapsc.com; and  
3 ~~(t) The utility's current certificate(s), or if not available, provide an explanation of the steps~~  
4 ~~the applicant took to obtain the certificate(s).~~  
5 (v) If the buyer owns other water or wastewater utilities that are regulated by the Commission,  
6 provide a schedule reflecting any economies of scale that are anticipated to be achieved within  
7 the next three years and the effect on rates for existing customers served by both the utility  
8 being purchased and the buyer's other utilities; and  
9 (3) Transfer of an exempt entity or utility in a nonjurisdictional county to a regulated utility  
10 that results in a system whose service transverses county boundaries. The transfer of an  
11 exempt entity or utility in a nonjurisdictional county to a regulated utility results in the transfer  
12 of the exempt or entity or utility in a nonjurisdictional county utility's facilities and the  
13 amendment of the regulated utility's certificate or granting of an original certificate if the  
14 transferred system is in a county not included in the regulated utility's existing certificate.  
15 Each applicant shall file with the Commission one original of the information set forth in  
16 paragraphs (a) through (f) below.  
17 (a) A combined filing fee pursuant to Rule 25-30.020(2)(b) and (c), F.A.C.;  
18 (b) Proof of noticing pursuant to Rule 25-30.030, F.A.C.;  
19 (c) The requirements of paragraphs (2)(c), (d), (e), (f), (g), (h), (i), (j), (k), (l), (m), (n), (o), (p),  
20 (q), (r), (s), (t), (u), and (v) above;  
21 (d) The requirements of Rule 25-30.036(2)(h) and (i), F.A.C.;  
22 (e) An explanation of when and under what authority the current rates and charges of the  
23 exempt or entity or a utility in a non-jurisdictional county were established, if applicable; and  
24 (f) An explanation of how the seller is either exempt pursuant to Section 367.022, F.S. , or is a  
25 utility in a nonjurisdictional county.

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

1 (4)(3) In case of ~~Aa~~ transfer change of majority organizational control, of a regulated utility.  
2 Each applicant for a transfer of majority organizational control shall file with the Commission  
3 one original of the information set forth in paragraphs (a) through (e) below. the application  
4 shall include the following information:

5 (a) A filing fee pursuant to Rule 25-30.020(2)(c), F.A.C.;  
6 (b) Proof of noticing pursuant to Rule 25-30.030, F.A.C.;  
7 (c) The requirements of paragraphs (2)(c), (d), (f), (i), (j), (k), (l), (m), (r), (t), and (u) above;  
8 ~~(a) The complete name and address of the seller;~~  
9 ~~(b) The complete name and address of the buyer;~~  
10 ~~(c) The name(s) and address(es) of all of the buyer's corporate officers, directors, partners and~~  
11 ~~any other person(s) who will own an interest in the utility;~~  
12 ~~(d) The names and locations of any other water or wastewater utilities owned by the buyer;~~  
13 ~~(e) A statement describing the financing of the purchase;~~  
14 ~~(f) A statement describing how the transfer is in the public interest, including a summary of~~  
15 ~~the buyer's experience in water or wastewater utility operations, a showing of the buyer's~~  
16 ~~financial ability to provide service, and a statement that the buyer will fulfill the commitments,~~  
17 ~~obligations and representations of the seller with regard to utility matters;~~  
18 ~~(g) A list of all entities, including affiliates, that have provided, or will provide, funding to the~~  
19 ~~buyer, and an explanation of the manner and amount of such funding, which shall include their~~  
20 ~~financial statements and copies of any financial agreements with the utility. This requirement~~  
21 ~~shall not apply to any person or entity holding less than 10 percent ownership interest in the~~  
22 ~~utility;~~  
23 ~~(h) A statement from the buyer that after reasonable investigation, the system being acquired~~  
24 ~~appears to be in satisfactory condition and in compliance with all applicable standards set by~~  
25 ~~the DEP or, if the system is in need of repair or improvement, has any outstanding Notice of~~

CODING: Words underlined are additions; words in ~~struck-through~~ type are deletions from existing law.

1 ~~Violation(s) of any standard(s) set by the DEP or any outstanding consent orders with the~~  
2 ~~DEP, the buyer shall provide a list of the improvements and repairs needed and the~~  
3 ~~approximate cost to make them, a list of the action taken by the utility with regard to the~~  
4 ~~violations, a copy of the Notice of Violation(s), a copy of the consent order and a list of the~~  
5 ~~improvements and repairs consented to and the approximate cost;~~  
6 ~~(i) Evidence that the utility owns the land upon which the utility treatment facilities are~~  
7 ~~located, or a copy of an agreement which provides for the continued use of the land, such as a~~  
8 ~~99-year lease. The Commission may consider a written easement or other cost effective~~  
9 ~~alternative;~~  
10 ~~(j) The original and two copies of sample tariff sheets reflecting the change in ownership; and~~  
11 ~~(k) The utility's current certificate(s), or if not available, the applicant shall provide an~~  
12 ~~explanation of the steps the applicant took to obtain the certificate(s).~~  
13 (d) A description of the ownership transfer, including the date the transfer occurred or will  
14 occur and a description of the resulting ownership interests in the utility; and  
15 (e) A statement that, following the transfer, all existing management and operating personnel  
16 of the utility will be retained or, if changes in the utility's management or operating personnel  
17 are anticipated, a description of the changes and the impact the changes will have on the  
18 management and operations of the utility.  
19 ~~(4) Each application for transfer of certificate of authorization, facilities, or any portion~~  
20 ~~thereof, or majority organizational control to a governmental authority shall contain the~~  
21 ~~following information:~~  
22 ~~(a) The name and address of the utility and its authorized representative;~~  
23 ~~(b) The name of the governmental authority and the name and address of its authorized~~  
24 ~~representative;~~  
25 ~~(c) A copy of the contract or other document transferring the utility system to the governmental~~

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

- 1 authority;
- 2 ~~(d) A list of any utility assets not transferred to the governmental authority if such remaining~~
- 3 ~~assets constitute a system providing or proposing to provide water or wastewater service to the~~
- 4 ~~public for compensation;~~
- 5 ~~(e) A statement that the governmental authority obtained, from the utility or Commission, the~~
- 6 ~~most recent available income and expense statement, balance sheet, statement of rate base for~~
- 7 ~~regulatory purposes, and contributions in aid of construction;~~
- 8 ~~(f) The date on which the governmental authority proposes to take official action to acquire~~
- 9 ~~the utility;~~
- 10 ~~(g) A statement describing the disposition of customer deposits and interest thereon; and~~
- 11 ~~(h) A statement regarding the disposition of any outstanding regulatory assessment fees, fines~~
- 12 ~~or refunds owed.~~
- 13 ~~(5) If a utility is transferring a portion of its facilities to a governmental agency, it must~~
- 14 ~~provide the following additional information:~~
- 15 ~~(a) A description of the remaining territory using township, range, and section references;~~
- 16 ~~(b) One copy of the official county tax assessment map, or other map, showing township,~~
- 17 ~~range, and section with a scale such as 1" = 200' or 1" = 400', with the remaining territory~~
- 18 ~~plotted thereon by use of metes and bounds or quarter sections, and with a defined reference~~
- 19 ~~point of beginning; and~~
- 20 ~~(c) The original and two copies of sample tariff sheets reflecting the remaining territory.~~
- 21 (5) A transfer of a regulated utility to an exempt entity other than a governmental authority.
- 22 Each applicant for a transfer of ownership of a regulated utility to an exempt entity other than
- 23 a governmental authority shall file with the Commission Clerk one original of the information
- 24 set forth in paragraphs (a) through (e) below.
- 25 (a) A filing fee pursuant to Rule 25-30.020(2)(c), F.A.C.;

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

1 (b) Proof of noticing pursuant to Rule 25-30.030, F.A.C.;  
2 (c) The requirements of paragraphs (2)(c), (d), (k), (l), (m), and (r) above;  
3 (d) Documentation of the following terms of the transfer:  
4 1. A copy of the contract for sale and all auxiliary or supplemental agreements. If the sale,  
5 assignment, or transfer occurs prior to Commission approval, the contract shall include a  
6 provision stating that the contract is contingent upon Commission approval;  
7 2. The closing date;  
8 3. A statement regarding the disposition of customer deposits and interest thereon; and  
9 4. A statement regarding the disposition of any outstanding regulatory assessment fees, fines,  
10 refunds, or annual reports.  
11 (e) An explanation of how the buyer is exempt pursuant to Section 367.022, F.S.  
12 ~~(6) Upon its receipt of items required in paragraphs (4)(a), (b), (c), (d), (e) and (f), the~~  
13 ~~Commission will issue an order acknowledging that the facilities or any portion thereof have~~  
14 ~~been acquired by the governmental authority.~~  
15 ~~(7) Upon receipt of the items required in paragraphs (4)(g) and (h) and, if applicable,~~  
16 ~~paragraphs (5)(a), (b), and (c), and upon the completion of all pending proceedings before the~~  
17 ~~Commission, the utility's certificate will be amended or cancelled. Amendment or cancellation~~  
18 ~~of the certificate shall not affect the utility's obligation pursuant to Rule 25-30.120, F.A.C.,~~  
19 ~~Regulatory Assessment Fees.~~  
20 *Rulemaking Authority 367.121, 367.1213, 350.127(2) FS. Law Implemented 367.071,*  
21 *367.1213 FS. History—New 1-27-91, Amended 11-30-93, \_\_\_\_\_.*  
22  
23  
24  
25

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

- 1 25-30.038 Application for Transfer to a Governmental Authority.
- 2 (1) This rule applies to an application to transfer a regulated utility to a governmental  
3 authority pursuant to Section 367.071(4)(a), F.S.
- 4 (2) Each applicant for acknowledgement of the transfer of facilities, or any portion thereof,  
5 from a regulated utility to a governmental authority shall file one original of the information  
6 set forth in paragraphs (a) through (h) below with the Office of Commission Clerk. Form  
7 PSC/ENG 029 (XX/XX) entitled "Application for Transfer to a Governmental Authority,"  
8 which is incorporated by reference in this rule and is available at [Department of State  
9 hyperlink], is an example application that may be completed by the applicant and filed with  
10 the Office of Commission Clerk to comply with this subsection. This form may also be  
11 obtained from the Commission's website, [www.floridapsc.com](http://www.floridapsc.com).
- 12 (a) The certificated name, address, telephone number, certificate number(s), authorized  
13 representative, and, if available, e-mail address and fax number of the utility.
- 14 (b) The name, address, and telephone number, and authorized representative, and, if available,  
15 e-mail address and fax number of the governmental authority;
- 16 (c) The date on which the governmental authority assumed ownership or proposes to assume  
17 ownership, operation, management, or control of the utility;
- 18 (d) A copy of the contract or other document transferring the utility system to the  
19 governmental authority;
- 20 (e) A statement that the governmental authority obtained from the utility or Commission the  
21 most recent available annual report;
- 22 (f) A statement describing the disposition of customer deposits and interest thereon;
- 23 (g) A statement regarding the disposition of any outstanding regulatory assessment fees, fines  
24 or refunds owed;
- 25 (h) If a utility is transferring only a portion of its facilities to a governmental authority, it must

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.



1 provide the following additional information:  
2 1. A list of any utility assets not transferred to the governmental authority, if such remaining  
3 assets constitute a system providing or proposing to provide water or wastewater service to the  
4 public for compensation;  
5 2. A legal description of the territory not transferred to the governmental authority, including  
6 an electronic media copy, in the format prescribed in Rule 25-30.029, F.A.C.:  
7 3. An official county tax assessment map or other map showing township, range, and section  
8 with a scale such as 1" = 200' or 1" = 400', with the remaining territory plotted thereon,  
9 consistent with the legal description provided in paragraph (2)(h)2. above; and  
10 4. One original and one electronic media copy of tariff sheets reflecting the remaining  
11 territory. Form PSC/ENG 031 (XX/XX), entitled "Water Tariff" and Form PSC/ENG 032  
12 (XX/XX), entitled "Wastewater Tariff," which are incorporated by reference in Rule 25-  
13 30.033, F.A.C., are example tariffs that may be completed by the applicant and included in the  
14 application. These forms may also be obtained from the Commission's website,  
15 www.floridapsc.com.  
16 *Rulemaking Authority 367.045, 367.121, ~~367.1213~~, 350.127(2) FS. Law Implemented 367.045,*  
17 *367.071, ~~367.1213~~ FS. History-New\_\_\_\_\_.*

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

1 25-30.039 Notice of Application for Name Change

2 (1) This rule shall apply to a certificated utility that changes its name only, with no change in  
3 the ownership or control of the utility or its assets.

4 (2) Before notifying its customers of a utility name change, the utility shall provide  
5 Commission staff with the following: Each application for approval of a change in name of a  
6 certificated utility shall include the following information:

7 (a) The utility's certificated complete name, address, telephone number, certificate number(s),  
8 authorized representative, and, if available, e-mail address and fax number and type of  
9 business entity of the certificated utility;

10 (b) The proposed change in name and documentation from the Florida Department of State,  
11 Division of Corporations, showing:

12 1. The utility's business name and registration/document number for the business, unless  
13 operating as a sole proprietor, and

14 2. The utility's fictitious name and registration number for the fictitious name, if operating  
15 under a fictitious name the type of business entity under the new name;

16 (c) ~~A statement setting out the reasons for the name change;~~

17 (c)(d) The effective date of the name change;

18 (d)(e) ~~In the case of a corporation, limited partnership, or any other type of entity that is~~  
19 ~~chartered by the State of Florida or any other state, a copy of the certificate, or other document~~  
20 ~~issued by the state showing its acceptance of the entity's new name. In addition, an officer of~~  
21 ~~the entity shall provide~~ Aa statement that the ownership and control of the utility and its assets  
22 will not change under the new proposed name. In the case of a sole proprietorship, general  
23 partnership, or any other type of entity not chartered by the State of Florida or any other state,  
24 a statement, signed by a duly authorized representative, that the ownership and control of the  
25 utility and its assets will not change under the proposed name;

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions  
from existing law.

1 ~~(e)(f)~~ A draft ~~proposed~~ notice to be sent to the customers of the utility informing them of the  
2 change in utility name; and  
3 ~~(f)(g)~~ An original and one electronic media copy ~~two copies~~ of a ~~proposed~~ tariff reflecting the  
4 name change, including all standard forms. Form PSC/ENG 031 (XX/XX), entitled "Water  
5 Tariff" and Form PSC/ENG 032 (XX/XX), entitled "Wastewater Tariff," which are  
6 incorporated by reference in Rule 25-30.033, F.A.C., are example tariffs that may be  
7 completed by the applicant and included in the application. These forms may also be obtained  
8 from the Commission's website, www.floridapsc.com. ~~and;~~  
9 ~~(h) The applicant's current certificate.~~  
10 (3) After the Commission staff approves the customer notice, the utility shall send the  
11 approved customer notice to all existing customers with the next regular billing, advising them  
12 of the name change. The utility shall file with the Commission Clerk a statement verifying the  
13 date that the notice was actually sent to the customers.  
14 *Rulemaking Authority 350.127(2), 367.121, 367.1214 FS. Law Implemented 367.121,*  
15 *367.1214 FS. History—New 11-30-93, Amended \_\_\_\_\_.*

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions from existing law.

1 25-30.090 Abandonments.

2 (1) This rule applies to any person, lessee, trustee, or receiver owning, operating, managing, or  
3 controlling a utility which intends to abandon the utility. The provisions of this rule are  
4 intended to prevent service interruptions to the utility customers.

5 (2) The notice of abandonment to be issued by the utility to the Commission and the county's  
6 administrator, pursuant to ~~required by~~ Section 367.165, F.S., shall identify ~~include~~ the  
7 following:

8 (a) The utility's name and address;

9 (b) The person to contact regarding this notice, including that person's ~~their~~ address, ~~and~~  
10 telephone number, and, if available, e-mail address and fax number;

11 ~~(e) The location of the utility's books and records;~~

12 ~~(c)(d)~~ The date of the notice;

13 ~~(d)(e)~~ The date the utility will be abandoned;

14 ~~(e)(f)~~ Whether the water system, wastewater system, or both are to be abandoned;

15 ~~(f)(g)~~ A statement of the reason the utility will ~~is to~~ be abandoned;

16 ~~(g)(h)~~ A statement of the status of the utility with the Department of Environmental Protection  
17 regarding outstanding citations or violations; and-

18 (h) The location of the utility's books and records.

19 (3) Within 10 days of ~~the~~ appointment of a receiver by the circuit court, the receiver shall  
20 provide the Commission with a copy of the order of appointment and shall request from the  
21 Commission a copy of the utility's tariff and most recent annual report.

22 (4) Within 90 days of ~~the~~ appointment, a of the receiver who is not a governmental authority  
23 the receiver shall file one original and one electronic media copy of the utility's water and/or  
24 wastewater a proposed tariff that is revised to show ~~revision amending the title page to reflect~~  
25 the name, address and telephone number of the receiver and identifies the receiver as the

CODING: Words underlined are additions; words in ~~struck through~~ type are deletions  
from existing law.

1 issuing officer. This revision shall not affect the certificated name of the utility. Form  
2 PSC/ENG 031 (XX/XX), entitled "Water Tariff" and Form PSC/ENG 032 (XX/XX), entitled  
3 "Wastewater Tariff," which are incorporated by reference in Rule 25-30.033, F.A.C., are  
4 example tariffs that may be completed by the applicant and included in the application. These  
5 forms may also be obtained from the Commission's website, www.floridapsc.com.

6 (5) ~~During the pendency of the receivership, T~~the receiver shall fulfill ~~be responsible for~~  
7 ~~fulfilling~~ the utility's obligations pursuant to Chapter 367, F.S., and Chapter 25-30, F.A.C.,  
8 during the pendency of the receivership. However, In no event shall a receiver shall not be  
9 held responsible by the Commission for failure to provide safe, efficient and sufficient service  
10 where such failure is substantially caused by actions or omissions pre-dating appointment of  
11 the receiver, unless the receiver is given reasonable opportunity to rectify such failure.

12 ~~(6) If the receiver appointed by the circuit court is a governmental authority as defined by~~  
13 ~~Section 367.021(7), F.S., the governmental authority, upon request, shall be found exempt~~  
14 ~~pursuant to Section 367.022(2), F.S.~~

15 *Rulemaking Authority 350.127(2), 367.121 FS. Law Implemented 367.121, 367.165 FS.*  
16 *History—New 11-30-93, Amended \_\_\_\_\_.*

17  
18  
19  
20  
21  
22  
23  
24  
25

**FLORIDA PUBLIC SERVICE COMMISSION**

**INSTRUCTIONS FOR COMPLETING EXAMPLE  
APPLICATION FOR ORIGINAL CERTIFICATE OF AUTHORIZATION  
FOR A PROPOSED OR EXISTING SYSTEM REQUESTING  
INITIAL RATES AND CHARGES**

**(Pursuant to Sections 367.031, 367.045, and 367.081, Florida Statutes, and  
Rule 25-30.033, Florida Administrative Code)**

**General Information**

The attached form is an example application that may be completed by the applicant and filed with the Office of Commission Clerk to comply with Rule 25-30.033, Florida Administrative Code (F.A.C.). Any questions regarding this form should be directed to the Division of Engineering at (850) 413-6910.

**Instructions**

1. Fill out the attached application form completely and accurately.
2. Complete all the items that apply to your utility. If an item is not applicable, mark it "N.A." Do not leave any items blank.
3. Remit the proper filing fee pursuant to Rule 25-30.020, F.A.C., with the application.
4. Provide proof of noticing pursuant to Rule 25-30.030, F.A.C. This may be provided as a late-filed exhibit.
5. The completed application, attached exhibits, and the proper filing fee should be mailed to:

**Office of Commission Clerk  
Florida Public Service Commission  
2540 Shumard Oak Boulevard  
Tallahassee, Florida 32399-0850**

**APPLICATION FOR ORIGINAL CERTIFICATE OF AUTHORIZATION  
FOR A PROPOSED OR EXISTING SYSTEM REQUESTING  
INITIAL RATES AND CHARGES**

**(Pursuant to Sections 367.031, 367.045, and 367.081, Florida Statutes, and  
Rule 25-30.033, Florida Administrative Code)**

To: **Office of Commission Clerk  
Florida Public Service Commission  
2540 Shumard Oak Boulevard  
Tallahassee, Florida 32399-0850**

The undersigned hereby makes application for original certificate(s) to operate a water   
and/or wastewater  utility in \_\_\_\_\_ County, Florida, and submits the following  
information:

**PART I APPLICANT INFORMATION**

A) Contact Information for Utility. The utility's name, address, telephone number, Federal  
Employer Identification Number, and if applicable, fax number, e-mail address, and website  
address. The utility's name should reflect the business and/or fictitious name(s) registered  
with the Department of State's Division of Corporations:

---

Utility Name

---

Office Street Address

---

City

State

Zip Code

---

Mailing Address (if different from Street Address)

---

City

State

Zip Code

---

( ) -  
Phone Number

---

( ) -  
Fax Number

---

Federal Employer Identification Number

---

E-Mail Address

---

Website Address

- B) The contact information of the authorized representative to contact concerning this application:

---

Name

---

Mailing Address

---

City

State

Zip Code

---

( ) -  
Phone Number

( ) -  
Fax Number

---

E-Mail Address

- C) Indicate the nature of the utility's business organization (check one). Provide documentation from the Florida Department of State, Division of Corporations showing the utility's business name and registration/document number for the business, unless operating as a sole proprietor.

- Corporation \_\_\_\_\_  
Number
- Limited Liability Company \_\_\_\_\_  
Number
- Partnership \_\_\_\_\_  
Number
- Limited Partnership \_\_\_\_\_  
Number
- Limited Liability Partnership \_\_\_\_\_  
Number
- Sole Proprietorship \_\_\_\_\_  
Number



- Association
- Other (Specify) \_\_\_\_\_

If the utility is doing business under a fictitious name, provide documentation from the Florida Department of State, Division of Corporations showing the utility's fictitious name and registration number for the fictitious name.

- Fictitious Name (d/b/a) \_\_\_\_\_  
Registration Number \_\_\_\_\_

D) The name(s), address(es), and percentage of ownership of each entity which owns or will own more than 5 percent interest in the utility (use an additional sheet if necessary).

---

---

---

---

E) The election the business has made under the Internal Revenue Code for taxation purposes.

---

---

**PART II      ORIGINAL CERTIFICATE REQUESTING INITIAL RATES**

**A)      DESCRIPTION OF SERVICE**

Exhibit \_\_\_\_\_ - Provide a statement indicating whether the application is for water, wastewater, or both. If the applicant is applying only for water or wastewater, the statement shall include how the other service is provided.

---

---

---

---

---

**B) FINANCIAL ABILITY**

- 1) Exhibit \_\_\_\_\_ - Provide a detailed financial statement (balance sheet and income statement), audited if available, of the financial condition of the applicant, that shows all assets and liabilities of every kind and character. The financial statements shall be for the preceding calendar or fiscal year. The financial statement shall be prepared in accordance with Rule 25-30.115, F.A.C. If available, a statement of the sources and uses of funds shall also be provided.
  
- 2) Exhibit \_\_\_\_\_ - Provide a list of all entities, including affiliates, upon which the applicant is relying to provide funding to the utility and an explanation of the manner and amount of such funding. The list need not include any person or entity holding less than 5 percent ownership interest in the utility. The applicant shall provide copies of any financial agreements between the listed entities and the utility and proof of the listed entities' ability to provide funding, such as financial statements.

---

---

---

---

**C) TECHNICAL ABILITY**

- 1) Exhibit \_\_\_\_\_ - Provide the applicant's experience in the water or wastewater industry;  
  

---

---

---

---
  
- 2) Exhibit \_\_\_\_\_ - Provide the copy of all current permits from the Department of Environmental Protection (DEP) and the water management district;
  
- 3) Exhibit \_\_\_\_\_ - Provide a copy of the most recent DEP and/or county health department sanitary survey, compliance inspection report and secondary water quality standards report; and
  
- 4) Exhibit \_\_\_\_\_ - Provide a copy of all correspondence with the DEP, county health department, and water management district, including consent orders and warning letters, and the utility's responses to the same, for the past five years.

- 5) Exhibit \_\_\_\_\_ - Provide a copy of all customer complaints that the utility has received regarding DEP secondary water quality standards during the past five years.

**D) NEED FOR SERVICE**

- 1) Exhibit \_\_\_\_\_ - Provide the following documentation of the need for service in the proposed area:

- a) The number of customers currently being served and proposed to be served, by customer class and meter size, including a description of the types of customers anticipated to be served, i.e., single family homes, mobile homes, duplexes, golf course clubhouse, commercial. If the development will be in phases, this information shall be separated by phase;

---

---

---

---

- b) A copy of all requests for service from property owners or developers in areas not currently served;
- c) The current land use designation of the proposed service territory as described in the local comprehensive plan at the time the application is filed. If the proposed development will require a revision to the comprehensive plan, describe the steps taken and to be taken to facilitate those changes, including changes needed to address the proposed need for service area;

---

---

---

---

- d) Any known land use restrictions, such as environmental restrictions imposed by governmental authorities.

---

---

---

---

- 2) Exhibit \_\_\_\_\_ - Provide the date the applicant began or plans to begin serving customers. If already serving customers, a description of when and under what circumstances applicant began serving.

---

---

---

---

**E) TERRITORY DESCRIPTION, MAPS, AND FACILITIES**

- 1) Exhibit \_\_\_\_\_ - Provide a legal description of the proposed service area, including an electronic copy, in the format prescribed in Rule 25-30.029, F.A.C.
- 2) Exhibit \_\_\_\_\_ - Provide documentation of the utility's right to access and continued use of the land upon which the utility treatment facilities are or will be located. This documentation shall be in the form of a recorded warranty deed, recorded quit claim deed accompanied by title insurance, recorded lease such as a 99-year lease, or recorded easement. The applicant may submit a contract for the continued use with unrecorded copy of the instrument, provided the applicant files a recorded copy within the time prescribed in the order granting the certificate.
- 3) Exhibit \_\_\_\_\_ - Provide a detailed system map showing the existing and proposed lines and treatment facilities, with the territory proposed to be served plotted thereon, consistent with the legal description provided in E-1 above. The map shall be of sufficient scale and detail to enable correlation with the description of the territory proposed to be served.
- 4) Exhibit \_\_\_\_\_ - Provide an official county tax assessment map or other map showing township, range, and section, with a scale such as 1" = 200' or 1" = 400', with the proposed territory plotted thereon, consistent with the legal description provided in E-1 above.
- 5) Exhibit \_\_\_\_\_ - Provide a description of the separate capacities of the existing and proposed lines and treatment facilities in terms of equivalent residential connections (ERCs) and gallons per day estimated demand per ERC for water and wastewater and the basis for such estimate. If the development will be in phases, this information shall be separated by phase.
- 6) Exhibit \_\_\_\_\_ - Provide a description of the type of water treatment, wastewater treatment, and method of effluent disposal.

**F) PROPOSED TARIFF**

Exhibit \_\_\_\_\_ - Provide an original and one electronic media copy of a tariff containing all rates, classifications, charges, rules, and regulations, which shall be consistent with Chapter 25-9, F.A.C. See Rule 25-30.033, F.A.C., for information about water and wastewater tariffs that are available and may be completed by the applicant and included in the application.

**G) ACCOUNTING AND RATE INFORMATION**

- 1) Exhibit \_\_\_\_\_ - Describe the existing and projected cost of the system(s) and associated depreciation by year until design capacity is reached using the 1996 National Association of Regulatory Utility Commissioners (NARUC) Uniform System of Accounts (USOA), which is incorporated by reference in Rule 25-30.115, F.A.C. The applicant shall identify the year that 80 percent of design capacity is anticipated.
- 2) Exhibit \_\_\_\_\_ - Provide the existing and projected annual contributions-in-aid-of-construction (CIAC) and associated amortization by year including a description of assumptions regarding customer growth projections using the same projections used in documented need for service for the proposed service area. The projected CIAC shall identify cash and property contributions and amortization at 100 percent of design capacity and identify the year when 80 percent of design capacity is anticipated. The projected CIAC shall be consistent with the service availability policy and charges in the proposed tariff provided in F-1 above, the schedule provided in G-6 below, and the CIAC guidelines set forth in Rule 25-30.580, F.A.C.
- 3) Exhibit \_\_\_\_\_ - Provide the current annual operating expenses and the projected annual operating expenses at 80 percent of design capacity using the 1996 NARUC USOA.
- 4) Exhibit \_\_\_\_\_ - Provide a schedule showing the projected capital structure including the methods of financing the construction and operation of the utility until the utility reaches 80 percent of the design capacity of the system. A return on common equity shall be established using the current equity leverage formula established by order of this Commission pursuant to Section 367.081(4), Florida Statutes, unless there is competent substantial evidence supporting the use of a different return on common equity. Please reference subsection 25-30.033(4), F.A.C., for additional information regarding the accrual of allowance for funds used during construction (AFUDC).
- 5) Exhibit \_\_\_\_\_ - Provide a schedule showing how the proposed rates were developed. The base facility and usage rate structure (as defined in subsection 25-30.437(6), F.A.C.) shall be utilized for metered service, unless an alternative rate structure is supported by the applicant and authorized by the Commission.

- 6) Exhibit \_\_\_\_\_ - Provide a schedule showing how the proposed service availability policy and charges were developed, including meter installation, main extension, and plant capacity charges, and proposed donated property.
- 7) Exhibit \_\_\_\_\_ - Provide a schedule showing how the customer deposits and miscellaneous service charges were developed, including initial connection, normal reconnection, violation reconnection, and premises visit fees, consistent with Rules 25-30.311 and 25-30.460, F.A.C.

**H) NOTICING REQUIREMENTS**

Exhibit \_\_\_\_\_ - Provide proof of noticing pursuant to Rule 25-30.030, F.A.C. This may be provided as a late-filed exhibit.

**PART III SIGNATURE**

Please sign and date the utility's completed application.

APPLICATION SUBMITTED BY:

\_\_\_\_\_  
Applicant's Signature

\_\_\_\_\_  
Applicant's Name (Printed)

\_\_\_\_\_  
Applicant's Title

\_\_\_\_\_  
Date

**FLORIDA PUBLIC SERVICE COMMISSION**

**INSTRUCTIONS FOR COMPLETING EXAMPLE  
APPLICATION FOR ORIGINAL CERTIFICATE OF AUTHORIZATION FOR  
EXISTING UTILITY CURRENTLY CHARGING FOR SERVICE**

**(Pursuant to Sections 367.031, 367.045, and 367.081, Florida Statutes, and  
Rule 25-30.034, Florida Administrative Code)**

**General Information**

The attached form is an example application that may be completed by the applicant and filed with the Office of Commission Clerk to comply with Rule 25-30.034, Florida Administrative Code (F.A.C.). Any questions regarding this form should be directed to the Division of Engineering at (850) 413-6910.

**Instructions**

1. Fill out the attached application form completely and accurately.
2. Complete all the items that apply to your utility. If an item is not applicable, mark it "N.A." Do not leave any items blank.
3. Remit the proper filing fee pursuant to Rule 25-30.020, F.A.C., with the application.
4. Provide proof of noticing pursuant to Rule 25-30.030, F.A.C. This may be provided as a late-filed exhibit.
5. The completed application, attached exhibits, and the proper filing fee should be mailed to:

**Office of Commission Clerk  
Florida Public Service Commission  
2540 Shumard Oak Boulevard  
Tallahassee, Florida 32399-0850**

**APPLICATION FOR ORIGINAL CERTIFICATE OF AUTHORIZATION FOR  
EXISTING UTILITY CURRENTLY CHARGING FOR SERVICE**

**(Pursuant to Sections 367.031, 367.045, and 367.081, Florida Statutes, and  
Rule 25-30.034, Florida Administrative Code)**

To: **Office of Commission Clerk  
Florida Public Service Commission  
2540 Shumard Oak Boulevard  
Tallahassee, Florida 32399-0850**

The undersigned hereby makes application for original certificate(s) to operate a water   
and/or wastewater  utility in \_\_\_\_\_ County, Florida, and submits the following  
information:

**PART I APPLICANT INFORMATION**

A) Contact Information for Utility. The utility's name, address, telephone number, Federal  
Employer Identification Number, and if applicable, fax number, e-mail address, and website  
address. The utility's name should reflect the business and/or fictitious name(s) registered  
with the Department of State's Division of Corporations:

\_\_\_\_\_  
Utility Name

\_\_\_\_\_  
Office Street Address

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code

\_\_\_\_\_  
Mailing Address (if different from Street Address)

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code

( ) -  
\_\_\_\_\_  
Phone Number

( ) -  
\_\_\_\_\_  
Fax Number

\_\_\_\_\_  
Federal Employer Identification Number

\_\_\_\_\_  
E-Mail Address



\_\_\_\_\_  
Website Address

B) The contact information of the authorized representative to contact concerning this application:

\_\_\_\_\_  
Name

\_\_\_\_\_  
Mailing Address

\_\_\_\_\_  
City State Zip Code

( ) - ( ) -  
Phone Number Fax Number

\_\_\_\_\_  
E-Mail Address

C) Indicate the nature of the utility's business organization (check one). Provide documentation from the Florida Department of State, Division of Corporations showing the utility's business name and registration/document number for the business, unless operating as a sole proprietor.

- Corporation \_\_\_\_\_  
Number
- Limited Liability Company \_\_\_\_\_  
Number
- Partnership \_\_\_\_\_  
Number
- Limited Partnership \_\_\_\_\_  
Number
- Limited Liability Partnership \_\_\_\_\_  
Number
- Sole Proprietorship
- Association
- Other (Specify) \_\_\_\_\_

If the utility is doing business under a fictitious name, provide documentation from the Florida Department of State, Division of Corporations showing the utility's fictitious name and registration number for the fictitious name.

Fictitious Name (d/b/a) \_\_\_\_\_  
Registration Number \_\_\_\_\_

D) The name(s), address(es), and percentage of ownership of each entity which owns or will own more than 5 percent interest in the utility (use an additional sheet if necessary).

---

---

---

---

**PART II**                      **ORIGINAL CERTIFICATE FOR EXISTING UTILITY**

**A) DESCRIPTION OF SERVICE**

1) Exhibit \_\_\_\_ - Provide a statement indicating whether the application is for water, wastewater, or both. If the applicant is applying only for water or wastewater, the statement shall include how the other service is provided.

---

---

---

---

2) Exhibit \_\_\_\_ - Provide a description of the types of customers served, i.e., single family homes, mobile homes, duplexes, golf course clubhouse, or commercial.

---

---

---

---

3) Exhibit \_\_\_\_ - Provide a schedule showing the number of customers currently served, by class and meter size, as well as the number of customers projected to be served when the requested service territory is fully developed.

**B) FINANCIAL ABILITY**

- 1) Exhibit \_\_\_\_\_ - Provide a detailed financial statement (balance sheet and income statement), audited if available, of the financial condition of the applicant, that shows all assets and liabilities of every kind and character. The financial statements shall be for the preceding calendar or fiscal year. The financial statement shall be prepared in accordance with Rule 25-30.115, F.A.C. If available, a statement of the sources and uses of funds shall also be provided.
  
- 2) Exhibit \_\_\_\_\_ - Provide a list of all entities, including affiliates, upon which the applicant is relying to provide funding to the utility and an explanation of the manner and amount of such funding. The list need not include any person or entity holding less than 5 percent ownership interest in the utility. The applicant shall provide copies of any financial agreements between the listed entities and the utility and proof of the listed entities' ability to provide funding, such as financial statements.

---

---

---

---

**C) TECHNICAL ABILITY**

- 1) Exhibit \_\_\_\_\_ - Provide a statement of the applicant's experience in the water or wastewater industry;  
  

---

---

---

---
  
- 2) Exhibit \_\_\_\_\_ - Provide a copy of all current permits from the Department of Environmental Protection (DEP) and the water management district;
  
- 3) Exhibit \_\_\_\_\_ - Provide a copy of the most recent sanitary survey, the compliance inspection report available from the DEP or county health department, and the most recent secondary water quality standards report; and
  
- 4) Exhibit \_\_\_\_\_ - Provide a copy of all correspondence with the DEP, county health department, and water management district, including consent orders and warning letters, and the utility's responses to the same, for the past five years.

**D) NEED FOR SERVICE**

- 1) Exhibit \_\_\_\_ - Provide a statement explaining when and under what circumstances the applicant began providing service prior to obtaining a certificate of authorization.

---

---

---

---

- 2) Exhibit \_\_\_\_ - If the applicant is requesting any territory not served at the time of application, provide the following documentation of the need for service in the proposed area:

- a) The number of customers proposed to be served, by customer class and meter size, including a description of the types of customers anticipated to be served, i.e., single family homes, mobile homes, duplexes, golf course clubhouse, commercial. If the development will be in phases, this information shall be separated by phase.
- b) A copy of all requests for service from property owners or developers in areas not currently served.
- c) The current land use designation of the proposed service territory as described in the local comprehensive plan at the time the application is filed. If the proposed development will require a revision to the comprehensive plan, describe the steps taken and to be taken to facilitate those changes, including changes needed to address the proposed need for service described above.
- d) Any known land use restrictions, such as environmental restrictions imposed by governmental authorities.

---

---

---

---

**E) TERRITORY DESCRIPTION AND MAPS**

- 1) Exhibit \_\_\_\_ - Provide a legal description of the proposed service area, including an electronic copy, in the format prescribed in Rule 25-30.029, F.A.C.

- 2) Exhibit \_\_\_\_\_ - Provide documentation of the utility's right to access continued use of the land upon which the utility treatment facilities are located. This documentation shall be in the form of a recorded warranty deed, recorded quit claim deed accompanied by title insurance, recorded lease such as a 99-year lease, or recorded easement. The applicant may submit a contract for the continued use with an unrecorded copy of the instrument provided the applicant files a recorded copy within the time prescribed in the order granting the certificate.
  
- 3) Exhibit \_\_\_\_\_ - Provide a detailed system map showing existing and proposed lines and treatment facilities with the territory proposed to be served plotted thereon, consistent with the legal description provided in E-1 above. Any territory not served at the time of the application shall be specifically identified on the system map. The map shall be of sufficient scale and detail to enable correlation with the description of the territory proposed to be served.
  
- 4) Exhibit \_\_\_\_\_ - Provide an official county tax assessment map or other map showing township, range, and section with a scale such as 1"=200' or 1"=400', with the proposed territory plotted thereon, consistent with the legal description provided in E-1 above.

**F) PROPOSED TARIFF AND RATE INFORMATION**

- 1) Exhibit \_\_\_\_\_ - Provide an original and one electronic media copy of a tariff containing all rates, classifications, charges, rules, and regulations, which shall be consistent with Chapter 25-9, F.A.C. See Rule 25-30.034, F.A.C., for information about water and wastewater tariffs that are available and may be completed by the applicant and included in the application.
  
- 2) Exhibit \_\_\_\_\_ - Provide documentation specifying on what date and under what authority the current rates and charges were established.

---



---



---



---

**G) NOTICING REQUIREMENTS**

Exhibit \_\_\_\_\_ - Provide proof of noticing pursuant to Rule 25-30.030, F.A.C. This may be provided as a late-filed exhibit.

**PART III**

**SIGNATURE**

Please sign and date the utility's completed application.

APPLICATION SUBMITTED BY:

\_\_\_\_\_  
Applicant's Signature

\_\_\_\_\_  
Applicant's Name (Printed)

\_\_\_\_\_  
Applicant's Title

\_\_\_\_\_  
Date

**FLORIDA PUBLIC SERVICE COMMISSION**

**INSTRUCTIONS FOR COMPLETING EXAMPLE  
APPLICATION FOR ORIGINAL CERTIFICATE OF AUTHORIZATION  
FOLLOWING RESCISSION OF JURISDICTION BY A COUNTY  
(GRANDFATHER CERTIFICATE)**

**(Pursuant to Section 367.171, Florida Statutes, and  
Rule 25-30.035, Florida Administrative Code)**

**General Information**

The attached form is an example application that may be completed by the applicant and filed with the Office of Commission Clerk to comply with Rule 25-30.035, Florida Administrative Code (F.A.C.). Any questions regarding this form should be directed to the Division of Engineering at (850) 413-6910.

**Instructions**

1. Fill out the attached application form completely and accurately.
2. Complete all the items that apply to your utility. If an item is not applicable, mark it "N.A." Do not leave any items blank.
3. Remit the proper filing fee pursuant to Rule 25-30.020, F.A.C., with the application.
4. The completed application and attached exhibits and the proper filing fee should be mailed to:

**Office of Commission Clerk  
Florida Public Service Commission  
2540 Shumard Oak Boulevard  
Tallahassee, Florida 32399-0850**

**APPLICATION FOR ORIGINAL CERTIFICATE FOLLOWING RESCISSION  
OF JURISDICTION BY A COUNTY (GRANDFATHER CERTIFICATE)**

**(Pursuant to Section 367.171, Florida Statutes, and  
Rule 25-30.035, Florida Administrative Code)**

To: **Office of Commission Clerk  
Florida Public Service Commission  
2540 Shumard Oak Boulevard  
Tallahassee, Florida 32399-0850**

The undersigned hereby makes application for original certificate(s) to operate a water  and/or wastewater  utility in \_\_\_\_\_ County, Florida, and submits the following information:

**PART I APPLICANT INFORMATION**

A) Contact Information for Utility. The utility's name, address, telephone number, Federal Employer Identification Number, and if applicable, fax number, e-mail address, and website address. The utility's name should reflect the business and/or fictitious name(s) registered with the Department of State's Division of Corporations:

\_\_\_\_\_  
Utility Name

\_\_\_\_\_  
Office Street Address

\_\_\_\_\_  
City State Zip Code

\_\_\_\_\_  
Mailing Address (if different from Street Address)

\_\_\_\_\_  
City State Zip Code

( ) - ( ) -  
Phone Number Fax Number

\_\_\_\_\_  
Federal Employer Identification Number

\_\_\_\_\_  
E-Mail Address



---

Website Address

- B) The contact information of the authorized representative to contact concerning this application:

---

Name

---

Mailing Address

---

City

State

Zip Code

---

( ) -

( ) -

---

Phone Number

Fax Number

---

E-Mail Address

- C) Indicate the nature of the utility's business organization (check one). Provide documentation from the Florida Department of State, Division of Corporations, showing the utility's business name and registration/document number for the business, unless operating as a sole proprietor.

Corporation

Number

Limited Liability Company

Number

Partnership

Number

Limited Partnership

Number

Limited Liability Partnership

Number

Sole Proprietorship

Association

Other (Specify)

If the utility is doing business under a fictitious name, provide documentation from the Florida Department of State, Division of Corporations showing the utility's fictitious name and registration number for the fictitious name.

Fictitious Name (d/b/a) \_\_\_\_\_  
Registration Number \_\_\_\_\_

D) The name(s), address(es), and percentage of ownership of each entity which owns or will own more than 5 percent interest in the utility (use an additional sheet if necessary).

---

---

---

---

**PART II**

**GRANDFATHER CERTIFICATE**

**A) DESCRIPTION OF SERVICE**

1) Exhibit \_\_\_\_\_ - Provide a statement indicating whether the application is for water, wastewater, or both. If the applicant is applying only for water or wastewater, the statement shall include how the other service is provided.

---

---

---

---

2) Exhibit \_\_\_\_\_ - Provide the date the utility was established.

---

3) Exhibit \_\_\_\_\_ - Provide a description of the types of customers served, i.e., single family homes, mobile homes, duplexes, golf course clubhouse, or commercial.

---

---

---

---

4) Exhibit \_\_\_\_\_ - Provide a schedule showing the number of customers currently served, by class and meter size, as well as the number of customers projected to be served when the requested service territory is fully developed.

**B) TERRITORY DESCRIPTION, MAPS, AND FACILITIES**

- 1) Exhibit \_\_\_\_ - Provide a legal description of the proposed service area, including an electronic media copy, in the format prescribed in Rule 25-30.029, F.A.C. The utility must provide documentation of the territory the utility was serving, or was authorized to serve, from the county which had jurisdiction over the utility on the day Chapter 367, Florida Statutes, became applicable to the utility.
- 2) Exhibit \_\_\_\_ - Provide documentation of the utility's right to continued long-term use of the land upon which the utility treatment facilities are located. This documentation shall be in the form of a recorded warranty deed, recorded quit claim deed accompanied by title insurance, recorded long-term lease, such as a 99-year lease, or recorded easement. The applicant may submit a contract for the long-term use with an unexecuted or unrecorded copy of the instrument provided the applicant files a recorded copy within the time prescribed in the order granting the certificate.
- 3) Exhibit \_\_\_\_ - Provide a detailed system map showing existing and proposed lines and treatment facilities with the territory proposed to be served plotted thereon, consistent with the legal description provided in B-1 above. Any territory not served at the time of the application shall be specifically identified on the system map. The map shall be of sufficient scale and detail to enable correlation with the description of the territory proposed to be served.
- 4) Exhibit \_\_\_\_ - Provide an official county tax assessment map or other map showing township, range, and section with a scale such as 1"=200' or 1"=400', with the proposed territory plotted thereon, consistent with the legal description provided in B-1 above.
- 5) Exhibit \_\_\_\_ - Provide a copy of all current permits from the Department of Environmental Protection (DEP) and the water management district.

**C) PROPOSED TARIFF AND RATE INFORMATION**

- 1) Exhibit \_\_\_\_ - Provide an original and one electronic media copy of a tariff containing all rates, classifications, charges, rules, and regulations, which shall be consistent with Chapter 25-9, F.A.C. See Rule 25-30.035, F.A.C., for information about water and wastewater tariffs that are available and may be completed by the applicant and included in the application.

- 2) Exhibit \_\_\_\_\_ - Provide documentation, or, if no documentation exists, a statement, specifying on what date and under what authority the current rates and charges were established.

---

---

---

---

**PART III**

**SIGNATURE**

Please sign and date the utility's completed application.

APPLICATION SUBMITTED BY:

\_\_\_\_\_  
Applicant's Signature

\_\_\_\_\_  
Applicant's Name (Printed)

\_\_\_\_\_  
Applicant's Title

\_\_\_\_\_  
Date

**FLORIDA PUBLIC SERVICE COMMISSION**

**INSTRUCTIONS FOR COMPLETING EXAMPLE  
APPLICATION FOR AMENDMENT OF CERTIFICATE  
(EXTENSION, QUICK TAKE EXTENSION, OR DELETION)**

**(Pursuant to Section 367.045, Florida Statutes, and  
Rule 25-30.036, Florida Administrative Code)**

**General Information**

The attached form is an example application that may be completed by the applicant and filed with the Office of Commission Clerk to comply with Rule 25-30.036, Florida Administrative Code (F.A.C.). Any questions regarding this form should be directed to the Division of Engineering (850) 413-6910.

**Instructions**

1. Fill out the attached application form completely and accurately.
2. Complete all the items that apply to your utility. If an item is not applicable, please mark it "N.A." Do not leave any items blank.
3. Remit the proper filing fee pursuant to Rule 25-30.020, F.A.C., with the application.
4. Provide proof of noticing pursuant to Rule 25-30.030, F.A.C. This may be provided as a late-filed exhibit.
5. The completed application, attached exhibits, and the proper filing fee should be mailed to:

**Office of Commission Clerk  
Florida Public Service Commission  
2540 Shumard Oak Boulevard  
Tallahassee, Florida 32399-0850**

**APPLICATION FOR AMENDMENT OF CERTIFICATE  
(EXTENSION, QUICK TAKE EXTENSION, OR DELETION)**

**(Pursuant to Section 367.045, Florida Statutes, and  
Rule 25-30.036, Florida Administrative Code)**

To: **Office of Commission Clerk  
Florida Public Service Commission  
2540 Shumard Oak Blvd.  
Tallahassee, Florida 32399-0850**

The undersigned hereby makes application for amendment of Water Certificate No. \_\_\_\_\_ and/or Wastewater Certificate No. \_\_\_\_\_ to add  or delete  territory located in \_\_\_\_\_ County, Florida, and submits the following information:

Please check the type of amendment being requested. Based upon the type of amendment requested, please complete the following parts of the application. Where specific items are listed, only those items need to be completed under that part.

- Extension: Complete Parts I, II, V, and VI
- Quick Take: Complete Parts I, II (only items B-1, 2, 4, 6 and D-1, 2, 3), III, V, and VI
- Deletion: Complete Parts I, II (only items D-1, 2, 3), IV, V, and VI

**PART I**

**APPLICANT INFORMATION**

- A) **Contact Information for Utility.** The utility's certificated name, address, telephone number, Federal Employer Identification Number, and if applicable, fax number, e-mail address, and website address. The utility's name should reflect the business and/or fictitious name(s) registered with the Department of State's Division of Corporations:

\_\_\_\_\_  
Utility Name

\_\_\_\_\_  
Office Street Address

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code

\_\_\_\_\_  
Mailing Address (if different from Street Address)

\_\_\_\_\_  
City State Zip Code

\_\_\_\_\_  
( ) - ( ) -  
Phone Number Fax Number

\_\_\_\_\_  
Federal Employer Identification Number

\_\_\_\_\_  
E-Mail Address

\_\_\_\_\_  
Website Address

B) The contact information of the authorized representative to contact concerning this application:

\_\_\_\_\_  
Name

\_\_\_\_\_  
Mailing Address

\_\_\_\_\_  
City State Zip Code

\_\_\_\_\_  
( ) - ( ) -  
Phone Number Fax Number

\_\_\_\_\_  
E-Mail Address

**PART II** **TERRITORY AMENDMENT**

Part II should be completed as follows based upon the type of amendment requested.

Extension: Complete all items under Part II

Quick Take Extension: Only need to complete items B-1, 2, 4, 6 and D-1, 2, 3.

Deletion: Only need to complete items D-1, 2, 3.

**A) NEED FOR SERVICE IN THE PROPOSED AREA**

- 1) Exhibit \_\_\_\_\_ - The number of customers currently being served and proposed to be served, by customer class and meter size, including a description of the types of customers anticipated to be served, i.e., single family homes, mobile homes, duplexes, golf course clubhouse, commercial.

---

---

---

---

- 2) Exhibit \_\_\_\_\_ - Provide a copy of all requests from service from property owners or developers in areas not currently served.

- 3) Exhibit \_\_\_\_\_ - Provide a copy of the current land use designation of the proposed service territory as described in the local comprehensive plan at the time the application is filed. If the proposed development will require a revision to the comprehensive plan, describe the steps taken and to be taken to facilitate those changes, including changes needed to address the proposed need for service.

- 4) Exhibit \_\_\_\_\_ - Provide a statement of any known land use restrictions, such as environmental restrictions imposed by governmental authorities.

---

---

---

---

**B) TERRITORY DESCRIPTION, MAPS, FACILITIES, AND TECHNICAL ABILITY**

- 1) Exhibit \_\_\_\_\_ - If the utility is planning to build a new water or wastewater treatment plant to serve the proposed territory, provide documentation of the utility's right to access and continued use of the land upon which the new utility treatment facilities that will serve the proposed territory will be located. This documentation shall be in the form of a recorded warranty deed, recorded quit claim deed accompanied by title insurance, recorded lease such as a 99-year lease, or recorded easement. The applicant may submit a contract for the continued use with an unexecuted or unrecorded copy of the instrument, provided that the applicant files a recorded copy within the time prescribed in the order granting the amendment to the certificate of authorization.



- 2) Exhibit \_\_\_\_ - Provide a legal description of the territory proposed to be served, including an electronic media copy, in the format prescribed in Rule 25-30.029, F.A.C. In addition, if the extension of territory is adjacent to existing territory, provide one complete legal description of the resulting territory including both existing and expanded portions.
- 3) Exhibit \_\_\_\_ - Provide a detailed system map showing the proposed lines and treatment facilities, with the territory proposed to be served plotted thereon, consistent with the legal description provided in B-1 above. If the territory to be served is adjacent to the utility's existing territory, provide a complete map showing both existing and expanded territories. The map shall be of sufficient scale and detail to enable correlation with the description of the territory.
- 4) Exhibit \_\_\_\_ - Provide an official county tax assessment map or other map showing township, range, and section, with a scale such as 1" = 200' or 1" = 400', with the proposed territory plotted thereon, consistent with the legal description provided in B-1 above.
- 5) Exhibit \_\_\_\_ - Provide a statement describing the capacity of the existing lines, the capacity of the existing treatment facilities, and the design capacity of the proposed extension.

---

---

---

---

- 6) Exhibit \_\_\_\_ - Provide a copy of all current permits issued by the Department of Environmental Protection (DEP) and by the water management district.
- 7) Exhibit \_\_\_\_ - Provide a copy of the most recent DEP and/or county health department sanitary survey, compliance inspection report, and secondary water quality standards report.
- 8) Exhibit \_\_\_\_ - Provide a copy of all correspondence with the DEP, county health department, and water management district, including consent orders and warning letters, and the utility's responses to the same, for the past five years.

**C) FINANCIAL ABILITY**

- 1) Exhibit \_\_\_\_ - Provide a detailed statement regarding the proposed method of financing the construction and the projected impact on the utility's capital structure.

---

---

---

---

- 2) Exhibit \_\_\_\_ - Provide a statement regarding the projected impact of the extension on the utility's monthly rates and service availability charges.

---

---

---

---

**D) PROPOSED TARIFF AND RATE INFORMATION**

- 1) Exhibit \_\_\_\_ - Provide an original and one electronic media copy of a tariff containing all rates, classifications, charges, rules, and regulations, which shall be consistent with Chapter 25-9, F.A.C. See Rule 25-30.036, F.A.C., for information about water and wastewater tariffs that are available and may be completed by the applicant and included in the application.

- 2) Exhibit \_\_\_\_ - Provide the number of the most recent order of the Commission establishing or changing the applicant's rates and charges.

---

---

---

---

- 3) Exhibit \_\_\_\_ - An affidavit that the utility has tariffs and annual reports on file with the Commission.

**PART III                    QUICK TAKE EXTENSION ADDITIONAL INFORMATION**

A) Exhibit \_\_\_\_\_ - Provide a written statement that the proposed new territory includes a maximum of 25 equivalent residential connections within such territory at the time the territory is at buildout. In addition, the statement should include a description of the types of customers anticipated to be served by the extension, i.e., single family homes, mobile homes, duplexes, golf course clubhouse, or commercial.

---

---

---

---

B) Exhibit \_\_\_\_\_ - Provide a written statement that upon investigation:

1) There is no other utility in the area of the proposed territory that is willing and capable of providing reasonably adequate service to the new territory.

---

---

---

2) The person(s) or business(es) requesting water or wastewater service have demonstrated to the utility that service is necessary because: (Check all that apply)

- (a) a private well has been contaminated or gone dry ,
- (b) a septic tank has failed , or
- (c) service is otherwise not available .

**PART IV                    TERRITORY DELETION ADDITIONAL INFORMATION**

A) Exhibit \_\_\_\_\_ - Provide a statement specifying the reasons for the proposed deletion of territory.

---

---

---

---

B) Exhibit \_\_\_\_\_ - Provide a legal description of the territory proposed to be deleted, including an electronic media copy, in the format prescribed in Rule 25-30.029, F.A.C., along with a complete legal description of the remaining territory.

- C) Exhibit \_\_\_\_\_ - Provide a detailed system map with the territory proposed to be deleted and retained plotted thereon, consistent with the legal description provided in B above. The map shall show the existing lines and treatment facilities in the area retained and shall be of sufficient scale and detail to enable correlation with the description of the territory.
  
- D) Exhibit \_\_\_\_\_ - Provide an official county tax assessment map or other map, showing township, range, and section with a scale such as 1" = 200' or 1" = 400', with the territory proposed to be deleted plotted thereon, consistent with the legal description provided in B above.
  
- E) Exhibit \_\_\_\_\_ - Provide a description of the number of current active connections within the territory to be deleted, as well as the number of connections retained. For each active connection in the area to be deleted, if any, the statement must detail the effect of the proposed deletion on the ability of those customers to receive water and wastewater services, including alternative source(s) of service.

---



---



---



---

**PART V NOTICING REQUIREMENTS**

Exhibit \_\_\_\_\_ - Provide proof of noticing pursuant to Rule 25-30.030, F.A.C. This may be provided as a late-filed exhibit.

**PART VI SIGNATURE**

Please sign and date the utility's completed application.

APPLICATION SUBMITTED BY: \_\_\_\_\_

Applicant's Signature

---

Applicant's Name (Printed)

---

Applicant's Title

---

Date

**FLORIDA PUBLIC SERVICE COMMISSION**  
**INSTRUCTIONS FOR COMPLETING EXAMPLE**  
**APPLICATION FOR TRANSFER OF CERTIFICATES OR FACILITIES**  
**FROM A REGULATED UTILITY TO ANOTHER REGULATED UTILITY**

**(Pursuant to Section 367.071, Florida Statutes, and  
Rule 25-30.037(2), Florida Administrative Code)**

**General Information**

The attached form is an example application that may be completed by the applicant and filed with the Office of Commission Clerk to comply with Rule 25-30.037(2), Florida Administrative Code (F.A.C.). Any questions regarding this form should be directed to the Division of Engineering at (850) 413-6910.

**Instructions**

1. Pursuant to Rule 25-30.037(1)(a), F.A.C., if a transfer occurs prior to Commission approval, the utility shall submit an application for authority to transfer no later than 90 days after the sale closing date.
2. Fill out the attached application form completely and accurately.
3. Complete all the items that apply to your utility. If an item is not applicable, mark it "N.A." Do not leave any items blank.
4. Remit the proper filing fee pursuant to Rule 25-30.020, F.A.C., with the application.
5. Provide proof of noticing pursuant to Rule 25-30.030, F.A.C. This may be provided as a late-filed exhibit.
6. The completed application, attached exhibits, and the proper filing fee should be mailed to:

**Office of Commission Clerk  
Florida Public Service Commission  
2540 Shumard Oak Boulevard  
Tallahassee, Florida 32399-0850**

**APPLICATION FOR TRANSFER OF CERTIFICATES OR FACILITIES  
FROM A REGULATED UTILITY TO ANOTHER REGULATED UTILITY**

**(Pursuant to Section 367.071, Florida Statutes, and  
Rule 25-30.037(2), Florida Administrative Code)**

Pursuant to Rule 25-30.037(1)(a), F.A.C., if a transfer occurs prior to Commission approval, the utility shall submit an application for authority to transfer no later than 90 days after the sale closing date.

To: **Office of Commission Clerk  
Florida Public Service Commission  
2540 Shumard Oak Boulevard  
Tallahassee, Florida 32399-0850**

The undersigned hereby makes application for the transfer of facilities and transfer  or cancellation  of Water Certificate No. \_\_\_\_\_ and/or Wastewater Certificate No. \_\_\_\_\_ and amendment of Water Certificate No. \_\_\_\_\_ and/or Wastewater Certificate No. \_\_\_\_\_ in \_\_\_\_\_ County, Florida, and submits the following information:

**PART I**

**APPLICANT INFORMATION**

- A) Contact Information for Utility/Seller. The utility/seller's certificated name, address, telephone number, and if applicable, fax number, e-mail address, and website address. The utility's name should reflect the business and/or fictitious name(s) registered with the Department of State's Division of Corporations:

\_\_\_\_\_  
Utility Name

\_\_\_\_\_  
Office Street Address

\_\_\_\_\_  
City State Zip Code

\_\_\_\_\_  
Mailing Address (if different from Street Address)

\_\_\_\_\_  
City State Zip Code

( ) - ( ) -  
Phone Number Fax Number

Federal Employer Identification Number

E-Mail Address

Website Address

Water Certificate No. Wastewater Certificate No.

- B) The contact information of the seller's authorized representative to contact concerning this application:

Name

Mailing Address

City State Zip Code

( ) - ( ) -  
Phone Number Fax Number

E-Mail Address

- C) Contact Information for Buyer. The buyer's name, address, telephone number, Federal Employer Identification Number, and, if applicable, fax number, e-mail address, website address, and new name of the utility if the buyer plans to operate under a different name. The buyer's business name, and if applicable, new utility name, should reflect the business and/or fictitious name(s) registered with the Department of State's Division of Corporations.

Buyer's Name

---

Office Street Address

---

City

State

Zip Code

---

Mailing Address (if different from Street Address)

---

City

State

Zip Code

---

( ) -  
Phone Number

---

( ) -  
Fax Number

---

Federal Employer Identification Number

---

E-Mail Address

---

New Utility Name

- D) The contact information of the buyer's authorized representative to contact concerning this application:

---

Name

---

Mailing Address

---

City

State

Zip Code

---

( ) -  
Phone Number

---

( ) -  
Fax Number

---

E-Mail Address



- E) The name, address, telephone number, and if available, e-mail address and fax number of the person in possession of the books and records when the application is filed.

---

Name

---

Mailing Address

---

City	State	Zip Code
( ) -	( ) -	

---

Phone Number	Fax Number
--------------	------------

---

E-Mail Address

- F) Indicate the nature of the utility's/buyer's business organization (check one). Provide documentation from the Florida Department of State, Division of Corporations, showing the utility's/buyer's business name and registration/document number for the business, unless operating as a sole proprietor.

Corporation \_\_\_\_\_  
Number

Limited Liability Company \_\_\_\_\_  
Number

Partnership \_\_\_\_\_  
Number

Limited Partnership \_\_\_\_\_  
Number

Limited Liability Partnership \_\_\_\_\_  
Number

Sole Proprietorship

Association

Other (Specify) \_\_\_\_\_

If the utility is doing business under a fictitious name, provide documentation from the Florida Department of State, Division of Corporations showing the utility's fictitious name and registration number for the fictitious name.

Fictitious Name (d/b/a) \_\_\_\_\_  
Registration Number \_\_\_\_\_

G) The name(s), address(es), and percentage of ownership of each entity which owns or will own more than 5 percent interest in the utility (Use additional sheet if necessary).

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

H) Provide the date and state of incorporation or organization of the buyer.

\_\_\_\_\_  
\_\_\_\_\_

I) Indicate the nature of the buyer's business organization (check one):

- Corporation \_\_\_\_\_  
Number \_\_\_\_\_
- Limited Liability Company \_\_\_\_\_  
Number \_\_\_\_\_
- Partnership \_\_\_\_\_  
Number \_\_\_\_\_
- Limited Partnership \_\_\_\_\_  
Number \_\_\_\_\_
- Limited Liability Partnership \_\_\_\_\_  
Number \_\_\_\_\_
- Sole Proprietorship \_\_\_\_\_
- Association \_\_\_\_\_
- Other (Specify) \_\_\_\_\_

**PART II**

**TRANSFER OF CERTIFICATE**

**A) DESCRIPTION OF SALE AGREEMENT**

1) Exhibit \_\_\_\_ - Provide a copy of the contract for sale and all auxiliary or supplemental agreements. If the sale, assignment, or transfer occurs prior to Commission approval, the contract shall include provision stating that the contract is contingent upon Commission approval.

2) Exhibit \_\_\_\_ - Provide the following documentation of the terms of the transfer:

a) The date the closing occurred or will occur.

\_\_\_\_\_

b) The purchase price and terms of payment.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

c) A list of and the dollar amount of the assets purchased and liabilities assumed or not assumed, including those of non-regulated operations or entities.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

d) A description of all consideration between the parties, including promised salaries, retainer fees, stock, stock options, and assumption of obligations.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

e) Provisions regarding the disposition, where applicable, of customer deposits and interest thereon, guaranteed revenue contracts, developer agreements, customer advances, debt of the utility, and leases.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

- f) A statement that the buyer will fulfill the commitments, obligations, and representations of the seller with regard to utility matters.

---

---

---

- g) A provision that the buyer has or will obtain the books and records of the seller, including all supporting documentation for rate base additions since the last time rate base was established for the utility.

---

---

---

- h) A statement that the utility's books and records will be maintained using the National Association of Regulatory Utility Commissioners (NARUC) Uniform System of Accounts (USOA).

---

---

---

- i) A statement that the utility's books and records will be maintained at the utility's office(s) within Florida, or that the utility will comply with the requirements of Rule 25-30.110(1)(b) and (c), F.A.C., regarding maintenance of utility records at another location or out-of-state. If the records will not be maintained at the utility's office(s), the statement should include the location where the utility intends to maintain the books and records.

---

---

---

**B) FINANCIAL ABILITY**

- 1) Exhibit \_\_\_\_\_ - Provide a detailed financial statement (balance sheet and income statement), audited if available, of the financial condition of the applicant, that shows all assets and liabilities of every kind and character. The financial statements shall be for the preceding calendar or fiscal year. The financial statement shall be prepared in accordance with Rule 25-30.115, F.A.C. If available, a statement of the sources and uses of funds shall also be provided.

- 2) Exhibit \_\_\_\_ - Provide a list of all entities, including affiliates, upon which the buyer is relying to provide funding to the utility and an explanation of the manner and amount of such funding. The list need not include any person or entity holding less than 5 percent ownership interest in the utility. The applicant shall provide copies of any financial agreements between the listed entities and the utility and proof of the listed entities' ability to provide funding, such as financial statements.

---

---

---

---

**C) TECHNICAL ABILITY**

- 1) Exhibit \_\_\_\_ - Provide the buyer's experience in the water or wastewater industry.

---

---

---

- 2) Exhibit \_\_\_\_ - Provide the buyer's plans for ensuring continued operation of the utility, such as retaining the existing plant operator(s) and office personnel, or contracting with outside entities.

**D) TERRITORY DESCRIPTION, PUBLIC INTEREST, AND FACILITIES**

- 1) Exhibit \_\_\_\_ - Provide a legal description of the proposed service area, including an electronic media copy, in the format prescribed in Rule 25-30.029, F.A.C.

- 2) Exhibit \_\_\_\_ - Provide a statement explaining why the transfer is in the public interest.

---

---

---

- 3) Exhibit \_\_\_\_ - Provide a statement from the buyer that after reasonable investigation, the system being acquired appears to be in satisfactory condition and compliance with all applicable standards set by the DEP, or, if the system is in need of repair or improvement, has any outstanding Notice of Violation of any standard set by the DEP or any outstanding consent orders with the DEP, the buyer shall provide a description of the repairs or improvements that have been identified, the

governmental entity that required the repairs or improvements, if applicable, the approximate cost to complete the repairs or improvements, and any agreements between the seller and buyer regarding who will be responsible for any identified repairs or improvements.

---

---

---

- 4) Exhibit \_\_\_\_ - Provide documentation of the utility's right to continued long-term use of the land upon which the utility treatment facilities are located. This documentation shall be in the form of a recorded warranty deed, recorded quit claim deed accompanied by title insurance, recorded long-term lease, such as a 99-year lease, or recorded easement. The applicant may submit a contract for the long-term use with an unexecuted or unrecorded copy of the instrument, provided that the applicant files a recorded copy within the time prescribed in the order granting the transfer.
- 5) Exhibit \_\_\_\_ - Provide a copy of all of the utility's current permits from the Department of Environmental Protection (DEP) and the water management district.
- 6) Exhibit \_\_\_\_ - Provide a copy of the most recent DEP and/or county health department sanitary survey, compliance inspection report, and secondary water quality standards report.
- 7) Exhibit \_\_\_\_ - Provide a copy of all of the utility's correspondence with the DEP, county health department, and water management district, including consent orders and warning letters, and the utility's responses to the same, for the past five years.
- 8) Exhibit \_\_\_\_ - Provide a copy of all customer complaints that the utility has received regarding DEP secondary water quality standards during the past five years.

**E) PROPOSED TARIFF**

Exhibit \_\_\_\_ - Provide an original and one electronic media copy of a tariff containing all rates, classifications, charges, rules, and regulations, which shall be consistent with Chapter 25-9, F.A.C. See Rule 25-30.037, F.A.C., for information about water and wastewater tariffs that are available and may be completed by the applicant and included in the application.

**F) ACCOUNTING INFORMATION**

- 1) Exhibit \_\_\_\_\_ - Provide the proposed net book value of the system as of the date of the proposed transfer, and a statement setting out the reasons for the inclusion of an acquisition adjustment, if one is requested. If rate base has been established by this Commission, provide the docket and the order number. In addition, provide a schedule of all subsequent changes to rate base.

---

---

---

- 2) Exhibit \_\_\_\_\_ - Provide a statement from the buyer that it has obtained or will obtain copies of all of the federal income tax returns of the seller from the date the utility was first established or the rate base was last established by the Commission, whichever is later. If the tax returns have not been obtained, provide a description of the steps taken to obtain the tax returns.

---

---

---

- 3) Exhibit \_\_\_\_\_ - Provide a statement regarding the disposition of outstanding regulatory assessment fees, fines, or refunds owed and which entity will be responsible for paying regulatory assessment fees and filing the annual report for the year of the transfer and subsequent years.

---

---

---

- 4) Exhibit \_\_\_\_\_ - If the buyer currently owns other water or wastewater utilities that are regulated by this Commission, provide a schedule reflecting any economies of scale that are anticipated to be achieved within the next three years and the effect on rates for existing customers served by both the utility being purchased and the buyer's other utilities.

---

---

---

---

**G) NOTICING REQUIREMENTS**

Exhibit - \_\_\_\_\_ - Provide proof of noticing pursuant to Rule 25-30.030, F.A.C. This may be provided as a late-filed exhibit.

**PART III**

**SIGNATURE**

Please sign and date the utility's completed application.

APPLICATION SUBMITTED BY:

\_\_\_\_\_  
Applicant's Signature

\_\_\_\_\_  
Applicant's Name (Printed)

\_\_\_\_\_  
Applicant's Title

\_\_\_\_\_  
Date



**FLORIDA PUBLIC SERVICE COMMISSION**  
**INSTRUCTIONS FOR COMPLETING EXAMPLE**  
**APPLICATION FOR TRANSFER OF AN EXEMPT ENTITY OR**  
**UTILITY IN A NON-JURISDICTIONAL COUNTY TO A REGULATED UTILITY**  
**THAT RESULTS IN A SYSTEM WHOSE SERVICE**  
**TRANSVERSES COUNTY BOUNDARIES**

**(Pursuant to Section 367.071, Florida Statutes, and  
Rule 25-30.037(3), Florida Administrative Code)**

**General Information**

The attached form is an example application that may be completed by the applicant and filed with the Office of Commission Clerk to comply with Rule 25-30.037(3), Florida Administrative Code (F.A.C.). Any questions regarding this form should be directed to the Division of Engineering at (850) 413-6910.

**Instructions**

1. Pursuant to Rule 25-30.037(1)(a), F.A.C., if a transfer occurs prior to Commission approval, the utility shall submit an application for authority to transfer no later than 90 days after the sale closing date.
2. Fill out the attached application form completely and accurately.
3. Complete all the items that apply to your utility. If an item is not applicable, mark it "N.A." Do not leave any items blank.
4. Remit the proper filing fee pursuant to Rule 25-30.020, F.A.C., with the application.
5. Provide proof of noticing pursuant to Rule 25-30.030, F.A.C. This may be provided as a late-filed exhibit.
6. The completed application, attached exhibits, and the proper filing fee should be mailed to:

**Office of Commission Clerk  
Florida Public Service Commission  
2540 Shumard Oak Boulevard  
Tallahassee, Florida 32399-0850**

**APPLICATION FOR TRANSFER OF AN EXEMPT OR  
NON-JURISDICTIONAL ENTITY TO A REGULATED UTILITY  
THAT RESULTS IN A SYSTEM WHOSE SERVICE  
TRANSVERSES COUNTY BOUNDARIES**

**(Pursuant to Section 367.071, Florida Statutes, and  
Rule 25-30.037(3), Florida Administrative Code)**

Pursuant to Rule 25-30.037(1)(a), F.A.C., if a transfer occurs prior to Commission approval, the utility shall submit an application for authority to transfer no later than 90 days after the sale closing date.

To: **Office of Commission Clerk  
Florida Public Service Commission  
2540 Shumard Oak Boulevard  
Tallahassee, Florida 32399-0850**

The undersigned hereby makes application for the transfer of facilities of a water  and/or wastewater  utility in \_\_\_\_\_ County, Florida, and amendment of the buyer's Water Certificate No. \_\_\_\_\_ and/or Wastewater Certificate No. \_\_\_\_\_ or granting of a new water certificate \_\_\_\_\_ and/or wastewater certificate \_\_\_\_\_, and submits the following information:

**PART I APPLICANT INFORMATION**

- A) Contact Information for Utility/Seller. The utility/seller's certificated name, address, telephone number, and if applicable, fax number, e-mail address, and website address. The utility's name should reflect the business and/or fictitious name(s) registered with the Department of State's Division of Corporations:

\_\_\_\_\_  
Utility Name

\_\_\_\_\_  
Office Street Address

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code

\_\_\_\_\_  
Mailing Address (if different from Street Address)

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code

( ) - ( ) -  
Phone Number Fax Number

Federal Employer Identification Number

E-Mail Address

Website Address

B) The contact information of the seller's authorized representative to contact concerning this application:

Name

Mailing Address

City State Zip Code

( ) - ( ) -  
Phone Number Fax Number

E-Mail Address

C) Contact Information for Buyer. The buyer's certificated name, address, telephone number, Federal Employer Identification Number, and if applicable, fax number, e-mail address, website address, and new name of the utility if the buyer plans to operate under a different name. The buyer's business name, and if applicable, new utility name, should reflect the business and/or fictitious name(s) registered with the Department of State's Division of Corporations.

Buyer's Name

Office Street Address

---

City State Zip Code

---

Mailing Address (if different from Street Address)

---

City State Zip Code

---

( ) - ( ) -  
Phone Number Fax Number

---

Federal Employer Identification Number

---

E-Mail Address

---

New Utility Name

D) The contact information of the buyer's authorized representative to contact concerning this application:

---

Name

---

Mailing Address

---

City State Zip Code

---

( ) - ( ) -  
Phone Number Fax Number

---

E-Mail Address

E) The name, address, telephone number, and if available, e-mail address and fax number of the person in possession of the books and records when the application is filed.

\_\_\_\_\_  
Name

\_\_\_\_\_  
Mailing Address

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code

( ) -  
\_\_\_\_\_  
Phone Number

( ) -  
\_\_\_\_\_  
Fax Number

\_\_\_\_\_  
E-Mail Address

F) Indicate the nature of the buyer's business organization (check one): Provide documentation from the Florida Department of State, Division of Corporations, showing the utility's/buyer's business name and registration/document number for the business, unless operating as a sole proprietor.

Corporation \_\_\_\_\_  
Number

Limited Liability Company \_\_\_\_\_  
Number

Partnership \_\_\_\_\_  
Number

Limited Partnership \_\_\_\_\_  
Number

Limited Liability Partnership \_\_\_\_\_  
Number

Sole Proprietorship

Association

Other (Specify) \_\_\_\_\_

If the utility is doing business under a fictitious name, provide documentation from the Florida Department of State, Division of Corporations showing the utility's fictitious name and registration number for the fictitious name.

Fictitious Name (d/b/a) \_\_\_\_\_  
Registration Number \_\_\_\_\_

- G) The name(s), address(es), and percentage of ownership of each entity which owns or will own more than 5 percent interest in the utility (Use additional sheet if necessary).

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

- H) Provide the date and state of incorporation or organization of the buyer.

\_\_\_\_\_  
\_\_\_\_\_

**PART II**

**TRANSFER OF CERTIFICATE**

**A) DESCRIPTION OF SALE AGREEMENT**

- 1) Exhibit \_\_\_\_\_ - Provide a copy of the contract for sale and all auxiliary or supplemental agreements. If the sale, assignment, or transfer occurs before Commission approval, the contract shall include a provision that the contract is contingent upon Commission approval.
- 2) Exhibit \_\_\_\_\_ - Provide documentation of the terms of the transfer, including:
  - a) The date the closing occurred or will occur.

\_\_\_\_\_

- b) The purchase price and terms of payment.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

- c) A list of and the dollar amount of the assets purchased and liabilities assumed or not assumed, including those of non-regulated operations or entities.

---

---

---

- d) A description of all consideration between the parties, including promised salaries, retainer fees, stock, stock options, and assumption of obligations.

---

---

---

- e) Provisions regarding the disposition, where applicable, of customer deposits and interest thereon, guaranteed revenue contracts, developer agreements, customer advances, debt of the utility, and leases.

---

---

---

- f) A statement that the buyer will fulfill the commitments, obligations, and representations of the seller with regard to utility matters.

---

---

---

- g) A provision that the buyer has or will obtain the books and records of the seller, including all supporting documentation for rate base additions since the last time rate base was established for the utility;

---

---

---

- h) A statement that the utility's books and records will be maintained using the National Association of Regulatory Utilities Commissioners (NARUC) Uniform System of Accounts (USOA).

---

---

---

- i) A statement that the utility's books and records will be maintained at the utility's office(s) within Florida, or that the utility will comply with the requirements of Rule 25-30.110(1)(b) and (c), F.A.C., regarding maintenance of utility records at another location or out-of-state. If the records will not be maintained at the utility's office(s), the statement should include the location where the utility intends to maintain the books and records.

---

---

---

**B) FINANCIAL ABILITY**

- 1) Exhibit \_\_\_\_ - Provide a detailed financial statement (balance sheet and income statement), audited if available, of the financial condition of the applicant, that shows all assets and liabilities of every kind and character. The financial statements shall be for the preceding calendar or fiscal year. The financial statement shall be prepared in accordance with Rule 25-30.115, F.A.C. If available, a statement of the sources and uses of funds shall also be provided.
- 2) Exhibit \_\_\_\_ - Provide a list of all entities, including affiliates, upon which the buyer is relying to provide funding to the utility and an explanation of the manner and amount of such funding. The list need not include any person or entity holding less than 5 percent ownership interest in the utility. The applicant shall provide copies of any financial agreements between the listed entities and the utility and proof of the listed entities' ability to provide funding, such as financial statements.

---

---

---

---

**C) TECHNICAL ABILITY**

- 1) Exhibit \_\_\_\_ - Provide the buyer's experience in the water or wastewater industry.
- 2) Exhibit \_\_\_\_ - Provide the buyer's plans for ensuring continued operation of the utility, such as retaining the existing plant operator(s) and office personnel, or contracting with outside entities.

**D) TERRITORY DESCRIPTION, PUBLIC INTEREST, MAPS AND FACILITIES**

- 1) Exhibit \_\_\_\_ - Provide a legal description of the proposed service area, including an electronic media copy, in the format prescribed in Rule 25-30.029, F.A.C.



- 2) Exhibit \_\_\_\_\_ - Provide a statement explaining why the transfer is in the public interest.

---

---

---

- 3) Exhibit \_\_\_\_\_ - Provide a statement from the buyer that after reasonable investigation, the system being acquired appears to be in satisfactory condition and compliance with all applicable standards set by the DEP, or, if the system is in need of repair or improvement, has any outstanding Notice of Violation of any standard set by the DEP or any outstanding consent orders with the DEP, the buyer shall provide a description of the repairs or improvements that have been identified, the governmental entity that required the repairs or improvements, if applicable, the approximate cost to complete the repairs or improvements, and any agreements between the seller and buyer regarding who will be responsible for any identified repairs or improvements.

---

---

---

- 4) Exhibit \_\_\_\_\_ - Provide documentation of the utility's right to continued long-term use of the land upon which the utility treatment facilities are located. This documentation shall be in the form of a recorded warranty deed, recorded quit claim deed accompanied by title insurance, recorded long-term lease, such as a 99-year lease, or recorded easement. The applicant may submit a contract for the long-term use with an unexecuted or unrecorded copy of the instrument, provided that the applicant files a recorded copy within the time prescribed in the order granting the transfer.

- 5) Exhibit \_\_\_\_\_ - Provide an official county tax assessment map or other map showing township, range, and section, with a scale such as 1" = 200' or 1" = 400', with the proposed territory plotted thereon, consistent with the legal description provided in D-1 above.

- 6) Exhibit \_\_\_\_ - Provide a statement describing the capacity of the existing lines, the capacity of the existing treatment facilities, and the design capacity of the proposed extension.
- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_
- 7) Exhibit \_\_\_\_ - Provide a copy of all of the utility's current permits from the Department of Environmental Protection (DEP) and the water management district.
- 8) Exhibit \_\_\_\_ - Provide a copy of the most recent DEP and/or county health department sanitary survey, compliance inspection report, and secondary standards drinking water report.
- 9) Exhibit \_\_\_\_ - Provide a copy of all of the utility's correspondence with the DEP, county health department, and water management district, including consent orders and warning letters, and the utility's responses to the same, for the past five years.
- 10) Exhibit \_\_\_\_ - Provide a copy of all customer complaints that the utility has received regarding DEP secondary water quality standards during the past five years.

**E) PROPOSED TARIFF AND RATE INFORMATION**

- 1) Exhibit \_\_\_\_ - An original and one electronic media copy of a tariff containing all rates, classifications, charges, rules, and regulations, which shall be consistent with Chapter 25-9, F.A.C. See Rule 25-30.037, F.A.C., for information about water and wastewater tariffs that are available and may be completed by the applicant and included in the application.
- 2) Exhibit \_\_\_\_ - Provide documentation of when and under what authority the current rates and charges of the exempt entity were established, if applicable. If the entity provides water or wastewater service without compensation, please describe when and under what authority it was determined that the non-jurisdictional entity would provide service without compensation.
- 3) Exhibit \_\_\_\_ - Provide an explanation of how the seller is either exempt pursuant to Section 367.022, F.S., or is a utility in a nonjurisdictional county.

**F) ACCOUNTING INFORMATION**

- 1) Exhibit \_\_\_\_ - Provide the proposed net book value of the system as of the date of the proposed transfer, and a statement setting out the reasons for the inclusion of an acquisition adjustment, if one is requested. If rate base has been established by this Commission, provide the docket and the order number. In addition, provide a schedule of all subsequent changes to rate base.

---

---

---

- 2) Exhibit \_\_\_\_ - Provide a statement from the buyer that it has obtained or will obtain copies of all of the federal income tax returns of the seller from the date the utility was first established or the rate base was last established by the Commission, whichever is later. If the tax returns have not been obtained, provide a description of the steps taken to obtain the tax returns.

- 3) Exhibit \_\_\_\_ - Provide a statement regarding the disposition of outstanding regulatory assessment fees, fines, or refunds owed and which entity will be responsible for paying regulatory assessment fees and filing the annual report for the year of the transfer and subsequent years.

---

---

---

- 4) Exhibit \_\_\_\_ - If the buyer currently owns other water or wastewater utilities that are regulated by this Commission, provide a schedule reflecting any economies of scale that are anticipated to be achieved within the next three years and the effect on rates for existing customers served by both the utility being purchased and the buyer's other utilities.

---

---

---

**G) NOTICING REQUIREMENTS**

Exhibit \_\_\_\_ - Provide proof of noticing pursuant to Rule 25-30.030, F.A.C. This may be provided as a late-filed exhibit.

**PART III**

**SIGNATURE**

Please sign and date the utility's completed application.

APPLICATION SUBMITTED BY:

\_\_\_\_\_  
Applicant's Signature

\_\_\_\_\_  
Applicant's Name (Printed)

\_\_\_\_\_  
Applicant's Title

\_\_\_\_\_  
Date

**FLORIDA PUBLIC SERVICE COMMISSION**

**INSTRUCTIONS FOR COMPLETING  
APPLICATION FOR A TRANSFER OF MAJORITY ORGANIZATION CONTROL  
OF A REGULATED UTILITY**

**(Pursuant to Section 367.071, Florida Statutes, and  
Rule 25-30.037(4), Florida Administrative Code)**

**General Information**

The attached form is an example application that may be completed by the applicant and filed with the Office of Commission Clerk to comply with Rule 25-30.037(4), Florida Administrative Code (F.A.C.). Any questions regarding this form should be directed to the Division of Engineering at (850) 413-6910.

**Instructions**

1. Pursuant to Rule 25-30.037(1)(a), F.A.C., if a transfer occurs prior to Commission approval, the utility shall submit an application for authority to transfer no later than 90 days after the sale closing date.
2. Fill out the attached application form completely and accurately.
3. Complete all the items that apply to your utility. If an item is not applicable, mark it "N.A." Do not leave any items blank.
4. Remit the proper filing fee pursuant to Rule 25-30.020, Florida Administrative Code, with the application.
5. Provide proof of noticing pursuant to Rule 25-30.030, F.A.C. This may be provided as a late-filed exhibit.
6. The completed application, attached exhibits, and the proper filing fee should be mailed to:

**Office of Commission Clerk  
Florida Public Service Commission  
2540 Shumard Oak Boulevard  
Tallahassee, Florida 32399-0850**

**APPLICATION FOR A TRANSFER OF MAJORITY ORGANIZATION CONTROL  
OF A REGULATED UTILITY**

**(Pursuant to Section 367.071, Florida Statutes, and  
Rule 25-30.037(4), Florida Administrative Code)**

Pursuant to Rule 25-30.037(1)(a), F.A.C., if a transfer occurs prior to Commission approval, the utility shall submit an application for authority to transfer no later than 90 days after the sale closing date.

To: **Office of Commission Clerk  
Florida Public Service Commission  
2540 Shumard Oak Boulevard  
Tallahassee, Florida 32399-0850**

The undersigned hereby makes application for the approval of a transfer of majority ownership of the regulated utility. The change is for all  or part  of the facilities operated under Water Certificate No. \_\_\_\_\_ and/or Wastewater Certificate No. \_\_\_\_\_ located in \_\_\_\_\_ County, Florida, and submits the following:

**PART I APPLICANT INFORMATION**

A) Contact Information for Utility/Seller. The utility/seller's certificated name, address, telephone number, and, if applicable, fax number, e-mail address, and website address. The utility's name should reflect the business and/or fictitious name(s) registered with the Department of State's Division of Corporations:

\_\_\_\_\_  
Utility Name

\_\_\_\_\_  
Office Street Address

\_\_\_\_\_  
City State Zip Code

\_\_\_\_\_  
Mailing Address (if different from Street Address)

\_\_\_\_\_  
City State Zip Code

( ) - ( ) -  
Phone Number Fax Number

Federal Employer Identification Number

E-Mail Address

Website Address

Water Certificate No. Wastewater Certificate No.

B) The contact information of the seller's authorized representative to contact concerning this application:

Name

Mailing Address

City State Zip Code

( ) - ( ) -  
Phone Number Fax Number

E-Mail Address

C) Indicate the nature of the buyer's business organization (check one). Provide documentation from the Florida Department of State, Division of Corporations showing the utility's business name and registration/document number for the business, unless operating as a sole proprietor.

Corporation \_\_\_\_\_  
Number

Limited Liability Company \_\_\_\_\_  
Number

- Partnership \_\_\_\_\_  
Number \_\_\_\_\_
- Limited Partnership \_\_\_\_\_  
Number \_\_\_\_\_
- Limited Liability Partnership \_\_\_\_\_  
Number \_\_\_\_\_
- Sole Proprietorship
- Association
- Other (Specify) \_\_\_\_\_

If the utility is doing business under a fictitious name, provide documentation from the Florida Department of State, Division of Corporations showing the utility's fictitious name and registration number for the fictitious name.

- Fictitious Name (d/b/a) \_\_\_\_\_  
Registration Number \_\_\_\_\_

D) Contact Information for Buyer. The buyer's certificated name, address, telephone number, Federal Employer Identification Number, and if applicable, fax number, e-mail address, website address, and new name of the utility if the buyer plans to operate under a different name. The buyer's business name, and if applicable, new utility name, should reflect the business and/or fictitious name(s) registered with the Department of State's Division of Corporations.

\_\_\_\_\_  
Buyer's Name

\_\_\_\_\_  
Office Street Address

\_\_\_\_\_  
City State Zip Code

\_\_\_\_\_  
Mailing Address (if different from Street Address)

\_\_\_\_\_  
City State Zip Code



( ) - ( ) -  
Phone Number Fax Number

Federal Employer Identification Number

E-Mail Address

New Utility Name

E) The contact information of the buyer's authorized representative to contact concerning this application:

Name

Mailing Address

City State Zip Code

( ) - ( ) -  
Phone Number Fax Number

E-Mail Address

**PART II TRANSFER OF MAJORITY OWNERSHIP**

**A) DESCRIPTION OF SALE AGREEMENT**

1) Exhibit \_\_\_\_ - Provide a copy of the contract for sale and all auxiliary or supplemental agreements. If the sale, assignment, or transfer occurs before Commission approval, the contract shall include a provision that the contract is contingent upon Commission approval.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

- 2) Exhibit \_\_\_\_\_ - Provide a statement that the buyer will fulfill the commitments, obligations, and representations of the seller with regard to utility matters.
- 3) Exhibit \_\_\_\_\_ - Provide a description of the ownership transfer, including the date the transfer occurred or will occur and a description of the resulting ownership interests in the utility.

---

---

---

- 4) Exhibit \_\_\_\_\_ - Provide either a statement that, following the transfer, all existing management and operating personnel of the utility will be retained or, if changes in the utility's management or operating personnel are anticipated, a description of the changes and the impact the changes will have on the management and operations of the utility.

---

---

---

**B) FINANCIAL ABILITY**

- 1) Exhibit \_\_\_\_\_ - Provide a detailed financial statement (balance sheet and income statement), audited if available, of the financial condition of the applicant, that shows all assets and liabilities of every kind and character. The financial statements shall be for the preceding calendar or fiscal year. The financial statement shall be prepared in accordance with Rule 25-30.115, F.A.C. If available, a statement of the sources and uses of funds shall also be provided.
- 2) Exhibit \_\_\_\_\_ - Provide a list of all entities, including affiliates, upon which the buyer is relying to provide funding to the utility and an explanation of the manner and amount of such funding. The list need not include any person or entity holding less than 5 percent ownership interest in the utility. The applicant shall provide copies of any financial agreements between the listed entities and the utility and proof of the listed entities' ability to provide funding, such as financial statements.

---

---

---

---

**C) TECHNICAL ABILITY; FACILITIES**

- 1) Exhibit \_\_\_\_\_ - The buyer's experience in the water or wastewater industry.  
  
\_\_\_\_\_  
  
\_\_\_\_\_
- 2) Exhibit \_\_\_\_\_ - Provide the buyer's plans for ensuring continued operation of the utility, such as retaining the existing plant operator(s) and office personnel, or contracting with outside entities.
- 3) Exhibit \_\_\_\_\_ - Provide a copy of all of the utility's current permits from the Department of Environmental Protection (DEP) and the water management district.
- 4) Exhibit \_\_\_\_\_ - Provide a copy of the most recent DEP and/or county health department sanitary survey, compliance inspection report, and secondary water quality standards report.
- 5) Exhibit \_\_\_\_\_ - Provide a copy of all of the utility's correspondence with the DEP, county health department, and water management district, including consent orders and warning letters, and the utility's responses to the same, for the past five years.
- 6) Exhibit \_\_\_\_\_ - Provide a copy of all customer complaints that the utility has received regarding DEP secondary water quality standards during the past five years.

**D) PUBLIC INTEREST, PROPOSED TARIFF, AND ACCOUNTING INFORMATION**

- 1) Exhibit \_\_\_\_\_ - Provide a statement explaining why the transfer is in the public interest.  
  
\_\_\_\_\_  
  
\_\_\_\_\_
- 2) Exhibit \_\_\_\_\_ - Provide an original and one electronic media copy of a tariff containing all rates, classifications, charges, rules, and regulations, which shall be consistent with Chapter 25-9, F.A.C. See Rule 25-30.037, F.A.C., for information about water and wastewater tariffs that are available and may be completed by the applicant and included in the application.

- 3) Exhibit \_\_\_\_\_ - Provide a statement regarding the disposition of outstanding regulatory assessment fees, fines, or refunds owed and which entity will be responsible for paying regulatory assessment fees and filing the annual report for the year of the transfer and subsequent years.

---

---

---

**E) NOTICING REQUIREMENTS**

- 1) Exhibit \_\_\_\_\_ - Provide proof of noticing pursuant to Rule 25-30.030, F.A.C. This may be provided as a late-filed exhibit.

**PART III**

**SIGNATURE**

Please sign and date the utility's completed application.

APPLICATION SUBMITTED BY:

\_\_\_\_\_  
Applicant's Signature

\_\_\_\_\_  
Applicant's Name (Printed)

\_\_\_\_\_  
Applicant's Title

\_\_\_\_\_  
Date

**FLORIDA PUBLIC SERVICE COMMISSION**  
**INSTRUCTIONS FOR COMPLETING EXAMPLE**  
**APPLICATION FOR TRANSFER OF FACILITIES**  
**FROM A REGULATED UTILITY TO AN EXEMPT ENTITY**  
**OTHER THAN A GOVERNMENTAL AUTHORITY**

**(Pursuant to Section 367.071, Florida Statutes, and  
Rule 25-30.037(5), Florida Administrative Code)**

**General Information**

The attached form is an example application that may be completed by the applicant and filed with the Office of Commission Clerk to comply with Rule 25-30.037(5), Florida Administrative Code (F.A.C.). Any questions regarding this form should be directed to the Division of Engineering at (850) 413-6910.

**Instructions**

1. Pursuant to Rule 25-30.037(1)(a), F.A.C., if a transfer occurs prior to Commission approval, the utility shall submit an application for authority to transfer no later than 90 days after the sale closing date.
2. Fill out the attached application form completely and accurately.
3. Complete all the items that apply to your utility. If an item is not applicable, mark it "N.A." Do not leave any items blank.
4. Remit the proper filing fee pursuant to Rule 25-30.020, F.A.C., with the application.
5. Provide proof of noticing pursuant to Rule 25-30.030, F.A.C. This may be provided as a late-filed exhibit.
6. The completed application, attached exhibits, and the proper filing fee should be mailed to:

**Office of Commission Clerk  
Florida Public Service Commission  
2540 Shumard Oak Boulevard  
Tallahassee, Florida 32399-0850**

**APPLICATION FOR TRANSFER OF FACILITIES  
FROM A REGULATED UTILITY TO AN EXEMPT ENTITY  
OTHER THAN A GOVERNMENTAL AUTHORITY**

**(Pursuant to Section 367.071, Florida Statutes, and  
Rule 25-30.037(5), Florida Administrative Code)**

To: **Office of Commission Clerk  
Florida Public Service Commission  
2540 Shumard Oak Boulevard  
Tallahassee, Florida 32399-0850**

The undersigned hereby makes application for the approval of the transfer of all  or part  of the facilities operated under Water Certificate No. \_\_\_\_\_ and/or Wastewater Certificate No. \_\_\_\_\_ and amendment  or cancellation  of Water Certificate No. \_\_\_\_\_ and/or Wastewater Certificate No. \_\_\_\_\_ located in \_\_\_\_\_ County, Florida, and submits the following:

**PART I APPLICANT INFORMATION**

A) Contact Information for Utility/Seller. The utility/seller's certificated name, address, telephone number, and, if applicable, fax number, e-mail address, and website address. The utility's name should reflect the business and/or fictitious name(s) registered with the Department of State's Division of Corporations:

\_\_\_\_\_  
Utility Name

\_\_\_\_\_  
Office Street Address

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code

\_\_\_\_\_  
Mailing Address (if different from Street Address)

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code

( ) -  
\_\_\_\_\_  
Phone Number

( ) -  
\_\_\_\_\_  
Fax Number

\_\_\_\_\_  
Federal Employer Identification Number

---

E-Mail Address

---

Website Address

---

Water Certificate No.

Wastewater Certificate No.

- B) The contact information of the seller's authorized representative to contact concerning this application:

---

Name

---

Mailing Address

---

City

State

Zip Code

---

( ) -

( ) -

---

Phone Number

Fax Number

---

E-Mail Address

- C) Indicate the nature of the utility's business organization (check one). Provide documentation from the Florida Department of State, Division of Corporations, showing the utility's business name and registration/document number for the business, unless operating as a sole proprietor.

Corporation \_\_\_\_\_  
Number

Limited Liability Company \_\_\_\_\_  
Number

Partnership \_\_\_\_\_  
Number

Limited Partnership \_\_\_\_\_  
Number

Limited Liability Partnership \_\_\_\_\_  
Number

Sole Proprietorship

Association

Other (Specify) \_\_\_\_\_

If the utility is doing business under a fictitious name, provide documentation from the Florida Department of State, Division of Corporations showing the utility's fictitious name and registration number for the fictitious name.

Fictitious Name (d/b/a) \_\_\_\_\_  
Registration Number

- D) Contact Information for Buyer. The buyer's certificated name, address, telephone number, Federal Employer Identification Number, and if applicable, fax number, e-mail address, website address, and new name of the utility if the buyer plans to operate under a different name. The buyer's business name, and if applicable, new utility name, should reflect the business and/or fictitious name(s) registered with the Department of State's Division of Corporations.

\_\_\_\_\_  
Buyer's Name

\_\_\_\_\_  
Office Street Address

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code

\_\_\_\_\_  
Mailing Address (if different from Street Address)

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code

\_\_\_\_\_  
( ) -

Phone Number

\_\_\_\_\_  
( ) -

Fax Number

\_\_\_\_\_  
Federal Employer Identification Number

\_\_\_\_\_  
E-Mail Address

\_\_\_\_\_  
New Utility Name



E) The contact information of the buyer's authorized representative to contact concerning this application:

\_\_\_\_\_  
Name

\_\_\_\_\_  
Mailing Address

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code

( ) -

( ) -

\_\_\_\_\_  
Phone Number

\_\_\_\_\_  
Fax Number

\_\_\_\_\_  
E-Mail Address

**PART II**

**TRANSFER OF FACILITIES**

**A) DESCRIPTION OF SALE AGREEMENT**

1) Exhibit \_\_\_\_ - Provide a copy of the contract for sale and all auxiliary or supplemental agreements. If the sale, assignment, or transfer occurs prior to Commission approval, the contract shall include a provision stating that the contract is contingent upon Commission approval.

2) Exhibit \_\_\_\_ - The buyer must provide the following documentation of the terms of the transfer:

a) The closing date.

\_\_\_\_\_

b) A statement regarding the disposition of customer deposits and interest thereon.

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

- c) A statement regarding the disposition of any outstanding regulatory assessment fees, fines, refunds, or annual reports.

---

---

---

**B) FINANCIAL ABILITY**

- 1) Exhibit \_\_\_\_\_ - Provide a detailed financial statement (balance sheet and income statement), audited if available, of the financial condition of the applicant, that shows all assets and liabilities of every kind and character. The financial statements shall be for the preceding calendar or fiscal year. The financial statement shall be prepared in accordance with Rule 25-30.115, F.A.C. If available, a statement of the sources and uses of funds shall also be provided.
- 2) Exhibit \_\_\_\_\_ - Provide a list of all entities, including affiliates, upon which the buyer is relying to provide funding to the utility and an explanation of the manner and amount of such funding. The list need not include any person or entity holding less than 5 percent ownership interest in the utility. The applicant shall provide copies of any financial agreements between the listed entities and the utility and proof of the listed entities' ability to provide funding, such as financial statements.

---

---

---

---

**C) TECHNICAL ABILITY; FACILITIES**

- 1) Exhibit \_\_\_\_\_ - Provide a description of the buyer's experience in the water or wastewater industry.
- 2) Exhibit \_\_\_\_\_ - Provide an explanation of the buyer's plans for ensuring continued operation of the utility, such as retaining the existing plant operator(s) and office personnel, or contracting with outside entities.

---

---

---

- 3) Exhibit \_\_\_\_\_ - Provide a copy of all of the utility's current permits from the Department of Environmental Protection (DEP) and the water management district.
- 4) Exhibit \_\_\_\_\_ - Provide a copy of the most recent DEP and/or county health department sanitary survey, compliance inspection report, and secondary water quality standards report.
- 5) Exhibit \_\_\_\_\_ - Provide a copy of all of the utility's correspondence with the DEP, county health department, and water management district, including consent orders and warning letters, and the utility's responses to the same, for the past five years.
- 6) Exhibit \_\_\_\_\_ - Provide a copy of all customer complaints that the utility has received regarding DEP secondary water quality standards during the past five years.

**D) PUBLIC INTEREST AND EXEMPT STATUS**

- 1) Exhibit \_\_\_\_\_ - Provide a statement explaining why the transfer is in the public interest.

---

---

---

- 2) Exhibit \_\_\_\_\_ - Provide an explanation of how the buyer is exempt pursuant to Section 367.022, F.S.

---

---

---

**E) NOTICING REQUIREMENTS**

Exhibit \_\_\_\_\_ - Provide proof of noticing pursuant to Rule 25-30.030, F.A.C. This may be provided as a late-filed exhibit.

**PART III**

**SIGNATURE**

Please sign and date the utility's completed application.

APPLICATION SUBMITTED BY:

\_\_\_\_\_  
Applicant's Signature

\_\_\_\_\_  
Applicant's Name (Printed)

\_\_\_\_\_  
Applicant's Title

\_\_\_\_\_  
Date

**FLORIDA PUBLIC SERVICE COMMISSION**

**INSTRUCTIONS FOR COMPLETING EXAMPLE  
APPLICATION FOR TRANSFER TO A GOVERNMENTAL AUTHORITY**

**(Pursuant to Section 367.071, Florida Statutes, and  
Rule 25-30.038, Florida Administrative Code)**

**General Information**

The attached form is an example application that may be completed by the applicant and filed with the Office of Commission Clerk to comply with Rule 25-30.038, Florida Administrative Code (F.A.C.). Any questions regarding this form should be directed to the Division of Engineering at (850) 413-6910.

**Instructions**

1. Fill out the attached application form completely and accurately.
2. Complete all the items that apply to your utility. If an item is not applicable, mark it "N.A." Do not leave any items blank.
3. The completed application and attached exhibits should be mailed to:

**Office of Commission Clerk  
Florida Public Service Commission  
2540 Shumard Oak Boulevard  
Tallahassee, Florida 32399-0850**

**APPLICATION FOR TRANSFER TO A GOVERNMENTAL AUTHORITY**

**(Pursuant to Section 367.071, Florida Statutes, and  
Rule 25-30.038, Florida Administrative Code)**

To: **Office of Commission Clerk  
Florida Public Service Commission  
2540 Shumard Oak Boulevard  
Tallahassee, Florida 32399-0850**

The undersigned hereby makes application for acknowledgement of the transfer of all  or part  of the utility's water  and/or wastewater  facilities in \_\_\_\_\_ County, Florida, and cancellation  or amendment  of Water Certificate No. \_\_\_\_\_ and/or Wastewater Certificate No. \_\_\_\_\_ and submits the following information:

**PART I APPLICANT INFORMATION**

A) Contact Information for Utility/Seller. The utility/seller's certificated name, address, telephone number, and if applicable, fax number, e-mail address, and website address. The utility's name should reflect the business and/or fictitious name(s) registered with the Department of State's Division of Corporations:

\_\_\_\_\_  
Utility Name

\_\_\_\_\_  
Office Street Address

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code

\_\_\_\_\_  
Mailing Address (if different from Street Address)

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code

( ) -  
\_\_\_\_\_  
Phone Number

( ) -  
\_\_\_\_\_  
Fax Number

\_\_\_\_\_  
Federal Employer Identification Number

\_\_\_\_\_  
E-Mail Address

---

Website Address

---

Water Certificate No.

Wastewater Certificate No.

- B) The contact information of the seller's authorized representative to contact concerning this application:

---

Name

---

Mailing Address

---

City

State

Zip Code

---

( ) -

( ) -

---

Phone Number

Fax Number

---

E-Mail Address

- C) Contact Information for Governmental Authority. The name, address, telephone number, and if applicable, fax number, and e-mail address of the governmental authority.

---

Governmental Authority's Name

---

Office Street Address

---

City

State

Zip Code

---

( ) -

( ) -

---

Phone Number

Fax Number

---

E-Mail Address

- D) The contact information of the governmental authority's authorized representative to contact concerning this application:

---

Name

---

Mailing Address

---

City

State

Zip Code

---

( ) -

( ) -

Phone Number

Fax Number

---

E-Mail Address

**PART II TRANSFER OF FACILITIES**

**A) DESCRIPTION OF SALE/TRANSFER AGREEMENT**

- 1) Exhibit \_\_\_\_\_ - Provide the date on which the governmental authority assumed ownership or proposes to assume ownership, operation, management, or control of the utility. The transfer of facilities, or any portion thereof, from a regulated utility to a governmental authority shall be effective as of the date the governmental authority assumes ownership, operation, management, or control.

- 
- 2) Exhibit \_\_\_\_\_ - Provide a copy of the contract or other document transferring the utility system to the governmental authority.

- 3) Exhibit \_\_\_\_\_ - Provide a statement that the governmental authority obtained from the utility or Commission the most recent available annual report.

---

---

---



- 4) Exhibit \_\_\_\_\_ - Provide a statement describing the disposition of customer deposits and interest thereon.

---

---

---

- 5) Exhibit \_\_\_\_\_ - Provide a statement regarding the disposition of outstanding regulatory assessment fees, fines or refunds owed. The transfer of a regulated utility to a governmental authority shall not affect the utility's obligation to complete payment of regulatory assessment fees pursuant to Rule 25-30.120, F.A.C.

---

---

---

**B) DESCRIPTION OF FACILITIES NOT TRANSFERRED**

- 1) Exhibit \_\_\_\_\_ - If a utility is transferring only a portion of its facilities to a governmental authority, it must provide the following additional information:
- 2) Exhibit \_\_\_\_\_ - A list of any utility assets not transferred to the governmental authority, if such remaining assets constitute a system providing or proposing to provide water or wastewater service to the public for compensation.
- 3) Exhibit \_\_\_\_\_ - A legal description of the territory not transferred to the governmental authority, including an electronic media copy, in the format prescribed in Rule 25-30.029, F.A.C.
- 4) Exhibit \_\_\_\_\_ - An official county tax assessment map or other map showing township, range, and section with a scale such as 1" = 200' or 1" = 400', with the remaining territory plotted thereon, consistent with the legal description provided in II.B.1.b. above.
- 5) Exhibit \_\_\_\_\_ - One original and one electronic media copy of a tariff containing all rates, classifications, charges, rules, and regulations, which shall be consistent with Chapter 25-9, F.A.C. See Rule 25-30.038, F.A.C., for information about water and wastewater tariffs that are available and may be completed by the applicant and included in the application.

**PART III     SIGNATURE**

Please sign and date the utility's completed application.

APPLICATION SUBMITTED BY:

\_\_\_\_\_  
Applicant's Signature

\_\_\_\_\_  
Applicant's Name (Printed)

\_\_\_\_\_  
Applicant's Title

\_\_\_\_\_  
Date

WATER TARIFF

---

NAME OF COMPANY

FILED WITH

FLORIDA PUBLIC SERVICE COMMISSION

WATER TARIFF

---

NAME OF COMPANY

---

---

---

(ADDRESS OF COMPANY)

---

(Business & Emergency Telephone Numbers)

---

ISSUING OFFICER

---

TITLE

FILED WITH  
FLORIDA PUBLIC SERVICE COMMISSION

---

WATER TARIFF

TABLE OF CONTENTS

	Sheet Number
Communities Served Listing .....	4.0
Description of Territory Served .....	3.1
Index of	
Rates and Charges Schedules.....	11.0
Rules and Regulations .....	6.0
Service Availability Policy and Charges.....	17.0
Standard Forms.....	20.0
Technical Terms and Abbreviations.....	5.0
Territory Authority.....	3.0

---

ISSUING OFFICER

---

TITLE

---

WATER TARIFF

TERRITORY AUTHORITY

CERTIFICATE NUMBER -

COUNTY -

COMMISSION ORDER(s) APPROVING TERRITORY SERVED -

Order Number

Date Issued

Docket Number

Filing Type

---

ISSUING OFFICER

---

TITLE

---

WATER TARIFF

DESCRIPTION OF TERRITORY SERVED

---

ISSUING OFFICER

---

TITLE

---

WATER TARIFF

COMMUNITIES SERVED LISTING

County Name	Development Name	Rate Schedule(s) Available	Sheet No.
-------------	------------------	----------------------------------	--------------

---

ISSUING OFFICER

---

TITLE



---

**WATER TARIFF****TECHNICAL TERMS AND ABBREVIATIONS**

- 1.0 **"BFC"** - The abbreviation for "Base Facility Charge" which is the minimum amount the Company may charge its Customers and is separate from the amount the Company bills its Customers for water consumption.
- 2.0 **"CERTIFICATE"** - A document issued by the Commission authorizing the Company to provide water service in a specific territory.
- 3.0 **"COMMISSION"** - The shortened name for the Florida Public Service Commission.
- 4.0 **"COMMUNITIES SERVED"** - The group of Customers who receive water service from the Company and whose service location is within a specific area or locality that is uniquely separate from another.
- 5.0 **"COMPANY"** - The shortened name for the full name of the utility which is \_\_\_\_\_.
- 6.0 **"CUSTOMER"** - Any person, firm or corporation who has entered into an agreement to receive water service from the Company and who is liable for the payment of that water service.
- 7.0 **"CUSTOMER'S INSTALLATION"** - All pipes, shut-offs, valves, fixtures and appliances or apparatus of every kind and nature used in connection with or forming a part of the installation for rendering water service to the Customer's side of the Service Connection whether such installation is owned by the Customer or used by the Customer under lease or other agreement.
- 8.0 **"MAIN"** - A pipe, conduit, or other facility used to convey water service to individual service lines or through other mains.
- 9.0 **"RATE"** - Amount which the Company may charge for water service which is applied to the Customer's actual consumption.
- 10.0 **"RATE SCHEDULE"** - The rate(s) or charge(s) for a particular classification of service plus the several provisions necessary for billing, including all special terms and conditions under which service shall be furnished at such rate or charge.
- 11.0 **"SERVICE"** - As mentioned in this tariff and in agreement with Customers, "Service" shall be construed to include, in addition to all water service required by the Customer, the readiness and ability on the part of the Company to furnish water service to the Customer. Service shall conform to the standards set forth in Section 367.111 of the Florida Statutes.

(Continued to Sheet No.5.1)

---

ISSUING OFFICER

---

TITLE

---

WATER TARIFF

(Continued from Sheet No. 5.0)

- 12.0     "SERVICE CONNECTION" - The point where the Company's pipes or meters are connected with the pipes of the Customer.
- 13.0     "SERVICE LINES" - The pipes between the Company's Mains and the Service Connection and which includes all of the pipes, fittings and valves necessary to make the connection to the Customer's premises, excluding the meter.
- 14.0     "TERRITORY" - The geographical area described, if necessary, by metes and bounds but, in all cases, with township, range and section in a Certificate, which may be within or without the boundaries of an incorporated municipality and may include areas in more than one county.

---

ISSUING OFFICER

---

TITLE

---

WATER TARIFF
INDEX OF RULES AND REGULATIONS

	<u>Sheet Number:</u>	<u>Rule Number:</u>
Access to Premises .....	9.0	14.0
Adjustment of Bills .....	10.0	22.0
Adjustment of Bills for Meter Error.....	10.0	23.0
All Water Through Meter .....	10.0	21.0
Application .....	7.0	3.0
Applications by Agents.....	7.0	4.0
Change of Customer's Installation.....	8.0	11.0
Continuity of Service .....	8.0	9.0
Customer Billing.....	9.0	16.0
Delinquent Bills .....	7.0	8.0
Extensions .....	7.0	6.0
Filing of Contracts.....	10.0	25.0
General Information.....	7.0	1.0
Inspection of Customer's Installation.....	9.0	13.0
Limitation of Use .....	8.0	10.0
Meter Accuracy Requirements .....	10.0	24.0
Meters .....	10.0	20.0
Payment of Water and Wastewater Service Bills Concurrently .....	10.0	18.0

(Continued to Sheet No. 6.1)

---

ISSUING OFFICER

---

TITLE

---

WATER TARIFF

(Continued from Sheet No. 6.0)

	<u>Sheet Number:</u>	<u>Rule Number:</u>
Tariff Dispute .....	7.0	2.0
Protection of Company's Property .....	8.0	12.0
Refusal or Discontinuance of Service.....	7.0	5.0
Right-of-way or Easements .....	9.0	15.0
Termination of Service.....	9.0	17.0
Type and Maintenance .....	7.0	7.0
Unauthorized Connections - Water.....	10.0	19.0

---

ISSUING OFFICER

---

TITLE

---

WATER TARIFF

RULES AND REGULATIONS

- 1.0 GENERAL INFORMATION - These Rules and Regulations are a part of the rate schedules and applications and contracts of the Company and, in the absence of specific written agreement to the contrary, apply without modifications or change to each and every Customer to whom the Company renders water service.
- The Company shall provide water service to all Customers requiring such service within its Certificated territory pursuant to Chapter 25-30, Florida Administrative Code and Chapter 367, Florida Statutes.
- 2.0 TARIFF DISPUTE - Any dispute between the Company and the Customer or prospective Customer regarding the meaning or application of any provision of this tariff shall be resolved pursuant to Rule 25-22.032, Florida Administrative Code.
- 3.0 APPLICATION - In accordance with Rule 25-30.310, Florida Administrative Code, a signed application is required prior to the initiation of service. The Company shall provide each Applicant with a copy of the brochure entitled "Your Water and Wastewater Service," prepared by the Florida Public Service Commission.
- 4.0 APPLICATIONS BY AGENTS - Applications for water service requested by firms, partnerships, associations, corporations, and others shall be rendered only by duly authorized parties or agents.
- 5.0 REFUSAL OR DISCONTINUANCE OF SERVICE - The Company may refuse or discontinue water service rendered under application made by any member or agent of a household, organization, or business in accordance with Rule 25-30.320, Florida Administrative Code.
- 6.0 EXTENSIONS - Extensions will be made to the Company's facilities in compliance with Commission Rules and Orders and the Company's tariff.
- 7.0 TYPE AND MAINTENANCE - In accordance with Rule 25-30.545, Florida Administrative Code, the Customer's pipes, apparatus and equipment shall be selected, installed, used and maintained in accordance with standard practice and shall conform with the Rules and Regulations of the Company and shall comply with all laws and governmental regulations applicable to same. The Company shall not be responsible for the maintenance and operation of the Customer's pipes and facilities. The Customer expressly agrees not to utilize any appliance or device which is not properly constructed, controlled and protected or which may adversely affect the water service. The Company reserves the right to discontinue or withhold water service to such apparatus or device.
- 8.0 DELINQUENT BILLS - When it has been determined that a Customer is delinquent in paying any bill, water service may be discontinued after the Company has mailed or presented a written notice to the Customer in accordance with Rule 25-30.320, Florida Administrative Code.

(Continued on Sheet No. 8.0)

---

ISSUING OFFICER

---

TITLE

---

WATER TARIFF

(Continued from Sheet No. 7.0)

9.0 CONTINUITY OF SERVICE - In accordance with Rule 25-30.250, Florida Administrative Code, the Company will at all times use reasonable diligence to provide continuous water service and, having used reasonable diligence, shall not be liable to the Customer for failure or interruption of continuous water service.

If at any time the Company shall interrupt or discontinue its service, all Customers affected by said interruption or discontinuance shall be given not less than 24 hours written notice.

10.0 LIMITATION OF USE - Water service purchased from the Company shall be used by the Customer only for the purposes specified in the application for water service. Water service shall be rendered to the Customer for the Customer's own use and the Customer shall not sell or otherwise dispose of such water service supplied by the Company.

In no case shall a Customer, except with the written consent of the Company, extend his lines across a street, alley, lane, court, property line, avenue, or other way in order to furnish water service to the adjacent property through one meter even though such adjacent property may be owned by him. In case of such unauthorized extension, sale, or disposition of service, the Customer's water service will be subject to discontinuance until such unauthorized extension, remetering, sale or disposition of service is discontinued and full payment is made to the Company for water service rendered by the Company (calculated on proper classification and rate schedules) and until reimbursement is made in full to the Company for all extra expenses incurred for clerical work, testing, and inspections. (This shall not be construed as prohibiting a Customer from remetering.)

11.0 CHANGE OF CUSTOMER'S INSTALLATION - No changes or increases in the Customer's installation, which will materially affect the proper operation of the pipes, mains, or stations of the Company, shall be made without written consent of the Company. The Customer shall be liable for any charge resulting from a violation of this Rule.

12.0 PROTECTION OF COMPANY'S PROPERTY - The Customer shall exercise reasonable diligence to protect the Company's property. If the Customer is found to have tampered with any Company property or refuses to correct any problems reported by the Company, service may be discontinued in accordance with Rule 25-30.320, Florida Administrative Code.

In the event of any loss or damage to property of the Company caused by or arising out of carelessness, neglect, or misuse by the Customer, the cost of making good such loss or repairing such damage shall be paid by the Customer.

(Continued on Sheet No. 9.0)

---

ISSUING OFFICER

---

TITLE

---

 WATER TARIFF

(Continued from Sheet No. 8.0)

- 13.0 INSPECTION OF CUSTOMER'S INSTALLATION - All Customer's water service installations or changes shall be inspected upon completion by a competent authority to ensure that the Customer's piping, equipment, and devices have been installed in accordance with accepted standard practice and local laws and governmental regulations. Where municipal or other governmental inspection is required by local rules and ordinances, the Company cannot render water service until such inspection has been made and a formal notice of approval from the inspecting authority has been received by the Company.

Notwithstanding the above, the Company reserves the right to inspect the Customer's installation prior to rendering water service, and from time to time thereafter, but assumes no responsibility whatsoever for any portion thereof.

- 14.0 ACCESS TO PREMISES - In accordance with Rule 25-30.320(2)(f), Florida Administrative Code, the Customer shall provide the duly authorized agents of the Company access at all reasonable hours to its property. If reasonable access is not provided, service may be discontinued pursuant to the above rule.

- 15.0 RIGHT-OF-WAY OR EASEMENTS - The Customer shall grant or cause to be granted to the Company, and without cost to the Company, all rights, easements, permits, and privileges which are necessary for the rendering of water service.

- 16.0 CUSTOMER BILLING - Bills for water service will be rendered  Monthly,  Bimonthly, or  Quarterly as stated in the rate schedule.

In accordance with Rule 25-30.335, Florida Administrative Code, the Company may not consider a Customer delinquent in paying his or her bill until the twenty-first day after the Company has mailed or presented the bill for payment.

A municipal or county franchise tax levied upon a water or wastewater public Company shall not be incorporated into the rate for water or wastewater service but shall be shown as a separate item on the Company's bills to its Customers in such municipality or county.

If a Company utilizes the base facility and usage charge rate structure and does not have a Commission authorized vacation rate, the Company shall bill the Customer the base facility charge regardless of whether there is any usage.

- 17.0 TERMINATION OF SERVICE - When a Customer wishes to terminate service on any premises where water service is supplied by the Company, the Company may require reasonable notice to the Company in accordance with Rule 25-30.325, Florida Administrative Code.

(Continued on Sheet No. 10.0)

---

 ISSUING OFFICER

---

 TITLE

---

**WATER TARIFF**

(Continued from Sheet No. 9.0)

- 18.0 PAYMENT OF WATER AND WASTEWATER SERVICE BILLS CONCURRENTLY - In accordance with Rule 25-30.320(2)(g), Florida Administrative Code, when both water and wastewater service are provided by the Company, payment of any water service bill rendered by the Company to a Customer shall not be accepted by the Company without the simultaneous or concurrent payment of any wastewater service bill rendered by the Company.
- 19.0 UNAUTHORIZED CONNECTIONS - WATER - Any unauthorized connections to the Customer's water service shall be subject to immediate discontinuance without notice, in accordance with Rule 25-30.320, Florida Administrative Code.
- 20.0 METERS - All water meters shall be furnished by and remain the property of the Company and shall be accessible and subject to its control, in accordance with Rule 25-30.230, Florida Administrative Code.
- 21.0 ALL WATER THROUGH METER - That portion of the Customer's installation for water service shall be so arranged to ensure that all water service shall pass through the meter. No temporary pipes, nipples or spaces are permitted and under no circumstances are connections allowed which may permit water to by-pass the meter or metering equipment.
- 22.0 ADJUSTMENT OF BILLS - When a Customer has been undercharged as a result of incorrect application of the rate schedule, incorrect reading of the meter, incorrect connection of the meter, or other similar reasons, the amount may be refunded or billed to the Customer as the case may be pursuant to Rules 25-30.340 and 25-30.350, Florida Administrative Code.
- 23.0 ADJUSTMENT OF BILLS FOR METER ERROR - When meter tests are made by the Commission or by the Company, the accuracy of registration of the meter and its performance shall conform with Rule 25-30.262, Florida Administrative Code and any adjustment of a bill due to a meter found to be in error as a result of any meter test performed whether for unauthorized use or for a meter found to be fast, slow, non-registering, or partially registering, shall conform with Rule 25-30.340, Florida Administrative Code.
- 24.0 METER ACCURACY REQUIREMENTS - All meters used by the Company should conform to the provisions of Rule 25-30.262, Florida Administrative Code.
- 25.0 FILING OF CONTRACTS - Whenever a Developer Agreement or Contract, Guaranteed Revenue Contract, or Special Contract or Agreement is entered into by the Company for the sale of its product or services in a manner not specifically covered by its Rules and Regulations or approved Rate Schedules, a copy of such contracts or agreements shall be filed with the Commission prior to its execution in accordance with Rule 25-9.034 and Rule 25-30.550, Florida Administrative Code. If such contracts or agreements are approved by the Commission, a conformed copy shall be placed on file with the Commission within 30 days of execution.

---

ISSUING OFFICER

---

TITLE



---

WATER TARIFF

INDEX OF RATES AND CHARGES SCHEDULES

	<u>Sheet Number</u>
Customer Deposits.....	14.0
General Service, GS.....	12.0
Meter Test Deposit.....	15.0
Miscellaneous Service Charges.....	16.0
Residential Service, RS.....	13.0

---

ISSUING OFFICER

---

TITLE

WATER TARIFF

GENERAL SERVICE

RATE SCHEDULE GS

- AVAILABILITY - Available throughout the area served by the Company.
- APPLICABILITY - For water service to all Customers for which no other schedule applies.
- LIMITATIONS - Subject to all of the Rules and Regulations of this tariff and General Rules and Regulations of the Commission.
- BILLING PERIOD -
- RATE -

<u>Meter Sizes</u>	<u>Base Facility Charge</u>
5/8" x 3/4"	\$
3/4"	\$
1"	\$
1 1/2"	\$
2"	\$
3"	\$
4"	\$
6"	\$
8"	\$
10"	\$
Charge per 1,000 gallons	\$

- MINIMUM CHARGE - Base Facility Charge
- TERMS OF PAYMENT - Bills are due and payable when rendered. In accordance with Rule 25-30.320, Florida Administrative Code, if a Customer is delinquent in paying the bill for water service, service may then be discontinued.

EFFECTIVE DATE -

TYPE OF FILING -

\_\_\_\_\_  
ISSUING OFFICER

\_\_\_\_\_  
TITLE

---

WATER TARIFF

RESIDENTIAL SERVICE

RATE SCHEDULE RS

- AVAILABILITY - Available throughout the area served by the Company.
- APPLICABILITY - For water service for all purposes in private residences and individually metered apartment units.
- LIMITATIONS - Subject to all of the Rules and Regulations of this Tariff and General Rules and Regulations of the Commission.

BILLING PERIOD -

RATE -

Base Facility Charge

All Meter Sizes \$

Charge per 1,000 gallons \$  
10,000 cap

MINIMUM CHARGE - Base Facility Charge

TERMS OF PAYMENT - Bills are due and payable when rendered. In accordance with Rule 25-30.320, Florida Administrative Code, if a Customer is delinquent in paying the bill for water service, service may then be discontinued.

EFFECTIVE DATE -

TYPE OF FILING -

---

ISSUING OFFICER

---

TITLE

WATER TARIFF

CUSTOMER DEPOSITS

ESTABLISHMENT OF CREDIT - Before rendering water service, the Company may require an Applicant for service to satisfactorily establish credit, but such establishment of credit shall not relieve the Customer from complying with the Company's rules for prompt payment. Credit will be deemed so established if the Customer complies with the requirements of Rule 25-30.311, Florida Administrative Code.

AMOUNT OF DEPOSIT - The amount of initial deposit shall be the following according to meter size:

	<u>Residential</u>	<u>General Service</u>
5/8" x 3/4"	_____	_____
1"	_____	_____
1 1/2"	_____	_____
Over 2"	_____	_____

ADDITIONAL DEPOSIT - Under Rule 25-30.311(7), Florida Administrative Code, the Company may require a new deposit, where previously waived or returned, or an additional deposit in order to secure payment of current bills provided.

INTEREST ON DEPOSIT - The Company shall pay interest on Customer deposits pursuant to Rules 25-30.311(4) and (4a).

REFUND OF DEPOSIT - After a residential Customer has established a satisfactory payment record and has had continuous service for a period of 23 months, the Company shall refund the Customer's deposit provided the Customer has met the requirements of Rule 25-30.311(5), Florida Administrative Code. The Company may hold the deposit of a non-residential Customer after a continuous service period of 23 months and shall pay interest on the non-residential Customer's deposit pursuant to Rules 25-30.311(4) and (5), Florida Administrative Code.

Nothing in this rule shall prohibit the Company from refunding a Customer's deposit in less than 23 months.

EFFECTIVE DATE -

TYPE OF FILING -

\_\_\_\_\_  
ISSUING OFFICER

\_\_\_\_\_  
TITLE

---

WATER TARIFF

METER TEST DEPOSIT

METER BENCH TEST REQUEST - If any Customer requests a bench test of his or her water meter, in accordance with Rule 25-30.266, Florida Administrative Code, the Company may require a deposit to defray the cost of testing; such deposit shall not exceed the schedule of fees found in Rule 25-30.266, Florida Administrative Code.

<u>METER SIZE</u>	<u>FEE</u>
5/8" x 3/4"	\$20.00
1" and 1 1/2"	\$25.00
2" and over	Actual Cost

REFUND OF METER BENCH TEST DEPOSIT - The Company may refund the meter bench test deposit in accordance with Rule 25-30.266, Florida Administrative Code.

METER FIELD TEST REQUEST - A Customer may request a no-charge field test of the accuracy of a meter in accordance with Rule 25-30.266, Florida Administrative Code.

EFFECTIVE DATE -

TYPE OF FILING -

---

ISSUING OFFICER

---

TITLE

---

WATER TARIFF

MISCELLANEOUS SERVICE CHARGES

The Company may charge the following miscellaneous service charges in accordance with the terms stated herein. If both water and wastewater services are provided, only a single charge is appropriate unless circumstances beyond the control of the Company require multiple actions.

INITIAL CONNECTION - This charge may be levied for service initiation at a location where service did not exist previously.

NORMAL RECONNECTION - This charge may be levied for transfer of service to a new Customer account at a previously served location or reconnection of service subsequent to a Customer requested disconnection.

VIOLATION RECONNECTION - This charge may be levied prior to reconnection of an existing Customer after disconnection of service for cause according to Rule 25-30.320(2), Florida Administrative Code, including a delinquency in bill payment.

PREMISES VISIT CHARGE (IN LIEU OF DISCONNECTION) - This charge may be levied when a service representative visits a premises for the purpose of discontinuing service for nonpayment of a due and collectible bill and does not discontinue service because the Customer pays the service representative or otherwise makes satisfactory arrangements to pay the bill.

<u>Schedule of Miscellaneous Service Charges</u>	
Initial Connection Charge	\$ _____
Normal Reconnection Charge	\$ _____
Violation Reconnection Charge	\$Actual Cost (1)
Premises Visit Charge (in lieu of disconnection)	\$ _____

EFFECTIVE DATE -

TYPE OF FILING -

---

ISSUING OFFICER

---

TITLE

---

WATER TARIFF

INDEX OF SERVICE AVAILABILITY POLICY AND CHARGES

<u>Description</u>	<u>Sheet Number</u>
Schedule of Charges .....	19.0
Service Availability Policy .....	18.0

---

ISSUING OFFICER

---

TITLE

---

WATER TARIFF

SERVICE AVAILABILITY POLICY

---

ISSUING OFFICER

---

TITLE



---

WATER TARIFF

SERVICE AVAILABILITY CHARGES

---

ISSUING OFFICER

---

TITLE

---

WATER TARIFF

INDEX OF STANDARD FORMS

<u>Description</u>	<u>Sheet No.</u>
APPLICATION FOR WATER SERVICE .....	21.0
COPY OF CUSTOMER'S BILL .....	22.0

---

ISSUING OFFICER

---

TITLE

---

WATER TARIFF

APPLICATION FOR WATER SERVICE

---

ISSUING OFFICER

---

TITLE

---

WATER TARIFF

COPY OF CUSTOMER'S BILL

---

ISSUING OFFICER

---

TITLE

WASTEWATER TARIFF

---

NAME OF COMPANY

FILED WITH  
FLORIDA PUBLIC SERVICE COMMISSION

WASTEWATER TARIFF

---

NAME OF COMPANY

---

---

---

(ADDRESS OF COMPANY)

---

(Business & Emergency Telephone Numbers)

---

ISSUING OFFICER

---

TITLE

FILED WITH  
FLORIDA PUBLIC SERVICE COMMISSION

---

WASTEWATER TARIFF

TABLE OF CONTENTS

	Sheet Number
Communities Served Listing .....	4.0
Description of Territory Served .....	3.1
Index of	
Rates and Charges Schedules.....	11.0
Rules and Regulations .....	7.0
Service Availability Policy and Charges.....	17.0
Standard Forms.....	19.0
Technical Terms and Abbreviations.....	5.0
Territory Authority.....	3.1

---

ISSUING OFFICER

---

TITLE

---

WASTEWATER TARIFF

TERRITORY AUTHORITY

CERTIFICATE NUMBER: \_\_\_\_\_

COUNTY: \_\_\_\_\_

COMMISSION ORDER(s) APPROVING TERRITORY SERVED -

Order Number

Date Issued

Docket Number

Filing Type

---

ISSUING OFFICER

---

TITLE



---

WASTEWATER TARIFF

DESCRIPTION OF TERRITORY SERVED

---

ISSUING OFFICER

---

TITLE

---

WASTEWATER TARIFF

COMMUNITIES SERVED LISTING

County Name	Development Name	Rate Schedule(s) Available	Sheet No.
-------------	------------------	----------------------------------	--------------

---

ISSUING OFFICER

---

TITLE

---

**WASTEWATER TARIFF****TECHNICAL TERMS AND ABBREVIATIONS**

- 1.0 **"BFC"** - The abbreviation for "Base Facility Charge" which is the minimum amount the Company may charge its Customers and is separate from the amount the Company bills its Customers for wastewater consumption.
- 2.0 **"CERTIFICATE"** - A document issued by the Commission authorizing the Company to provide wastewater service in a specific territory.
- 3.0 **"COMMISSION"** - The shortened name for the Florida Public Service Commission.
- 4.0 **"COMMUNITIES SERVED"** - The group of Customers who receive wastewater service from the Company and whose service location is within a specific area or locality that is uniquely separate from another.
- 5.0 **"COMPANY"** - The shortened name for the full name of the utility which is
- 6.0 **"CUSTOMER"** - Any person, firm or corporation who has entered into an agreement to receive wastewater service from the Company and who is liable for the payment of that wastewater service.
- 7.0 **"CUSTOMER'S INSTALLATION"** - All pipes, shut-offs, valves, fixtures and appliances or apparatus of every kind and nature used in connection with or forming a part of the installation for disposing of wastewater located on the Customer's side of the Service Connection whether such installation is owned by the Customer or used by the Customer under lease or other agreement.
- 8.0 **"MAIN"** - A pipe, conduit, or other facility used to convey wastewater service from individual service lines or through other mains.
- 9.0 **"RATE"** - Amount which the Company may charge for wastewater service which is applied to the Customer's water consumption.
- 10.0 **"RATE SCHEDULE"** - The rate(s) or charge(s) for a particular classification of service plus the several provisions necessary for billing, including all special terms and conditions under which service shall be furnished at such rate or charge.
- 11.0 **"SERVICE"** - As mentioned in this tariff and in agreement with Customers, "Service" shall be construed to include, in addition to all wastewater service required by the Customer, the readiness and ability on the part of the Company to furnish wastewater service to the Customer. Service shall conform to the standards set forth in Section 367.111 of the Florida Statutes.

(Continued to Sheet No. 5.1)

---

ISSUING OFFICER

---

TITLE

---

WASTEWATER TARIFF

(Continued from Sheet No. 5.0)

- 12.0     "SERVICE CONNECTION" - The point where the Company's pipes or meters are connected with the pipes of the Customer.
- 13.0     "SERVICE LINES" - The pipes between the Company's Mains and the Service Connection and which includes all of the pipes, fittings and valves necessary to make the connection to the Customer's premises, excluding the meter.
- 14.0     "TERRITORY" - The geographical area described, if necessary, by metes and bounds but, in all cases, with township, range and section in a Certificate, which may be within or without the boundaries of an incorporated municipality and may include areas in more than one county.

---

ISSUING OFFICER

---

TITLE

---

 WASTEWATER TARIFF
INDEX OF RULES AND REGULATIONS

	<u>Sheet Number:</u>	<u>Rule Number:</u>
Access to Premises .....	9.0	12.0
Adjustment of Bills .....	10.0	20.0
Application .....	7.0	3.0
Applications by Agents.....	7.0	4.0
Change of Customer's Installation.....	8.0	10.0
Continuity of Service .....	8.0	8.0
Customer Billing.....	9.0	15.0
Delinquent Bills .....	10.0	17.0
Evidence of Consumption.....	10.0	22.0
Extensions .....	7.0	6.0
Filing of Contracts.....	10.0	21.0
General Information .....	7.0	1.0
Inspection of Customer's Installation.....	8.0	11.0
Limitation of Use .....	8.0	9.0
Payment of Water and Wastewater Service Bills Concurrently .....	9.0	16.0
Tariff Dispute .....	7.0	2.0
Protection of Company's Property .....	9.0	13.0
Refusal or Discontinuance of Service.....	7.0	5.0

(Continued to Sheet No. 6.1)

---

 ISSUING OFFICER

---

 TITLE

---

WASTEWATER TARIFF

(Continued from Sheet No. 6.0)

	<u>Sheet Number:</u>	<u>Rule Number:</u>
Right-of-way or Easements .....	9.0	14.0
Termination of Service.....	10.0	18.0
Type and Maintenance .....	7.0	7.0
Unauthorized Connections - Wastewater .....	10.0	19.0

---

ISSUING OFFICER

---

TITLE

WASTEWATER TARIFF

RULES AND REGULATIONS

1.0 GENERAL INFORMATION - These Rules and Regulations are a part of the rate schedules and applications and contracts of the Company and, in the absence of specific written agreement to the contrary, apply without modifications or change to each and every Customer to whom the Company renders wastewater service.

The Company shall provide wastewater service to all Customers requiring such service within its Certificated territory pursuant to Chapter 25-30, Florida Administrative Code and Chapter 367, Florida Statutes.

2.0 TARIFF DISPUTE - Any dispute between the Company and the Customer or prospective Customer regarding the meaning or application of any provision of this tariff shall be resolved pursuant to Rule 25-22.032, Florida Administrative Code.

3.0 APPLICATION - In accordance with Rule 25-30.310, Florida Administrative Code, a signed application is required prior to the initiation of service. The Company shall provide each Applicant with a copy of the brochure entitled "Your Water and Wastewater Service," prepared by the Florida Public Service Commission.

4.0 APPLICATIONS BY AGENTS - Applications for wastewater service requested by firms, partnerships, associations, corporations, and others shall be rendered only by duly authorized parties or agents.

5.0 REFUSAL OR DISCONTINUANCE OF SERVICE - The Company may refuse or discontinue wastewater service rendered under application made by any member or agent of a household, organization, or business in accordance with Rule 25-30.320, Florida Administrative Code.

6.0 EXTENSIONS - Extensions will be made to the Company's facilities in compliance with Commission Rules and Orders and the Company's tariff.

7.0 TYPE AND MAINTENANCE - In accordance with Rule 25-30.545, Florida Administrative Code, the Customer's pipes, apparatus and equipment shall be selected, installed, used and maintained in accordance with standard practice and shall conform with the Rules and Regulations of the Company and shall comply with all laws and governmental regulations applicable to same. The Company shall not be responsible for the maintenance and operation of the Customer's pipes and facilities. The Customer expressly agrees not to utilize any appliance or device which is not properly constructed, controlled and protected or which may adversely affect the wastewater service. The Company reserves the right to discontinue or withhold wastewater service to such apparatus or device.

(Continued on Sheet No. 8.0)

\_\_\_\_\_  
ISSUING OFFICER

\_\_\_\_\_  
TITLE

WASTEWATER TARIFF

(Continued from Sheet No. 7.0)

8.0 CONTINUITY OF SERVICE - In accordance with Rule 25-30.250, Florida Administrative Code, the Company will at all times use reasonable diligence to provide continuous wastewater service and, having used reasonable diligence, shall not be liable to the Customer for failure or interruption of continuous wastewater service.

If at any time the Company shall interrupt or discontinue its service, all Customers affected by said interruption or discontinuance shall be given not less than 24 hours written notice.

9.0 LIMITATION OF USE - Wastewater service purchased from the Company shall be used by the Customer only for the purposes specified in the application for wastewater service. Wastewater service shall be rendered to the Customer for the Customer's own use and shall be collected directly into the Company's main wastewater lines.

In no case shall a Customer, except with the written consent of the Company, extend his lines across a street, alley, lane, court, property line, avenue, or other way in order to furnish wastewater service to the adjacent property even though such adjacent property may be owned by him. In case of such unauthorized extension, sale, or disposition of service, the Customer's wastewater service will be subject to discontinuance until such unauthorized extension, remetering, sale or disposition of service is discontinued and full payment is made to the Company for wastewater service rendered by the Company (calculated on proper classification and rate schedules) and until reimbursement is made in full to the Company for all extra expenses incurred for clerical work, testing, and inspections. (This shall not be construed as prohibiting a Customer from remetering.)

10.0 CHANGE OF CUSTOMER'S INSTALLATION - No changes or increases in the Customer's installation, which will materially affect the proper operation of the pipes, mains, or stations of the Company, shall be made without written consent of the Company. The Customer shall be liable for any change resulting from a violation of this Rule.

11.0 INSPECTION OF CUSTOMER'S INSTALLATION - All Customer's wastewater service installations or changes shall be inspected upon completion by a competent authority to ensure that the Customer's piping, equipment, and devices have been installed in accordance with accepted standard practice and local laws and governmental regulations. Where municipal or other governmental inspection is required by local rules and ordinances, the Company cannot render wastewater service until such inspection has been made and a formal notice of approval from the inspecting authority has been received by the Company.

Notwithstanding the above, the Company reserves the right to inspect the Customer's installation prior to rendering wastewater service, and from time to time thereafter, but assumes no responsibility whatsoever for any portion thereof.

(Continued on Sheet No. 9.0)

ISSUING OFFICER

TITLE



---

WASTEWATER TARIFF

(Continued from Sheet No.8.0)

- 12.0 ACCESS TO PREMISES - In accordance with Rule 25-30.320(2)(f), Florida Administrative Code, the Customer shall provide the duly authorized agents of the Company access at all reasonable hours to its property. If reasonable access is not provided, service may be discontinued pursuant to the above rule.
- 13.0 PROTECTION OF COMPANY'S PROPERTY - The Customer shall exercise reasonable diligence to protect the Company's property. If the Customer is found to have tampered with any Company property or refuses to correct any problems reported by the Company, service may be discontinued in accordance with Rule 25-30.320, Florida Administrative Code. In the event of any loss or damage to property of the Company caused by or arising out of carelessness, neglect, or misuse by the Customer, the cost of making good such loss or repairing such damage shall be paid by the Customer.
- 14.0 RIGHT-OF-WAY OR EASEMENTS - The Customer shall grant or cause to be granted to the Company, and without cost to the Company, all rights, easements, permits, and privileges which are necessary for the rendering of wastewater service.
- 15.0 CUSTOMER BILLING - Bills for wastewater service will be rendered - Monthly, Bimonthly, or Quarterly - as stated in the rate schedule.

In accordance with Rule 25-30.335, Florida Administrative Code, the Company may not consider a Customer delinquent in paying his or her bill until the twenty-first day after the Company has mailed or presented the bill for payment.

A municipal or county franchise tax levied upon a water or wastewater public utility shall not be incorporated into the rate for water or wastewater service but shall be shown as a separate item on the Company's bills to its Customers in such municipality or county.

If a utility utilizes the base facility and usage charge rate structure and does not have a Commission authorized vacation rate, the Company shall bill the Customer the base facility charge regardless of whether there is any usage.

- 16.0 PAYMENT OF WATER AND WASTEWATER SERVICE BILLS CONCURRENTLY - In accordance with Rule 25-30.320(2)(g), Florida Administrative Code, when both water and wastewater service are provided by the Company, payment of any wastewater service bill rendered by the Company to a Customer shall not be accepted by the Company without the simultaneous or concurrent payment of any water service bill rendered by the Company.

(Continued on Sheet No. 10.0)

---

ISSUING OFFICER

---

TITLE

---

**WASTEWATER TARIFF**

(Continued from Sheet No. 9.0)

- 17.0 **DELINQUENT BILLS** - When it has been determined that a Customer is delinquent in paying any bill, wastewater service may be discontinued after the Company has mailed or presented a written notice to the Customer in accordance with Rule 25-30.320, Florida Administrative Code.
- 18.0 **TERMINATION OF SERVICE** - When a Customer wishes to terminate service on any premises where wastewater service is supplied by the Company, the Company may require reasonable notice to the Company in accordance with Rule 25-30.325, Florida Administrative Code.
- 19.0 **UNAUTHORIZED CONNECTIONS - WASTEWATER** - Any unauthorized connections to the Customer's wastewater service shall be subject to immediate discontinuance without notice, in accordance with Rule 25-30.320, Florida Administrative Code.
- 20.0 **ADJUSTMENT OF BILLS** - When a Customer has been undercharged as a result of incorrect application of the rate schedule or, if wastewater service is measured by water consumption and a meter error is determined, the amount may be credited or billed to the Customer as the case may be, pursuant to Rules 25-30.340 and 25-30.350, Florida Administrative Code.
- 21.0 **FILING OF CONTRACTS** - Whenever a Developer Agreement or Contract, Guaranteed Revenue Contract, or Special Contract or Agreement is entered into by the Company for the sale of its product or services in a manner not specifically covered by its Rules and Regulations or approved Rate Schedules, a copy of such contracts or agreements shall be filed with the Commission prior to its execution in accordance with Rule 25-9.034 and Rule 25-30.550, Florida Administrative Code. If such contracts or agreements are approved by the Commission, a conformed copy shall be placed on file with the Commission within 30 days of execution.
- 22.0 **EVIDENCE OF CONSUMPTION** - The initiation or continuation or resumption of water service to the Customer's premises shall constitute the initiation or continuation or resumption of wastewater service to the Customer's premises regardless of occupancy.

---

ISSUING OFFICER

---

TITLE

---

WASTEWATER TARIFF

INDEX OF RATES AND CHARGES SCHEDULES

	<u>Sheet Number</u>
Customer Deposits .....	14.0
General Service, GS.....	12.0
Miscellaneous Service Charges .....	15.0
Residential Service, RS.....	13.0

---

ISSUING OFFICER

---

TITLE

---

WASTEWATER TARIFF

GENERAL SERVICE

RATE SCHEDULE GS

- AVAILABILITY - Available throughout the area served by the Company.
- APPLICABILITY - For wastewater service to all Customers for which no other schedule applies.
- LIMITATIONS - Subject to all of the Rules and Regulations of this Tariff and General Rules and Regulations of the Commission.

BILLING PERIOD -

RATE -

<u>Meter Sizes</u>	<u>Base Facility Charge</u>
5/8" x 3/4"	\$
3/4"	\$
1"	\$
1 1/2"	\$
2"	\$
3"	\$
4"	\$
6"	\$
8"	\$
10"	\$
Charge per 1,000 gallons	\$

MINIMUM CHARGE - Base Facility Charge

TERMS OF PAYMENT - Bills are due and payable when rendered. In accordance with Rule 25-30.320, Florida Administrative Code, if a Customer is delinquent in paying the bill for wastewater service, service may then be discontinued.

EFFECTIVE DATE -

TYPE OF FILING -

---

ISSUING OFFICER

---

TITLE

---

WASTEWATER TARIFF

RESIDENTIAL SERVICE

RATE SCHEDULE RS

- AVAILABILITY - Available throughout the area served by the Company.
- APPLICABILITY - For wastewater service for all purposes in private residences and individually metered apartment units.
- LIMITATIONS - Subject to all of the Rules and Regulations of this Tariff and General Rules and Regulations of the Commission.

BILLING PERIOD -  
RATE -

Base Facility Charge

All Meter Sizes	\$
Charge per 1,000 gallons 10,000 cap	\$

- MINIMUM CHARGE - Base Facility Charge
- TERMS OF PAYMENT - Bills are due and payable when rendered. In accordance with Rule 25-30.320, Florida Administrative Code, if a Customer is delinquent in paying the bill for wastewater service, service may then be discontinued.

EFFECTIVE DATE -

TYPE OF FILING -

---

ISSUING OFFICER

---

TITLE

WASTEWATER TARIFF

CUSTOMER DEPOSITS

ESTABLISHMENT OF CREDIT - Before rendering wastewater service, the Company may require an Applicant for service to satisfactorily establish credit, but such establishment of credit shall not relieve the Customer from complying with the Company's rules for prompt payment. Credit will be deemed so established if the Customer complies with the requirements of Rule 25-30.311, Florida Administrative Code.

AMOUNT OF DEPOSIT - The amount of initial deposit shall be the following according to meter size:

	<u>Residential</u>	<u>General Service</u>
5/8" x 3/4"	_____	_____
1"	_____	_____
1 1/2"	_____	_____
Over 2"	_____	_____

ADDITIONAL DEPOSIT - Under Rule 25-30.311(7), Florida Administrative Code, the Company may require a new deposit, where previously waived or returned, or an additional deposit in order to secure payment of current bills provided.

INTEREST ON DEPOSIT - The Company shall pay interest on Customer deposits pursuant to Rule 25-30.311(4) and (4a).

REFUND OF DEPOSIT - After a residential Customer has established a satisfactory payment record and has had continuous service for a period of 23 months, the Company shall refund the Customer's deposit provided the Customer has met the requirements of Rule 25-30.311(5), Florida Administrative Code. The Company may hold the deposit of a non-residential Customer after a continuous service period of 23 months and shall pay interest on the non-residential Customer's deposit pursuant to Rule 25-30.311(4) and (5), Florida Administrative Code.

Nothing in this rule shall prohibit the Company from refunding a Customer's deposit in less than 23 months.

EFFECTIVE DATE -

TYPE OF FILING -

\_\_\_\_\_  
ISSUING OFFICER

\_\_\_\_\_  
TITLE

WASTEWATER TARIFF

MISCELLANEOUS SERVICE CHARGES

The Company may charge the following miscellaneous service charges in accordance with the terms state herein. If both water and wastewater services are provided, only a single charge is appropriate unless circumstances beyond the control of the Company require multiple actions.

INITIAL CONNECTION - This charge may be levied for service initiation at a location where service did not exist previously.

NORMAL RECONNECTION - This charge may be levied for transfer of service to a new Customer account at a previously served location or reconnection of service subsequent to a Customer requested disconnection.

VIOLATION RECONNECTION - This charge may be levied prior to reconnection of an existing Customer after disconnection of service for cause according to Rule 25-30.320(2), Florida Administrative Code, including a delinquency in bill payment.

PREMISES VISIT CHARGE (IN LIEU OF DISCONNECTION) - This charge may be levied when a service representative visits a premises for the purpose of discontinuing service for nonpayment of a due and collectible bill and does not discontinue service because the Customer pays the service representative or otherwise makes satisfactory arrangements to pay the bill.

Schedule of Miscellaneous Service Charges

Initial Connection Charge	\$ _____
Normal Reconnection Charge	\$ _____
Violation Reconnection Charge	\$Actual Cost (1)
Premises Visit Charge (in lieu of disconnection)	\$ _____

(1) Actual Cost is equal to the total cost incurred for services.

EFFECTIVE DATE -

TYPE OF FILING -

\_\_\_\_\_  
ISSUING OFFICER

\_\_\_\_\_  
TITLE

---

WASTEWATER TARIFF

INDEX OF SERVICE AVAILABILITY POLICY AND CHARGES

	<u>Sheet Number</u>
Schedule of Charges .....	18.0
Service Availability Policy .....	17.0

---

ISSUING OFFICER

---

TITLE



---

WASTEWATER TARIFF

SERVICE AVAILABILITY POLICY

---

ISSUING OFFICER

---

TITLE

---

WASTEWATER TARIFF

SERVICE AVAILABILITY CHARGES

---

ISSUING OFFICER

---

TITLE

---

WASTEWATER TARIFF

INDEX OF STANDARD FORMS

	<u>Sheet No.</u>
APPLICATION FOR WASTEWATER SERVICE .....	20.0
COPY OF CUSTOMER'S BILL .....	21.0

---

ISSUING OFFICER

---

TITLE

---

WASTEWATER TARIFF

APPLICATION FOR WASTEWATER SERVICE

---

ISSUING OFFICER

---

TITLE

---

WASTEWATER TARIFF

COPY OF CUSTOMER'S BILL

---

ISSUING OFFICER

---

TITLE