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DIVISION OF
ACCOUNTING & FINANCE

FLORIDA PUBLIC SERVICE COMMISSION

APPLICATION FOR A
STAFF ASSISTED RATE CASE

DOCKET NO. 150181-WU
FILED AUG 10, 2015
DOCUMENT NO. 05007-15
FPSC - COMMISSION CLERK

I. GENERAL DATA

A. Name of Utility: **Neighborhood Utilities, Inc.**

B. Address: **4551 Shirley Ave.**
Jacksonville, FL 32210

1. Telephone Nos.: (904) 387-0487

2. County: **Duval**

Nearest City: **Jacksonville**

3. General Area Served: **West side**

C. Authority:

1. Water Certificate No. **430-W**

Date Received: **9/28/1984**

2. Wastewater Certificate No. **N/A**

Date Received:

3. Date Utility Started Operations: Water: **1983**

Wastewater:

D. How System Was Acquired: **Original developer/owner**

If utility was purchased, give date

Amount Paid \$

1. Name of Seller: **Colt Development Corporation**

2. Was seller affiliated with present owners? Yes No

3. Did you purchase: Stock or assets only

E. Type of Legal Entity:

Corporation Partnership Sole Proprietorship

F. Ownership & Officers:

	Name	Title	Percent Ownership
1.	W Larry O'Steen	President	100
2.			
3.			
4.			

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G. List of Associated Companies and Addresses:

Colt Development Corporation 4551 Shirley Ave. Jacksonville, FI 32210

H. If you have retained an attorney and/or a consultant to represent the utility for this application, furnish the name(s) and address(es):

Name:

Address:

II. ACCOUNTING DATA

A. Outside Accountant

- 1. Name: **C R Wintz, CPA**
- 2. Firm: **C R WINTZ, CPA, PA**
- 3. Address: **4551 Shirley Ave , Jacksonville, FI 32210**
- 4. Telephone: **(904) 389 7111**

B. Individual To Contact On Accounting Matters:

- 1. Name: **C R Wintz**
- 2. Telephone: **(904) 389 7111**

C. Location of Books and Records: **4551 Shirley Ave., Jacksonville, FL 32210**

D. Have you filed an Annual Report with the Commission? Yes No

Date Last Filed: **4/30/2015**

E. Has your latest Regulatory Assessment Fee Payment been made? Partial payment

(January 30 or July 30 whichever is applicable) Jan 30 July 30

F. Basic Rate Base Data: (Most recent two years)

1. <u>Water:</u>	2013	2014
Cost of Plant In Service	\$ 646,549	\$ 646,567
Less Accumulated Depreciation	<u>448,779</u>	<u>462,169</u>
Less Contributed Plant	<u>234,852</u>	<u>221,235</u>
Net Owner's Investment	\$ (36,852)	\$ (36,837)
2. <u>Wastewater:</u> N/A	20	20

Cost of Plant In Service	\$ _____	\$ _____
Less Accumulated Depreciation	_____	_____
Less Contributed Plant	_____	_____
Net Owner's Investment	\$ _____	\$ _____

G. Basic Income Statement: *(Most recent two years)*

	2013	2014
1. <u>Water:</u>		
Revenues (By Class)		
a. Residential	\$ 128,840	\$ 129,228
b. General	1654	1856
c. other	2628	2156
Total Operating Revenues:	\$ 133,122	\$ 133,234
Less Expenses:		
a. Salaries & Wages - Employees	18,000	17,777
b. Salaries & Wages - Officers, Directors, & Majority Stockholders	26,400	26,400
c. Employee Pensions & Benefits		
d. Purchased Water		
e. Purchased Power	4,958	5,150
f. Fuel for Power Production		
g. Chemicals	4,768	4,805
h. Materials & Supplies	242	279
i. Contractual Services	19,765	18,206
j. Rents	7,560	7,500
k. Transportation Expenses	4,835	4,987
l. Insurance Expense	4,061	4,486
m. Regulatory Commission Expense		
n. Bad Debt Expense	350	267
o. Miscellaneous Expense	35,124	34,129
p. Depreciation Expense	13,389	13,390
q. Property Taxes	3,985	4,188
r. Other Taxes	8,425	6,784
s. Income Taxes		
Operating Income (Loss)	\$ (18,740)	\$ (15,134)

2. Wastewater

Revenues (By Class):

- a.
- b.
- c.

Total Operating Revenues:

Less Expenses:

- a. Salaries & Wages - Employees
- b. Salaries & Wages - Officers, Directors, & Majority Stockholders
- c. Employee Pensions & Benefits
- d. Purchased Wastewater Treatment
- e. Sludge Removal Expense
- f. Purchased Power
- g. Fuel for Power Production
- h. Chemicals
- i. Materials & Supplies
- j. Contractual Services
- k. Rents
- l. Transportation Expenses
- m. Insurance Expense
- n. Regulatory Commission Expense
- o. Bad Debt Expense
- p. Miscellaneous Expense
- q. Depreciation Expense
- r. Property Taxes
- s. Other Taxes
- t. Income Taxes

Operating Income (Loss)

	20	20
\$	_____	\$ _____
	_____	_____
	_____	_____
	_____	_____
\$	=====	\$ =====
	_____	_____
	_____	_____
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	_____	_____
	_____	_____
	_____	_____
\$	=====	\$ =====

H. Outstanding Debt:

	Creditor	Date Borrowed	Balance Due	Interest Rate	Expiration Date
1.	U S WATER	10/29/10	9,686	9	10/28/16
2.	Lanis O'Steen	5/1/12	48,909	6	demand
3.	Loy O'Steen	5/1/12	46,529	6	demand
4.					

I. Indicate Type of Tax Return Field:

- X Form 1120 -Corporation
- Form 1120S -Subchapter S Corporation
- Form 1065 - Partnership
- Form 1040 - Schedule C - Individual (Proprietorship)

III

ENGINEERING DATA

A. Outside Engineering Consultant:

- 1. Name: **James M Lucas**
- 2. Firm: **J Lucas and Associates**
- 3. Address: **1305 Cedar Street, Jacksonville, FL 32207**
- 4. Telephone: **(904) 396 3060**

B. Individual to contact on engineering matters:

- 1. Name: **James M Lucas**
- 2. Telephone: **(904) 396 3060**

C. Is the utility under citation by the Department of Environmental Protection (DEP) or County Health Department?
If yes, explain: **no**

D. List any known service deficiencies and steps taken to remedy problems:

E. Name of plant operator(s) and DEP operator certificate number(s) held: **US Water Services Corporation**

F. Is the utility serving customers outside of its certificated area? **no**
If yes, explain:

G. Wastewater: **N/A**

- 1. Gallons per day capacity of treatment facilities:
 - a. Existing:
 - b. Under Construction:
 - c. Proposed:
- 2. Type and make of present treatment facilities:
- 3. Approximate average daily flow of treatment plant effluent:
- 4. Approximate length of wastewater mains:

Size (diameter):					
Linear feet:					

- 5. Number of manholes:
- 6. Number of lift stations:
- 7. How do you measure treatment plant effluent?
- 8. Is the treatment plant effluent chlorinated? Yes No

If yes, what is the normal dosage rate?

9. Tap in fees – Wastewater: \$
10. Service availability fees – Wastewater: \$
11. Note DEP Treatment Plant Certificate Number and date of expiration:
Number Expiration Date:
12. Total gallons treated during most recent twelve months:
13. Wastewater treatment purchased during most recent twelve months:

H. Water:

1. Gallons per day capacity of treatment facilities:
a. Existing: **360,000** b. Under Construction : **0** c. Proposed: **0**
2. Type of treatment: **Aeration and chlorination**
3. Approximate average daily flow of treated water: **80,000**
4. Source of water supply: **Floridan Aquifer**
5. Types of chemicals used and their normal dosage rates: **Liquid chlorine**

6. Number of wells in service: **1**
Total capacity in gallons per minute (gpm):

Diameter/Depth:	12x8 / 900	_____ / _____	_____ / _____
Motor horsepower:	10	_____	_____
Pump capacity (gpm):	250	_____	_____

7. Reservoirs and/or hydropneumatic tanks:

Description:	Ground storage	Ground storage	hydropneumatic
Capacity:	20,000 gal	40,000	2,000

8. High service pumping:

Motor horsepower:	15	20	_____	_____
Pump capacity (gpm):	250	300	_____	_____

9. How do you measure treatment plant production? **Raw water meter**

10. Approximate feet of water mains:

Size (diameter):	10	8	6	4	2
Linear feet:	280	13,589	2,818	1,937	3,165

11. Note any fire flow requirements and imposing government agency:

12. Number of fire hydrants in service: **26**

13. Do you have a meter change out program? No Yes
14. Meter installation or tap in fees - Water \$ 90.00
See attached sheet nos. 19.0 and
15. Service availability fees - Water \$ 20.0
16. Has the existing treatment facility been approved by DEP? No Yes
17. Total gallons pumped during most recent twelve months: 27,768,000
18. Total gallons sold during most recent twelve months: 26,899,000
19. Gallons unaccounted for during most recent twelve months: 689,000
20. Gallons purchased during most recent twelve months: 361,000

IV. RATE DATA

A. Individual to contact on tariff matters:

1. Name: W Larry O'Steen
2. Telephone Number: (904) 387 0487

B. Schedule of present rates: (Attach additional sheets if more space is needed)

1. Water:

- a. Residential Water See attached sheet no 20.0
- b. General Service See attached sheet no 19.0
- c. Special Contract _____
- d. Other - Specify _____

2. Wastewater: N/A

- a. Residential Wastewater _____
- b. General Service _____
- c. Special Contract _____
- d. Other - Specify _____

C. Number of Customers: (Most recent two years)

	2013	2014
1. Water Metered		
a. Residential	<u>385</u>	<u>389</u>
b. General Service	<u>4</u>	<u>4</u>
c. Special Contract	_____	_____
d. Other - Specify	_____	_____
2. Water Unmetered N/A	<u>20</u>	<u>20</u>
a. Residential	_____	_____
b. General Service	_____	_____
c. Special Contract	_____	_____
d. Other - Specify	_____	_____
3. Wastewater N/A	<u>20</u>	<u>20</u>
a. Residential	_____	_____
b. General Service	_____	_____
c. Special Contract	_____	_____
d. Other - Specify	_____	_____

V. AFFIRMATION

I, W Larry O'Steen the undersigned owner, officer, or partner of the above named public utility, doing business in the State of Florida and subject to the control and jurisdiction of the Florida Public Service Commission, certify that the statements set forth herein are true and correct to the best of my information, knowledge, and belief.

Signed  _____
Title President _____

Notice: Section 837.06, Florida Statutes, provides that any person who knowingly makes a false statement in writing with the intent to mislead a public servant in the performance of his duty shall be guilty of a misdemeanor of the second degree.

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