

State of Florida



# Public Service Commission

CAPITAL CIRCLE OFFICE CENTER • 2540 SHUMARD OAK BOULEVARD  
TALLAHASSEE, FLORIDA 32399-0850

## -M-E-M-O-R-A-N-D-U-M-

RECEIVED - FPSC  
15 NOV 10 PM 2:20  
COMMISSION CLERK

---

**DATE:** November 10, 2015  
**TO:** Marguerite H. McLean, Records Technician, Office of Commission Clerk  
**FROM:** Dale N. Mailhot, Director, Office of Auditing and Performance Analysis  
**RE:** Inventory of Confidential Documents as of October 02, 2015

---

Attached is the inventory of confidential documents as of October 02, 2015 identifying whether each document should be retained at the Commission or returned to the source. Of the 501 documents listed, 500 should be retained and one may be returned.

The one document which may be returned is listed below:

Docket Number	Document Number
150001-EI	06207-15

cc: Apryl Lynn (Without attachment)  
Lisa Harvey (Without attachment)  
Theresa Walsh (Without attachment)

DM/hv

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
000002-EG	Energy conservation cost recovery clause.	08718-00	Ballinger Stern	Order issued	FPSC (RGO/Pritchett) - (CONFIDENTIAL) Working papers, Volume 2 of 2 for FPL (Audit Control No. 00-047-4-1). [x-ref. DN 09551-00].	✓	—
		09551-00	Ballinger Stern	Order issued	FPL (Litchfield) - (CONFIDENTIAL) Certain material provided in response to Environmental Cost Recovery Audit No. 00-047-4-1. (x-ref. DN 08718-00).	✓	—
011403-EI	Request for confidential classification of material provided pursuant to Audit No. 01-073-4-1, by Florida Power & Light Company.	13412-01	Gervasi No lead att.	Order issued	FPSC (RGO/Vandiver) - (CONFIDENTIAL) Working Papers, Volume 8 of 8 for FPL (Audit Control No. 01-073-4-1). (x-ref. DN 02580-12; note: x-ref. DN 13656-01 returned to the source.)	✓	—

# Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

## Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
011403-EI	Request for confidential classification of material provided pursuant to Audit No. 01-073-4-1, by Florida Power & Light Company.	02580-12	Gervasi No lead att.	Order issued	FPL (Moncada) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit Control No. 01-073-4-1. (x-ref. DN 13412-01; note: x-ref. DN 13656-01 returned to the source.)	✓	—
020002-EG	Energy conservation cost recovery clause.	07733-02	Ballinger Holley	Order issued	FPSC (AUS/Vandiver) - (CONFIDENTIAL) Audit work papers, Volume 4 of 4 for FPL (Audit Control No. 02-064-4-1). [x-ref. DN 00504-13; DNs 07953-02, 11029-02, 02446-06, and 08087-10 returned to the source].	✓	—
040001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	04354-04	Bohrmann Keating	Staff recom fld; awaiting order	AUS/Vandiver - (CONFIDENTIAL) Volume 2 of workpapers for FPL fuel audit, year ending 12/31/03, Audit Control No. 04-023-4-1. [See	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		04355-04	Bohrmann Keating	Staff recom fld; awaiting order	DNs 04355-04, 04646-04, 04848-04, and 05637-04] AUS/Vandiver - (CONFIDENTIAL) Pgs 4-7 of audit report, FPL fuel audit, year ending 12/31/03, Audit Control No. 04-023-4-1. [See DNs 04354-04, 04646-04, 04848-04, and 05637-04]	✓	—
		04646-04	Bohrmann Keating	Staff recom fld; awaiting order	FPL (Smith) - (CONFIDENTIAL) Certain materials provided in connection with Audit No. 04-023-4-1. [See DNs 04354-04, 04355-04, 04848-04, and 05637-04]	✓	—
		04848-04	Bohrmann Keating	Staff recom fld; awaiting order	FPL (Butler) - (CONFIDENTIAL) Certain information in response to staff's audit report (Audit Control No.	✓	—

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		06511-04	Bohrmann Keating	Staff recom fld; awaiting order	04-023-4-1. [See DNs 04354-04, 04355-04, 04646-04, 05637-04, and 01888-06] RCA (Vandiver) - (CONFIDENTIAL) Volume 2 of 2 of staff workpapers from fuel audit of FPL, Audit Control No. 04-096-4-1. [See DN 06981-04] FPL (Smith) - (CONFIDENTIAL) Certain information obtained in connection with Audit Control No. 04-096-4-1. [See DN 06511-04] RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 2 of 4 for FPL (Audit Control No. 04-022-4-1). [See DNs 07271-04, 07272-04, 05236-13 (returned to source , and 02159-15; x-ref.	✓	—
		06981-04	Bohrmann Keating	Staff recom fld; awaiting order	04-096-4-1. [See DN 06981-04] FPL (Smith) - (CONFIDENTIAL) Certain information obtained in connection with Audit Control No. 04-096-4-1. [See DN 06511-04] RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 2 of 4 for FPL (Audit Control No. 04-022-4-1). [See DNs 07271-04, 07272-04, 05236-13 (returned to source , and 02159-15; x-ref.	✓	—
		07270-04	Bohrmann Keating	Order issued	04-096-4-1. [See DN 06981-04] FPL (Smith) - (CONFIDENTIAL) Certain information obtained in connection with Audit Control No. 04-096-4-1. [See DN 06511-04] RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 2 of 4 for FPL (Audit Control No. 04-022-4-1). [See DNs 07271-04, 07272-04, 05236-13 (returned to source , and 02159-15; x-ref.	✓	—

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		07271-04	Bohrmann Keating	Order issued	DNs 07442-04, 03431-11, 06218-11 returned to source.] RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 3 of 4 for FPL (Audit Control No. 04-022-4-1). [See DNs 07270-04, 07272-04, 05236-13 (returned to source), and 02159-15; x-ref. DNs 07442-04, 03431-11, and 06218-11 returned to source]	✓ —	—
		07272-04	Bohrmann Keating	Order issued	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 4 of 4 for FPL (Audit Control No. 04-022-4-1). [See DNs 07270-04, 07271-04, 05236-13 (returned to source), and 02159-15; x-ref. DNs 07442-04, 03431-11, and 06218-11 returned to the source.]	✓ —	—

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
040002-EG	Energy conservation cost recovery clause.	08245-04	Colson Brown	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 3 for FPL (Audit Control No. 04-070-4-1). [See DNs 00536-13 and 01961-15]	✓	—
041291-EI	Petition for authority to recover prudently incurred storm restoration costs related to 2004 storm season that exceed storm reserve balance, by Florida Power & Light Company.	01560-05	Slemkewicz Fleming	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 2 of 5 for FPL (Storm Cost Recovery Costs Audit Control No. 04-343-4-1); with attached CD-ROM. [See DN 02236-05]	✓	—
		01561-05	Slemkewicz Fleming	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 3 of 5 for FPL (Storm Cost Recovery Costs Audit Control No. 04-343-4-1). [See DN 02236-05]	✓	—

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
041291-EI	Petition for authority to recover prudently incurred storm restoration costs related to 2004 storm season that exceed storm reserve balance, by Florida Power & Light Company.	01563-05	Slemkewicz Fleming	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 5 of 5 for FPL (Storm Cost Recovery Costs Audit Control No. 04-343-4-1). [See DN 02236-05]	✓ —	—
		02236-05	Slemkewicz Fleming	Staff recom fld; awaiting order	FPL (Smith) - (CONFIDENTIAL) Certain materials provided in connection with storm cost recovery Audit No. 04-343-4-1. [See DNs 01560-05, 01561-05, 01562-05, 01563-05, and 01564-05]	✓ —	—
050001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	03798-05	Bohrmann Vining	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 2 of 3 for FPL, for Capacity Clause Audit (Audit Control No. 05-031-4-1). [See DNs 03799-05, 05034-13, and 02075-15; DNs	✓ —	—



**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
		03799-05	Bohrmann Vining	Staff recom fld; awaiting order	04083-05 and 05921-11 returned to source] RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 3 of 3 for FPL, for Capacity Clause Audit (Audit Control No. 05-031-4-1). [See DNs 03798-05, 05034-13, and 02075-15; DNs 04083-05 and 05921-11 returned to source]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		04671-05	Bohrmann Vining	Order issued	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 3 of 4 for FPL, for Fuel Recovery Audit (Audit Control No. 05-028-4-1). [See DNs 04672-05, 05422-13, and 06969-13; DNs 04864-05 and 08054-11 returned to source.]	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
050001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	04672-05	Bohrmann Vining	Order issued	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 4 of 4 for FPL, for Fuel Recovery Audit (Audit Control No. 05-028-4-1). [See DNs 04671-05, 05422-13, and 06969-13; DNs 04864-05 and 08054-11 returned to source.]	✓ —	—
050002-EG	Energy conservation cost recovery clause.	06625-05	Colson Brown	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Volume 4 of 4 of FPL energy conservation cost recovery clause audit, Control No. 05-042-4-1, for year ending 12/31/04. [x-ref DN 00499-13; DN 06604-05 returned to source.]	✓ —	—
050007-EI	Environmental cost recovery clause.	07166-05	VonFossen Stern	Order issued	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 4 of 4 for FPL, for Environmental Cost Recovery Clause Audit (Audit Control No.	✓ —	—

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
060001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	01888-06	Lester Bennett	Staff recom fld; awaiting order	05-033-4-1). [See DN 07567-05; x-ref. DN 02010-11] FPL (Smith) - (CONFIDENTIAL) Certain portions of staff's fuel cost recovery clause audit report and working papers (Audit No. 04-023-4-1). [See documents 04848-04 and 05637-04]	✓	—
		03638-06	Lester Bennett	Staff recom fld; awaiting order	RCA (Vandiver) - (CONFIDENTIAL) Volume 2 of 2 of audit workpapers for FPL, Capacity Cost Recovery Clause, Audit Control No. 06-045-4-1. [x-ref. DNs 04040-06 and 05983-11 (DNs returned to source); and 05289-13]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
060001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	04323-06	Lester Bennett	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 3 of 4 for FPL, for Fuel Clause Audit (Audit Control No. 06-046-4-1). [x-ref. DN 04761-15; see DN 04324-06; x-ref. DNs 04629-06, 05988-11 and 05641-13 returned to source.]	✓	—
		04324-06	Lester Bennett	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 4 of 4 for FPL, for Fuel Clause Audit (Audit Control No. 06-046-4-1). [x-ref. DNs 05641-13 and 04761-15; see DN 04323-06; x-ref. DNs 04629-06, 05988-11 and 05641-13 returned to source]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
060002-EG	Energy conservation cost recovery clause.	05832-06	Colson Fleming	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 4 of 4 for FPL, for Energy Conservation Cost Recovery Clause (Audit Control No. 06-040-4-1). [x-ref. DN 00494-13; DNs 06113-06 and 08082-10 returned to the source]	✓	—
060007-EI	Environmental cost recovery clause.	05836-06	VonFossen Brown	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 3 of 3 for FPL, for Environmental Cost Recovery Clause (Audit Control No. 06-044-4-1). [See DNs 06062-06, 02078-07, and 03514-11]	✓	—
060038-EI	Petition for issuance of a storm recovery financing order, by Florida Power & Light Company.	02260-06	Maurey Crawford	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Pg 4 of audit report for FPL (Audit Control No. 05-292-4-1). [See DNs 01396-06, 01397-06, 01398-06,	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		02261-06	Maurey Crawford	Staff recom fld; awaiting order	01399-06, 01400-06, 01696-06, 02259-06, 02261-06, 02262-06, 02574-06, 02834-06, and 02989-06] RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 4 of 5 for FPL, for Storm Damage Cost Recovery Audit (Audit Control No. 05-292-4-1). [See DNs 01396-06, 01397-06, 01398-06, 01399-06, 01400-06, 01696-06, 02259-06, 02260-06, 02262-06, 02574-06, 02834-06, and 02989-06]	✓	—

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
060038-EI	Petition for issuance of a storm recovery financing order, by Florida Power & Light Company.	02262-06	Maurey Crawford	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 5 of 5 for FPL, for Storm Damage Cost Recovery Audit (Audit Control No. 05-292-4-1). [See DNs 01396-06, 01397-06, 01398-06, 01399-06, 01400-06, 01696-06, 02259-06, 02260-06, 02261-06, 02574-06, 02834-06, and 02989-06]	✓ —	—
		02989-06	Maurey Crawford	Staff recom fld; awaiting order	FPL (Smith) - (CONFIDENTIAL) Information provided in connection with storm damage cost recovery supplemental Audit No. 05-292-4-1. [See DNs 01396-06, 01397-06, 01398-06, 01399-06, 01400-06,	✓ —	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		05131-06	Maurey Crawford	Staff recom fld; awaiting order	01696-06, 02259-06, 02260-06, 02261-06, 02262-06, 02574-06, 02834-06, and 05131-06] FPL (Smith) - (CONFIDENTIAL) Work paper 43-2 (Audit Control No. 05-292-4-1).	✓ —	—
060224-EI	Request for confidential classification of materials provided in connection with FPL Connect Service Audit, ACN 05-285-4-1, by Florida Power & Light Company.	01604-06	No lead stf. Page	Order issued	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 2 of 2 for FPL for Service Connect Process Audit (Audit Control No. 05-285-4-1). [See DNs 01605-06 and 00572-12; DN 02151-06 and 04185-06 returned to source.]	✓ —	—



**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
060224-EI	Request for confidential classification of materials provided in connection with FPL Connect Service Audit, ACN 05-285-4-1, by Florida Power & Light Company.	01605-06	No lead stf. Page	Order issued	RCA/Vandiver - (CONFIDENTIAL) Pages 4-11 of audit report for FPL, for Service Connect Process Audit (Audit Control No. 05-285-4-1). [See DNs 01604-06 and 00572-12; DNs 02151-06 and 04185-06 returned to source.]	✓	—
		00572-12	No lead stf. Page	Order issued	FPL (Moncada) - (CONFIDENTIAL) Materials provided in the connect service audit (Audit Control No. 05-285-4-1). [See DNs 01604-06 and 01605-06; DNs 02151-06 and 04185-06 returned to source.]	✓	—
070001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	04159-07	Lester Bennett	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 2 of 2 for FPL, for capacity cost & recovery clause (Audit Control No. 07-022-4-3). [See DN 04415-07]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
070001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	04415-07	Lester Bennett	Staff recom fld; awaiting order	FPL (Butler) - (CONFIDENTIAL) Certain information provided in connection with Audit No. 07-022-4-3 (CCR audit). [See DNs 04159-07 and 04160-07]	✓ —	—
		04692-07	Lester Bennett	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 5 of 7 for FPL, for fuel audit (Audit Control No. 07-022-4-1). [See DNs 05079-07 and 08897-07]	✓ —	—
		04693-07	Lester Bennett	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 6 of 7 for FPL, for fuel audit (Audit Control No. 07-022-4-1). [See DNs 05079-07 and 08897-07]	✓ —	—

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
070001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	04694-07	Lester Bennett	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 7 of 7 for FPL, for fuel audit (Audit Control No. 07-022-4-1). [See DNs 05079-07 and 08897-07]	✓	—
		05079-07	Lester Bennett	Staff recom fld; awaiting order	FPL (Butler) - (CONFIDENTIAL) Information provided pursuant to Audit Control No. 07-022-4-1, Composite Exh A. [See DNs 04692-07, 04693-07, 04694-07, and 08897-07]	✓	—
		08897-07	Lester Bennett	Staff recom fld; awaiting order	FPL (Butler) - (CONFIDENTIAL) Information provided pursuant to Audit No. 07-022-4-1 (revised). [x-ref. DNs 04692-07, 04693-07, 04694-07, and 05079-07]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
070001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	09414-07	Lester Bennett	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Pg 3 of audit report (Vol 2 of 2) for FPL, for audit of cost model for economy sales (Audit Control No. 07-164-4-1). [See DN 09763-07]	✓	—
		09415-07	Lester Bennett	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 2 of 2 for FPL, for cost model for economy sales audit (Audit Control No. 07-164-4-1). [See DN 09763-07]	✓	—
		09763-07	Lester Bennett	Staff recom fld; awaiting order	FPL (Cano) - (CONFIDENTIAL) Certain information retained by staff in connection with Audit No. 07-164-4-1. [See DNs 09414-07 and 09415-07]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
070002-EG	Energy conservation cost recovery clause.	05980-07	Lee Fleming	Order issued	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 4 of 4 for FPL, for Energy Conservation Cost Recovery Clause (Audit Control No. 07-071-4-3). [x-ref. DN 00521-13; DN 06583-07 returned to the source]	✓	—
070007-EI	Environmental cost recovery clause.	06311-07	Wu Brown	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 3 of 3 for FPL, for environmental cost recovery clause audit (Audit Control No. 07-071-4-1). [x-ref. DN 06642-07]	✓	—
		06642-07	Wu Brown	Staff recom fld; awaiting order	FPL (Butler) - (CONFIDENTIAL) Composite Exh A, certain materials obtained in connection with Audit Control No. 07-071-4-1. [x-ref. DN 06311-07]	✓	—

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
070432-EI	Petition for authority to use deferral accounting and for creation of a regulatory asset for prudently incurred preconstruction costs associated with development of clean coal project, by Florida Power & Light Company.	09012-07	Slemkewicz Brown	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Pgs 4-8 of audit report for FPL (Audit Control No. 07-221-4-1). [See DNs 09596-07 and 10994-07]	✓ —	—
		09013-07	Slemkewicz Brown	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 2 of 2 for FPL, for review of \$34.5 million of preconstruction costs for Glades Power Park project (Audit Control No. 07-221-4-1). [See DNs 09576-07 and 10994-07]	✓ —	—

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
070432-EI	Petition for authority to use deferral accounting and for creation of a regulatory asset for prudently incurred preconstruction costs associated with development of clean coal project, by Florida Power & Light Company.	10994-07	Slemkewicz Brown	Staff recom fld; awaiting order	FPL (Cano) - (CONFIDENTIAL) Certain information provided in connection with Audit No. 07-221-4-1. [See DNs 09012-07, 09013-07, and 09596-07]	✓	—
070626-EI	Review of Florida Power & Light Company's Sunshine Energy Program.	04610-08	Devlin Fleming	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 3 of 5 for FPL, for audit accounting for funds expended in connection with sunshine energy program (Audit Control No. 08-086-4-1). (x-ref. DN 05263-08)	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
070626-EI	Review of Florida Power & Light Company's Sunshine Energy Program.	04611-08	Devlin Fleming	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 4 of 5 for FPL, for audit accounting for funds expended in connection with sunshine energy program (Audit Control No. 08-086-4-1). (x-ref. DN 05263-08)	✓ —	—
		04612-08	Devlin Fleming	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 5 of 5 for FPL, for audit accounting for funds expended in connection with sunshine energy program (Audit Control No. 08-086-4-1). (x-ref. DN 05263-08)	✓ —	—



## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
070626-EI	Review of Florida Power & Light Company's Sunshine Energy Program.	04613-08	Devlin Fleming	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Pages 3-12 of audit report for FPL, for audit accounting for funds expended in connection with sunshine energy program (Audit Control No. 08-086-4-1). [CLK note: Document will be retained in Vol 3 of 5 (DN 04610-07) of audit work papers.] (x-ref. DN 05263-08)	✓	—
		05263-08	Devlin Fleming	Staff recom fld; awaiting order	FPL (Cano) - (CONFIDENTIAL) Certain materials provided to staff pursuant to Audit Control No. 08-086-4-1. (x-ref. DNs 04610-08, 04611-08, 04612-08, and 04613-08)	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
070626-EI	Review of Florida Power & Light Company's Sunshine Energy Program.	05621-08	Devlin Fleming	Staff recom fld; awaiting order	FPL (Cano) - (CONFIDENTIAL) Information amending confidential filings from 8/31/07, 10/18/07, 3/24/08, and 6/19/08 (Audit No. 08-086-4-1). [See DN 05633-08.]	✓ —	—
		05633-08	Devlin Fleming	Staff recom fld; awaiting order	FPL (Cano) - (CONFIDENTIAL) Trademark license and services agreement between FPL and Green Mountain Energy Company; data request responses; and work paper 5-7, Pages 10 and 11 (documents to replace previously filed documents). [Audit No. 08-086-4-1] [See DN 05621-08.]	✓ —	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
080000-OT	Undocketed filings for 2008.	06277-08	No lead stf. No lead att.	Confidential claim per 364.183(1), F.S.	CMP (sic)/Rich - (CONFIDENTIAL) Documents pertaining to multiple ILECs involved in review of customer data security initiatives.	✓	—
		06279-08	No lead stf. No lead att.	Confidential claim per 364.183(1), F.S.	CMP (sic)/Rich - (CONFIDENTIAL) AT&T documents provided during a review of customer data security initiatives.	✓	—
		06280-08	No lead stf. No lead att.	Confidential claim per 364.183(1), F.S.	RCP/Rich - (CONFIDENTIAL) Information contained in staff's draft audit report and related staff work papers regarding customer data security of Florida ILECs. [CLK note: CLK/McLean advises filing is covered under letter claiming confidentiality (DN 04858-08).]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
080000-OT	Undocketed filings for 2008.	06288-08	No lead stf. No lead att.	Confidential claim per 364.183(1), F.S.	CMP (sic)/Rich - (CONFIDENTIAL) Responses provided by Verizon during a review of customer data security initiatives.	✓	—
080001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	03776-08	Lester Bennett	Order issued	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 3 of 4 for FPL, for fuel price hedging costs (Audit Control No. 07-353-4-1). [x-ref. DNs 04474-08 (returned to source) and 07001-13; see DN 03777-08]	✓	—
		03777-08	Lester Bennett	Order issued	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 4 of 4 for FPL, for fuel price hedging costs (Audit Control No. 07-353-4-1). [x-ref. DNs 04474-08 (returned to source) and 07001-13; see DN 03776-08]	✓	—

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
080001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	03913-08	Lester Bennett	Order issued	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 5 of 7 for FPL, for fuel cost recovery (Audit Control No. 08-003-4-2). [x-ref. DNs 04588-08 (returned to the source), 03897-10 (returned to the source), and 05723-13.]	✓	—
		03914-08	Lester Bennett	Order issued	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 6 of 7 for FPL, for fuel cost recovery (Audit Control No. 08-003-4-2). [x-ref. DNs 04588-08 (returned to the source), 03897-10 (returned to the source), and 05723-13.]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
080001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	03915-08	Lester Bennett	Order issued	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 7 of 7 for FPL, for fuel cost recovery (Audit Control No. 08-003-4-2). [x-ref. DNs 04588-08 (returned to the source), 03897-10 (returned to the source), and 05723-13.]	✓	—
		05001-08	Lester Bennett	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 4 of 7 for FPL, for capacity cost recovery audit (Audit Control No. 08-003-4-3). [See DNs 05002-08, 05003-08, 05004-08, 05005-08, and 01963-15; DNs 05238-13, 05626-08. and 05533-11 returned to source]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
080001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	05002-08	Lester Bennett	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 5 of 7 for FPL, for capacity cost recovery audit (Audit Control No. 08-003-4-3). [See DNs 05001-08, 05003-08, 05004-08, 05005-08, and 01963-15; DNs 05238-13, 05626-08, and 05533-11 returned to source]	✓ —	—
		05003-08	Lester Bennett	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 6 of 7 for FPL, for capacity cost recovery audit (Audit Control No. 08-003-4-3). [See DNs 05001-08, 05002-08, 05004-08, 05005-08, and 01963-15; DNs 05238-13, 05626-08, and 05533-11 returned to source]	✓ —	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
080001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	05004-08	Lester Bennett	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 7 of 7 for FPL, for capacity cost recovery audit (Audit Control No. 08-003-4-3). [See DNs 05001-08, 05002-08, 05003-08, 05005-08, and 01963-15; DNs 05238-13, 05626-08, and 05533-11 returned to source]	✓	—
		05005-08	Lester Bennett	Staff recom fld; awaiting order	RCA/Vandiver - (CONFIDENTIAL) Pgs 4-10 of audit report (Vol 4 of 7) for FPL, for capacity cost recovery audit (Audit Control No. 08-003-4-3). [CLK note: Pages 5, 7, and 8 declassified and forwarded to RCP/Freeman.] [See DNs 05001-08, 05002-08, 05003-08, 05004-08, and	✓	—



**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
		09265-08	Lester Bennett	Staff recom fld; awaiting order	01963-15; DNs 05238-13, 05626-08, and 05533-11 returned to source] RCP/Vandiver - (CONFIDENTIAL) Working papers Vol 2 of 2 for Progress for hedging activities for 1/08 through 7/08 (Audit Control No. 08-221-2-1; Record No. 5800). [See DN 09571-08]	✓	—
		09571-08	Lester Bennett	Staff recom fld; awaiting order	Progress (Burnett) - (CONFIDENTIAL) Certain information contained in staff's audit work papers pertaining to Commission's 1/08-7/08 Hedging Audit (Audit No. 08-221-2-1). [See DN 09265-08]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
080001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	09711-08	Lester Bennett	Order issued	RCP/Vandiver - (CONFIDENTIAL) Working papers binder 2 of 2 for FPL, for hedging transactions for fuel purchases 1/08 through 7/08 (Audit Control No. 08-221-4-2). [x-ref. DN 10024-08]	✓	—
		09712-08	Lester Bennett	Order issued	RCP/Vandiver - (CONFIDENTIAL) Pages 4-6 of the audit report for FPL, for hedging transactions for fuel purchases 1/08 through 7/08 (Audit Control No. 08-221-4-2). [x-ref. DN 10024-08]	✓	—
		10024-08	Lester Bennett	Order issued	FPL (Butler) - (CONFIDENTIAL) Certain information provided to staff in connection with audit of hedging transactions for 1/08 through 7/08 (Audit Control No. 08-221-4-2).	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		11377-08	Lester Bennett	Staff recom fld; awaiting order	[x-ref. DNs 09711-08 and 09712-08] RCP/Vandiver - (CONFIDENTIAL) Working papers Vol 2 of 3 for FPL, for affiliate audit (Audit Control No. 08-221-4-1). [See DNs 11378-08 and 07247-11; DN 11414-08 returned to source]	✓	—
		11378-08	Lester Bennett	Staff recom fld; awaiting order	RCP/Vandiver - (CONFIDENTIAL) Working papers Vol 3 of 3 for FPL, for affiliate audit (Audit Control No. 08-221-4-1). [See DNs 11377-08 and 07247-11; DN 11414-08 returned to source]	✓	—
080002-EG	Energy conservation cost recovery clause.	06439-08	Lee Fleming	Staff recom fld; awaiting order	RCP/Vandiver - (CONFIDENTIAL) Working papers Vol 3 of 3 for FPL, for ECCR (Audit Control No. 08-037-4-2). [x-ref. DN	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
080007-EI	Environmental cost recovery clause.	06388-08	Wu Brown	Staff recom fld; awaiting order	00531-13; DNs 07155-08 and 08091-10 returned to source.] RCA (sic)/Vandiver - (CONFIDENTIAL) Working papers Vol 3 of 3 for FPL, for ECRC audit (Audit Control No. 08-029-4-1). [x-ref. DNs 06762-08 and 03349-11]	✓	—
		06762-08	Wu Brown	Staff recom fld; awaiting order	FPL (Butler) - (CONFIDENTIAL) Information provided to staff in connection with audit of FPL's environmental cost recovery clause (Audit Control No. 08-029-4-1). [x-ref. DNs 06388-08 and 03349-11]	✓	—
080009-EI	Nuclear cost recovery clause.	04495-08	Breman Bennett	Order issued	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 2 of 2 of audit report for FPL, for nuclear cost recovery uprate 2007 (Audit	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		04496-08	Breman Bennett	Order issued	Control No. 08-065-4-1). (x-ref. DN 05103-08) [CLK note: Page 43 - 1/2 returned to FPL per DNs 09468-10 and 09717-10.] RCA/Vandiver - (CONFIDENTIAL) Page 4 of audit report for FPL, for nuclear cost recovery uprate 2007 (Audit Control No. 08-065-4-1). (x-ref. DN 05103-08)	✓	—
		05103-08	Breman Bennett	Order issued	FPL (Butler) - (CONFIDENTIAL) Certain information provided in connection with 2007 Nuclear Cost Recovery results audit (Audit Control No. 08-065-4-1). (x-ref. DNs 04495-08 and 04496-08) [CLK note: Page 43 -	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		06453-08	Breman Bennett	Order issued	1/2 returned to FPL per DNs 09468-10 and 09717-10.] RCA/Vandiver - (CONFIDENTIAL) Pages 5-8 of audit report for Progress, for nuclear cost recovery clause Levy Units 1 and 2 - construction cost (Audit Control No. 08-087-2-1). [See DN 06955-08]	✓ —	—
		06454-08	Breman Bennett	Order issued	RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 2 of 2 of audit report for Progress, for nuclear cost recovery clause Levy Units 1 and 2 - construction cost (Audit Control No. 08-087-2-1). [See DN 06955-08]	✓ —	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
080009-EI	Nuclear cost recovery clause.	06679-08	Breman Bennett	Order issued	RCA/Vandiver - (CONFIDENTIAL) Audit work papers, Vol 3 of 4, for FPL, for nuclear cost recovery clause (Audit Control No. 08-087-4-1). [See DNs 06680-08, 06681-08, 06682-08, 07485-08 (returned to source), and 03169-10]	✓	—
		06680-08	Breman Bennett	Order issued	RCA/Vandiver - (CONFIDENTIAL) Audit work papers, Vol 4 of 4, for FPL, for nuclear cost recovery clause (Audit Control No. 08-087-4-1), includes DNs 06681-08 and 06682-08. [See DNs 06679-08, 06680-08, 06681-08, 06682-08, 07485-08 (returned to source), and 03169-10]	✓	—

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
080009-EI	Nuclear cost recovery clause.	06681-08	Breman Bennett	Order issued	RCA/Vandiver - (CONFIDENTIAL) Audit report page 4, for FPL, for nuclear cost recovery clause (Audit Control No. 08-087-4-1). [See DNs 06679-08, 06680-08, 06682-08, 07485-08 (returned to source), and 03169-10]	✓	—
		06682-08	Breman Bennett	Order issued	RCA/Vandiver - (CONFIDENTIAL) Audit report page 5, for FPL, for nuclear cost recovery clause (Audit Control No. 08-087-4-1). [See DNs 06679-08, 06680-08, 06681-08, 07485-08 (returned to source), and 03169-10]	✓	—



**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
080009-EI	Nuclear cost recovery clause.	06955-08	Breman Bennett	Order issued	Progress (Triplett) - (CONFIDENTIAL) Responses to staff's audit requests, portions of staff's audit workpapers, and portions of final audit report (Audit Control No. 08-087-2-1). [See DNs 06453-08 and 06454-08]	✓	—
080247-SU	Application for increase in wastewater rates in Lee County by Utilities, Inc. of Eagle Ridge.	11375-08	Crawford Young	Order issued	RCP/Vandiver - (CONFIDENTIAL) Working papers Vol 3 of 3 for Utilities, Inc., for allocations (Audit Control No. 08-231-4-1). [x-ref. DN 04404-09]	✓	—
		04404-09	Crawford Young	Order issued	Utilities, Inc. (Marcelli) - (CONFIDENTIAL) Request for confidential classification; certain work papers in connection with audit of headquarters	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
080677-EI	Petition for increase in rates by Florida Power & Light Company.	06926-09	Slemkewicz Bennett	Staff recom fld; awaiting order	accounts (Audit Control No. 08-261-4-1 [sic]). [x-ref. DN 11375-08] [CLK note: Correct Audit Control No. is 08-231-4-1.] RCP/Mailhot - (CONFIDENTIAL) Working papers, Binder 10 of 17 for FPL, for Rate Audit (Audit Control No. 09-110-4-1). (x-ref DNs 07819-10 and 11550-09)	✓	—
		06927-09	Slemkewicz Bennett	Staff recom fld; awaiting order	RCP/Mailhot - (CONFIDENTIAL) Working papers Binder 11 of 17 for FPL, for Rate Audit (Audit Control No. 09-110-4-1). (x-ref DNs 07819-10 and 11550-09)	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
080677-EI	Petition for increase in rates by Florida Power & Light Company.	06928-09	Slemkewicz Bennett	Staff recom fld; awaiting order	RCP/Mailhot - (CONFIDENTIAL) Working papers Binder 14 of 17 for FPL, for Rate Audit (Audit Control No. 09-110-4-1). (x-ref DNs 07819-10 and 11550-09)	✓	—
		06929-09	Slemkewicz Bennett	Staff recom fld; awaiting order	RCP/Mailhot - (CONFIDENTIAL) Working papers Binder 15 of 17 for FPL, for Rate Audit (Audit Control No. 09-110-4-1). (x-ref DNs 07819-10 and 11550-09)	✓	—
		06930-09	Slemkewicz Bennett	Staff recom fld; awaiting order	RCP/Mailhot - (CONFIDENTIAL) Working papers Binder 12 of 17 for FPL, for Rate Audit (Audit Control No. 09-110-4-1). (x-ref DNs 07819-10 and 11550-09)	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
080677-EI	Petition for increase in rates by Florida Power & Light Company.	06931-09	Slemkewicz Bennett	Staff recom fld; awaiting order	RCP/Mailhot - (CONFIDENTIAL) Working papers Binder 13 of 17 for FPL, for Rate Audit (Audit Control No. 09-110-4-1). (x-ref DNs 07819-10 and 11550-09)	✓	—
		06932-09	Slemkewicz Bennett	Staff recom fld; awaiting order	RCP/Mailhot - (CONFIDENTIAL) Working papers Binder 16 of 17 for FPL, for Rate Audit (Audit Control No. 09-110-4-1). (x-ref DNs 07819-10 and 11550-09)	✓	—
		06933-09	Slemkewicz Bennett	Staff recom fld; awaiting order	RCP/Mailhot - (CONFIDENTIAL) Working papers Binder 17 of 17 for FPL, for Rate Audit (Audit Control No. 09-110-4-1). (x-ref DNs 07819-10 and 11550-09)	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
080677-EI	Petition for increase in rates by Florida Power & Light Company.	07819-09	Slemkewicz Bennett	Staff recom fld; awaiting order	FPL (Kaufer) - (CONFIDENTIAL) Certain information in working papers provided in connection with Audit No. 09-110-4-1. [See DNs 06926-09, 06927-09, 06928-09, 06929-09, 06930-09, 06931-09, 06932-09, 06933-09, and 11550-09]	✓	—
		11550-09	Slemkewicz Bennett	Staff recom fld; awaiting order	FPL (Kaufer) - (CONFIDENTIAL) Revised pages of work papers for Audit Control No. 09-110-4-1. [See DNs 06926-09, 06927-09, 06928-09, 06929-09, 06930-09, 06931-09, 06932-09, 06933-09, and 07819-09] [CLK note: Revised pages are Exh A to replace similarly	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		06745-13	Slemkewicz Bennett	Staff recom fld; awaiting order	numbered pages in Exh A (DN 07819-09), filed 7/30/09 with request for confidential classification (DN 07818-09).] FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit No. 09-110-4-1. [x-ref. DNs 06926-09, 06927-09, 06928-09, 06929-09, 06930-09, 06931-09, 06932-09, 06933-09, 07819-09, and 11550-09] RCP/Mailhot - (CONFIDENTIAL) Working papers Vol 4 of 5 for FPL, for Fuel Cost Recovery Clause Audit (Audit Control No. 09-041-4-4). [x-ref. DNs	✓	—
090001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	04935-09	Lester Bennett	Staff recom fld; awaiting order		✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		04936-09	Lester Bennett	Staff recom fld; awaiting order	05485-09 and 05834-13 (returned to source); and 05503-15] RCP/Mailhot - (CONFIDENTIAL) Working papers Vol 5 of 5 for FPL, for Fuel Cost Recovery Clause Audit (Audit Control No. 09-041-4-4). [x-ref DNs	✓	—
		04938-09	Lester Bennett	Staff recom fld; awaiting order	05485-09 and 05834-13 (returned to source); and 05503-15] RCP/Mailhot - (CONFIDENTIAL) Working papers Vol 3 of 3 for FPL, for Capacity Cost Recovery Clause Audit (Audit Control No. 09-041-4-3). [x-ref. DNs 05482-09 (returned to source); 05643-13 (returned to source) and 04888-15]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
090001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	10203-09	Lester Bennett	Staff recom fld; awaiting order	RCP/Mailhot - (CONFIDENTIAL) Working papers Volume 2 of 3 for FPL, for hedging audit from August 2008-2009 (Audit Control No. 09-190-4-1). [x-ref DNs 10204-09 and 05469-15; and 10751-09 (returned to the source)]	✓	—
		10204-09	Lester Bennett	Staff recom fld; awaiting order	RCP/Mailhot - (CONFIDENTIAL) Working papers Volume 3 of 3 for FPL, for hedging audit from August 2008-2009 (Audit Control No. 09-190-4-1). [x-ref DNs 10203-09 and 05469-15; and 10751-09 (returned to the source)]	✓	—



**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
090002-EG	Energy conservation cost recovery clause.	07900-09	Higgins Fleming	Staff recom fld; awaiting order	RCP/Mailhot - (CONFIDENTIAL) Working papers Volume 3 of 3 for FPL, for A3b: Energy Conservation Cost Recovery Audit (Audit Control No. 09-028-4-1). [x-ref. DN 00516-13; DNs 08665-09 and 01281-10 returned to the source.]	✓	—
090007-EI	Environmental cost recovery clause.	05867-09	Wu Brown	Staff recom fld; awaiting order	RCP/Mailhot - (CONFIDENTIAL) Working papers Binder 3 of 3 for FPL, for 2008 environmental cost recovery audit (Audit Control No. 09-012-4-1). [See DN 06536-09]	✓	—
		06536-09	Wu Brown	Staff recom fld; awaiting order	FPL (Kaufer) - (CONFIDENTIAL) Certain information provided in connection with environmental cost recovery clause audit (Audit No. 09-012-4-1). [See	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		09908-09	Wu Brown	Staff recom fld; awaiting order	DN 05867-09] RCP/Mailhot - (CONFIDENTIAL) Working papers Vol 2 of 2 for FPL, for environmental cost recovery supplemental audit (Audit Control No. 09-173-4-1). [See DN 10557-09]	✓	—
		10557-09	Wu Brown	Staff recom fld; awaiting order	FPL (Kaufer) - (CONFIDENTIAL) Certain information provided to staff in connection with supplemental audit (Audit Control No. 09-173-4-1). [See DN 09908-09]	✓	—
090009-EI	Nuclear cost recovery clause.	04583-09	Breman Young	Order issued	RCP/Mailhot - (CONFIDENTIAL) Audit Report Pages 9 and 10 and Working papers Vol 2 of 3 for Progress, for Nuclear Uprate Costs for Crystal River Unit 3 Audit (Audit Control No. 08-248-2-3). [x-ref. DN	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		04693-09	Breman Young	Order issued	04693-09] Progress (Bernier) - (CONFIDENTIAL) Portions of documents and information provided to staff auditors in response to staff review, Audit Control No. 08-248-2-3. [x-ref. DN 04583-09]	✓	—
		05661-09	Breman Young	Order issued	RCP/Mailhot - (CONFIDENTIAL) Working papers Binder 2 of 3 for FPL for Turkey Point Nuclear Units 6 and 7 audit (Audit Control No. 08-248-4-1). (x-ref. DN 06128-09)	✓	—
		05662-09	Breman Young	Order issued	RCP/Mailhot - (CONFIDENTIAL) Working papers Binder 3 of 3 for FPL for Turkey Point Nuclear Units 6 and 7 audit (Audit Control No.	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		05934-09	Breman Young	Order issued	08-248-4-1). (x-ref. DN 06128-09) RCP/Mailhot - (CONFIDENTIAL) Working papers Binder 2 of 2 for Progress for NCRC Levy Units 1 and 2 audit (Audit Control No. 08-248-2-1).	✓	—
		06128-09	Breman Young	Order issued	(x-ref DN 06699-09) FPL (Cano) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit No. 08-248-4-1.	✓	—
		06180-09	Breman Young	Order issued	(x-ref. DN 05661-09 and 05662-09) RCP/Mailhot - (CONFIDENTIAL) Working papers Binder 2 of 3 for FPL for nuclear cost recovery update 2008 audit (Audit Control No. 08-248-4-2). [x-ref. DN 06859-09; see DN 03696-11]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
090009-EI	Nuclear cost recovery clause.	06181-09	Breman Young	Order issued	RCP/Mailhot - (CONFIDENTIAL) Working papers Binder 3 of 3 for FPL for nuclear cost recovery update 2008 audit (Audit Control No. 08-248-4-2). [x-ref. DN 06859-09; see DN 03696-11]	✓	—
		06699-09	Breman Young	Order issued	Progress (Bernier) - (CONFIDENTIAL) Portions of response to staff's 2nd set of interrogatories (No. 28) and documents produced in response to Audit Control No. 08-248-2-1 [the "NuStart Memo"]. [See DN 06611-09 for x-ref of portions of response to staff's 2nd set of interrogatories (No. 28) and DN 05934-09 for x-ref of portions of the audit working papers.] [CLK	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		06859-09	Breman Young	Order issued	note: The portions of response to staff's 2nd set of interrogatories (No. 28) have been returned to the source.] FPL (Cano) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit Control No. 08-248-4-2. [x-ref. DNs 06180-09, 06181-09; see DN 03696-11]	✓	—
		07225-09	Breman Young	Order issued	FPL (Cano) - (CONFIDENTIAL) Certain materials provided to staff pursuant to project management audit. [See DNs 09109-09 and 07618-09 destroyed]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
090009-EI	Nuclear cost recovery clause.	07728-09	Breman Young	Order issued	Progress (Bernier) - (CONFIDENTIAL) Portions of documents produced to Commission's auditor for use in preparing review of project management internal controls for nuclear plant uprate and construction projects draft report, dated 7/09. [CLK note: Document provided in electronic format only.]	✓	—
		08646-09	Breman Young	Order issued	FPL (Cano) - (CONFIDENTIAL) Revised material provided to staff pursuant to Audit No. 08-248-4-1. [See Confidential DNs 06128-09, 05661-09, and 05662-09]	✓	—

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
090009-EI	Nuclear cost recovery clause.	09109-09	Breman Young	Order issued	FPL (Cano) - (CONFIDENTIAL) Certain material provided to staff pursuant to audit titled project management internal controls for nuclear plant uprate and construction projects; includes CD. [See DN 07225-09 and DN 07618-09 destroyed] [CLK note: CD appears to be damaged; RCP/Rich notified on 09/02/09.]	✓	—
					<i>sounds familiar</i>		
090130-EI	2009 depreciation and dismantlement study by Florida Power & Light Company.	07819-09	Lee Bennett	Staff recom fld; awaiting order	FPL (Kaufer) - (CONFIDENTIAL) Certain information in working papers provided in connection with Audit No. 09-110-4-1. [See DNs 06926-09, 06927-09, 06928-09, 06929-09, 06930-09, 06931-09, 06932-09,	✓	—



**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF/ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
090381-SU	Application for increase in wastewater rates in Seminole County by Utilities Inc. of Longwood.	12029-09	Fletcher Young	Order issued	06933-09, and 11550-09] APA/Mailhot - (CONFIDENTIAL) Working papers Vol 5 of 5 for Utilities, Inc. for rate case audit (Audit Control No. 09-280-4-1). (x-ref. DN 00900-11)	✓	—
		00900-11	Fletcher Young	Order issued	Utilities, Inc. (Marcelli) - (CONFIDENTIAL) Responses to staff's audit requests in connection with Audit Control No. 09-280-4-1. (x-ref. DN 12029-09)	✓	—
090392-WS	Application for increase in water and wastewater rates in Lake County by Utilities Inc. of Pennbrooke.	12031-09	Deason Young	Order issued	APA/Mailhot - (CONFIDENTIAL) Working papers Vol 3 of 3 for Utilities, Inc. for rate case audit (Audit Control No. 09-281-4-2). (x-ref. DN 00633-11)	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
090392-WS	Application for increase in water and wastewater rates in Lake County by Utilities Inc. of Pennbrooke.	00633-11	Deason Young	Order issued	Utilities, Inc. (Marcelli) - (CONFIDENTIAL) Certain information provided to staff auditors in connection with Audit Control No. 09-281-4-2. (x-ref. DN 12031-09)	✓ —	—
090402-WS	Application for increase in water and wastewater rates in Seminole County by Sanlando Utilities Corporation.	12033-09	Fletcher Young	Order issued	APA/Mailhot - (CONFIDENTIAL) Working papers Vol 4 of 4 for Sanlando for rate case audit (Audit Control No. 09-281-4-1). (x-ref. DN 00635-11)	✓ —	—
		00635-11	Fletcher Young	Order issued	Sanlando (Marcelli) - (CONFIDENTIAL) Certain information provided to staff auditors in connection with Audit Control No. 09-0281-4-1. (x-ref. DN 12033-09)	✓ —	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
090462-WS	Application for increase in water and wastewater rates in Marion, Orange, Pasco, Pinellas and Seminole Counties by Utilities, Inc. of Florida.	04258-10	Fletcher Young	Order issued	APA/Mailhot - (CONFIDENTIAL) Working papers Vol 5 of 5 for Utilities, Inc. for WAW rate case audit (Audit Control No. 10-040-1-1). (x-ref DN 04717-10)	✓ —	—
		04717-10	Fletcher Young	Order issued	Utilities, Inc. (Friedman) - (CONFIDENTIAL) Documents provided to staff in connection with Audit Control No. 10-040-1-1; includes CDs. (x-ref DN 04258-10) [CLK note: 2 CDs provided contain confidential and redacted versions of documents; CDs are being retained in confidential lock-up.]	✓ —	—

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
090484-EI	Gulf Power Company's request for confidential treatment of certain information contained in report entitled Review of Gulf Power Company's Quality Assurance Process for Distribution Construction.	11174-09	Cowdery Cowdery	Order issued	GPC (Griffin) - (CONFIDENTIAL) Certain information in staff's report concerning review of GPC's quality assurance process for distribution construction (PA-09-02-006). [CLK note: Related DN 09564-09 returned to source]	✓	—
100000-OT	Undocketed filings for 2010.	08824-10	No lead stf. No lead att.	Under 5-year retention	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 for FiberNet, verifying 2009 revenues and RAFs reported to the Commission (Audit Control No. 10-179-4-4). (x-ref DN 09272-10)	✓	—
		08826-10	No lead stf. No lead att.	Under 5-year retention	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 for FiberNet, verifying 2009 revenues and RAFs reported to the Commission (Audit	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u><b>DOCKET</b></u>	<u><b>DOCKET TITLE</b></u>	<u><b>DOCUMENT</b></u>	<u><b>LEAD STF./ATT.</b></u>	<u><b>STATUS</b></u>	<u><b>DESCRIPTION</b></u>	<u><b>RETAIN</b></u>	<u><b>RETURN</b></u>
		09272-10	No lead stf. No lead att.	Under 5-year retention	Control No. 10-179-4-1). (x-ref DN 09277-10) FPL Fibernet, LLC (Kaufer) - (CONFIDENTIAL) Certain audit work papers provided to staff pursuant to Audit No. 10-179-4-4, Exh A [to request for confidential classification (DN 09271-10)]. (x-ref DN 08824-10)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		09277-10	No lead stf. No lead att.	Under 5-year retention	FPL Fibernet, LLC (Kaufer) - (CONFIDENTIAL) Certain audit work papers provided to staff pursuant to Audit No. 10-179-4-1, Exh A [to request for confidential classification (DN 09276-10)]. (x-ref DN 08826-10)	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
100001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	05711-10	Barrett Sayler	Req for ext of classification fld	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 3 of 3 for FPL for capacity cost recovery clause audit (Audit Control No. 10-004-4-3). [x-ref. DNs 05725-13 and 06046-15; and 06189-10 (returned to source)]	✓	—
		05823-10	Barrett Sayler	Staff recom fld; awaiting order	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 3 of 5 for FPL for fuel cost recovery clause audit (Audit Control No. 10-004-4-1). (x-ref. DNs 06422-10 (returned to source), 05677-13 (returned to source) and 04881-15)	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
100001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	05824-10	Barrett Sayler	Staff recom fld; awaiting order	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 4 of 5 for FPL for fuel cost recovery clause audit (Audit Control No. 10-004-4-1). (x-ref. DNs 06422-10 (returned to source), 05677-13 (returned to source) and 04881-15)	✓	—
		05825-10	Barrett Sayler	Staff recom fld; awaiting order	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 5 of 5 for FPL for fuel cost recovery clause audit (Audit Control No. 10-004-4-1). (x-ref. DNs 06422-10 (returned to source), 05677-13 (returned to source) and 04881-15)	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
100001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	07986-10	Barrett Saylor	Staff recom fld; awaiting order	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 of 4 for FPL for 8/09 - 7/10 hedging audit (Audit Control No. 10-130-4-1). [x-ref DNs 07987-10, 07988-10, 08555-10 (returned to the source) and 04953-15]	✓	—
		07987-10	Barrett Saylor	Staff recom fld; awaiting order	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 3 of 4 for FPL for 8/09 - 7/10 hedging audit (Audit Control No. 10-130-4-1). [x-ref DNs 07986-10, 07988-10, 08555-10 (returned to the source) and 04953-15]	✓	—



**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
100001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	07988-10	Barrett Saylor	Staff recom fld; awaiting order	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 4 of 4 for FPL for 8/09 - 7/10 hedging audit (Audit Control No. 10-130-4-1). [x-ref DNs 07986-10, 07987-10, 08555-10 (returned to the source) and 04953-15]	✓ —	—
100002-EG	Energy conservation cost recovery clause.	04844-10	Higgins Fleming	Order issued	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 3 of 3 for FPL for energy conservation cost recovery audit (Audit Control No. 09-350-4-1). [x-ref. DN 02085-14; x-ref. DNs 05373-10 and 06537-12 returned to the source]	✓ —	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
100003-GU	Purchased gas adjustment (PGA) true-up.	06132-10	Lester Fleming	Order issued	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 of 2 for FCG for purchased gas cost recovery clause audit (Audit Control No. 10-007-4-1). (x-ref DN 06867-10)	✓	—
		06867-10	Lester Fleming	Order issued	FCG (Keating) - (CONFIDENTIAL) Certain material in staff's audit workpapers, Audit Control No. 10-007-4-1. (x-ref DN 06132-10)	✓	—
100007-EI	Environmental cost recovery clause.	05266-10	Wu Brown	Staff recom fld; awaiting order	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 4 of 5 for FPL for environmental cost recovery clause audit (Audit Control No. 09-363-4-1). (x-ref DNs 05786-10 and 09124-10)	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
100007-EI	Environmental cost recovery clause.	05269-10	Wu Brown	Staff recom fld; awaiting order	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 5 of 5 for FPL for environmental cost recovery clause audit (Audit Control No. 09-363-4-1). (x-ref DNs 05786-10 and 09124-10)	✓ —	—
		05786-10	Wu Brown	Staff recom fld; awaiting order	FPL (Kaufer) - (CONFIDENTIAL) Certain audit work papers provided to staff pursuant to Audit No. 09-363-4-1. [See DNs 05266-10, 05269-10, and 09124-10.]	✓ —	—
		09124-10	Wu Brown	Staff recom fld; awaiting order	FPL (Kaufer) - (CONFIDENTIAL) Certain audit work papers provided to staff pursuant to Audit No. 09-363-4-1. [See DNs 05266-10, 05269-10, and 05786-10]	✓ —	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
100009-EI	Nuclear cost recovery clause.	03169-10	Breman Young	Order issued	FPL (Cano) - (CONFIDENTIAL) Certain information provided to staff in connection with staff's Audit Control No. 08-087-4-1. [See DNs 06679-08, 06680-08, 06681-08, and 06682-08; DN 07485-08 (returned to source)]	✓	—
		03936-10	Breman Young	Order issued	APA/Mailhot - (CONFIDENTIAL) Working papers Vol 2 of 2 for Progress for Levy Units 1 and 2 nuclear cost recovery clause audit (Audit Control No. 10-006-2-1). (x-ref DN 04148-10)	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
100009-EI	Nuclear cost recovery clause.	04028-10	Breman Young	Order issued	APA/Mailhot - (CONFIDENTIAL) Working papers Vol 3 of 6 for FPL for extended power uprate nuclear cost recovery clause audit (Audit Control No. 10-006-4-1). (x-ref DN 04564-10)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		04029-10	Breman Young	Order issued	APA/Mailhot - (CONFIDENTIAL) Working papers Vol 4 of 6 for FPL for extended power uprate nuclear cost recovery clause audit (Audit Control No. 10-006-4-1). (x-ref DN 04564-10)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		04030-10	Breman Young	Order issued	APA/Mailhot - (CONFIDENTIAL) Working papers Vol 5 of 6 for FPL for extended power uprate nuclear cost recovery clause audit (Audit Control No. 10-006-4-1). (x-ref DN	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		04031-10	Breman Young	Order issued	04564-10) APA/Mailhot - (CONFIDENTIAL) Working papers Vol 6 of 6 for FPL for extended power uprate nuclear cost recovery clause audit (Audit Control No. 10-006-4-1). (x-ref DN	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		04033-10	Breman Young	Order issued	04564-10) APA/Mailhot - (CONFIDENTIAL) Working papers Vol 3 of 5 for FPL for Turkey Point Units 6 and 7 nuclear cost recovery clause audit (Audit Control No. 10-006-4-2). (x-ref DN	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		04034-10	Breman Young	Order issued	04561-10) APA/Mailhot - (CONFIDENTIAL) Working papers Vol 4 of 5 for FPL for Turkey Point Units 6 and 7 nuclear cost recovery clause audit (Audit	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		04035-10	Breman Young	Order issued	Control No. 10-006-4-2). (x-ref DN 04561-10) APA/Mailhot - (CONFIDENTIAL) Working papers Vol 5 of 5 for FPL for Turkey Point Units 6 and 7 nuclear cost recovery clause audit (Audit Control No. 10-006-4-2). (x-ref DN 04561-10)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		04148-10	Breman Young	Order issued	Progress (Huhta) - (CONFIDENTIAL) Portions of documents and information provided in response to staff's audit review (Audit Control No. 10-006-2-1). (x-ref DN 03936-10)	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
100009-EI	Nuclear cost recovery clause.	04557-10	Breman Young	Order issued	APA/Mailhot - (CONFIDENTIAL) Working papers Vol 2 of 3 for Progress for CR3 uprate nuclear cost recovery clause audit (Audit Control No. 10-006-2-2). (x-ref DN 04930-10)	✓	—
		04561-10	Breman Young	Order issued	FPL (Cano) - (CONFIDENTIAL) Certain information provided to staff in connection with staff's Audit Control No. 10-006-4-2. (x-ref DNs 04033-10, 04034-10, and 04035-10)	✓	—
		04564-10	Breman Young	Order issued	FPL (Cano) - (CONFIDENTIAL) Certain information provided to staff in connection with staff's Audit Control No. 10-006-4-1. (x-ref DNs 04028-10, 04029-10,	✓	—



## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		04930-10	Breman Young	Order issued	04030-10, and 04031-10) Progress (Huhta) - (CONFIDENTIAL) Audit Control No. 10-006-2-2 workpapers. (x-ref DN 04557-10) [CLK note: Document provided on CD only.]	✓	—
		05702-10	Breman Young	Order issued	Progress (Huhta) - (CONFIDENTIAL) Portions of the final audit report provided of staff auditors during Audit No. PA 10-01-001. [CLK note: Document provided in electronic format only.] [x-ref. DN 06797-10]	✓	—
		06023-10	Breman Young	Order issued	Progress (Huhta) - (CONFIDENTIAL) Portions of staff auditors' workpapers for Audit Control No. 10-01-001. [CLK note: Document provided in electronic format only.]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
100009-EI	Nuclear cost recovery clause.	06642-10	Breman Young	Filed by staff	APA/Fisher - (CONFIDENTIAL) Report from	✓	—
		06797-10	Breman Young	Order issued	Florida Power & Light Company. Progress (Huhta) - (CONFIDENTIAL) Portions of the final audit report of staff auditors (Audit Report No. PA 10-01-001). [CLK note: Document provided in electronic format only.] [x-ref. DN 05702-10]	✓	—
		06931-10	Breman Young	Order issued	FPL (CONFIDENTIAL) Hearing Exhibit No. 2 from the confidentiality evidentiary hearing held 8/20/10 in Tallahassee. (x-ref DN 06974-10 returned to source 9/20/11)	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
100009-EI	Nuclear cost recovery clause.	06999-10	Breman Young	Filed by staff	GCL/Bennett - (CONFIDENTIAL) Revised Exh CC-1. [See DN 05927-10]	✓	—
		07001-10	Breman Young	Filed by staff	GCL/Bennett - (CONFIDENTIAL) Revised Exh FR-1. [See DN 05924-10]	✓	—
100077-EI	Investigation of the appropriateness of the affiliate product offerings to Florida Power & Light customers.	08454-10	Slemkewicz Bennett	Staff recom fld; awaiting order	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 5 of 7 for affiliated transactions audit for FPL (Audit Control No. 10-207-4-1). (x-ref DN 08987-10)	✓	—
		08455-10	Slemkewicz Bennett	Staff recom fld; awaiting order	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 6 of 7 for affiliated transactions audit for FPL (Audit Control No. 10-207-4-1). (x-ref DN 08987-10)	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
100077-EI	Investigation of the appropriateness of the affiliate product offerings to Florida Power & Light customers.	08456-10	Slemkewicz Bennett	Staff recom fld; awaiting order	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 7 of 7 for affiliated transactions audit for FPL (Audit Control No. 10-207-4-1). (x-ref DN 08987-10)	✓	—
		08987-10	Slemkewicz Bennett	Staff recom fld; awaiting order	FPL (Kaufer) - (CONFIDENTIAL) Certain audit work papers provided to staff pursuant to Audit No. 10-207-4-1. (x-ref DNs 08454-10, 08455-10, and 08456-10)	✓	—
100426-WS	Application for increase in water and wastewater rates in Lake County by Lake Utility Services, Inc.	01907-11	Buys Young	Order issued	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 3 of 3 for LUSI for headquarters audit of affiliated transactions. (Audit Control No. 11-004-4-2) [x-ref. DN 02393-11]	✓	—

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
100426-WS	Application for increase in water and wastewater rates in Lake County by Lake Utility Services, Inc.	02393-11	Buys Young	Order issued	LUSI (Marcelli) - (CONFIDENTIAL) Documents submitted in connection with responses to staff's audit requests. (Audit Control No. 11-004-4-2) [x-ref. DN 01907-11]	✓	—
110000-OT	Undocketed filings for 2011.	00872-11	No lead stf. No lead att.	Under 5-year retention	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 of 2 for PaeTec Communications, Inc. for RAF review (TX234). (Audit Control No. 10-179-4-3).	✓	—
		00873-11	No lead stf. No lead att.	Under 5-year retention	APA/Prestwood - (CONFIDENTIAL) Audit Report for PaeTec Communications, Inc. for RAF review (TX234). (Audit Control No. 10-179-4-3).	✓	—

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
110000-OT	Undocketed filings for 2011.	02082-11	No lead stf. No lead att.	Under 5-year retention	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 of 2 for US LEC of Florida d/b/a PAETEC for RAF review (TJ057). (Audit Control No. 10-179-4-7).	✓	—
		02084-11	No lead stf. No lead att.	Under 5-year retention	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 of 2 for US LEC of Florida d/b/a PAETEC for RAF review (TX165). (Audit Control No. 10-179-4-2).	✓	—
		06551-11	No lead stf. No lead att.	Under 5-year retention	Cox (Keating) - (CONFIDENTIAL) Information produced to staff in conjunction with staff audits (Audit Control No. 11-160-4-1) of RAF forms, pursuant to Section 364.183, FS and Rule 25-22.006, FAC. [x-ref. DN 06665-11]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
110000-OT	Undocketed filings for 2011.	06665-11	No lead stf. No lead att.	Under 5-year retention	APA/Prestwood - (CONFIDENTIAL) Audit working papers Vol 1 of 1 for Cox Florida Telecom, LP d/b/a Cox Communications (TA027) of audit for verification of 2010 revenues and RAFs reported to the Commission. (Audit Control No. 11-160-4-1). [x-ref. DN 06551-11]	✓ —	—
		06667-11	No lead stf. No lead att.	Under 5-year retention	APA/Prestwood - (CONFIDENTIAL) Audit working papers Vol 1 of 1 for Cox Florida Telecom, LP d/b/a Cox Communications (TK015) of audit to verify 2010 revenues and RAFs reported to the Commission. (Audit Control No. 11-160-4-2).	✓ —	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
110000-OT	Undocketed filings for 2011.	08370-11	No lead stf. No lead att.	Under 5-year retention	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Birch for RAF audit (Audit Control No. 11-160-4-4). [x-ref. DN 08727-11]	✓	—
		08372-11	No lead stf. No lead att.	Under 5-year retention	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Birch for RAF audit (Audit Control No. 11-160-4-3). [x-ref. DN 08727-11]	✓	—
		08476-11	No lead stf. No lead att.	Under 5-year retention	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 of 2 for TW Telecom, for RAF audit (TA013; Audit Control No. 11-160-4-7). [x-ref. DN 08863-11]	✓	—



## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
110000-OT	Undocketed filings for 2011.	08478-11	No lead stf. No lead att.	Under 5-year retention	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 [of 2] for TW Telecom, for RAF return audit (TJ051; Audit Control No. 11-160-4-8). [x-ref. DN 08866-11]	✓	—
		08727-11	No lead stf. No lead att.	Under 5-year retention	Birch Communications, Inc. (Feil) - (CONFIDENTIAL) Information contained in staff audit workpapers (Audit Control Nos. 11-160-4-4 and 11-160-4-3). [x-ref. DNs 08370-11 and 08372-11]	✓	—
		08863-11	No lead stf. No lead att.	Under 5-year retention	tw telecom (Forster) - (CONFIDENTIAL) Audit work documents regarding 2010 revenues and RAFs (TA013; Control No. 11-160-4-7). [x-ref. DN 08476-11]	✓	—

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
110000-OT	Undocketed filings for 2011.	08866-11	No lead stf. No lead att.	Under 5-year retention	tw telecom (Forster) - (CONFIDENTIAL) Audit work documents regarding 2010 revenues and RAFs (TJ051; Audit Control No. 11-160-4-8). [x-ref. DN 08478-11]	✓	—
110001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	03689-11	Barrett Bennett	Order issued	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 3 of 3 for FPL for fuel cost recovery clause audit (Audit Control No. 11-006-4-2). [See DNs 04444-13 (returned to source) and 01959-15]	✓	—
		03957-11	Barrett Bennett	Staff recom fld; awaiting order	APA/Prestwood - (CONFIDENTIAL) Volume 2 of audit workpapers for GPC. (Audit Control No. 11-006-1-1) [See DN 04475-11]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
110001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	03959-11	Barrett Bennett	Staff recom fld; awaiting order	APA/Prestwood - (CONFIDENTIAL) Volume 3 of audit workpapers for GPC. (Audit Control No. 11-006-1-2) [See DN 04475-11]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		04369-11	Barrett Bennett	Staff recom fld; awaiting order	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 3 of 4 for FPL, for capacity cost recovery clause (Audit Control No. 11-006-4-1). [See DNs 04370-11, 04780-11, and 02073-15]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		04370-11	Barrett Bennett	Staff recom fld; awaiting order	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 4 of 4 for FPL, for capacity cost recovery clause (Audit Control No. 11-006-4-1). [See DNs 04369-11, 04780-11, and 02073-15]	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
110001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	04475-11	Barrett Bennett	Staff recom fld; awaiting order	GPC (Griffin) - (CONFIDENTIAL) Certain documents produced in connection with review of 2010 fuel and capacity expenditures (Audit Control Nos. 11-006-1-2 and 11-006-2-2 [sic]). [CLK note: Correct Audit Control Nos. are 11-006-1-2 and 11-006-1-1; see DNs 03957-11 and 03959-11.]	✓	—
		06727-11	Barrett Bennett	Staff recom fld; awaiting order	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 of 4 for FPL, for hedging activities, 8/10 to 7/11 (Audit Control No. 11-129-4-2). [See DNs 06728-11, 06729-11, 07322-11, and 01965-15]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
110001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	06728-11	Barrett Bennett	Staff recom fld; awaiting order	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 3 of 4 for FPL, for hedging activities, 8/10 to 7/11 (Audit Control No. 11-129-4-2). [See DNs 06727-11, 06729-11, 07322-11, and 01965-15]	✓ —	—
		06729-11	Barrett Bennett	Staff recom fld; awaiting order	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 4 of 4 for FPL, for hedging activities, 8/10 to 7/11 (Audit Control No. 11-129-4-2). [See DNs 06727-11, 06728-11, 07322-11, and 01965-15]	✓ —	—
		07247-11	Barrett Bennett	Staff recom fld; awaiting order	FPL (Moncada) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit No. 08-221-4-1. [See DNs 11377-08 and 11378-08; DN 11414-08 returned	✓ —	—

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
110002-EG	Energy conservation cost recovery clause.	04114-11	Higgins Tan	Staff recom fld; awaiting order	to source] APA/Prestwood - (CONFIDENTIAL) Working papers Vol 3 [of 3] for FPL (Audit Control No. 11-005-4-4). [x-ref. DN 02568-13; x-ref. DN 04575-11 returned to source].	✓	—
110007-EI	Environmental cost recovery clause.	02010-11	Wu Brown	Order issued	FPL (Kaufer) - (CONFIDENTIAL) Certain material provided pursuant to Audit Control No. 05-033-4-1. [x-ref. DNs 07166-05 and 07567-05]	✓	—
		02779-11	Wu Brown	Staff recom fld; awaiting order	FPL (Goorland) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 07-071-4-1. [x-ref. DNs 06311-07 and 06642-07]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
110007-EI	Environmental cost recovery clause.	03349-11	Wu Brown	Staff recom fld; awaiting order	FPL (Goorland) - (CONFIDENTIAL) Certain material provided in connection with Audit No. 08-029-4-1. [x-ref. DNs 06388-08 and 06762-08]	✓	—
		03514-11	Wu Brown	Staff recom fld; awaiting order	FPL (Butler) - (CONFIDENTIAL) Certain material provided in connection with Audit No. 06-044-4-1. [x-ref. DNs 05836-06 and 02078-07]	✓	—
		03548-11	Wu Brown	Staff recom fld; awaiting order	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 5 of 6 for FPL for environmental cost recovery audit (Audit Control No. 11-005-4-3). [See DN 03910-11]	✓	—

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
110007-EI	Environmental cost recovery clause.	03549-11	Wu Brown	Staff recom fld; awaiting order	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 6 of 6 for FPL for environmental cost recovery audit (Audit Control No. 11-005-4-3). [See DN 03910-11]	✓	—
		03567-11	Wu Brown	Staff recom fld; awaiting order	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 of 2 for GPC for environmental cost recovery audit. (Audit Control No. 11-005-1-2) [See DN 04035-11]	✓	—
		03910-11	Wu Brown	Staff recom fld; awaiting order	FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 11-005-4-3. [See DNs 03548-11 and 03549-11; and DN 07512-11 for replacement pages]	✓	—



**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
110007-EI	Environmental cost recovery clause.	04035-11	Wu Brown	Staff recom fld; awaiting order	GPC (Griffin) - (CONFIDENTIAL) Documents produced by GPC in connection with a review of GPC's 2010 expenditures (Audit Control No. 11-005-1-2). [x-ref DN 03567-11]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		07512-11	Wu Brown	Staff recom fld; awaiting order	FPL (Moncada) - (CONFIDENTIAL) Modified highlighted specific working papers which contain information requiring confidential treatment was inadvertently unmarked when submitted; pages to replace corresponding numbered working papers [in DN 03910-11]. (Audit Control No. 11-005-4-3)	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
110009-EI	Nuclear cost recovery clause.	02811-11	Cibula Cibula	Order issued	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 of 2 for Progress for nuclear cost recovery clause audit, Levy County Units 1 & 2 (Audit Control No. 11-024-2-2). [See DN 03196-11]	✓	—
		03196-11	Cibula Cibula	Order issued	Progress (Huhta) - (CONFIDENTIAL) Portions of staff auditors' workpapers for Audit Control No. 11-024-2-2. [CLK note: Document provided on electronic format only; see DN 02811-11]	✓	—
		03662-11	Cibula Cibula	Order issued	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 4 of 6 for FPL for nuclear cost recovery clause audit, Turkey Point Units 6 & 7 (Audit Control No. 11-024-4-1). [See DN 04051-11]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
110009-EI	Nuclear cost recovery clause.	03663-11	Cibula Cibula	Order issued	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 5 of 6 for FPL for nuclear cost recovery clause audit, Turkey Point Units 6 & 7 (Audit Control No. 11-024-4-1). [See DN 04051-11]	✓	—
		03664-11	Cibula Cibula	Order issued	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 6 of 6 for FPL for nuclear cost recovery clause audit, Turkey Point Units 6 & 7 (Audit Control No. 11-024-4-1). [See DN 04051-11]	✓	—
		03696-11	Cibula Cibula	Order issued	FPL (Cano) - (CONFIDENTIAL) Portion of work paper 43-3/2 from Commission staff (Audit No. 08-248-4-2). (See DNs 06180-09, 06181-09, and 06859-09)	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
110009-EI	Nuclear cost recovery clause.	03801-11	Cibula Cibula	Order issued	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 4 of 5 for FPL for nuclear cost recovery clause audit, extended power update (Audit Control No. 11-024-4-2). [See DN 04307-11]	✓ —	—
		03802-11	Cibula Cibula	Order issued	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 5 of 5 for FPL for nuclear cost recovery clause audit, extended power update (Audit Control No. 11-024-4-2). [See DN 04307-11]	✓ —	—
		04051-11	Cibula Cibula	Order issued	FPL (Cano) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit Control No. 11-024-4-1. [See DNs 03662-11, 03663-11, and 03664-11]	✓ —	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
110009-EI	Nuclear cost recovery clause.	04307-11	Cibula Cibula	Order issued	FPL (Cano) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit No. 11-024-4-2. [See DNs 03801-11 and 03802-11]	✓ —	—
		04398-11	Cibula Cibula	Order issued	FPL (Cano) - (CONFIDENTIAL) Internal controls audit report, Exh A [to request for confidential classification (DN 04397-11) of internal controls audit report].	✓ —	—
		04536-11	Cibula Cibula	Order issued	Progress (Huhta) - (CONFIDENTIAL) Portions of staff's audit report, the review of Progress' project management internal controls for nuclear plant uprate and construction project Audit Report No. PA-11-01-001; document provided on CD only.	✓ —	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
110009-EI	Nuclear cost recovery clause.	04540-11	Cibula Cibula	Order issued	Progress (Huhta) - (CONFIDENTIAL) Portions of the <del>review</del> of project management internal controls for nuclear plant uprate and construction projects audit work papers; document provided on CD only.	✓	—
		04567-11	Cibula Cibula	Order issued	FPL (Cano) - (CONFIDENTIAL) Internal controls audit workpapers.	✓	—
		04761-11	Cibula Cibula	Filed by staff	GCL/Norris - (CONFIDENTIAL) Exh CC-1 [to direct joint testimony of William Coston and Kevin Carpenter].	✓	—
		04763-11	Cibula Cibula	Under Protective Order	GCL/Norris - (CONFIDENTIAL) Exhs FR-1 and FR-2 [to direct joint testimony of Lynn Fisher and David Rich].	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
110133-GU	Petition for approval of acquisition adjustment and recovery of regulatory assets, and request for consolidation of regulatory filings and records of Florida Public Utilities Company and Florida Division of Chesapeake Utilities Corporation.	05685-11	No lead stf. Young	Order issued	APA/Prestwood - (CONFIDENTIAL) Audit working papers of FPUC earning review audit, Vol 3 of 3 (Audit Control No. 11-129-4-1). [x-ref. DN 06226-11]	✓	—
		06226-11	No lead stf. Young	Order issued	FPUC (Keating) - (CONFIDENTIAL) Information contained in the staff audit workpapers (Audit Control No. 11-129-4-1). [x-ref DN 05685-11]	✓	—

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
110138-EI	Petition for increase in rates by Gulf Power Company.	07210-11	No lead stf. Klancke	Order issued	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 4 of 4 for GPC, for rate case (Audit Control No. 11-200-1-1). [See DN 07856-11] GPC (Griffin) - (CONFIDENTIAL) Certain information produced in connection with staff's audit of company's request for an increase in rates (Audit Control No. 11-200-1-1). [See DN 07210-11]	✓	—
		07856-11	No lead stf. Klancke	Order issued		✓	—
110153-SU	Application for increase in wastewater rates in Lee County by Utilities, Inc. of Eagle Ridge.	06405-11	Brown Barrera	Staff recom fld; awaiting order	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 3 of 3 for Utilities, Inc., for affiliated transactions audit (Audit Control No. 11-192-4-2). [x-ref. DN 09029-11]	✓	—



**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
110153-SU	Application for increase in wastewater rates in Lee County by Utilities, Inc. of Eagle Ridge.	09029-11	Brown Barrera	Staff recom fld; awaiting order	Utilities, Inc. (Friedman) - (CONFIDENTIAL) Documents submitted in connection with responses to staff's audit (Audit Control No. 11-192-4-2). [CLK note: Part 2 of 2 of document returned to source, per DN 00501-12; x-ref. DN 06405-11]	✓	—
110257-WS	Application for increase in water and wastewater rates in Seminole County by Sanlando Utilities Corporation.	00680-12	Cicchetti Klancke	Staff recom fld; awaiting order	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 5 [of 5] for Sanlando, for rate case audit (Audit Control No. 11-315-4-1). [x-ref. DN 01106-12]	✓	—
		01106-12	Cicchetti Klancke	Staff recom fld; awaiting order	Sanlando (Friedman) - (CONFIDENTIAL) Documents submitted in connection with responses to staff's Audit Control No.	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
110260-WS	Application for staff-assisted rate case in Lee County by Useppa Island Utilities Co., Inc.	08838-11	Springer Murphy	Staff recom fld; awaiting order	11-315-4-1. [x-ref. DN 00680-12] APA/Prestwood - (CONFIDENTIAL) Working papers Vol 5 [of 5] for Useppa Island, for SARC audit (Audit Control No. 11-266-4-1).	✓	—
		00410-12	Springer Murphy	Staff recom fld; awaiting order	[x-ref. DN 00410-12] Useppa (West) - (CONFIDENTIAL) Documents submitted in connection with responses to staff Audit Control No. 11-266-4-1.	✓	—
110264-WS	Application for increase in water and wastewater rates in Pasco County by Labrador Utilities, Inc.	08721-11	Springer Brown	Staff recom fld; awaiting order	[x-ref. DN 08838-11] APA/Prestwood - (CONFIDENTIAL) Working papers Vol 4 of 4 for Labrador for rate case audit (Audit Control No. 11-273-4-1). [x-ref. DN 09061-11]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
110264-WS	Application for increase in water and wastewater rates in Pasco County by Labrador Utilities, Inc.	09061-11	Springer Brown	Staff recom fld; awaiting order	Labrador (Friedman) - (CONFIDENTIAL) Responses to staff's audit (Audit Control No. 11-273-4-1). [x-ref. DN 08721-11]	✓	—
110321-EI	Gulf Power Company's request for confidential classification of document request responses and portions of audit staff's draft report entitled Coal Combustion Residual Storage and Disposal Processes of the Florida Electric Industry.	08598-11	Gervasi Gervasi	Order issued	GPC (Griffin) - (CONFIDENTIAL) Information produced by staff and GPC in connection with the Commission's review of coal combustion residual storage and disposal processes of the Florida electric industry (PA-10-10-004). [CLK note: Document transferred from 110000-OT to 110321-EI per DN 00048-12; see confidential DNs 00049-12, 04808-13, 06025-13, and 02165-15; DN	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
		00049-12	Gervasi Gervasi	Order issued	04186-13 returned to source.] APA/Mailhot - (CONFIDENTIAL) Portions GPC passages of staff's audit draft report entitled Coal Combustion Residual Storage and Disposal Processes of the Florida Electric Industry (FPL and Progress' information has been redacted). [CLK note: Provided on CD only; see confidential DNs 08598-11, 04808-13, 06025-13, and 02165-15; DN 04186-13 returned to source.]	✓	—

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
110321-EI	Gulf Power Company's request for confidential classification of document request responses and portions of audit staff's draft report entitled Coal Combustion Residual Storage and Disposal Processes of the Florida Electric Industry.	04808-13	Gervasi Gervasi	Order issued	GPC (Griffin) - (CONFIDENTIAL) Certain information produced by GPC and Commission staff in connection with Commission's review of coal combustion residual storage and disposal processes of the Florida Electric Industry (PA-10-10-004). [See DNs 08598-11, 00049-12, and 02165-15; and see DN 06025-13 for a page that was inadvertently omitted from DN 04808-13; DN 04186-13 returned to source.]	✓	—
		06025-13	Gervasi Gervasi	Order issued	GPC (McGee) - (CONFIDENTIAL) One page of confidential Exh A to DN 04808-13 which was inadvertently omitted from the	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
	report entitled Coal Combustion Residual Storage and Disposal Processes of the Florida Electric Industry.	02165-15	Gervasi Gervasi	Order issued	8/16/13 filing of amended request for extended confidential classification pertaining to portions of the Commission's review of coal combustion residual storage and disposal processes of the Florida electric industry (PA-10-10-004). [See DNs 08598-11, 00049-12, and 02165-15; DN 04186-13 returned to source.] GPC (Griffin) - (CONFIDENTIAL) Certain information produced in connection with the Commission's review of coal combustion residual storage and disposal processes of the Florida electric industry	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
	Industry.				(PA-10-10-004). [x-ref. DNs 04808-13 and 06025-13; see DNs 08598-11 and 00049-12]		
110322-EI	Florida Power & Light Company's request for confidential classification of document request responses and portions of audit staff's draft report entitled Coal Combustion Residual Storage and Disposal Processes of the Florida Electric Industry.	08740-11	Gervasi Gervasi	Order issued	FPL (Butler) - (CONFIDENTIAL) Certain information included in Commission's review of coal combustion residual storage and disposal process of the Florida electric industry report; and information in data responses that staff reviewed in preparing the report ("confidential data responses"). [CLK note: Document transferred from 110000-OT to 110322-EI per DN 00054-12; x-ref DN 00055-12.]		

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
110322-EI	Florida Power & Light Company's request for confidential classification of document request responses and portions of audit staff's draft report entitled Coal Combustion Residual Storage and Disposal Processes of the Florida Electric Industry.	00055-12	Gervasi Gervasi	Order issued	APA/Mailhot - (CONFIDENTIAL) Portions FPL's passages of staff's audit draft report entitled Coal Combustion Residual Storage and Disposal Processes of the Florida Electric Industry (GPC and Progress' information has been redacted). [CLK note: Provided on CD only; see confidential DN 08740-11.]	✓	—
110323-EI	Duke Energy, Inc.'s request for confidential classification of document request responses and portions of audit staff's draft report entitled Coal Combustion Residual Storage and Disposal Processes of the Florida Electric	08613-11	Gervasi Gervasi	Order issued	Progress (Triplett) - (CONFIDENTIAL) Information contained in the Commission's review of coal combustion residual storage and disposal processes of the Florida electric industry and portions of	✓	—



**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
	Industry.				responses to data request 2, specifically certain information regarding Progress. [CLK note: Document transferred from 110000-OT to 110323-EI per DN 00051-12; see confidential DN 00052-12.] [x-ref DN 00052-12] APA/Mailhot - (CONFIDENTIAL) Portions Progress' passages of staff's audit draft report entitled Coal Combustion Residual Storage and Disposal Processes of the Florida Electric Industry (FPL and GPC's information has been redacted). [CLK note: Provided on CD only; see confidential DN 08613-11.] [x-ref		
		00052-12	Gervasi Gervasi	Order issued			

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
120000-OT	Undocketed filings for 2012.	07009-12	No lead stf. No lead att.	Under 5-year retention	DN 08613-11] APA/Daniel - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Embarq Payphone, for RAF review audit (Audit Control No. 12-158-4-1). [See Confidential DN 08157-12]	✓	—
		07010-12	No lead stf. No lead att.	Under 5-year retention	APA/Daniel - (CONFIDENTIAL) Working papers Vol 2 [of 2] for CenturyLink, for RAF review audit (Audit Control No. 12-158-4-4). [See confidential DN 08160-12]	✓	—
		07011-12	No lead stf. No lead att.	Under 5-year retention	APA/Daniel - (CONFIDENTIAL) Working papers Vol 2 [of 2] for CenturyLink, for RAF review audit (Audit Control No. 12-158-4-8). [See confidential DN 08163-12]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
120000-OT	Undocketed filings for 2012.	07594-12	No lead stf. No lead att.	Under 5-year retention	APA/Daniel - (CONFIDENTIAL) Working papers Vol 2 [of 2] for BellSouth Telecommunications, LLC d/b/a AT&T Florida d/b/a AT&T Southeast for RAF review audit (Audit Control No. 12-158-4-6). [See confidential DNs 08183-12, 00216-13, and 00450-13]	✓	—
		07596-12	No lead stf. No lead att.	Under 5-year retention	APA/Daniel - (CONFIDENTIAL) Working papers Vol 2 [of 2] for BellSouth Telecommunications, LLC d/b/a AT&T Florida d/b/a AT&T Southeast for RAF review audit (Audit Control No. 12-158-4-3). [See confidential DN 08183-12]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
120000-OT	Undocketed filings for 2012.	08157-12	No lead stf. No lead att.	Under 5-year retention	CenturyLink (Masterton) - (CONFIDENTIAL) Documents provided to the Commission in connection with a revenue and RAF fee review audit for test year ended 12/31/11. (Audit Control No. 12-158-4-1) [See Confidential DN 07009-12]	✓	—
		08160-12	No lead stf. No lead att.	Under 5-year retention	CenturyLink (Masterton) - (CONFIDENTIAL) Documents provided to the Commission in connection with a revenue and RAF fee review audit for test year ended 12/31/11. (Audit Control No. 12-158-4-4) [See confidential DN 07010-12]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
120000-OT	Undocketed filings for 2012.	08163-12	No lead stf. No lead att.	Under 5-year retention	CenturyLink (Masterton) - (CONFIDENTIAL) Documents provided to the Commission in connection with a revenue and RAF fee review audit for test year ended 12/31/11. (Audit Control No. 12-158-4-8) [See confidential DN 07011-12]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
120001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	03171-12	Barrett Barrera	Order issued	APA/Prestwood - (CONFIDENTIAL) Working papers Volumes 3 and 4 [of 4] for FPL, for A3a capacity cost recovery clause audit (Audit Control No. 12-013-4-1). [CLK note: x-ref. DNs 01039-14; 03662-12 and 04432-12 returned to the source.]	<input checked="" type="checkbox"/>	<input type="checkbox"/>

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
120001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	03347-12	Barrett Barrera	Order issued	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 [of 2] for GPC, for A3a Capacity Cost Recovery Clause audit (Audit Control No. 12-013-1-1). [x-ref. DN 03922-12]	✓	—
		03454-12	Barrett Barrera	Order issued	APA/Prestwood - (CONFIDENTIAL) Working papers Vols 3 and 4 of workpapers for FPL, for A3a Fuel Cost Recovery Clause audit (Audit Control No. 12-013-4-2). [x-ref. DN 00967-14; x-ref. DNs 04011-12, 04858-12, and 05614-12 returned to source.]	✓	—
		03482-12	Barrett Barrera	Order issued	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 3 [of 3] for GPC, for A3a fuel cost recovery clause audit (Audit Control No.	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		03922-12	Barrett Barrera	Order issued	12-013-1-2). [x-ref. DN 04502-12] GPC (Griffin) - (CONFIDENTIAL) Certain documents produced in connection with a review of 2011 capacity expenditures. (Audit Control No. 12-013-1-1) [x-ref. DN 03347-12]	✓ —	—
		04502-12	Barrett Barrera	Order issued	GPC (Stone) - (CONFIDENTIAL) Certain documents produced in connection with a review of 2011 fuel and purchased power transactions (Audit Control No. 12-013-1-2). [x-ref. DN 03482-12]	✓ —	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
120001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	06402-12	Barrett Barrera	Staff recom fld; awaiting order	APA/Daniel - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for A3a hedging audit (Audit Control No. 12-130-4-1). [x-ref. DN 06941-12]	✓ —	—
		06419-12	Barrett Barrera	Staff recom fld; awaiting order	APA/Daniel - (CONFIDENTIAL) Working papers Vol 2 [of 2] for TECO, for A3a hedging audit (Audit Control No. 12-130-2-2). [x-ref. DN 06836-12]	✓ —	—
		06450-12	Barrett Barrera	Staff recom fld; awaiting order	APA/Daniel - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Progress, for A3a hedging audit (Audit Control No. 12-130-2-1). [x-ref. DN 07019-12]	✓ —	—



**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
120001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	06836-12	Barrett Barrera	Staff recom fld; awaiting order	TECO (Beasley) - (CONFIDENTIAL) Work papers pursuant to Audit Control No. 12-130-2-2. [x-ref. DN 06419-12]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		06941-12	Barrett Barrera	Staff recom fld; awaiting order	FPL (Moncada) - (CONFIDENTIAL) Exh A [to request for confidential classification (DN 06940-12)] of materials provided pursuant to Audit No. 12-130-4-1. [x-ref. DN 06402-12]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		07019-12	Barrett Barrera	Staff recom fld; awaiting order	Progress (Burnett) - (CONFIDENTIAL) Information contained in staff's audit work papers pertaining to 2012 hedging activities audit (Audit Control No. 12-130-2-1). [x-ref. DN 06450-12]	<input checked="" type="checkbox"/>	<input type="checkbox"/>

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
120002-EG	Energy conservation cost recovery clause.	04617-12	Bulecza-Banks Tan	Order issued	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for A3b energy conservation cost recovery audit (Audit Control No. 12-010-4-3). [x-ref. DN 05085-12; see DN 05738-12 for revised Exh A for workpaper 43-7 (pg 6)]	✓	—
		05085-12	Bulecza-Banks Tan	Order issued	FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 12-010-4-3. [x-ref. DN 04617-12; see DN 05738-12 for revised Exh A for workpaper 43-7 (pg 6)]	✓	—
		05738-12	Bulecza-Banks Tan	Order issued	FPL (Moncada) - (CONFIDENTIAL) Revised Exh A for workpaper 43-7 (pg 6) filed 7/30/12. (Audit Control No. 12-010-4-3) [See DN 04617-12; x-ref. DN	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
120007-EI	Environmental cost recovery clause.	03204-12	Bulecza-Banks Murphy	Staff recom fld; awaiting order	05085-12] APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 [of 2] for GPC, for A3d environmental cost recovery clause audit (Audit Control No. 12-019-1-1). [x-ref. DN 03797-12]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		03239-12	Bulecza-Banks Murphy	Staff recom fld; awaiting order	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 4 [of 4] for FPL, for A3d environmental cost recovery clause audit (Audit Control No. 12-019-4-1). [CLK note: DN 04242-12 replaces DN 03667-12, due to scrivener's error; see DN 03239-12.]	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
120007-EI	Environmental cost recovery clause.	03797-12	Bulecza-Banks Murphy	Staff recom fld; awaiting order	GPC (Griffin) - (CONFIDENTIAL) Portion of staff work papers (Audit Control No. 12-019-1-1). [x-ref. DN 03204-12]	✓	—
		04242-12	Bulecza-Banks Murphy	Staff recom fld; awaiting order	FPL (Moncada) - (CONFIDENTIAL) Revised Exh A to request for confidential classification of materials provided pursuant to Audit No. 12-019-4-1 [DN 03666-12]. [CLK note: DN 04242-12 replaces DN 03667-12, due to scrivener's error; see DN 03239-12.]	✓	—
120009-EI	Nuclear cost recovery clause.	03143-12	Bulecza-Banks Lawson	Order issued	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Progress, for A3f nuclear CR3 uprate audit (Audit Control No. 12-010-2-2). [x-ref. DN 03660-12]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
120009-EI	Nuclear cost recovery clause.	03391-12	Bulecza-Banks Lawson	Order issued	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Progress, for A3f Nuclear Levy Units 1 and 2 audit (Audit Control No. 12-010-2-1). [x-ref. DN 03853-12]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		03540-12	Bulecza-Banks Lawson	Order issued	APA/Prestwood - (CONFIDENTIAL) Working papers Vols 4 and 5 [of 5] for FPL, for A3f Nuclear Turkey Pt Units 6 and 7 audit (Audit Control No. 12-010-4-1). [x-ref. DN 04013-12]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		03593-12	Bulecza-Banks Lawson	Order issued	APA/Prestwood - (CONFIDENTIAL) Working papers Vol 3 [of 3] for FPL, for A3f Nuclear Extended Power Uprate audit (Audit Control No. 12-010-4-2). [x-ref. DN 04112-12]	<input checked="" type="checkbox"/>	<input type="checkbox"/>

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
120009-EI	Nuclear cost recovery clause.	03660-12	Bulecza-Banks Lawson	Order issued	Progress (Gamba) - (CONFIDENTIAL) Portions of staff's audit work papers in Audit Control No. 12-010-2-2 for the CR3 uprate audit. [CLK note: Document provided on 1 CD only.] [x-ref. DN 03143-12]	✓	—
		03853-12	Bulecza-Banks Lawson	Order issued	Progress (Gamba) - (CONFIDENTIAL) Portions of auditor's work papers in Audit Control No. 12-010-2-1 for the Levy nuclear project; includes CD. [x-ref. DN 03391-12]	✓	—
		03912-12	Bulecza-Banks Lawson	Order issued	Progress (Gamba) - (CONFIDENTIAL) Portions of the review of project management internal controls for nuclear plant uprate and construction projects Audit Report No.	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		03933-12	Bulecza-Banks Lawson	Order issued	PA-11-11-004; provided on CD only. FPL (Cano) - (CONFIDENTIAL) Certain information included in "Review of FPL's project management internal controls for nuclear plant uprate construction projects" 2012 audit report. [CLK note: See DNs 07083-12 and 07668-12.]	✓	—
		03999-12	Bulecza-Banks Lawson	Filed by staff	GCL/Lawson - (CONFIDENTIAL) Direct testimony of William Coston and Jerry Hallenstein. [CLK note: Exh CH-1 to testimony is Hearing Exh No. 25.]	✓	—

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
120009-EI	Nuclear cost recovery clause.	04001-12	Bulecza-Banks Lawson	Filed by staff	GCL/Lawson - (CONFIDENTIAL) Direct testimony of David Rich and Lynn Fisher.	✓	—
		04013-12	Bulecza-Banks Lawson	Order issued	FPL (Cano) - (CONFIDENTIAL) Certain work papers for Audit [Control No.] 12-010-4-1. [x-ref. DN 03540-12]	✓	—
		04112-12	Bulecza-Banks Lawson	Order issued	FPL (Cano) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit Control No. 12-010-4-2, reflected in staff's work papers. [x-ref. DN 03593-12]	✓	—
		07083-12	Bulecza-Banks Lawson	Order issued	FPL (Cano) - (CONFIDENTIAL) Project management internal controls audit work papers. [CLK note: See DN 07668-12 for revised pages of project management internal controls	✓	—



**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		07239-12	Bulecza-Banks Lawson	Order issued	audit work papers; see DN 03933-12.] [See DNs 07668-12 and 01022-15]. Progress (Gamba) - (CONFIDENTIAL) Portions of the review of project management internal controls for nuclear plant uprate and construction projects audit work papers (PA-11-11-004). [See DN 01019-15].	✓	—
		07668-12	Bulecza-Banks Lawson	Order issued	FPL (Cano) - (CONFIDENTIAL) Revised pages of project management internal controls audit work papers. [CLK note: Document to replace confidential DN 07083-12; see DN 03933-12.] [See DNs 07083-12 and 01022-15].	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
120015-EI	Petition for increase in rates by Florida Power & Light Company.	04387-12	Gervasi Gervasi	Staff recom fld; awaiting order	APA/Prestwood - (CONFIDENTIAL) Working papers Volumes 7 and 8 [of 8] for FPL, for A3a rate case audit (Audit Control No. 12-100-4-1). [x-ref. DNs 04862-12 (returned to source) and 00740-14]	✓	—
		00740-14	Gervasi Gervasi	Staff recom fld; awaiting order	FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit No. 12-100-4-1, first revised Exh A [to first request for extension of confidential classification of information provided pursuant to Audit No. 12-100-4-1]. [x-ref. DNs 04387-12 and 04862-12 (returned to source)]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
120076-SU	Investigation of rates of Mid-County Services, Inc. in Pinellas County for possible overearnings.	07765-11	Wright Lawson	Order issued	APA/Prestwood - (CONFIDENTIAL) Audit working papers Vol 4 of 4 for Mid-County Services, Inc. regarding earnings review. (Audit Control No. 11-230-4-1) [x-ref. DN 00305-12] [CLK note: This document moved from Docket 110000-OT to Docket 120076-SU per DN 02243-12.]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		00305-12	Wright Lawson	Order issued	Mid-County (Friedman) - (CONFIDENTIAL) Documents submitted in connection with staff's audit (Audit Control No. 11-230-4-1). [CLK note: Part 2 of 2 of document returned to source on 2/27/12; see DN 01098-12; x-ref. DN 07765-11] [CLK note: This	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
120200-EI	Request for confidential classification of document request responses and portions of staff's audit report entitled Review of the Payment Arrangement Programs Offered by the Florida Electric Industry by Gulf Power Company.	04152-12	Cordiano Page	Staff recom fld; awaiting order	document moved from Docket 120000-OT to Docket 120076-SU per DN 02243-12.] GPC (Griffin) - (CONFIDENTIAL) Portions of Commission's review of investor-owned utilities' payment arrangement programs.	✓	—
		06292-12	Cordiano Page	Order issued	GPC (Badders) - (CONFIDENTIAL) Certain information contained in staff's workpapers generated during an audit of customer payment arrangement programs.	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
120209-WS	by Gulf Power Company. Application for increase in water and wastewater rates in Marion, Orange, Pasco, Pinellas, and Seminole Counties by Utilities, Inc. of Florida.	04065-13	Brown Barrera	Staff recom fld; awaiting order	APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for Utilities, Inc., for A1f file and suspend rate case-affiliate transaction audit (Audit Control No. 12-254-4-1). [See DNs 04486-13, 04532-13, 04744-13, and 05947-13]	✓	—
		04486-13	Brown Barrera	Staff recom fld; awaiting order	Utilities, Inc. (Friedman) - (CONFIDENTIAL) Documents submitted in connection with responses to staff's audit, Audit Control No. 12-254-4-1. [See DNs 04065-13, 04532-13, 04744-13, and 05947-13]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
120209-WS	Application for increase in water and wastewater rates in Marion, Orange, Pasco, Pinellas, and Seminole Counties by Utilities, Inc. of Florida.	04532-13	Brown Barrera	Staff recom fld; awaiting order	Utilities, Inc. (Friedman) - (CONFIDENTIAL) Documents submitted in response to auditor's report (Audit Control No. 12-254-4-1). [See DNs 04065-13, 04486-13, 04744-13, and 05947-13]	✓	—
		04744-13	Brown Barrera	Staff recom fld; awaiting order	Utilities, Inc. (Friedman) - (CONFIDENTIAL) Documents submitted in responses to staff's audit, Audit Control No. 12-254-4-1. [See DNs 04065-13, 04486-13, 04532-13, and 05947-13.]	✓	—
		05947-13	Brown Barrera	Staff recom fld; awaiting order	Utilities, Inc. (Friedman) - (CONFIDENTIAL) Documents submitted in connection with responses to staff's audit. (Audit Control No.	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
120243-EI	Request for confidential classification of document request responses and portions of staff's audit report entitled Review of the Payment Arrangement Programs Offered by the Florida Electric Industry by Duke Energy Florida, Inc.	06466-12	Cordiano Page	Order issued	12-254-4-1) [See DNs 04065-13, 04486-13, 04532-13, and 04744-13] Progress (Triplett) - (CONFIDENTIAL) Information contained in FPSC's review of the payment arrangement programs offered by the Florida electric industry, specifically pg 27. [x-ref. DN 07516-12]	✓	—
		07516-12	Cordiano Page	Order issued	Progress (Triplett) - (CONFIDENTIAL) Amended Exh A to request for confidential classification. [x-ref. DN 06466-12]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
120251-EI	by Duke Energy Florida, Inc. Request for confidential classification of document request responses and portions of staff's audit report entitled Review of the Payment Arrangement Programs Offered by the Florida Electric Industry by Florida Power & Light Company.	06669-12	Cordiano Page	Order issued	FPL (Rubin) - (CONFIDENTIAL) Certain information included in Commission's review of payment arrangement programs offered by the Florida electric industry and certain information included in staff's work papers. [CLK note: This document moved from Docket 120000-OT to Docket 120251-EI per DN 06752-12.]	✓	—
130000-OT	Undocketed filings for 2013.	00216-13	No lead stf. No lead att.	Confidential claim per 364.183(1), F.S.	AT&T Florida (Sirianni) - (CONFIDENTIAL) Audit work papers related to revenues and RAFs ending 2011, Audit Control No. 12-158-4-6 (TL720; TX031). [See confidential DNs 07594-12,	✓	—



**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
		00450-13	No lead stf. No lead att.	Confidential claim per 364.183(1), F.S.	08183-12, and 00450-13] AT&T Florida (Sirianni) - (CONFIDENTIAL) Highlighted version of page 41-2, which was previously omitted from revenues and RAF fees audit work papers for year ending 2011. (Audit Control No. 12-158-4-6; TL720 and TX031) [See confidential DNs 07594-12, 08183-12, and 00216-13] FPL (Cano) - (CONFIDENTIAL) Certain information provided in response to staff's review of administrative efficiency of utility demand side management programs (Audit PA-12-10-005).	✓	—
		02755-13	No lead stf. No lead att.	Staff recom fld; awaiting order	07594-12, 08183-12, and 00216-13] FPL (Cano) - (CONFIDENTIAL) Certain information provided in response to staff's review of administrative efficiency of utility demand side management programs (Audit PA-12-10-005).	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
130001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	02303-13	Cowdery Cowdery	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 3] for Progress, for A3a fuel cost recovery clause audit (Audit Control No. 13-016-2-1). [x-ref. DN 02553-13]	✓ —	—
		02305-13	Cowdery Cowdery	Staff recom fld; awaiting order	APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for FPL, for A3a capacity cost recovery clause (Audit Control No. 13-016-4-3). [x-ref. 01431-15; DN 02680-03 returned to source]	✓ —	—
		02342-13	Cowdery Cowdery	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 1 [of 2] for TECO, for A3a capacity cost recovery clause audit. (Audit Control No. 13-016-2-4) [x-ref. DN	✓ —	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		02344-13	Cowdery Cowdery	Staff recom fld; awaiting order	02766-13] APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for GPC, for A3a capacity cost recovery clause audit (Audit Control No. 13-016-1-2). [x-ref. DN 02794-13]	✓	—
		02346-13	Cowdery Cowdery	Order issued	02794-13] APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for GPC, for A3a fuel cost recovery clause audit (Audit Control No. 13-016-1-1). [x-ref. DN 02792-13]	✓	—
		02442-13	Cowdery Cowdery	Order issued	02792-13] APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 4] for FPL, for A3a fuel cost recovery clause audit (Audit Control No. 13-016-4-1). [x-ref. DNs 02443-13, 02840-13, 03097-13]	✓	—

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		02443-13	Cowdery Cowdery	Order issued	(returned to source) and 01408-15]. APA/Deamer - (CONFIDENTIAL) Working papers Vol 4 [of 4] for FPL, for A3a fuel cost recovery clause audit (Audit Control No. 13-016-4-1). [x-ref. DNs 02442-13, 02840-13, 03097-13	✓	—
		02553-13	Cowdery Cowdery	Order issued	(returned to source) and 01408-15] [Progress] (Triplett) - (CONFIDENTIAL) Information contained in staff's audit work papers provided pursuant to Audit No. 13-016-2-1. [x-ref. DN 02303-13]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
130001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	02766-13	Cowdery Cowdery	Order issued	TECO (Beasley) - (CONFIDENTIAL) Highlighted information contained in confidential workpapers associated with capacity audit report (Audit Control No. 13-016-2-4). [x-ref. DN 02342-13]	✓	—
		02792-13	Cowdery Cowdery	Order issued	GPC (Griffin) - (CONFIDENTIAL) Documents produced in connection with a review of 2012 fuel and purchased power transactions (Audit Control No. 13-016-1-1). [x-ref. DN 02346-13]	✓	—
		02794-13	Cowdery Cowdery	Staff recom fld; awaiting order	GPC (Griffin) - (CONFIDENTIAL) Documents produced in connection with a review of 2012 capacity expenditures (Audit Control No. 13-016-1-2).	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		05289-13	Cowdery Cowdery	Staff recom fld; awaiting order	[x-ref. DN 02344-13] FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit No. 06-045-4-1. [x-ref. DNs 03638-06 and 05983-11 (returned to source)]	✓	—
		05422-13	Cowdery Cowdery	Order issued	FPL (Moncada) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit No. 05-028-4-1 [second revised Exh A to fourth request for extension of confidential classification of information provided pursuant to Audit No. 05-028-4-1]. [See DNs 04671-05, 04672-05, and 06969-13; DNs 04864-05 and 08054-11 returned to source.] [CLK note: See DN	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		05628-13	Cowdery Cowdery	Order issued	06969-13 for Workpaper 53-2/1-1/6 (pg 2), previously excluded from filing.] APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 3] for FPL, for A3a hedging activities audit (Audit Control No. 13-102-4-1). [x-ref. DN 06036-13]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		05629-13	Cowdery Cowdery	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for FPL, for A3a hedging activities audit (Audit Control No. 13-102-4-1). [x-ref. DN 06036-13]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		05666-13	Cowdery Cowdery	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for TECO, for A3a hedging activities audit (Audit Control No. 13-102-2-2).	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
		05668-13	Cowdery Cowdery	Order issued	[x-ref. DN 06185-13] APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Duke Energy, for A3a hedging activities audit (Audit Control No. 13-102-2-1).	✓ —	—
		05723-13	Cowdery Cowdery	Order issued	[x-ref. DN 06032-13; see DN 06680-13] FPL (Moncada) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit No. 08-003-4-2.	✓ —	—
		05725-13	Cowdery Cowdery	Req for ext of classification fld	[x-ref. DN 03913-08, 03914-08, and 03915-08; x-ref. DNs 04588-08 and 03897-10 returned to the source.] FPL (Moncada) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit No. 10-004-4-3. [x-ref. DN 05711-10 and	✓ —	—



**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
		06032-13	Cowdery Cowdery	Order issued	06046-15; and 06189-10 (returned to the source)] Duke Energy (Bernier) - (CONFIDENTIAL) Information contained in staff's audit work papers pertaining to 2013 hedging activities audit (Audit Control No. 13-102-2-1). [x-ref. DN 05668-13] [CLK note: See DN 06680-13 for the 15th page of document 10-1/16 which was inadvertently omitted from DN 06032-13.]	✓ —	—
		06036-13	Cowdery Cowdery	Order issued	FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 13-102-4-1. [x-ref. DNs 05628-13 and 05629-13]	✓ —	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
130001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	06185-13	Cowdery Cowdery	Order issued	TECO (Beasley) - (CONFIDENTIAL) Staff's audit work papers (Audit Control No. 13-102-2-2). [x-ref. DN 05666-13]	✓	—
		06680-13	Cowdery Cowdery	Order issued	Duke Energy (Bernier) - (CONFIDENTIAL) 15th page of document 10-1/16 which was inadvertently omitted from DN 06032-13. (Audit Control No. 13-102-2-1) [See DNs 05668-13 and 06032-13]	✓	—
		06969-13	Cowdery Cowdery	Order issued	FPL (Moncada) - (CONFIDENTIAL) Workpaper 53-2/1-1/6 (pg 2), which was inadvertently excluded from previous filing [DN 05422-13]. (Audit No. 05-028-4-1) [See DNs 04671-05 and 04672-05]	✓	—

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
130001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	07001-13	Cowdery Cowdery	Order issued	FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit No. 07-353-4-1. [x-ref. DNs 04474-08 (returned to source), 03776-08, and 03777-08]	✓ —	—
130002-EG	Energy conservation cost recovery clause.	00494-13	Higgins Tan	Staff recom fld; awaiting order	FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit No. 06-040-4-1. [x-ref. DN 05832-06; DNs 06113-06 and 08082-10 returned to the source]	✓ —	—
		00499-13	Higgins Tan	Staff recom fld; awaiting order	FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit No. 05-042-4-1. [x-ref. DN 06625-05; DN 06604-05 returned to source.]	✓ —	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
130002-EG	Energy conservation cost recovery clause.	00504-13	Higgins Tan	Order issued	FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit No. 02-064-4-1. [x-ref. DN 07733-02; DNs 07953-02, 11029-02, 02446-06, and 08087-10 returned to the source].	✓	—
		00516-13	Higgins Tan	Staff recom fld; awaiting order	FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit No. 09-028-4-1. [x-ref. DNs 07900-09; DNs 01281-10 and 08665-09 returned to the source]	✓	—
		00521-13	Higgins Tan	Order issued	FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit No. 07-071-4-3. [x-ref. DN 05980-07; DN 06583-07 returned to the source]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
130002-EG	Energy conservation cost recovery clause.	00531-13	Higgins Tan	Staff recom fld; awaiting order	FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit No. 08-037-4-2. [x-ref. DN 06439-08; DNs 07155-08 and 08091-10 returned to source.]	✓ —	—
		02568-13	Higgins Tan	Staff recom fld; awaiting order	FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit [Control] No. 11-005-4-4. [x-ref. DN 04114-11; x-ref. DN 04575-11 returned to source]	✓ —	—
		04235-13	Higgins Tan	Staff recom fld; awaiting order	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for A3b energy conservation cost recover clause audit (Audit Control No. 13-004-4-3). [x-ref. DN 04446-13]	✓ —	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
130002-EG	Energy conservation cost recovery clause.	04446-13	Higgins Tan	Staff recom fld; awaiting order	FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 13-004-4-3. [x-ref. DN 04235-13]	✓	—
130004-GU	Natural gas conservation cost recovery.	04237-13	Higgins Corbari	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FCG, for A3e natural gas conservation cost recovery audit (Audit Control No. 13-004-4-2). [x-ref. DN 04292-13]	✓	—
		04292-13	Higgins Corbari	Order issued	FCG (Keating) - (CONFIDENTIAL) Certain material provided to staff in connection with natural gas conservation clause audit for year ended 12/31/12 (Audit Control No. 13-004-4-2). [x-ref. DN 04237-13]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
130007-EI	Environmental cost recovery clause.	02551-13	Graves Murphy	Staff recom fld; awaiting order	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for GPC, for A3d environmental cost recovery clause audit (Audit Control No. 13-015-1-1). [x-ref. DN 02941-13]	✓	—
		02941-13	Graves Murphy	Staff recom fld; awaiting order	GPC (McGee) - (CONFIDENTIAL) Certain information produced in connection with staff's review of GPC's 2012 expenditures (Audit Control No. 13-015-1-1). [x-ref. DN 02551-13]	✓	—
		03139-13	Graves Murphy	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for FPL, for A3d environmental cost recovery clause audit (Audit Control No. 13-015-4-1). [See	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
130009-EI	Nuclear cost recovery clause.	02697-13	Breman Lawson	Order issued	DN 02071-15; DN 03519-13 returned to source] [Progress] (Gamba) - (CONFIDENTIAL) Portions of workpapers regarding Audit Control No. 13-010-2-2. [x-ref. DN 02896-13]	✓	—
		02896-13	Breman Lawson	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Progress for A3a Nuclear Cost Recovery Clause audit (Audit Control No. 13-010-2-2). [x-ref. DN 02697-13]	✓	—
		03186-13	Breman Lawson	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for FPL, for A3f nuclear cost recovery clause audit (Audit Control No. 13-010-4-2). [x-ref. DN 03580-13]	✓	—



**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
130009-EI	Nuclear cost recovery clause.	03188-13	Breman Lawson	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 of 5 for FPL, for A3f nuclear cost recovery clause audit (Audit Control No. 13-010-4-1). [x-ref. DN 03678-13]	✓ —	—
		03189-13	Breman Lawson	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 4 of 5 for FPL, for A3f nuclear cost recovery clause audit (Audit Control No. 13-010-4-1). [x-ref. DN 03678-13]	✓ —	—
		03190-13	Breman Lawson	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 5 of 5 for FPL, for A3f nuclear cost recovery clause audit (Audit Control No. 13-010-4-1). [x-ref. DN 03678-13]	✓ —	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
130009-EI	Nuclear cost recovery clause.	03378-13	Breman Lawson	Order issued	FPL (Cano) - (CONFIDENTIAL) Portions of Audit Report PA-13-01-001, titled review of project management internal controls for nuclear plant uprate and construction projects.	✓	—
		03393-13	Breman Lawson	Order issued	Duke Energy (Gamba) - (CONFIDENTIAL) Portions of the review of project management internal controls for nuclear plant uprate and construction projects Audit Report No. PA-13-01-001.	✓	—
		03460-13	Breman Lawson	Filed by staff	GCL/Brown - (CONFIDENTIAL) Direct testimony of William Coston and Jerry Hallenstein and Exh CH-1.	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
130009-EI	Nuclear cost recovery clause.	03462-13	Breman Lawson	Filed by staff	GCL/Brown - (CONFIDENTIAL) Direct testimony of Lynn Fisher and David Rich and Exh FR-1.	✓	—
		03580-13	Breman Lawson	Order issued	FPL (Cano) - (CONFIDENTIAL) Audit 13-010-4-2 work papers. [x-ref. DN 03186-13]	✓	—
		03678-13	Breman Lawson	Order issued	FPL (Cano) - (CONFIDENTIAL) Audit 13-010-4-1 work papers. [x-ref. DNs 03188-13, 03189-13, and 03190-13]	✓	—
		05293-13	Breman Lawson	Order issued	FPL (Cano) - (CONFIDENTIAL) Work papers for audit report PA-13-01-001.	✓	—
		05383-13	Breman Lawson	Filed by staff	APA/Rich - (CONFIDENTIAL) CD containing staff work papers for project management internal controls audit report PA-13-01-001.	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u><b>DOCKET</b></u>	<u><b>DOCKET TITLE</b></u>	<u><b>DOCUMENT</b></u>	<u><b>LEAD STF./ATT.</b></u>	<u><b>STATUS</b></u>	<u><b>DESCRIPTION</b></u>	<u><b>RETAIN</b></u>	<u><b>RETURN</b></u>
130040-EI	Petition for rate increase by Tampa Electric Company.	03794-13	Draper Brown	Staff recom fld; awaiting order	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 4] for TECO, for A1a file and suspend rate case audit (Audit Control No. 13-105-2-1). [x-ref. DN 04196-13]	✓	—
		04196-13	Draper Brown	Staff recom fld; awaiting order	TECO (Beasley) - (CONFIDENTIAL) Audit workpapers pursuant to Audit Control No. 13-105-2-1 (Bates stamped pgs 1-17, 32-33, 47-48). [x-ref. DN 03794-13]	✓	—
130054-EI	Request for confidential classification of audit workpapers of staff's audit report entitled Review of Progress Energy Florida, Inc.'s Quality Assurance Process for Distribution Construction.	00953-13	Cordiano Page	Order issued	Progress (Triplet) - (CONFIDENTIAL) Information contained in the FPSC's review of Progress' quality assurance process for distribution construction and related work papers (PA-12-04-001). [CLK note: Pages	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
130140-EI	Petition for rate increase by Gulf Power Company.	06075-13	Deamer Brownless	Order issued	303-314, 373-392, 460-462, and 579-583 have been returned to the source; see DN 01353-13.] APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for GPC, for file and suspend rate case. (Audit Control No. 13-207-1-1) [x-ref. DN 06634-13]	✓	—
		06634-13	Deamer Brownless	Order issued	GPC (Griffin) - (CONFIDENTIAL) Certain documents and information produced in connection with staff's audit of GPC's request for an increase in rates (Audit Control No. 13-207-1-1). [x-ref. DN 06075-13]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
130212-WS	Application for increase in water/wastewater rates in Polk County by Cypress Lakes Utilities, Inc.	00157-14	Norris Mapp	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Utilities, Inc., for affiliate transactions audit (Audit Control No. 13-296-4-2). [x-ref. DN 00907-14]	✓	—
		00907-14	Norris Mapp	Order issued	Cypress Lakes (Friedman) - (CONFIDENTIAL) Certain information provided to staff auditors in connection with Audit Control No. 13-296-4-2; provided on CD only. [x-ref. DN 00157-14]	✓	—
140000-OT	Undocketed filings for 2014.	00655-14	No lead stf. No lead att.	Under 5-year retention	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Windstream Florida, Inc., for RAF review audit (Audit Control No. 13-274-1-4). [x-ref. DN 00808-14]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
140000-OT	Undocketed filings for 2014.	00657-14	No lead stf. No lead att.	Under 5-year retention	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Windstream Nuvox, Inc., for RAF review audit (Audit Control No. 13-274-1-3). [x-ref. DN 00806-14]	✓	—
		00806-14	No lead stf. No lead att.	Under 5-year retention	Windstream NuVox (Caballero) - (CONFIDENTIAL) Reports contained in Audit Control No. 13-274-1-3 pertaining to the Commission's review of 2012 RAF. [x-ref. DN 00657-14]	✓	—
		00808-14	No lead stf. No lead att.	Under 5-year retention	Windstream NuVox (Caballero) - (CONFIDENTIAL) Reports contained in Audit Control No. 13-274-1-4 pertaining to the Commission's review of 2012 RAF. [x-ref. DN 00655-14]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
140000-OT	Undocketed filings for 2014.	00827-14	No lead stf. No lead att.	Under 5-year retention	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Verizon, for RAF review audit (Audit Control No. 13-274-1-2). [x-ref. DN 01149-14]	✓	—
		01149-14	No lead stf. No lead att.	Under 5-year retention	Verizon (O'Roark) - (CONFIDENTIAL) Information contained in audit work papers that include information provided to [staff] in connection with RAF payments audit. (Audit Control No. 13-274-1-2) [x-ref. DN 01149-14]	✓	—
		01996-14	No lead stf. No lead att.	Under 5-year retention	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Access Transmission Services, for RAF review audit (Audit Control No. 13-274-1-2) [x-ref. DN 00827-14]	✓	—



**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		02273-14	No lead stf. No lead att.	Under 5-year retention	13-274-1-1). (TA005) [x-ref. DN 02273-14] Verizon (O'Roark) - (CONFIDENTIAL) Information contained in audit work papers that include information provided to the Commission in connection with RAF payment audit. (Audit Control No. 13-274-1-2) [sic] [CLK note: Correct Audit Control No. is 13-274-1-1, verified by APA.] [x-ref. DN 01996-14]	✓	—
140001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	00967-14	Harper Harper	Order issued	FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit [Control] No. 12-013-4-2. [x-ref. DN 03454-12; x-ref. DNs 04011-12 , 04858-12, and 05614-12 returned to source.]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STE./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
140001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	01039-14	Harper Harper	Order issued	FPL (Moncada) - (CONFIDENTIAL) First revised Exh A [to first request for extension of confidential classification of] materials provided pursuant to Audit No. 12-013-4-1. [x-ref. DNs 03171-12 and 04432-12 (returned to source)]	✓ —	—
		01770-14	Harper Harper	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPUC, for fuel cost recovery clause audit (Audit Control No. 14-027-4-2). [x-ref. DN 02471-14]	✓ —	—
		01992-14	Harper Harper	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 3] for TECO, for fuel cost recovery clause audit (Audit Control No.	✓ —	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
		01994-14	Harper Harper	Order issued	14-027-2-2). [x-ref. DN 02278-14] APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for GPC, for capacity cost recovery clause audit (Audit Control No. 14-027-1-2).	✓	—
		02090-14	Harper Harper	Order issued	[x-ref. DN 02415-14] APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for GPC, for fuel and purchased power cost recovery audit (Audit Control No. 14-027-1-1).	✓	—
		02092-14	Harper Harper	Order issued	[x-ref. DN 02411-14] APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for capacity cost recovery clause audit (Audit Control No. 14-027-4-3).	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		02094-14	Harper Harper	Order issued	[x-ref. DN 02419-14] APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 5] for FPL, for fuel and purchased power cost recovery audit (Audit Control No. 14-027-4-1).	✓	—
		02095-14	Harper Harper	Order issued	[x-ref. DN 02497-14] APA/Deamer - (CONFIDENTIAL) Working papers Vol 4 [of 5] for FPL, for fuel and purchased power cost recovery audit (Audit Control No. 14-027-4-1).	✓	—
		02096-14	Harper Harper	Order issued	[x-ref. DN 02497-14] APA/Deamer - (CONFIDENTIAL) Working papers Vol 5 [of 5] for FPL, for fuel and purchased power cost recovery audit (Audit Control No.	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		02128-14	Harper Harper	Order issued	14-027-4-1). [x-ref. DN 02497-14] APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for TECO, for capacity cost recovery clause audit (Audit Control No. 14-027-2-4).	✓	—
		02278-14	Harper Harper	Order issued	[x-ref. DN 02467-14] TECO (Beasley) - (CONFIDENTIAL) Highlighted information contained in audit work papers. (Audit Control No. 14-027-2-2)	✓	—
		02411-14	Harper Harper	Order issued	[x-ref. DN 01992-14] GPC (Griffin) - (CONFIDENTIAL) Certain documents produced in connection with a review of GPC's 2013 fuel and purchased power transactions. (Audit Control No. 14-027-1-1)	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		02415-14	Harper Harper	Order issued	[x-ref. DN 02090-14] GPC (Griffin) - (CONFIDENTIAL) Certain documents produced in connection with a review of GPC's 2013 capacity expenditures. (Audit Control No. 14-027-1-2)	✓	—
		02419-14	Harper Harper	Order issued	[x-ref. DN 01994-14] FPL (Moncada) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit Control No. 14-027-4-3.	✓	—
		02467-14	Harper Harper	Order issued	[x-ref. DN 02092-14] TECO (Beasley) - (CONFIDENTIAL) Audit work papers (Audit Control No. 14-027-2-4). [x-ref. DN 02128-14]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
140001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	02471-14	Harper Harper	Order issued	FPUC (Keating) - (CONFIDENTIAL) Information contained in certain portions of workpapers numbered 41-2/4, 41-2/5, 41-2/5-1, 43-1/1-1, 43-1/1-2, 43-1/1-2-1, 43-1/1-3, 43-1/1-4, 43-1/1-5, 43-1/3-1, 43-1/3-2, 43-1/3-3, 43-1/3-4, 43-1/3-5, 43-113-6, 43-1/3-7, 44-2/1, and 44-4. (Audit Control No. 14-027-4-2) [x-ref. DN 01770-14]	✓ —	—
		02497-14	Harper Harper	Order issued	FPL (Moncada) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit Control Number 14-027-4-1. [x-ref. DNs 02094-14,	✓ —	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		03352-14	Harper Harper	Order issued	02095-14, and 02096-14; see DN 03352-14 for corrected workpaper 54-1/4-4 (pg 2 only).] FPL (Moncada) - (CONFIDENTIAL) Corrected Exh A for workpaper 54-1/4-4 (pg 2 only) to request for confidential classification for Audit No. 14-027-4-1, filed 5/23/14 [DN 02496-14]. [CLK note: See DN 02497-14 for FPL's initial filing.] APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for GPC, for hedging activities (Audit Control No. 14-083-1-1). [x-ref. DN 05393-14]	✓	—
		04947-14	Harper Harper	Order issued		✓	—



**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
140001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	04992-14	Harper Harper	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 3] for Duke Energy, for hedging activities audit (Audit Control No. 14-083-2-1). [x-ref. DN 05242-14]	✓ —	—
		04994-14	Harper Harper	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for Duke Energy, for hedging activities audit (Audit Control No. 14-083-2-1). [x-ref. DN 05242-14]	✓ —	—
		04996-14	Harper Harper	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for hedging activities audit (Audit Control No. 14-083-4-1). [x-ref. DN 05448-14]	✓ —	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF/ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
140001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	05001-14	Harper Harper	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for TECO, for hedging activities audit (Audit Control No. 14-083-2-2). [x-ref. DN 05256-14]	✓	—
		05242-14	Harper Harper	Order issued	Duke Energy (Bernier) - (CONFIDENTIAL) Certain information contained in staff's audit work papers pertaining to 2014 hedging activities audit (Audit Control No. 14-083-2-1). [x-ref. DNs 04992-14 and 04994-14]	✓	—
		05256-14	Harper Harper	Order issued	TECO (Beasley) - (CONFIDENTIAL) Highlighted information contained in audit work papers. (Audit Control No. 14-083-2-2) [x-ref. DN 05001-14]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
140001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	05393-14	Harper Harper	Order issued	GPC (Badders) - (CONFIDENTIAL) Certain documents produced by staff and GPC in connection with a review of 2014 hedging settlements (Audit Control No. 14-083-1-1). [x-ref. DN 04947-14]	✓	—
		05448-14	Harper Harper	Order issued	FPL (Moncada) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit Control Number 14-083-4-1. [x-ref. DN 04996-14]	✓	—
140002-EG	Energy conservation cost recovery clause.	02085-14	Lingo Tan	Order issued	FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit No. 09-350-4-1. [x-ref. DN 04844-10; x-ref. DN 06537-12 returned to the source]	✓	—

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
140002-EG	Energy conservation cost recovery clause.	02709-14	Lingo Tan	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPUC, for energy conservation cost recovery audit (Audit Control No. 14-017-4-2). [x-ref. DN 02966-14]	✓	—
		02966-14	Lingo Tan	Order issued	FPUC (Keating) - (CONFIDENTIAL) Certain material contained in Vol 2 of staff's audit workpapers associated with Audit [Control] No. 14-017-4-2. [x-ref. DN 02709-14]	✓	—
		03218-14	Lingo Tan	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for energy conservation cost recovery clause audit (Audit Control No. 14-017-4-1). [x-ref. DN 03653-14]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
140002-EG	Energy conservation cost recovery clause.	03653-14	Lingo Tan	Order issued	FPL (Moncada) - (CONFIDENTIAL) Materials provided to staff, pursuant to Audit No. 14-017-4-1. [x-ref. DN 03218-14]	✓	—
140007-EI	Environmental cost recovery clause.	02755-14	Graves Murphy	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for environmental cost recovery clause audit (Audit Control No. 14-010-4-1). [x-ref. DN 03216-14]	✓	—
		03216-14	Graves Murphy	Order issued	FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit [Control] No. 14-010-4-1. [x-ref. DN 02755-14]	✓	—
140009-EI	Nuclear cost recovery clause.	02544-14	Lewis Lawson	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for nuclear cost recovery Units 6 and 7 (Audit Control No.	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		02781-14	Lewis Lawson	Order issued	14-007-4-2). [x-ref. DN 02951-14] APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for nuclear cost recovery clause audit (Audit Control No. 14-007-4-1).	✓	—
		02863-14	Lewis Lawson	Order issued	[x-ref. DN 03295-14] APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Duke Energy, for nuclear cost recovery clause, Levy Nuclear Plant Units 1 and 2 (Audit Control No. 14-007-2-2).	✓	—
		02868-14	Lewis Lawson	Order issued	[x-ref. DN portion of 03558-14] APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Duke Energy, for nuclear cost recovery clause, Crystal River Unit	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		02951-14	Lewis Lawson	Order issued	3 uprate (Audit Control No. 14-007-2-1). [x-ref. DN portion of 03558-14] FPL (Cano) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit Control No. 14-007-4-2 and reflected in staff's work papers. [x-ref. DN 02544-14]	✓	—
		03067-14	Lewis Lawson	Order issued	FPL (Cano) - (CONFIDENTIAL) Portions of Audit Report PA-14-01-002, titled "Review of Florida Power & Light Company's Project Management Internal Controls for Nuclear Plant Uprate and Construction Projects."	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
140009-EI	Nuclear cost recovery clause.	03125-14	Lewis Lawson	Order issued	Duke Energy (Gamba) - (CONFIDENTIAL) Portions of the review of project management internal controls for nuclear plant uprate and construction projects Audit Report No. PA-14-01-001.	✓	—
		03149-14	Lewis Lawson	Order issued	GCL/Young - (CONFIDENTIAL) Commission's highlighted direct joint testimony of William Coston and Jerry Hallenstein and Exh CH-1. [PA-13-01-001] [See DNs 03158-14, 03638-14, and 04062-14] [CLK note: DN 04062-14 is a replacement filing of DN 03638-14, due to damage of CD.]	✓	—



**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
140009-EI	Nuclear cost recovery clause.	03151-14	Lewis Lawson	Filed by staff	GCL/Young - (CONFIDENTIAL) Commission's highlighted direct joint testimony of William Coston and Lynn Fisher and Exh CF-1. [PA-14-01-001]	✓ —	—
		03153-14	Lewis Lawson	Filed by staff	GCL/Young - (CONFIDENTIAL) Commission's highlighted direct joint testimony of David Rich and Jerry Hallenstein and Exh RH-1. [PA-14-01-002]	✓ —	—
		03158-14	Lewis Lawson	Order issued	Duke Energy (Gamba) - (CONFIDENTIAL) Portions of Commission's testimony exhibits of Tripp Coston and Jerry Hallenstein. (Audit Report PA-13-01-001) [See DN 03149-14; x-ref. DNs 03638-14 and 04062-14] [CLK note: DN 04062-14 is a replacement	✓ —	—

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		03295-14	Lewis Lawson	Order issued	filing of DN 03638-14, due to damage of CD.] FPL (Cano) - (CONFIDENTIAL) Audit [Control No.] 14-007-4-1 work papers.	✓	—
		03558-14	Lewis Lawson	Order issued	[x-ref. DN 02781-14] Duke Energy (Gamba) - (CONFIDENTIAL) Portions of workpapers for Audit Control Nos. 14-007-2-1 and 14-007-2-2.	✓	—
		03638-14	Lewis Lawson	Order issued	[x-ref. DNs 02863-14 and 02868-14] Duke Energy (Gamba) - (CONFIDENTIAL) [Staff's] direct testimony of William Coston and Jerry Hallenstein, Exh CH-1, review of project management internal controls for nuclear plant uprate and construction	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		04062-14	Lewis Lawson	Order issued	<p>projects, PA-13-01-001, 6/13; provided on CD only. [See DN 03149-14; x-ref. DNs 03158-14 and 04062-14] [CLK note: See DN 04062-14 for replacement CD of DN 03638-14, due to damage of CD.] Duke Energy (Gamba) - (CONFIDENTIAL) Staff direct testimony of William Coston and Jerry Hallenstein, Exh CH-1, review of project management internal controls for nuclear plant uprate and construction projects, PA-13-01-001, 6/13; provided on CD only. [x-ref. DNs 03158-14 and 03638-14; see DN 03149-14] [CLK note: DN 04062-14 is a replacement</p>	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		04981-14	Lewis Lawson	Order issued	filing of DN 03638-14, due to damage of CD.] FPL (Cano) - (CONFIDENTIAL) Certain information provided to staff pursuant to Audit [Control No.] PA-14-01-001. [See DN 00940-15].	✓	—
		05217-14	Lewis Lawson	Order issued	Duke Energy (Gamba) - (CONFIDENTIAL) Portions of staff-generated audit workpapers; provided on CD only.	✓	—
140060-WS	Application for increase in water and wastewater rates in Seminole County by Sanlando Utilities Corporation.	06215-14	Graves Young	Staff recom fld; awaiting order	APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for Utilities, Inc., for affiliated transaction audit (Audit Control No. 14-197-1-1). [x-ref. DN 06567-14]	✓	—

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
140060-WS	Application for increase in water and wastewater rates in Seminole County by Sanlando Utilities Corporation.	06567-14	Graves Young	Staff recom fld; awaiting order	Sanlando (Friedman) - (CONFIDENTIAL) Documents submitted in connection with staff's audit. (Audit Control No. 14-197-1-1) [x-ref. DN 06215-14]	✓ —	—
140233-EI	Florida Power & Light Company's request for confidential treatment of certain information contained in report entitled review of physical security protection of utility substations and control centers.	06514-14	Harper Harper	Order issued	FPL (Lee) - (CONFIDENTIAL) Certain information included in the review of physical security protection of utility substations and control centers report and staff's workpapers, Audit Control No. PA-14-05-003. [CLK note: This document moved from Docket 140000-OT to new Docket 140233-EI per DN 06630-14.] [See DN 00942-15]	— ✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
140233-EI	Florida Power & Light Company's request for confidential treatment of certain information contained in report entitled review of physical security protection of utility substations and control centers.	00942-15	Harper Harper	Order issued	APA/Delgado Perusquia - (CONFIDENTIAL) Work papers and report chapter relating to FPL's portion of staff's report, titled review of physical security protection of utility substations and control centers. (Audit Control No. PA-14-05-003) [See DN 06514-14]	✓	—
140234-EI	Gulf Power Company's request for confidential treatment of certain information contained in report entitled review of physical security protection of utility substations and control centers.	06521-14	Harper Harper	Order issued	GPC (McGee) - (CONFIDENTIAL) Highlighted information included in responses to staff's data requests and in staff's workpapers concerning Commission's review of GPC's physical security of utility substations and control centers. [CLK note: This document moved	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
		00900-15	Harper Harper	Filed by staff	from Docket 140000-OT to new Docket 140234-EI per DN 06631-14.] [See DN 00900-15] APA/Coston - (CONFIDENTIAL) Workpapers relating to GPC's portion of staff's report, review of physical security protection of utility substations and control centers. ([Audit Control No.] PA-14-05-003) [See DN 06521-14]	—	—
140235-EI	Duke Energy Florida, Inc.'s request for confidential treatment of certain information contained in report entitled review of physical security protection of utility substations and control centers.	06533-14	Harper Harper	Order issued	Duke Energy (Bernier) - (CONFIDENTIAL) Certain information included in staff's review of physical security protection of utility substations and control centers (Audit Control No. PA-14-05-003) and staff's auditors' workpapers. [CLK	—	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
150000-OT	Undocketed filings for 2015.	04425-15	No lead stf. No lead att.	Req forwarded to staff for recom	note: This document moved from Docket 140000-OT to new Docket 140235-EI per DN 06632-14.] Duke Energy (Bernier) - (CONFIDENTIAL) FPSC's review of data accuracy in electric reliability report, Audit No. PA-15-01-003.	/	—
		04432-15	No lead stf. No lead att.	Req forwarded to staff for recom	FPL (Goorland) - (CONFIDENTIAL) Certain material provided to staff pursuant to review of data accuracy in electric reliability reporting by Florida electric IOUs [Audit Control Nos. PA-15-01-003 and PA-14-10-004]. [x-ref DN 04702-15]	/	—



## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
150000-OT	Undocketed filings for 2015.	04702-15	No lead stf. No lead att.	Req forwarded to staff for recom	FPL (Goorland) - (CONFIDENTIAL) Certain material provided to staff pursuant to review of data accuracy in electric reliability reporting by Florida electric IOUs. [Audit Control Nos. PA-15-01-003 and PA-14-10-004]. [x-ref DN 04432-15]	✓ —	—
150001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	01408-15	Barrett Brownless	Order issued	FPL (Moncada) - (CONFIDENTIAL) Work papers provided pursuant to Audit No. 13-016-4-1. [x-ref. DNs 02442-13, 02443-13, 02840-13 and 03097-13 (returned to source)]	✓ —	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STE./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
150001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	01431-15	Barrett Brownless	Staff recom fld; awaiting order	FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 13-016-4-3. [x-ref. DN 02305-13; DN 02680-03 returned to source.]	✓ —	—
		01959-15	Barrett Brownless	Order issued	FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit No. 11-006-4-2. [See DNs 03689-11 and 04444-13 (returned to source)]	✓ —	—
		01963-15	Barrett Brownless	Staff recom fld; awaiting order	FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit [Control] No. 08-003-4-3. [See DNs 05001-08, 05002-08, 05003-08, 05004-08, 05005-08; DN 05238-13 returned to source]	✓ —	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
150001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	01965-15	Barrett Brownless	Staff recom fld; awaiting order	FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit [Control] No. 11-129-4-2. [See DNs 06727-11, 06728-11, 06729-11, and 07322-11]	✓ —	—
		02075-15	Barrett Brownless	Staff recom fld; awaiting order	FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit No. 05-031-4-1. [See DNs 03798-05, 03799-05, and 05034-13]	✓ —	—
		02159-15	Barrett Brownless	Order issued	FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit [Control] No. 04-022-4-1. [See DNs 07270-04, 07271-04, 07272-04, and 05236-13 (returned to source)]	✓ —	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
150001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	02580-15	Barrett Brownless	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for capacity cost recovery clause audit (Audit Control No. 15-023-4-2). [x-ref. DN 03046-15]	✓ —	—
		02647-15	Barrett Brownless	Staff recom fld; awaiting order	APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for GPC, for fuel cost recovery clause audit (Audit Control No. 15-023-1-1). [x-ref. DN 03153-15]	✓ —	—
		02649-15	Barrett Brownless	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for GPC, for capacity cost recovery clause audit (Audit Control No. 15-023-1-2). [x-ref. DN 03151-15]	✓ —	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
150001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	02887-15	Barrett Brownless	Order issued	APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for FPL, for fuel cost recovery clause audit (Audit Control No. 15-023-4-1). [x-ref. DN 03327-15]	✓	—
		03046-15	Barrett Brownless	Order issued	FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 15-023-4-2. [x-ref. DN 02580-15]	✓	—
		03151-15	Barrett Brownless	Order issued	GPC (Griffin) - (CONFIDENTIAL) Certain documents produced in connection with a review of 2014 capacity expenditures (Audit Control No. 15-023-1-2). [x-ref. DN 02649-15]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
150001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	03153-15	Barrett Brownless	Staff recom fld; awaiting order	GPC (Griffin) - (CONFIDENTIAL) Certain documents produced in connection with a review of 2014 fuel and purchased power transactions (Audit Control No. 15-023-1-1). [x-ref. DN 02647-15]	✓	—
		03327-15	Barrett Brownless	Order issued	FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 15-023-4-1. [x-ref. DN 02887-15]	✓	—
		03883-15	Barrett Brownless	Staff recom fld; awaiting order	FPL (Moncada) - (CONFIDENTIAL) Exh A to second request for extension of confidential classification of materials provided pursuant to Audit No. 11-006-4-1, which was filed on 4/13/15 [DN 027073-15]; replaces previously filed	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		04761-15	Barrett Brownless	Staff recom fld; awaiting order	version. [See DNs 04369-11, 04370-11, and 04780-11 (returned to source)] FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit No. 06-046-4-1. [x-ref. DNs 04323-06 and 04324-06; x-ref. DN 05641-13 returned to source.]	✓	—
		04881-15	Barrett Brownless	Staff recom fld; awaiting order	FPL (Moncada) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit Control No. 10-004-4-1. [x-ref. DNs 05823-10, 05824-10, 05825-10; see DN 05677-13 (returned to source)]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
150001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	04888-15	Barrett Brownless	Staff recom fld; awaiting order	FPL (Moncada) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit Control No. 09-041-4-3. [x-ref. DNs 04938-09 and 05643-13 (returned to source)]	✓	—
		04953-15	Barrett Brownless	Staff recom fld; awaiting order	FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit No. 10-130-4-1. [x-ref DNs 07986-10, 07987-10, 07988-10 and 08555-10]	✓	—
		05469-15	Barrett Brownless	Staff recom fld; awaiting order	FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 09-190-4-1. [x-ref DNs 10203-09, 10204-09; and 10751-09 (returned to the source)]	✓	—



**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
150001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	05503-15	Barrett Brownless	Staff recom fld; awaiting order	FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 09-041-4-4. [x-ref DNs 04935-09, 04936-09; and 05834-13 (returned to source)]	✓ —	—
		05815-15	Barrett Brownless	NOI filed; awaiting request	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for TECO, for hedging activities audit. (Audit Control No. 15-051-2-2)	✓ —	—
		05860-15	Barrett Brownless	NOI filed; awaiting request	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for GPC, for hedging activities audit (Audit Control No. 15-051-1-1).	✓ —	—
		05862-15	Barrett Brownless	NOI filed; awaiting request	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Duke Energy, for hedging activities audit (Audit Control No. 15-051-2-1).	✓ —	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
150001-EI	Fuel and purchased power cost recovery clause with generating performance incentive factor.	05934-15	Barrett Brownless	NOI filed; awaiting request	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for hedging activities audit (Audit Control No. 15-051-4-1).	✓	—
		06046-15	Barrett Brownless	Req for ext of classification fld	FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 10-004-4-3. [x-ref. DNs 05711-10 and 05725-13]	✓	—
		06207-15	Barrett Brownless	Req for ext of classification fld	FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 08-003-4-2. [x-ref. 03913-08, 03914-08 and 03915-08]	—	✓
150002-EG	Energy conservation cost recovery clause.	01961-15	Lingo Tan	Staff recom fld; awaiting order	FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit [Control] No. 04-070-4-1. [See DNs 08245-04 and 00536-13]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
150002-EG	Energy conservation cost recovery clause.	03663-15	Lingo Tan	Staff recom fld; awaiting order	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for energy conservation cost recovery clause audit (Audit Control No. 15-013-4-1). [x-ref DN 04140-15]	✓	—
		04140-15	Lingo Tan	Staff recom fld; awaiting order	FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 15-013-4-1. [x-ref DN 03663-15]	✓	—
150003-GU	Purchased gas adjustment (PGA) true-up.	02990-15	Draper Mapp	Staff recom fld; awaiting order	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for PGS, for purchased gas cost recovery clause audit (Audit Control No. 15-014-2-3). [x-ref DN 03462-15 (returned to source) and 04449-15]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
150003-GU	Purchased gas adjustment (PGA) true-up.	04449-15	Draper Mapp	Staff recom fld; awaiting order	PGS (Watson) - (CONFIDENTIAL) Highlighted portions of audit work papers related to Audit Control No. 15-014-2-3. [x-ref DNs 02990-15 and 03462-15 (returned to source)]	✓	—
150007-EI	Environmental cost recovery clause.	02071-15	Graves Murphy	Order issued	FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 13-015-4-1. [See DN 03139-13; DN 03519-13 returned to source]	✓	—
		03557-15	Graves Murphy	Staff recom fld; awaiting order	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for environmental cost recovery clause audit (Audit Control No. 15-014-4-1). [x-ref DN 04027-15]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
150007-EI	Environmental cost recovery clause.	04027-15	Graves Murphy	Staff recom fld; awaiting order	FPL (Moncada) - (CONFIDENTIAL) Work papers with respect to Audit No. 15-014-4-1. [x-ref DN 03557-15]	✓	—
150009-EI	Nuclear cost recovery clause.	00940-15	Hinton Barrera	Order issued	APA/Rich - (CONFIDENTIAL) Work papers used in preparation of staff's report titled review of FPL's project management controls for nuclear plant uprate and construction projects. (Audit Control No. PA-14-01-001) [See DN 04981-14].	✓	—
		01019-15	Hinton Barrera	Order issued	APA/Coston - (CONFIDENTIAL) Workpapers used in preparation of staff's report titles review of Progress Energy Florida Inc.'s project management controls for nuclear plant uprate and	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		01022-15	Hinton Barrera	Order issued	construction projects (PA-11-11-004). [See DN 07239-12]. APA (Rich) - (CONFIDENTIAL) Confidential workpapers used in preparation of staff's report titled review of FPL's project management internal controls for nuclear plant uprate and construction projects (PA-11-01-005) [sic]. [See DNs 07083-12 and 07668-12].	✓ —	—
		03598-15	Hinton Barrera	Staff recom fld; awaiting order	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Duke Energy, for nuclear cost recovery clause audit (Audit Control No. 15-005-2-2).	✓ —	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF/ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
150009-EI	Nuclear cost recovery clause.	03600-15	Hinton Barrera	Staff recom fld; awaiting order	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Duke Energy, for nuclear cost recovery clause audit (Audit Control No. 15-005-2-1). [x-ref DNs 03881-15 (returned to source) and 04566-15]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		03639-15	Hinton Barrera	Staff recom fld; awaiting order	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for nuclear cost recovery clause audit (Audit Control No. 15-005-4-1). [x-ref DN 04142-15]	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		03676-15	Hinton Barrera	Staff recom fld; awaiting order	FPL (Cano) - (CONFIDENTIAL) Portions of Audit Report [No.] PA 15-01-002, titled review of FPL's project management internal controls for Turkey Point 6 & 7	<input checked="" type="checkbox"/>	<input type="checkbox"/>

## Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015

### Office of Auditing and Performance Analysis

<u>DOCKET</u>	<u>DOCKET TITLE</u>	<u>DOCUMENT</u>	<u>LEAD STF./ATT.</u>	<u>STATUS</u>	<u>DESCRIPTION</u>	<u>RETAIN</u>	<u>RETURN</u>
		03768-15	Hinton Barrera	Req forwarded to staff for recom	construction. Duke Energy (Walls) - (CONFIDENTIAL) Portions of the final audit report of staff's auditors review of Duke Energy's project management internal controls for nuclear plant uprate and construction projects, Audit Report No. PA-15-01-001.	✓ —	—
		03814-15	Hinton Barrera	Filed by staff	[x-ref. DN 06093-15] GCL/Mapp - (CONFIDENTIAL) Highlighted exhibit to direct testimony of William Coston [DN 03810-15].	✓ —	—
		03815-15	Hinton Barrera	Filed by staff	GCL/Mapp - (CONFIDENTIAL) Highlighted exhibit to direct testimony of David Rich [DN 03811-15].	✓ —	—



**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
150009-EI	Nuclear cost recovery clause.	04142-15	Hinton Barrera	Staff recom fld; awaiting order	FPL (Cano) - (CONFIDENTIAL) Certain information provided to staff, pursuant to Audit 15-005-4-1. [x-ref DN 03639-15]	✓	—
		04566-15	Hinton Barrera	Staff recom fld; awaiting order	Duke Energy (Gamba) - (CONFIDENTIAL) Portions of staff-generated financial audit workpapers. (Audit Control No. 15-005-2-1) [x-ref DNs 03600-15 and 03881-15 (returned to source)]	✓	—
		06093-15	Hinton Barrera	Req forwarded to staff for recom	Duke Energy (Bernier) - (CONFIDENTIAL) Information contained staff's workpapers for Audit No. 15-01-001, specifically page 141, subparagraph i. [x-ref DN 03768-15]	✓	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
150102-SU	Application for increase in wastewater rates in Charlotte County by Utilities, Inc. of Sandalhaven.	05818-15	Bruce Brownless	NOI filed; awaiting request	APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Utilities, Inc. for affiliated transactions audit (Audit Control No. 15-175-1-2).	✓ —	—
150148-EI	Petition for approval to include in base rates the revenue requirement for the CR3 regulatory asset, by Duke Energy Florida, Inc.	04956-15	Fletcher Young	Staff recom fld; awaiting order	APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for Duke Energy, for review of CR3 regulatory asset audit (Audit Control No. 15-161-2-1). [x-ref. DN 05290-15 (150171-EI)]	✓ —	—
150171-EI	Petition for issuance of nuclear asset-recovery financing order, by Duke Energy Florida, Inc. d/b/a Duke Energy.	05290-15	Cicchetti Gervasi	Staff recom fld; awaiting order	Duke Energy (Triplet) - (CONFIDENTIAL) Portions of staff auditors' workpapers, which contain contractual, financial, vendor, and employee information; provided on CD only. (Audit	✓ —	—

**Inventory of Confidential Documents by Responsible Division/Office as of 10/02/2015**

**Office of Auditing and Performance Analysis**

<b><u>DOCKET</u></b>	<b><u>DOCKET TITLE</u></b>	<b><u>DOCUMENT</u></b>	<b><u>LEAD STF./ATT.</u></b>	<b><u>STATUS</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>RETAIN</u></b>	<b><u>RETURN</u></b>
					Control No. 15-161-2-1) [x-ref. DN 04956-15 (150148-ED)]		

Total number of records: 501