

State of Florida



Public Service Commission

CAPITAL CIRCLE OFFICE CENTER • 2540 SHUMARD OAK BOULEVARD
TALLAHASSEE, FLORIDA 32399-0850

-M-E-M-O-R-A-N-D-U-M-

DATE: August 2, 2016
TO: Angela Charles, Office of Commission Clerk
FROM: Dale N. Mailhot, Director, Office of Auditing and Performance Analysis *DM*
RE: Inventory of Confidential Documents as of July 07, 2016

Attached is the inventory of confidential documents as of July 07, 2016 identifying whether each document should be retained at the Commission or returned to the source. Of the 350 documents listed, 347 should be retained. Two document numbers 06454-08 and 04938-09 should be destroyed. (See Document No. 04233-16 for details). One document number 05503-15 should be returned. (See Document No. 04233-16 for details).

RECEIVED-FPSC
2016 AUG -2 PM 3:50
COMMISSION
CLERK

cc: Apryl Lynn (Without attachment)
Mark Futrell (Without attachment)
Theresa Walsh (Without attachment)

DM/hv

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|------------------------------------|--|---------------|------------------|
| 080009-EI | Nuclear cost recovery clause. | 06454-08 | Breman Bennett | Staff recom fld; awaiting order | RCA/Vandiver - (CONFIDENTIAL) Working papers Vol 2 of 2 of audit report for Progress, for nuclear cost recovery clause Levy Units 1 and 2 - construction cost (Audit Control No. 08-087-2-1). [x-ref DNs 06453-08 and 06955-08] | — | ✓ (Retention) |
| 080677-EI | Petition for increase in rates by Florida Power & Light Company. | 06926-09 | Slemkewicz Bennett | Staff recom fld; awaiting order | RCP/Mailhot - (CONFIDENTIAL) Working papers, Binder 10 of 17 for FPL, for Rate Audit (Audit Control No. 09-110-4-1). (x-ref DNs 07819-10 and 11550-09) | ✓ | — |
| | | 06927-09 | Slemkewicz Bennett | Staff recom fld; awaiting order | RCP/Mailhot - (CONFIDENTIAL) Working papers Binder 11 of 17 for FPL, for Rate Audit (Audit Control No. 09-110-4-1). | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---------------------|-----------------|-----------------------|------------------------------------|---|---------------|---------------|
| | | 06928-09 | Slemkewicz Bennett | Staff recom fld; awaiting order | (x-ref DNs 07819-10 and 11550-09) RCP/Mailhot - (CONFIDENTIAL) Working papers Binder 14 of 17 for FPL, for Rate Audit (Audit Control No. 09-110-4-1). (x-ref DNs 07819-10 and 11550-09) RCP/Mailhot - (CONFIDENTIAL) Working papers Binder 15 of 17 for FPL, for Rate Audit (Audit Control No. 09-110-4-1). (x-ref DNs 07819-10 and 11550-09) RCP/Mailhot - (CONFIDENTIAL) Working papers Binder 12 of 17 for FPL, for Rate Audit (Audit Control No. 09-110-4-1). (x-ref DNs 07819-10 and | ✓ | — |
| | | 06929-09 | Slemkewicz Bennett | Staff recom fld; awaiting order | (x-ref DNs 07819-10 and 11550-09) RCP/Mailhot - (CONFIDENTIAL) Working papers Binder 15 of 17 for FPL, for Rate Audit (Audit Control No. 09-110-4-1). (x-ref DNs 07819-10 and 11550-09) RCP/Mailhot - (CONFIDENTIAL) Working papers Binder 12 of 17 for FPL, for Rate Audit (Audit Control No. 09-110-4-1). (x-ref DNs 07819-10 and | ✓ | — |
| | | 06930-09 | Slemkewicz Bennett | Staff recom fld; awaiting order | (x-ref DNs 07819-10 and 11550-09) RCP/Mailhot - (CONFIDENTIAL) Working papers Binder 12 of 17 for FPL, for Rate Audit (Audit Control No. 09-110-4-1). (x-ref DNs 07819-10 and | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---------------------|-----------------|-----------------------|------------------------------------|--|---------------|---------------|
| | | 06931-09 | Slemkewicz Bennett | Staff recom fld; awaiting order | 11550-09) RCP/Mailhot - (CONFIDENTIAL) Working papers Binder 13 of 17 for FPL, for Rate Audit (Audit Control No. 09-110-4-1). (x-ref DNs 07819-10 and 11550-09) | ✓ | — |
| | | 06932-09 | Slemkewicz Bennett | Staff recom fld; awaiting order | RCP/Mailhot - (CONFIDENTIAL) Working papers Binder 16 of 17 for FPL, for Rate Audit (Audit Control No. 09-110-4-1). (x-ref DNs 07819-10 and 11550-09) | ✓ | — |
| | | 06933-09 | Slemkewicz Bennett | Staff recom fld; awaiting order | RCP/Mailhot - (CONFIDENTIAL) Working papers Binder 17 of 17 for FPL, for Rate Audit (Audit Control No. 09-110-4-1). (x-ref DNs 07819-10 and 11550-09) | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|--|-----------------|-----------------------|------------------------------------|---|---------------|---------------|
| 080677-EI | Petition for increase in rates by Florida Power & Light Company. | 07819-09 | Slemkewicz Bennett | Staff recom fld; awaiting order | FPL (Kaufer) - (CONFIDENTIAL) Certain information in working papers provided in connection with Audit No. 09-110-4-1. [See DNs 06926-09, 06927-09, 06928-09, 06929-09, 06930-09, 06931-09, 06932-09, 06933-09, and 11550-09] | ✓ | — |
| | | 11550-09 | Slemkewicz Bennett | Staff recom fld; awaiting order | FPL (Kaufer) - (CONFIDENTIAL) Revised pages of work papers for Audit Control No. 09-110-4-1. [See DNs 06926-09, 06927-09, 06928-09, 06929-09, 06930-09, 06931-09, 06932-09, 06933-09, and 07819-09] [CLK note: Revised pages are Exh A to | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|--|-----------------|-----------------------|------------------------------------|---|---------------|-----------------|
| | | 06745-13 | Slemkewicz Bennett | Staff recom fld; awaiting order | replace similarly numbered pages in Exh A (DN 07819-09), filed 7/30/09 with request for confidential classification (DN 07818-09).] FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit No. 09-110-4-1. [x-ref. DNs 06926-09, 06927-09, 06928-09, 06929-09, 06930-09, 06931-09, 06932-09, 06933-09, 07819-09, and 11550-09] RCP/Mailhot - (CONFIDENTIAL) Working papers Vol 3 of 3 for FPL, for Capacity Cost Recovery Clause Audit (Audit Control No. 09-041-4-3). | ✓ | — |
| 090001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 04938-09 | Lester Bennett | Staff recom fld; awaiting order | | — | ✓ (11550-09) |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---------------------|-----------------|-----------------------|---------------------------------|---|---------------|---------------|
| | | 10203-09 | Lester Bennett | Staff recom fld; awaiting order | [x-ref. DNs 05482-09 (returned to source); 05643-13 (returned to source) and 04888-15] RCP/Mailhot - (CONFIDENTIAL) Working papers Volume 2 of 3 for FPL, for hedging audit from August 2008-2009 (Audit Control No. 09-190-4-1). | ✓ | — |
| | | 10204-09 | Lester Bennett | Staff recom fld; awaiting order | [x-ref DNs 10204-09 and 05469-15; and 10751-09 (returned to the source)] RCP/Mailhot - (CONFIDENTIAL) Working papers Volume 3 of 3 for FPL, for hedging audit from August 2008-2009 (Audit Control No. 09-190-4-1). | ✓ | — |
| | | | | | [x-ref DNs 10203-09 and 05469-15; and 10751-09 (returned to the source)] | | |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|---------------------------------|---|---------------|---------------|
| 090002-EG | Energy conservation cost recovery clause. | 07900-09 | Higgins Fleming | Order issued | RCP/Mailhot - (CONFIDENTIAL) Working papers Volume 3 of 3 for FPL, for A3b: Energy Conservation Cost Recovery Audit (Audit Control No. 09-028-4-1). [x-ref. DN 00516-13; DNs 08665-09 and 01281-10 returned to the source.] | ✓ | — |
| 090007-EI | Environmental cost recovery clause. | 09908-09 | Wu Brown | Staff recom fld; awaiting order | RCP/Mailhot - (CONFIDENTIAL) Working papers Vol 2 of 2 for FPL, for environmental cost recovery supplemental audit (Audit Control No. 09-173-4-1). [See DN 10557-09] | ✓ | — |
| | | 10557-09 | Wu Brown | Staff recom fld; awaiting order | FPL (Kaufer) - (CONFIDENTIAL) Certain information provided to staff in connection with supplemental audit (Audit Control No. 09-173-4-1). [See | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|---------------------------------|---|---------------|---------------|
| 090130-EI | 2009 depreciation and dismantlement study by Florida Power & Light Company. | 07819-09 | Lee Bennett | Staff recom fld; awaiting order | DN 09908-09] FPL (Kaufer) - (CONFIDENTIAL) Certain information in working papers provided in connection with Audit No. 09-110-4-1. [See DNs 06926-09, 06927-09, 06928-09, 06929-09, 06930-09, 06931-09, 06932-09, 06933-09, and 11550-09] | ✓ | — |
| 100001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 05711-10 | Barrett Saylor | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 3 of 3 for FPL for capacity cost recovery clause audit (Audit Control No. 10-004-4-3). [x-ref. DNs 05725-13 (returned to the source) and 06046-15; and 06189-10 (returned to source)] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|---------------------------------|---|---------------|---------------|
| 100001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 05823-10 | Barrett Sayler | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 3 of 5 for FPL for fuel cost recovery clause audit (Audit Control No. 10-004-4-1). (x-ref. DNs 06422-10 (returned to source), 05677-13 (returned to source) and 04881-15) | ✓ | — |
| | | 05824-10 | Barrett Sayler | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 4 of 5 for FPL for fuel cost recovery clause audit (Audit Control No. 10-004-4-1). (x-ref. DNs 06422-10 (returned to source), 05677-13 (returned to source) and 04881-15) | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|---------------------------------|---|---------------|---------------|
| 100001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 05825-10 | Barrett Saylor | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 5 of 5 for FPL for fuel cost recovery clause audit (Audit Control No. 10-004-4-1). (x-ref. DNs 06422-10 (returned to source), 05677-13 (returned to source) and 04881-15) | ✓ — | — |
| | | 07986-10 | Barrett Saylor | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 of 4 for FPL for 8/09 - 7/10 hedging audit (Audit Control No. 10-130-4-1). [x-ref DNs 07987-10, 07988-10, 08555-10 (returned to the source) and 04953-15] | ✓ — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|---------------------------------|---|---------------|---------------|
| 100001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 07987-10 | Barrett Sayler | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 3 of 4 for FPL for 8/09 - 7/10 hedging audit (Audit Control No. 10-130-4-1). [x-ref DNs 07986-10, 07988-10, 08555-10 (returned to the source) and 04953-15] | ✓ | — |
| | | 07988-10 | Barrett Sayler | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 4 of 4 for FPL for 8/09 - 7/10 hedging audit (Audit Control No. 10-130-4-1). [x-ref DNs 07986-10, 07987-10, 08555-10 (returned to the source) and 04953-15] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|------------------------------------|---|---------------|---------------|
| 100002-EG | Energy conservation cost recovery clause. | 04844-10 | Higgins Fleming | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 3 of 3 for FPL for energy conservation cost recovery audit (Audit Control No. 09-350-4-1). [x-ref. DN 02085-14; x-ref. DNs 05373-10 and 06537-12 returned to the source] | ✓ | — |
| 100003-GU | Purchased gas adjustment (PGA) true-up. | 06132-10 | Lester Fleming | Order issued | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 of 2 for FCG for purchased gas cost recovery clause audit (Audit Control No. 10-007-4-1). (x-ref DN 06867-10) | ✓ | — |
| | | 06867-10 | Lester Fleming | Order issued | FCG (Keating) - (CONFIDENTIAL) Certain material in staff's audit workpapers, Audit Control No. 10-007-4-1. (x-ref DN 06132-10) | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|-------------------------------------|-----------------|-----------------------|------------------------------------|--|---------------|---------------|
| 100007-EI | Environmental cost recovery clause. | 05266-10 | Wu Brown | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 4 of 5 for FPL for environmental cost recovery clause audit (Audit Control No. 09-363-4-1). (x-ref DNs 05786-10 and 09124-10) | ✓ | — |
| | | 05269-10 | Wu Brown | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 5 of 5 for FPL for environmental cost recovery clause audit (Audit Control No. 09-363-4-1). (x-ref DNs 05786-10 and 09124-10) | ✓ | — |
| | | 05786-10 | Wu Brown | Staff recom fld; awaiting order | FPL (Kaufer) - (CONFIDENTIAL) Certain audit work papers provided to staff pursuant to Audit No. 09-363-4-1. [See DNs 05266-10, 05269-10, and 09124-10.] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|-------------------------------------|-----------------|-----------------------|---------------------------------|---|---------------|---------------|
| 100007-EI | Environmental cost recovery clause. | 09124-10 | Wu Brown | Staff recom fld; awaiting order | FPL (Kaufer) - (CONFIDENTIAL) Certain audit work papers provided to staff pursuant to Audit No. 09-363-4-1. [See DNs 05266-10, 05269-10, and 05786-10] | <u>✓</u> | — |
| 100009-EI | Nuclear cost recovery clause. | 03936-10 | Breman Young | Order issued | APA/Mailhot - (CONFIDENTIAL) Working papers Vol 2 of 2 for Progress for Levy Units 1 and 2 nuclear cost recovery clause audit (Audit Control No. 10-006-2-1). (x-ref DN 04148-10) | <u>✓</u> | — |
| | | 04028-10 | Breman Young | Order issued | APA/Mailhot - (CONFIDENTIAL) Working papers Vol 3 of 6 for FPL for extended power uprate nuclear cost recovery clause audit (Audit Control No. 10-006-4-1). (x-ref DNs 04029-10, | <u>✓</u> | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---------------------|-----------------|-----------------------|---------------|---|---------------|---------------|
| | | 04029-10 | Breman Young | Order issued | 04030-10, 04031-10 and 04564-10) APA/Mailhot - (CONFIDENTIAL) Working papers Vol 4 of 6 for FPL for extended power uprate nuclear cost recovery clause audit (Audit Control No. 10-006-4-1). (x-ref DNs 04028-10, 04030-10, 04031-10 and 04564-10) | ✓ — | — |
| | | 04030-10 | Breman Young | Order issued | 04030-10, 04031-10 and 04564-10) APA/Mailhot - (CONFIDENTIAL) Working papers Vol 5 of 6 for FPL for extended power uprate nuclear cost recovery clause audit (Audit Control No. 10-006-4-1). (x-ref DNs 04028-10, 04029-10, 04031-10 and 04564-10) | ✓ — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|-------------------------------|-----------------|-----------------------|---------------|---|---------------|---------------|
| 100009-EI | Nuclear cost recovery clause. | 04031-10 | Breman Young | Order issued | APA/Mailhot - (CONFIDENTIAL) Working papers Vol 6 of 6 for FPL for extended power uprate nuclear cost recovery clause audit (Audit Control No. 10-006-4-1). (x-ref DNs 04028-10, 04029-10, 04030-10 and 04564-10) | ✓ | — |
| | | 04033-10 | Breman Young | Order issued | APA/Mailhot - (CONFIDENTIAL) Working papers Vol 3 of 5 for FPL for Turkey Point Units 6 and 7 nuclear cost recovery clause audit (Audit Control No. 10-006-4-2). (x-ref DNs 04034-10, 04035-10 and 04561-10) | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|-------------------------------|-----------------|-----------------------|---------------|--|---------------|---------------|
| 100009-EI | Nuclear cost recovery clause. | 04034-10 | Breman Young | Order issued | APA/Mailhot - (CONFIDENTIAL) Working papers Vol 4 of 5 for FPL for Turkey Point Units 6 and 7 nuclear cost recovery clause audit (Audit Control No. 10-006-4-2). (x-ref DNs 04033-10, 04035-10 and 04561-10) | ✓ | — |
| | | 04035-10 | Breman Young | Order issued | APA/Mailhot - (CONFIDENTIAL) Working papers Vol 5 of 5 for FPL for Turkey Point Units 6 and 7 nuclear cost recovery clause audit (Audit Control No. 10-006-4-2). (x-ref DNs 04033-10, 04034-10 and 04561-10) | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|-------------------------------|-----------------|-----------------------|---------------|---|-------------------------------------|--------------------------|
| 100009-EI | Nuclear cost recovery clause. | 04148-10 | Breman Young | Order issued | Progress (Huhta) - (CONFIDENTIAL) Portions of documents and information provided in response to staff's audit review (Audit Control No. 10-006-2-1). (x-ref DN 03936-10) | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | | 04557-10 | Breman Young | Order issued | APA/Mailhot - (CONFIDENTIAL) Working papers Vol 2 of 3 for Progress for CR3 uprate nuclear cost recovery clause audit (Audit Control No. 10-006-2-2). [x-ref DNs 04930-10 (returned to source) and 07369-15] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | | 04561-10 | Breman Young | Order issued | FPL (Cano) - (CONFIDENTIAL) Certain information provided to staff in connection with staff's Audit Control No. | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|------------------------------------|--|---------------|---------------|
| | | 04564-10 | Breman Young | Order issued | 10-006-4-2. (x-ref DNs 04033-10, 04034-10, and 04035-10) FPL (Cano) - (CONFIDENTIAL) Certain information provided to staff in connection with staff's Audit Control No. 10-006-4-1. (x-ref DNs 04028-10, 04029-10, 04030-10, and 04031-10) | ✓ | — |
| 100077-EI | Investigation of the appropriateness of the affiliate product offerings to Florida Power & Light customers. | 08454-10 | Slemkewicz Bennett | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 5 of 7 for affiliated transactions audit for FPL (Audit Control No. 10-207-4-1). (x-ref DN 08987-10) | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|------------------------------------|--|-------------------------------------|---------------|
| 100077-EI | Investigation of the appropriateness of the affiliate product offerings to Florida Power & Light customers. | 08455-10 | Slemkewicz Bennett | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 6 of 7 for affiliated transactions audit for FPL (Audit Control No. 10-207-4-1). (x-ref DN 08987-10) | <input checked="" type="checkbox"/> | — |
| | | 08456-10 | Slemkewicz Bennett | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 7 of 7 for affiliated transactions audit for FPL (Audit Control No. 10-207-4-1). (x-ref DN 08987-10) | <input checked="" type="checkbox"/> | — |
| | | 08987-10 | Slemkewicz Bennett | Staff recom fld; awaiting order | FPL (Kaufer) - (CONFIDENTIAL) Certain audit work papers provided to staff pursuant to Audit No. 10-207-4-1. (x-ref DNs 08454-10, 08455-10, and 08456-10) | <input checked="" type="checkbox"/> | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|------------------------------|-----------------|------------------------------|------------------------|---|---------------|---------------|
| 110000-OT | Undocketed filings for 2011. | 00872-11 | No lead stf. No lead att. | Under 5-year retention | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 of 2 for PaeTec Communications, Inc. for RAF review (TX234). (Audit Control No. 10-179-4-3). | ✓ — | — |
| | | 00873-11 | No lead stf. No lead att. | Under 5-year retention | APA/Prestwood - (CONFIDENTIAL) Audit Report for PaeTec Communications, Inc. for RAF review (TX234). (Audit Control No. 10-179-4-3). | ✓ — | — |
| | | 02082-11 | No lead stf. No lead att. | Under 5-year retention | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 of 2 for US LEC of Florida d/b/a PAETEC for RAF review (TJ057). (Audit Control No. 10-179-4-7). | ✓ — | — |
| | | 02084-11 | No lead stf. No lead att. | Under 5-year retention | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 of 2 for US LEC of Florida d/b/a PAETEC for RAF review (TX165). (Audit Control No. | ✓ — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---------------------|-----------------|------------------------------|---------------------------|---|-------------------------------------|--------------------------|
| | | 06551-11 | No lead stf. No lead att. | Under 5-year retention | 10-179-4-2). Cox (Keating) - (CONFIDENTIAL) Information produced to staff in conjunction with staff audits (Audit Control No. 11-160-4-1) of RAF forms, pursuant to Section 364.183, FS and Rule 25-22.006, FAC. [x-ref. DN 06665-11] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | | 06665-11 | No lead stf. No lead att. | Under 5-year retention | APA/Prestwood - (CONFIDENTIAL) Audit working papers Vol 1 of 1 for Cox Florida Telecom, LP d/b/a Cox Communications (TA027) of audit for verification of 2010 revenues and RAFs reported to the Commission. (Audit Control No. 11-160-4-1). [x-ref. DN 06551-11] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|------------------------------|-----------------|------------------------------|------------------------|---|---------------|---------------|
| 110000-OT | Undocketed filings for 2011. | 06667-11 | No lead stf. No lead att. | Under 5-year retention | APA/Prestwood - (CONFIDENTIAL) Audit working papers Vol 1 of 1 for Cox Florida Telecom, LP d/b/a Cox Communications (TK015) of audit to verify 2010 revenues and RAFs reported to the Commission. (Audit Control No. 11-160-4-2). | ✓ | — |
| | | 08370-11 | No lead stf. No lead att. | Under 5-year retention | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Birch for RAF audit (Audit Control No. 11-160-4-4). [x-ref. DN 08727-11] | ✓ | — |
| | | 08372-11 | No lead stf. No lead att. | Under 5-year retention | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Birch for RAF audit (Audit Control No. 11-160-4-3). [x-ref. DN 08727-11] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|------------------------------|-----------------|------------------------------|------------------------|---|---------------|---------------|
| 110000-OT | Undocketed filings for 2011. | 08476-11 | No lead stf. No lead att. | Under 5-year retention | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 of 2 for TW Telecom, for RAF audit (TA013; Audit Control No. 11-160-4-7). [x-ref. DN 08863-11] | ✓ — | — |
| | | 08478-11 | No lead stf. No lead att. | Under 5-year retention | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 [of 2] for TW Telecom, for RAF return audit (TJ051; Audit Control No. 11-160-4-8). [x-ref. DN 08866-11] | ✓ — | — |
| | | 08727-11 | No lead stf. No lead att. | Under 5-year retention | Birch Communications, Inc. (Feil) - (CONFIDENTIAL) Information contained in staff audit workpapers (Audit Control Nos. 11-160-4-4 and 11-160-4-3). [x-ref. DNs 08370-11 and 08372-11] | ✓ — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|------------------------------|------------------------|---|---------------|---------------|
| 110000-OT | Undocketed filings for 2011. | 08863-11 | No lead stf. No lead att. | Under 5-year retention | tw telecom (Forster) - (CONFIDENTIAL) Audit work documents regarding 2010 revenues and RAFs (TA013; Control No. 11-160-4-7). [x-ref. DN 08476-11] | ✓ | — |
| | | 08866-11 | No lead stf. No lead att. | Under 5-year retention | tw telecom (Forster) - (CONFIDENTIAL) Audit work documents regarding 2010 revenues and RAFs (TJ051; Audit Control No. 11-160-4-8). [x-ref. DN 08478-11] | ✓ | — |
| 110001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 03689-11 | Barrett Bennett | Order issued | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 3 of 3 for FPL for fuel cost recovery clause audit (Audit Control No. 11-006-4-2). [See DNs 04444-13 (returned to source) and | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---------------------|-----------------|-----------------------|------------------------------------|--|---------------|---------------|
| | | 03957-11 | Barrett Bennett | Staff recom fld; awaiting order | 01959-15] APA/Prestwood - (CONFIDENTIAL) Volume 2 of audit workpapers for GPC. (Audit Control No. 11-006-1-1) [See DN 04475-11] | ✓ — | — |
| | | 03959-11 | Barrett Bennett | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Volume 3 of audit workpapers for GPC. (Audit Control No. 11-006-1-2) [See DN 04475-11] | ✓ — | — |
| | | 04369-11 | Barrett Bennett | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 3 of 4 for FPL, for capacity cost recovery clause (Audit Control No. 11-006-4-1). [See DNs 04370-11, 04780-11, and 02073-15] | ✓ — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|------------------------------------|--|---------------|---------------|
| 110001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 04370-11 | Barrett Bennett | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 4 of 4 for FPL, for capacity cost recovery clause (Audit Control No. 11-006-4-1). [See DNs 04369-11, 04780-11, and 02073-15] | ✓ | — |
| | | 04475-11 | Barrett Bennett | Staff recom fld; awaiting order | GPC (Griffin) - (CONFIDENTIAL) Certain documents produced in connection with review of 2010 fuel and capacity expenditures (Audit Control Nos. 11-006-1-2 and 11-006-2-2 [sic]). [CLK note: Correct Audit Control Nos. are 11-006-1-2 and 11-006-1-1; see DNs 03957-11 and 03959-11.] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|------------------------------------|---|---------------|---------------|
| 110001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 06727-11 | Barrett Bennett | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 of 4 for FPL, for hedging activities, 8/10 to 7/11 (Audit Control No. 11-129-4-2). [See DNs 06728-11, 06729-11, 07322-11, and 01965-15] | ✓ — | — |
| | | 06728-11 | Barrett Bennett | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 3 of 4 for FPL, for hedging activities, 8/10 to 7/11 (Audit Control No. 11-129-4-2). [See DNs 06727-11, 06729-11, 07322-11, and 01965-15] | ✓ — | — |
| | | 06729-11 | Barrett Bennett | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 4 of 4 for FPL, for hedging activities, 8/10 to 7/11 (Audit Control No. 11-129-4-2). [See | ✓ — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|---------------------------------|---|---------------|---------------|
| 110002-EG | Energy conservation cost recovery clause. | 04114-11 | Higgins Tan | Order issued | DNs 06727-11, 06728-11, 07322-11, and 01965-15] APA/Prestwood - (CONFIDENTIAL) Working papers Vol 3 [of 3] for FPL (Audit Control No. 11-005-4-4). [x-ref. DN 02568-13; x-ref. DN 04575-11 returned to source]. | ✓ | — |
| 110007-EI | Environmental cost recovery clause. | 03548-11 | Wu Brown | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 5 of 6 for FPL for environmental cost recovery audit (Audit Control No. 11-005-4-3). [See DN 03910-11] | ✓ | — |
| | | 03549-11 | Wu Brown | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 6 of 6 for FPL for environmental cost recovery audit (Audit Control No. 11-005-4-3). [See DN 03910-11] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|-------------------------------------|-----------------|-----------------------|---------------------------------|---|---------------|---------------|
| 110007-EI | Environmental cost recovery clause. | 03567-11 | Wu Brown | Order issued | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 of 2 for GPC for environmental cost recovery audit. (Audit Control No. 11-005-1-2) [See DN 04035-11] | ✓ | — |
| | | 03910-11 | Wu Brown | Staff recom fld; awaiting order | FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 11-005-4-3. [See DNs 03548-11 and 03549-11; and DN 07512-11 for replacement pages] | ✓ | — |
| | | 04035-11 | Wu Brown | Order issued | GPC (Griffin) - (CONFIDENTIAL) Documents produced by GPC in connection with a review of GPC's 2010 expenditures (Audit Control No. 11-005-1-2). [x-ref DN 03567-11] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|-------------------------------------|-----------------|-----------------------|------------------------------------|---|---------------|---------------|
| 110007-EI | Environmental cost recovery clause. | 07512-11 | Wu Brown | Staff recom fld; awaiting order | FPL (Moncada) - (CONFIDENTIAL) Modified highlighted specific working papers which contain information requiring confidential treatment was inadvertently unmarked when submitted; pages to replace corresponding numbered working papers [in DN 03910-11]. (Audit Control No. 11-005-4-3) | ✓ | — |
| 110009-EI | Nuclear cost recovery clause. | 02811-11 | Cibula Cibula | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 of 2 for Progress for nuclear cost recovery clause audit, Levy County Units 1 & 2 (Audit Control No. 11-024-2-2). [x-ref DNs 03196-11 (returned to source) and | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---------------------|-----------------|-----------------------|---------------|--|---------------|---------------|
| | | 03662-11 | Cibula Cibula | Order issued | 02311-16] APA/Prestwood - (CONFIDENTIAL) Working papers Vol 4 of 6 for FPL for nuclear cost recovery clause audit, Turkey Point Units 6 & 7 (Audit Control No. 11-024-4-1). [x-ref DNs 03663-11, 03664-11 and 04051-11] | ✓ | — |
| | | 03663-11 | Cibula Cibula | Order issued | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 5 of 6 for FPL for nuclear cost recovery clause audit, Turkey Point Units 6 & 7 (Audit Control No. 11-024-4-1). [x-ref DNs 03662-11, 03664-11 and 04051-11] | ✓ | — |
| | | 03664-11 | Cibula Cibula | Order issued | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 6 of 6 for FPL for nuclear cost recovery clause audit, Turkey Point Units 6 & 7 | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---------------------|-----------------|-----------------------|---------------|---|---------------|---------------|
| | | 03801-11 | Cibula Cibula | Order issued | (Audit Control No. 11-024-4-1). [x-ref DNs 03662-11, 03663-11 and 04051-11] APA/Prestwood - (CONFIDENTIAL) Working papers Vol 4 of 5 for FPL for nuclear cost recovery clause audit, extended power update | ✓ | — |
| | | 03802-11 | Cibula Cibula | Order issued | (Audit Control No. 11-024-4-2). [x-ref DNs 03802-11 and 04307-11] APA/Prestwood - (CONFIDENTIAL) Working papers Vol 5 of 5 for FPL for nuclear cost recovery clause audit, extended power update | ✓ | — |
| | | | | | (Audit Control No. 11-024-4-2). [x-ref DNs 03801-11 and 04307-11] | | |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|-------------------------------|-----------------|-----------------------|---------------------------------|--|-------------------------------------|---------------|
| 110009-EI | Nuclear cost recovery clause. | 04051-11 | Cibula Cibula | Order issued | FPL (Cano) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit Control No. 11-024-4-1. [x-ref DNs 03662-11, 03663-11, and 03664-11] | <input checked="" type="checkbox"/> | — |
| | | 04307-11 | Cibula Cibula | Order issued | FPL (Cano) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit No. 11-024-4-2. [x-ref DNs 03801-11 and 03802-11] | <input checked="" type="checkbox"/> | — |
| | | 04398-11 | Cibula Cibula | Order issued | FPL (Cano) - (CONFIDENTIAL) Internal controls audit report, Exh A of internal controls Audit Report PA-11-01-001]. | <input checked="" type="checkbox"/> | — |
| | | 04536-11 | Cibula Cibula | Staff recom fld; awaiting order | Progress (Huhta) - (CONFIDENTIAL) Portions of staff's audit report, the review of Progress' project management internal controls | <input checked="" type="checkbox"/> | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|--|-----------------|-----------------------|------------------------------------|--|---------------|---------------|
| | | 04761-11 | Cibula Cibula | Filed by staff | for nuclear plant uprate and construction project Audit Report No. PA-11-01-001; document provided on CD only. GCL/Norris - (CONFIDENTIAL) Exh CC-1 [to direct joint testimony of William Coston and Kevin Carpenter]. | ✓ | — |
| | | 04763-11 | Cibula Cibula | Under Protective Order | GCL/Norris - (CONFIDENTIAL) Exhs FR-1 and FR-2 [to direct joint testimony of Lynn Fisher and David Rich]. | ✓ | — |
| 110133-GU | Petition for approval of acquisition adjustment and recovery of regulatory assets, and request for consolidation of regulatory filings and records of Florida Public Utilities Company and Florida | 05685-11 | No lead stf. Young | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Audit working papers of FPUC earning review audit, Vol 3 of 3 (Audit Control No. 11-129-4-1). [x-ref. DN 06226-11] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|--|-----------------|-------------------------|--------------------------------------|--|---------------|---------------|
| | Division of Chesapeake Utilities Corporation. | 06226-11 | No lead stf. Young | Req for ext of classification fld | FPUC (Keating) - (CONFIDENTIAL) Information contained in the staff audit workpapers (Audit Control No. 11-129-4-1). [x-ref DN 05685-11] | ✓ — | — |
| 110138-EI | Petition for increase in rates by Gulf Power Company. | 07210-11 | No lead stf. Klancke | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 4 of 4 for GPC, for rate case (Audit Control No. 11-200-1-1). [x-ref DN 07856-11] | ✓ — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|---------------------------------|---|---------------|---------------|
| 110138-EI | Petition for increase in rates by Gulf Power Company. | 07856-11 | No lead stf. Klancke | Staff recom fld; awaiting order | GPC (Griffin) - (CONFIDENTIAL) Certain information produced in connection with staff's audit of company's request for an increase in rates (Audit Control No. 11-200-1-1). [x-ref DN 07210-11] | ✓ | — |
| 110153-SU | Application for increase in wastewater rates in Lee County by Utilities, Inc. of Eagle Ridge. | 06405-11 | Brown Barrera | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 3 of 3 for Utilities, Inc., for affiliated transactions audit (Audit Control No. 11-192-4-2). [x-ref. DN 09029-11] | ✓ | — |
| | | 09029-11 | Brown Barrera | Staff recom fld; awaiting order | Utilities, Inc. (Friedman) - (CONFIDENTIAL) Documents submitted in connection with responses to staff's audit (Audit Control No. 11-192-4-2). [CLK | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|--|-----------------|-----------------------|------------------------------------|--|---------------|---------------|
| 110257-WS | Application for increase in water and wastewater rates in Seminole County by Sanlando Utilities Corporation. | 00680-12 | Cicchetti Klancke | Staff recom fld; awaiting order | note: Part 2 of 2 of document returned to source, per DN 00501-12; x-ref. DN 06405-11] APA/Prestwood - (CONFIDENTIAL) Working papers Vol 5 [of 5] for Sanlando, for rate case audit (Audit Control No. 11-315-4-1). [x-ref. DN 01106-12] | ✓ | — |
| | | 01106-12 | Cicchetti Klancke | Staff recom fld; awaiting order | Sanlando (Friedman) - (CONFIDENTIAL) Documents submitted in connection with responses to staff's Audit Control No. 11-315-4-1. [x-ref. DN 00680-12] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|--|-----------------|-----------------------|------------------------------------|--|---------------|---------------|
| 110260-WS | Application for staff-assisted rate case in Lee County by Useppa Island Utilities Co., Inc. | 08838-11 | Springer Murphy | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 5 [of 5] for Useppa Island, for SARC audit (Audit Control No. 11-266-4-1). [x-ref. DN 00410-12] | ✓ | — |
| | | 00410-12 | Springer Murphy | Staff recom fld; awaiting order | Useppa (West) - (CONFIDENTIAL) Documents submitted in connection with responses to staff Audit Control No. 11-266-4-1. [x-ref. DN 08838-11] | ✓ | — |
| 110264-WS | Application for increase in water and wastewater rates in Pasco County by Labrador Utilities, Inc. | 08721-11 | Springer Brown | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 4 of 4 for Labrador for rate case audit (Audit Control No. 11-273-4-1). [x-ref. DN 09061-11] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|--|-----------------|-----------------------|------------------------------------|---|---------------|---------------|
| 110264-WS | Application for increase in water and wastewater rates in Pasco County by Labrador Utilities, Inc. | 09061-11 | Springer Brown | Staff recom fld; awaiting order | Labrador (Friedman) - (CONFIDENTIAL) Responses to staff's audit (Audit Control No. 11-273-4-1). [x-ref. DN 08721-11] | ✓ | — |
| 110321-EI | Gulf Power Company's request for confidential classification of document request responses and portions of audit staff's draft report entitled Coal Combustion Residual Storage and Disposal Processes of the Florida Electric Industry. | 02165-15 | Gervasi Gervasi | Order issued | GPC (Griffin) - (CONFIDENTIAL) Certain information produced in connection with the Commission's review of coal combustion residual storage and disposal processes of the Florida electric industry (PA-10-10-004). [x-ref. DNs 04808-13 (returned to source) and 06025-13 (returned to source); see DNs 08598-11 (returned to source) and 00049-12 (destroyed)] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|---------------|---|---------------|---------------|
| 110322-EI | Florida Power & Light Company's request for confidential classification of document request responses and portions of audit staff's draft report entitled Coal Combustion Residual Storage and Disposal Processes of the Florida Electric Industry. | 08740-11 | Gervasi Gervasi | Order issued | FPL (Butler) - (CONFIDENTIAL) Certain information included in Commission's review of coal combustion residual storage and disposal process of the Florida electric industry report; and information in data responses that staff reviewed in preparing the report ("confidential data responses"). [CLK note: Document transferred from 110000-OT to 110322-EI per DN 00054-12; x-ref DN 00055-12.] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|---------------|--|---------------|---------------|
| 110322-EI | Florida Power & Light Company's request for confidential classification of document request responses and portions of audit staff's draft report entitled Coal Combustion Residual Storage and Disposal Processes of the Florida Electric Industry. | 00055-12 | Gervasi Gervasi | Order issued | APA/Mailhot - (CONFIDENTIAL) Portions FPL's passages of staff's audit draft report entitled Coal Combustion Residual Storage and Disposal Processes of the Florida Electric Industry (GPC and Progress' information has been redacted). [CLK note: Provided on CD only; see confidential DN 08740-11.] | ✓ | — |
| 110323-EI | Duke Energy, Inc.'s request for confidential classification of document request responses and portions of audit staff's draft report entitled Coal Combustion Residual Storage and Disposal Processes of the Florida Electric | 08613-11 | Gervasi Gervasi | Order issued | Progress (Triplet) - (CONFIDENTIAL) Information contained in the Commission's review of coal combustion residual storage and disposal processes of the Florida electric industry and portions of | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---------------------|-----------------|-----------------------|---------------|---|---------------|---------------|
| | Industry. | | | | responses to data request 2, specifically certain information regarding Progress. [CLK note: Document transferred from 110000-OT to 110323-EI per DN 00051-12; see confidential DN 00052-12.] [x-ref DN 00052-12] APA/Mailhot - (CONFIDENTIAL) Portions Progress' passages of staff's audit draft report entitled Coal Combustion Residual Storage and Disposal Processes of the Florida Electric Industry (FPL and GPC's information has been redacted). [CLK note: Provided on CD only; see confidential DN 08613-11.] [x-ref | | |
| | | 00052-12 | Gervasi Gervasi | Order issued | | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|------------------------------|-----------------|------------------------------|------------------------|--|---------------|---------------|
| 120000-OT | Undocketed filings for 2012. | 07009-12 | No lead stf. No lead att. | Under 5-year retention | DN 08613-11] APA/Daniel - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Embarq Payphone, for RAF review audit (Audit Control No. 12-158-4-1). [See Confidential DN 08157-12] | ✓ | — |
| | | 07010-12 | No lead stf. No lead att. | Under 5-year retention | APA/Daniel - (CONFIDENTIAL) Working papers Vol 2 [of 2] for CenturyLink, for RAF review audit (Audit Control No. 12-158-4-4). [See confidential DN 08160-12] | ✓ | — |
| | | 07011-12 | No lead stf. No lead att. | Under 5-year retention | APA/Daniel - (CONFIDENTIAL) Working papers Vol 2 [of 2] for CenturyLink, for RAF review audit (Audit Control No. 12-158-4-8). [See confidential DN 08163-12] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|------------------------------|-----------------|------------------------------|------------------------|--|---------------|---------------|
| 120000-OT | Undocketed filings for 2012. | 07594-12 | No lead stf. No lead att. | Under 5-year retention | APA/Daniel - (CONFIDENTIAL) Working papers Vol 2 [of 2] for BellSouth Telecommunications, LLC d/b/a AT&T Florida d/b/a AT&T Southeast for RAF review audit (Audit Control No. 12-158-4-6). [See confidential DNs 08183-12, 00216-13, and 00450-13] | ✓ | — |
| | | 07596-12 | No lead stf. No lead att. | Under 5-year retention | APA/Daniel - (CONFIDENTIAL) Working papers Vol 2 [of 2] for BellSouth Telecommunications, LLC d/b/a AT&T Florida d/b/a AT&T Southeast for RAF review audit (Audit Control No. 12-158-4-3). [See confidential DN 08183-12] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|----------------------|------------------------------|------------------------|------------------------------|------------------------|---|----------------------|----------------------|
| 120000-OT | Undocketed filings for 2012. | 08157-12 | No lead stf. No lead att. | Under 5-year retention | CenturyLink (Masterton) - (CONFIDENTIAL) Documents provided to the Commission in connection with a revenue and RAF fee review audit for test year ended 12/31/11. (Audit Control No. 12-158-4-1) [See Confidential DN 07009-12] | <u>✓</u> | — |
| | | 08160-12 | No lead stf. No lead att. | Under 5-year retention | CenturyLink (Masterton) - (CONFIDENTIAL) Documents provided to the Commission in connection with a revenue and RAF fee review audit for test year ended 12/31/11. (Audit Control No. 12-158-4-4) [See confidential DN 07010-12] | <u>✓</u> | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|------------------------------|---------------------------------|---|---------------|---------------|
| 120000-OT | Undocketed filings for 2012. | 08163-12 | No lead stf. No lead att. | Under 5-year retention | CenturyLink (Masterton) - (CONFIDENTIAL) Documents provided to the Commission in connection with a revenue and RAF fee review audit for test year ended 12/31/11. (Audit Control No. 12-158-4-8) [See confidential DN 07011-12] | ✓ | — |
| 120001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 03171-12 | Barrett Barrera | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Volumes 3 and 4 [of 4] for FPL, for A3a capacity cost recovery clause audit (Audit Control No. 12-013-4-1). [CLK note: x-ref. DNs 01039-14 (returned to source); 03662-12, 04432-12 (returned to the source) and 07277-15.] | — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|------------------------------------|---|---------------|---------------|
| 120001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 03347-12 | Barrett Barrera | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 [of 2] for GPC, for A3a Capacity Cost Recovery Clause audit (Audit Control No. 12-013-1-1). [x-ref. DN 03922-12] | ✓ | — |
| | | 03454-12 | Barrett Barrera | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vols 3 and 4 of workpapers for FPL, for A3a Fuel Cost Recovery Clause audit (Audit Control No. 12-013-4-2). [x-ref. DNs 00967-14 (returned to the source), 06637-15, 07659-15 (part of Exh A); x-ref. DNs 04011-12 , 04858-12, and 05614-12 returned to source.] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|------------------------------------|---|---------------|---------------|
| 120001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 03482-12 | Barrett Barrera | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 3 [of 3] for GPC, for A3a fuel cost recovery clause audit (Audit Control No. 12-013-1-2). [x-ref. DN 04502-12] | ✓ | — |
| | | 03922-12 | Barrett Barrera | Staff recom fld; awaiting order | GPC (Griffin) - (CONFIDENTIAL) Certain documents produced in connection with a review of 2011 capacity expenditures. (Audit Control No. 12-013-1-1) [x-ref. DN 03347-12] | ✓ | — |
| | | 04502-12 | Barrett Barrera | Staff recom fld; awaiting order | GPC (Stone) - (CONFIDENTIAL) Certain documents produced in connection with a review of 2011 fuel and purchased power transactions (Audit Control No. 12-013-1-2). [x-ref. DN | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---------------------|-----------------|-----------------------|------------------------------------|--|---------------|---------------|
| | | 06402-12 | Barrett Barrera | Staff recom fld; awaiting order | 03482-12] APA/Daniel - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for A3a hedging audit (Audit Control No. 12-130-4-1). [x-ref. DN 06941-12] | ✓ | — |
| | | 06419-12 | Barrett Barrera | Staff recom fld; awaiting order | 06941-12] APA/Daniel - (CONFIDENTIAL) Working papers Vol 2 [of 2] for TECO, for A3a hedging audit (Audit Control No. 12-130-2-2). [x-ref. DN 06836-12] | ✓ | — |
| | | 06450-12 | Barrett Barrera | Staff recom fld; awaiting order | 06836-12] APA/Daniel - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Progress, for A3a hedging audit (Audit Control No. 12-130-2-1). [x-ref. DN 07019-12] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|----------------------|---|------------------------|------------------------------|------------------------------------|---|----------------------|----------------------|
| 120001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 06836-12 | Barrett Barrera | Staff recom fld; awaiting order | TECO (Beasley) - (CONFIDENTIAL) Work papers pursuant to Audit Control No. 12-130-2-2. [x-ref. DN 06419-12] | ✓ | — |
| | | 06941-12 | Barrett Barrera | Staff recom fld; awaiting order | FPL (Moncada) - (CONFIDENTIAL) Exh A [to request for confidential classification (DN 06940-12)] of materials provided pursuant to Audit No. 12-130-4-1. [x-ref. DN 06402-12] | ✓ | — |
| | | 07019-12 | Barrett Barrera | Staff recom fld; awaiting order | Progress (Burnett) - (CONFIDENTIAL) Information contained in staff's audit work papers pertaining to 2012 hedging activities audit (Audit Control No. 12-130-2-1). [x-ref. DN 06450-12] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|------------------------------------|---|---------------|---------------|
| 120002-EG | Energy conservation cost recovery clause. | 04617-12 | Bulecza-Banks Tan | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for A3b energy conservation cost recovery audit (Audit Control No. 12-010-4-3). [x-ref. DN 05085-12; see DN 05738-12 for revised Exh A for workpaper 43-7 (pg 6)] | L | — |
| | | 05085-12 | Bulecza-Banks Tan | Staff recom fld; awaiting order | FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 12-010-4-3. [x-ref. DN 04617-12; see DN 05738-12 for revised Exh A for workpaper 43-7 (pg 6)] | L | — |
| | | 05738-12 | Bulecza-Banks Tan | Staff recom fld; awaiting order | FPL (Moncada) - (CONFIDENTIAL) Revised Exh A for workpaper 43-7 (pg 6) filed 7/30/12. (Audit Control No. 12-010-4-3) [See DN 04617-12; x-ref. DN | L | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|-------------------------------------|-----------------|-------------------------|------------------------------------|---|---------------|---------------|
| 120007-EI | Environmental cost recovery clause. | 03204-12 | Bulecza-Banks Murphy | Order issued | 05085-12] APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 [of 2] for GPC, for A3d environmental cost recovery clause audit (Audit Control No. 12-019-1-1). [x-ref. DN 03797-12] | ✓ — | — |
| | | 03239-12 | Bulecza-Banks Murphy | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 4 [of 4] for FPL, for A3d environmental cost recovery clause audit (Audit Control No. 12-019-4-1). [CLK note: DN 04242-12 replaces DN 03667-12, due to scrivener's error; see DN 03239-12.] | ✓ — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|-------------------------------------|-----------------|-------------------------|------------------------------------|---|-------------------------------------|--------------------------|
| 120007-EI | Environmental cost recovery clause. | 03797-12 | Bulecza-Banks Murphy | Order issued | GPC (Griffin) - (CONFIDENTIAL) Portion of staff work papers (Audit Control No. 12-019-1-1). [x-ref. DN 03204-12] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | | 04242-12 | Bulecza-Banks Murphy | Staff recom fld; awaiting order | FPL (Moncada) - (CONFIDENTIAL) Revised Exh A to request for confidential classification of materials provided pursuant to Audit No. 12-019-4-1 [DN 03666-12]. [CLK note: DN 04242-12 replaces DN 03667-12, due to scrivener's error; see DN 03239-12.] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| 120009-EI | Nuclear cost recovery clause. | 03143-12 | Bulecza-Banks Lawson | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Progress, for A3f nuclear CR3 uprate audit (Audit Control No. 12-010-2-2). [x-ref. DN 03660-12] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|-------------------------------|-----------------|-------------------------|------------------------------------|---|---------------|---------------|
| 120009-EI | Nuclear cost recovery clause. | 03391-12 | Bulecza-Banks Lawson | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Progress, for A3f Nuclear Levy Units 1 and 2 audit (Audit Control No. 12-010-2-1). [x-ref. DNs 03853-12 (returned to source) and 02350-16] | ✓ | — |
| | | 03540-12 | Bulecza-Banks Lawson | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vols 4 and 5 [of 5] for FPL, for A3f Nuclear Turkey Pt Units 6 and 7 audit (Audit Control No. 12-010-4-1). [x-ref. DN 04013-12] | ✓ | — |
| | | 03593-12 | Bulecza-Banks Lawson | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Vol 3 [of 3] for FPL, for A3f Nuclear Extended Power Uprate audit (Audit Control No. 12-010-4-2). [x-ref. DN | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---------------------|-----------------|-------------------------|------------------------------------|---|---------------|---------------|
| | | 03660-12 | Bulecza-Banks Lawson | Staff recom fld; awaiting order | 04112-12] Progress (Gamba) - (CONFIDENTIAL) Portions of staff's audit work papers in Audit Control No. 12-010-2-2 for the CR3 uprate audit. [CLK note: Document provided on 1 CD only.] [x-ref. DN 03143-12] | ✓ | — |
| | | 03912-12 | Bulecza-Banks Lawson | Staff recom fld; awaiting order | Progress (Gamba) - (CONFIDENTIAL) Portions of the review of project management internal controls for nuclear plant uprate and construction projects Audit Report No. PA-11-11-004; provided on CD only. | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|-------------------------------|-----------------|-------------------------|------------------------------------|--|---------------|---------------|
| 120009-EI | Nuclear cost recovery clause. | 03933-12 | Bulecza-Banks Lawson | Staff recom fld; awaiting order | FPL (Cano) - (CONFIDENTIAL) Certain information included in "Review of FPL's project management internal controls for nuclear plant uprate construction projects" 2012 audit report. [CLK note: See DNs 07083-12 and 07668-12.] | ✓ | — |
| | | 03999-12 | Bulecza-Banks Lawson | Filed by staff | GCL/Lawson - (CONFIDENTIAL) Direct testimony of William Coston and Jerry Hallenstein. [CLK note: Exh CH-1 to testimony is Hearing Exh No. 25.] | ✓ | — |
| | | 04001-12 | Bulecza-Banks Lawson | Filed by staff | GCL/Lawson - (CONFIDENTIAL) Direct testimony of David Rich and Lynn Fisher. | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|-------------------------------|-----------------|-------------------------|------------------------------------|--|---------------|---------------|
| 120009-EI | Nuclear cost recovery clause. | 04013-12 | Bulecza-Banks Lawson | Staff recom fld; awaiting order | FPL (Cano) - (CONFIDENTIAL) Certain work papers for Audit [Control No.] 12-010-4-1. [x-ref. DN 03540-12] | ✓ | — |
| | | 04112-12 | Bulecza-Banks Lawson | Staff recom fld; awaiting order | FPL (Cano) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit Control No. 12-010-4-2, reflected in staff's work papers. [x-ref. DN 03593-12] | ✓ | — |
| | | 07239-12 | Bulecza-Banks Lawson | Staff recom fld; awaiting order | Progress (Gamba) - (CONFIDENTIAL) Portions of the review of project management internal controls for nuclear plant uprate and construction projects audit work papers (PA-11-11-004). [See DN 01019-15]. | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|--|-----------------|-----------------------|------------------------------------|--|---------------|---------------|
| 120015-EI | Petition for increase in rates by Florida Power & Light Company. | 04387-12 | Gervasi Gervasi | Staff recom fld; awaiting order | APA/Prestwood - (CONFIDENTIAL) Working papers Volumes 7 and 8 [of 8] for FPL, for A3a rate case audit (Audit Control No. 12-100-4-1). [x-ref. DNs 04862-12 (returned to source) and 00740-14] | ✓ | — |
| | | 00740-14 | Gervasi Gervasi | Staff recom fld; awaiting order | FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit No. 12-100-4-1, first revised Exh A [to first request for extension of confidential classification of information provided pursuant to Audit No. 12-100-4-1]. [x-ref. DNs 04387-12 and 04862-12 (returned to source)] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|--|-----------------|-----------------------|---------------|---|---------------|---------------|
| 120200-EI | Request for confidential classification of document request responses and portions of staff's audit report entitled Review of the Payment Arrangement Programs Offered by the Florida Electric Industry by Gulf Power Company. | 04152-12 | Cordiano Page | Order issued | GPC (Griffin) - (CONFIDENTIAL) Portions of Commission's review of investor-owned utilities' payment arrangement programs. | ✓ | — |
| | | 06292-12 | Cordiano Page | Order issued | GPC (Badders) - (CONFIDENTIAL) Certain information contained in staff's workpapers generated during an audit of customer payment arrangement programs. | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|------------------------------------|---|---------------|---------------|
| 120209-WS | Application for increase in water and wastewater rates in Marion, Orange, Pasco, Pinellas, and Seminole Counties by Utilities, Inc. of Florida. | 04065-13 | Brown Barrera | Staff recom fld; awaiting order | APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for Utilities, Inc., for A1f file and suspend rate case-affiliate transaction audit (Audit Control No. 12-254-4-1). [See DNs 04486-13 (returned to source), 04532-13, 04744-13, and 05947-13] | ✓ — | — |
| | | 04532-13 | Brown Barrera | Staff recom fld; awaiting order | Utilities, Inc. (Friedman) - (CONFIDENTIAL) Documents submitted in response to auditor's report (Audit Control No. 12-254-4-1). [See DNs 04065-13, 04486-13 (returned to source), 04744-13, and 05947-13] | ✓ — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|---------------------------------|---|---------------|---------------|
| 120209-WS | Application for increase in water and wastewater rates in Marion, Orange, Pasco, Pinellas, and Seminole Counties by Utilities, Inc. of Florida. | 04744-13 | Brown Barrera | Staff recom fld; awaiting order | Utilities, Inc. (Friedman) - (CONFIDENTIAL) Documents submitted in responses to staff's audit, Audit Control No. 12-254-4-1. [See DNs 04065-13, 04486-13 (returned to source), 04532-13, and 05947-13.] | ✓ | — |
| | | 05947-13 | Brown Barrera | Staff recom fld; awaiting order | Utilities, Inc. (Friedman) - (CONFIDENTIAL) Documents submitted in connection with responses to staff's audit. (Audit Control No. 12-254-4-1) [See DNs 04065-13, 04486-13 (returned to source), 04532-13, and 04744-13] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|---------------|---|---------------|---------------|
| 120243-EI | Request for confidential classification of document request responses and portions of staff's audit report entitled Review of the Payment Arrangement Programs Offered by the Florida Electric Industry by Duke Energy Florida, Inc. | 07516-12 | Cordiano Page | Order issued | Progress (Triplett) - (CONFIDENTIAL) Amended Exh A to request for confidential classification. [x-ref. DN 06466-12] | ✓ | — |
| 120251-EI | Request for confidential classification of document request responses and portions of staff's audit report entitled Review of the Payment Arrangement Programs Offered by the Florida Electric Industry by Florida Power & Light Company. | 00268-16 | Cordiano Page | Order issued | FPL (Moncada) - (CONFIDENTIAL) Information contained in staff's payment arrangement audit. [x-ref DN 06669-12] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|------------------------------|-----------------|------------------------------|---|--|---------------|---------------|
| 130000-OT | Undocketed filings for 2013. | 00216-13 | No lead stf. No lead att. | Confidential claim per 364.183(1), F.S. | AT&T Florida (Sirianni) - (CONFIDENTIAL) Audit work papers related to revenues and RAFs ending 2011, Audit Control No. 12-158-4-6 (TL720; TX031). [See confidential DNs 07594-12, 08183-12, and 00450-13] | ✓ | — |
| | | 00450-13 | No lead stf. No lead att. | Confidential claim per 364.183(1), F.S. | AT&T Florida (Sirianni) - (CONFIDENTIAL) Highlighted version of page 41-2, which was previously omitted from revenues and RAF fees audit work papers for year ending 2011. (Audit Control No. 12-158-4-6; TL720 and TX031) [See confidential DNs 07594-12, 08183-12, and 00216-13] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|---------------------------------|--|---------------|---------------|
| 130001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 02303-13 | Cowdery Cowdery | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 3] for Progress, for A3a fuel cost recovery clause audit (Audit Control No. 13-016-2-1). [x-ref. DN 02553-13] | ✓ | — |
| | | 02305-13 | Cowdery Cowdery | Staff recom fld; awaiting order | APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for FPL, for A3a capacity cost recovery clause (Audit Control No. 13-016-4-3). [x-ref. 01431-15; DN 02680-03 returned to source] | ✓ | — |
| | | 02342-13 | Cowdery Cowdery | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 1 [of 2] for TECO, for A3a capacity cost recovery clause audit. (Audit Control No. 13-016-2-4) [x-ref. DN | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---------------------|-----------------|-----------------------|------------------------------------|--|---------------|---------------|
| | | 02344-13 | Cowdery Cowdery | Staff recom fld; awaiting order | 02766-13] APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for GPC, for A3a capacity cost recovery clause audit (Audit Control No. 13-016-1-2). [x-ref. DN 02794-13] | ✓ — | — |
| | | 02346-13 | Cowdery Cowdery | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for GPC, for A3a fuel cost recovery clause audit (Audit Control No. 13-016-1-1). [x-ref. DN 02792-13] | — | — |
| | | 02442-13 | Cowdery Cowdery | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 4] for FPL, for A3a fuel cost recovery clause audit (Audit Control No. 13-016-4-1). [x-ref. DNS 02443-13, 02840-13, 03097-13 | ✓ — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---------------------|-----------------|-----------------------|---------------|---|---------------|---------------|
| | | 02443-13 | Cowdery Cowdery | Order issued | (returned to source) and 01408-15]. APA/Deamer - (CONFIDENTIAL) Working papers Vol 4 [of 4] for FPL, for A3a fuel cost recovery clause audit (Audit Control No. 13-016-4-1). [x-ref. DNs 02442-13, 02840-13, 03097-13 | ✓ | — |
| | | 02553-13 | Cowdery Cowdery | Order issued | (returned to source) and 01408-15] [Progress] (Triplett) - (CONFIDENTIAL) Information contained in staff's audit work papers provided pursuant to Audit No. 13-016-2-1. [x-ref. DN 02303-13] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|---------------------------------|---|---------------|---------------|
| 130001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 02766-13 | Cowdery Cowdery | Order issued | TECO (Beasley) - (CONFIDENTIAL) Highlighted information contained in confidential workpapers associated with capacity audit report (Audit Control No. 13-016-2-4). [x-ref. DN 02342-13] | ✓ | — |
| | | 02792-13 | Cowdery Cowdery | Order issued | GPC (Griffin) - (CONFIDENTIAL) Documents produced in connection with a review of 2012 fuel and purchased power transactions (Audit Control No. 13-016-1-1). [x-ref. DN 02346-13] | ✓ | — |
| | | 02794-13 | Cowdery Cowdery | Staff recom fld; awaiting order | GPC (Griffin) - (CONFIDENTIAL) Documents produced in connection with a review of 2012 capacity expenditures (Audit Control No. 13-016-1-2). | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---------------------|-----------------|-----------------------|---------------|---|---------------|---------------|
| | | 05628-13 | Cowdery Cowdery | Order issued | [x-ref. DN 02344-13] APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 3] for FPL, for A3a hedging activities audit (Audit Control No. 13-102-4-1). | ✓ — | — |
| | | 05629-13 | Cowdery Cowdery | Order issued | [x-ref. DN 06036-13] APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for FPL, for A3a hedging activities audit (Audit Control No. 13-102-4-1). | — | — |
| | | 05666-13 | Cowdery Cowdery | Order issued | [x-ref. DN 06036-13] APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for TECO, for A3a hedging activities audit (Audit Control No. 13-102-2-2). | — | — |
| | | | | | [x-ref. DN 06185-13] | | |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|---------------|--|---------------|---------------|
| 130001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 05668-13 | Cowdery Cowdery | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Duke Energy, for A3a hedging activities audit (Audit Control No. 13-102-2-1). [x-ref. DN 06032-13; see DN 06680-13] | — | — |
| | | 06032-13 | Cowdery Cowdery | Order issued | Duke Energy (Bernier) - (CONFIDENTIAL) Information contained in staff's audit work papers pertaining to 2013 hedging activities audit (Audit Control No. 13-102-2-1). [x-ref. DN 05668-13] [CLK note: See DN 06680-13 for the 15th page of document 10-1/16 which was inadvertently omitted from DN 06032-13.] | — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|---------------|--|---------------|---------------|
| 130001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 06036-13 | Cowdery Cowdery | Order issued | FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 13-102-4-1. [x-ref. DNs 05628-13 and 05629-13] | ✓ | — |
| | | 06185-13 | Cowdery Cowdery | Order issued | TECO (Beasley) - (CONFIDENTIAL) Staff's audit work papers (Audit Control No. 13-102-2-2). [x-ref. DN 05666-13] | ✓ | — |
| | | 06680-13 | Cowdery Cowdery | Order issued | Duke Energy (Bernier) - (CONFIDENTIAL) 15th page of document 10-1/16 which was inadvertently omitted from DN 06032-13. (Audit Control No. 13-102-2-1) [See DNs 05668-13 and 06032-13] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|---------------|---|---------------|---------------|
| 130002-EG | Energy conservation cost recovery clause. | 00516-13 | Higgins Tan | Order issued | FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit No. 09-028-4-1. [x-ref. DNs 07900-09; DNs 01281-10 and 08665-09 returned to the source] | ✓ | — |
| | | 02568-13 | Higgins Tan | Order issued | FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit [Control] No. 11-005-4-4. [x-ref. DN 04114-11; x-ref. DN 04575-11 returned to source] | ✓ | — |
| | | 04235-13 | Higgins Tan | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for A3b energy conservation cost recover clause audit (Audit Control No. 13-004-4-3). [x-ref. DN 04446-13] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|---------------|---|---------------|---------------|
| 130002-EG | Energy conservation cost recovery clause. | 04446-13 | Higgins Tan | Order issued | FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 13-004-4-3. [x-ref. DN 04235-13] | ✓ | — |
| 130004-GU | Natural gas conservation cost recovery. | 04237-13 | Higgins Corbari | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FCG, for A3e natural gas conservation cost recovery audit (Audit Control No. 13-004-4-2). [x-ref. DN 04292-13] | ✓ | — |
| | | 04292-13 | Higgins Corbari | Order issued | FCG (Keating) - (CONFIDENTIAL) Certain material provided to staff in connection with natural gas conservation clause audit for year ended 12/31/12 (Audit Control No. 13-004-4-2). [x-ref. DN 04237-13] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|-------------------------------------|-----------------|-----------------------|---------------|---|---------------|---------------|
| 130007-EI | Environmental cost recovery clause. | 02551-13 | Graves Murphy | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for GPC, for A3d environmental cost recovery clause audit (Audit Control No. 13-015-1-1). [x-ref. DN 02941-13] | <u>✓</u> | — |
| | | 02941-13 | Graves Murphy | Order issued | GPC (McGee) - (CONFIDENTIAL) Certain information produced in connection with staff's review of GPC's 2012 expenditures (Audit Control No. 13-015-1-1). [x-ref. DN 02551-13] | <u>✓</u> | — |
| | | 03139-13 | Graves Murphy | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for FPL, for A3d environmental cost recovery clause audit (Audit Control No. 13-015-4-1). [See | <u>✓</u> | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|-------------------------------|-----------------|-----------------------|------------------------------------|---|---------------|---------------|
| 130009-EI | Nuclear cost recovery clause. | 02697-13 | Breman Lawson | Staff recom fld; awaiting order | DN 02071-15; DN 03519-13 returned to source] [Progress] (Gamba) - (CONFIDENTIAL) Portions of workpapers regarding Audit Control No. 13-010-2-2. [x-ref. DN 02896-13] | ✓ | — |
| | | 02896-13 | Breman Lawson | Staff recom fld; awaiting order | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Progress for A3a Nuclear Cost Recovery Clause audit (Audit Control No. 13-010-2-2). [x-ref. DN 02697-13] | ✓ | — |
| | | 03186-13 | Breman Lawson | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for FPL, for A3f nuclear cost recovery clause audit (Audit Control No. 13-010-4-2). [x-ref. DN 03580-13] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|-------------------------------|-----------------|-----------------------|---------------|---|---------------|---------------|
| 130009-EI | Nuclear cost recovery clause. | 03188-13 | Breman Lawson | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 of 5 for FPL, for A3f nuclear cost recovery clause audit (Audit Control No. 13-010-4-1). [x-ref. DNs 03189-13, 03190-13 and 03678-13] | ✓ | — |
| | | 03189-13 | Breman Lawson | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 4 of 5 for FPL, for A3f nuclear cost recovery clause audit (Audit Control No. 13-010-4-1). [x-ref. DNs 03188-13, 03190-13 and 03678-13] | ✓ | — |
| | | 03190-13 | Breman Lawson | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 5 of 5 for FPL, for A3f nuclear cost recovery clause audit (Audit Control No. 13-010-4-1). [x-ref. DNs 03188-13, | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---------------------|-----------------|-----------------------|------------------------------------|---|---------------|---------------|
| | | 03378-13 | Breman Lawson | Order issued | 03189-13, and 03678-13] FPL (Cano) - (CONFIDENTIAL) Portions of Audit Report PA-13-01-001, titled review of project management internal controls for nuclear plant uprate and construction projects. | ✓ — | — |
| | | 03393-13 | Breman Lawson | Staff recom fld; awaiting order | Duke Energy (Gamba) - (CONFIDENTIAL) Portions of the review of project management internal controls for nuclear plant uprate and construction projects Audit Report No. PA-13-01-001. | ✓ — | — |
| | | 03460-13 | Breman Lawson | Filed by staff | GCL/Brown - (CONFIDENTIAL) Direct testimony of William Coston and Jerry Hallenstein and Exh CH-1. | ✓ — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|-------------------------------|-----------------|-----------------------|----------------|---|---------------|---------------|
| 130009-EI | Nuclear cost recovery clause. | 03462-13 | Breman Lawson | Filed by staff | GCL/Brown - (CONFIDENTIAL) Direct testimony of Lynn Fisher and David Rich and Exh FR-1. | ✓ | — |
| | | 03580-13 | Breman Lawson | Order issued | FPL (Cano) - (CONFIDENTIAL) Audit 13-010-4-2 work papers. [x-ref. DN 03186-13] | ✓ | — |
| | | 03678-13 | Breman Lawson | Order issued | FPL (Cano) - (CONFIDENTIAL) Audit 13-010-4-1 work papers. [x-ref. DN 03188-13, 03189-13, and 03190-13] | ✓ | — |
| | | 05293-13 | Breman Lawson | Order issued | FPL (Cano) - (CONFIDENTIAL) Work papers for audit report PA-13-01-001. | ✓ | — |
| | | 05383-13 | Breman Lawson | Filed by staff | APA/Rich - (CONFIDENTIAL) CD containing staff work papers for project management internal controls audit report PA-13-01-001. | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|---------------------------------|---|---------------|---------------|
| 130040-EI | Petition for rate increase by Tampa Electric Company. | 03794-13 | Draper Brownless | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 4] for TECO, for A1a file and suspend rate case audit (Audit Control No. 13-105-2-1). [x-ref. DN 04196-13] | ✓ — | — |
| | | 04196-13 | Draper Brownless | Order issued | TECO (Beasley) - (CONFIDENTIAL) Audit workpapers pursuant to Audit Control No. 13-105-2-1 (Bates stamped pgs 1-17, 32-33, 47-48). [x-ref. DN 03794-13] | — ✓ | — |
| 130054-EI | Request for confidential classification of audit workpapers of staff's audit report entitled Review of Progress Energy Florida, Inc.'s Quality Assurance Process for Distribution Construction. | 00953-13 | Cordiano Page | Staff recom fld; awaiting order | Progress (Triplett) - (CONFIDENTIAL) Information contained in the FPSC's review of Progress' quality assurance process for distribution construction and related work papers (PA-12-04-001). [CLK note: Pages | ✓ — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|---------------|---|---------------|---------------|
| 130140-EI | Petition for rate increase by Gulf Power Company. | 06075-13 | Deamer Brownless | Order issued | 303-314, 373-392, 460-462, and 579-583 have been returned to the source; see DN 01353-13.] APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for GPC, for file and suspend rate case. (Audit Control No. 13-207-1-1) [x-ref. DN 06634-13] | ✓ | — |
| | | 06634-13 | Deamer Brownless | Order issued | GPC (Griffin) - (CONFIDENTIAL) Certain documents and information produced in connection with staff's audit of GPC's request for an increase in rates (Audit Control No. 13-207-1-1). [x-ref. DN 06075-13] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|------------------------------|-----------------|------------------------------|------------------------|---|---------------|---------------|
| 140000-OT | Undocketed filings for 2014. | 00655-14 | No lead stf. No lead att. | Under 5-year retention | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Windstream Florida, Inc., for RAF review audit (Audit Control No. 13-274-1-4). [x-ref. DN 00808-14] | ✓ | — |
| | | 00657-14 | No lead stf. No lead att. | Under 5-year retention | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Windstream Nuvox, Inc., for RAF review audit (Audit Control No. 13-274-1-3). [x-ref. DN 00806-14] | ✓ | — |
| | | 00806-14 | No lead stf. No lead att. | Under 5-year retention | Windstream NuVox (Caballero) - (CONFIDENTIAL) Reports contained in Audit Control No. 13-274-1-3 pertaining to the Commission's review of 2012 RAF. [x-ref. DN 00657-14] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|------------------------------|-----------------|------------------------------|------------------------|--|---------------|---------------|
| 140000-OT | Undocketed filings for 2014. | 00808-14 | No lead stf. No lead att. | Under 5-year retention | Windstream NuVox (Caballero) - (CONFIDENTIAL) Reports contained in Audit Control No. 13-274-1-4 pertaining to the Commission's review of 2012 RAF. [x-ref. DN 00655-14] | ✓ | — |
| | | 00827-14 | No lead stf. No lead att. | Under 5-year retention | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Verizon, for RAF review audit (Audit Control No. 13-274-1-2). [x-ref. DN 01149-14] | ✓ | — |
| | | 01149-14 | No lead stf. No lead att. | Under 5-year retention | Verizon (O'Roark) - (CONFIDENTIAL) Information contained in audit work papers that include information provided to [staff] in connection with RAF payments audit. (Audit Control No. 13-274-1-2) | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---------------------|-----------------|------------------------------|------------------------|--|---------------|---------------|
| | | 01996-14 | No lead stf. No lead att. | Under 5-year retention | [x-ref. DN 00827-14] APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Access Transmission Services, for RAF review audit (Audit Control No. 13-274-1-1). (TA005) [x-ref. DN 02273-14] | ✓ | — |
| | | 02273-14 | No lead stf. No lead att. | Under 5-year retention | Verizon (O'Roark) - (CONFIDENTIAL) Information contained in audit work papers that include information provided to the Commission in connection with RAF payment audit. (Audit Control No. 13-274-1-2) [sic] [CLK note: Correct Audit Control No. is 13-274-1-1, verified by APA.] [x-ref. DN 01996-14] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|------------------------------------|---|---------------|---------------|
| 140001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 01992-14 | Harper Harper | Staff recom fld; awaiting order | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 3] for TECO, for fuel cost recovery clause audit (Audit Control No. 14-027-2-2). [x-ref. DN 02278-14] | ✓ | — |
| | | 01994-14 | Harper Harper | Staff recom fld; awaiting order | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for GPC, for capacity cost recovery clause audit (Audit Control No. 14-027-1-2). [x-ref. DN 02415-14] | ✓ | — |
| | | 02090-14 | Harper Harper | Staff recom fld; awaiting order | APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for GPC, for fuel and purchased power cost recovery audit (Audit Control No. 14-027-1-1). [x-ref. DN 02411-14] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|------------------------------------|--|---------------|---------------|
| 140001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 02092-14 | Harper Harper | Staff recom fld; awaiting order | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for capacity cost recovery clause audit (Audit Control No. 14-027-4-3). [x-ref. DNs 02419-14 (returned to source) and 00527-16] | ✓ | — |
| | | 02094-14 | Harper Harper | Staff recom fld; awaiting order | APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 5] for FPL, for fuel and purchased power cost recovery audit (Audit Control No. 14-027-4-1). [x-ref. DNs 02095-14, 02096-14, 02497-14, 03352-14 and 00724-16] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|------------------------------------|--|---------------|---------------|
| 140001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 02095-14 | Harper Harper | Staff recom fld; awaiting order | APA/Deamer - (CONFIDENTIAL) Working papers Vol 4 [of 5] for FPL, for fuel and purchased power cost recovery audit (Audit Control No. 14-027-4-1). [x-ref. DNs 02094-14, 02096-14, 02497-14, 03352-14 and 00724-16] | ✓ | — |
| | | 02096-14 | Harper Harper | Staff recom fld; awaiting order | APA/Deamer - (CONFIDENTIAL) Working papers Vol 5 [of 5] for FPL, for fuel and purchased power cost recovery audit (Audit Control No. 14-027-4-1). [x-ref. DNs 02094-14, 02095-14, 02497-14, 03352-14 and 00724-16] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|------------------------------------|--|---------------|---------------|
| 140001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 02128-14 | Harper Harper | Staff recom fld; awaiting order | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for TECO, for capacity cost recovery clause audit (Audit Control No. 14-027-2-4). [x-ref. DN 02467-14] | ✓ | — |
| | | 02278-14 | Harper Harper | Staff recom fld; awaiting order | TECO (Beasley) - (CONFIDENTIAL) Highlighted information contained in audit work papers. (Audit Control No. 14-027-2-2) [x-ref. DN 01992-14] | — | — |
| | | 02411-14 | Harper Harper | Staff recom fld; awaiting order | GPC (Griffin) - (CONFIDENTIAL) Certain documents produced in connection with a review of GPC's 2013 fuel and purchased power transactions. (Audit Control No. 14-027-1-1) [x-ref. DN 02090-14] | — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|------------------------------------|--|---------------|---------------|
| 140001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 02415-14 | Harper Harper | Staff recom fld; awaiting order | GPC (Griffin) - (CONFIDENTIAL) Certain documents produced in connection with a review of GPC's 2013 capacity expenditures. (Audit Control No. 14-027-1-2) [x-ref. DN 01994-14] | ✓ — | — |
| | | 02467-14 | Harper Harper | Staff recom fld; awaiting order | TECO (Beasley) - (CONFIDENTIAL) Audit work papers (Audit Control No. 14-027-2-4). [x-ref. DN 02128-14] | ✓ — | — |
| | | 04947-14 | Harper Harper | Staff recom fld; awaiting order | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for GPC, for hedging activities (Audit Control No. 14-083-1-1). [x-ref. DN 05393-14] | ✓ — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|-----------------------------------|---|---------------|---------------|
| 140001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 04992-14 | Harper Harper | Req for ext of classification fld | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 3] for Duke Energy, for hedging activities audit (Audit Control No. 14-083-2-1). [x-ref. DNs 04994-14 and 05242-14] | ✓ — | — |
| | | 04994-14 | Harper Harper | Req for ext of classification fld | APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for Duke Energy, for hedging activities audit (Audit Control No. 14-083-2-1). [x-ref. DNs 04992-14 and 05242-14] | ✓ — | — |
| | | 04996-14 | Harper Harper | Staff recom fld; awaiting order | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for hedging activities audit (Audit Control No. 14-083-4-1). [x-ref. DNs 05448-14 (returned to source) and | ✓ — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---------------------|-----------------|-----------------------|--------------------------------------|---|-------------------------------------|--------------------------|
| | | 05001-14 | Harper Harper | Req for ext of classification fld | 01358-16] APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for TECO, for hedging activities audit (Audit Control No. 14-083-2-2). [x-ref. DN | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | | 05242-14 | Harper Harper | Req for ext of classification fld | 05256-14] Duke Energy (Bernier) - (CONFIDENTIAL) Certain information contained in staff's audit work papers pertaining to 2014 hedging activities audit (Audit Control No. 14-083-2-1). [x-ref. DN | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | | 05256-14 | Harper Harper | Req for ext of classification fld | 04992-14 and 04994-14] TECO (Beasley) - (CONFIDENTIAL) Highlighted information contained in audit work papers. (Audit Control No. 14-083-2-2) [x-ref. DN | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|------------------------------------|--|---------------|---------------|
| | | 05393-14 | Harper Harper | Staff recom fld; awaiting order | 05001-14] GPC (Badders) - (CONFIDENTIAL) Certain documents produced by staff and GPC in connection with a review of 2014 hedging settlements (Audit Control No. 14-083-1-1). [x-ref. DN 04947-14] | ✓ | — |
| 140002-EG | Energy conservation cost recovery clause. | 02085-14 | Lingo Tan | Staff recom fld; awaiting order | FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit No. 09-350-4-1. [x-ref. DN 04844-10; x-ref. DN 06537-12 returned to the source] | ✓ | — |
| | | 03218-14 | Lingo Tan | Staff recom fld; awaiting order | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for energy conservation cost recovery clause audit (Audit Control No. 14-017-4-1). | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|--|-----------------|-----------------------|------------------------------------|--|---------------|---------------|
| | | 03653-14 | Lingo Tan | Staff recom fld; awaiting order | [x-ref. DN 03653-14] FPL (Moncada) - (CONFIDENTIAL) Materials provided to staff, pursuant to Audit No. 14-017-4-1. | ✓ | — |
| 140007-EI | Environmental cost recovery clause. | 02755-14 | Graves Murphy | Staff recom fld; awaiting order | [x-ref. DN 03218-14] APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for environmental cost recovery clause audit (Audit Control No. 14-010-4-1). | ✓ | — |
| 140009-EI | Nuclear cost recovery clause. | 02544-14 | Lewis Lawson | Order issued | [x-ref. DN 03216-14 and 00817-16] APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for nuclear cost recovery Units 6 and 7 (Audit Control No. 14-007-4-2). [x-ref. DN 02951-14] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|-------------------------------|-----------------|-----------------------|---------------|---|---------------|---------------|
| 140009-EI | Nuclear cost recovery clause. | 02781-14 | Lewis Lawson | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for nuclear cost recovery clause audit (Audit Control No. 14-007-4-1). [x-ref. DN 03295-14] | ✓ | — |
| | | 02863-14 | Lewis Lawson | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Duke Energy, for nuclear cost recovery clause, Levy Nuclear Plant Units 1 and 2 (Audit Control No. 14-007-2-2). [x-ref. DN portion of 03558-14] | ✓ | — |
| | | 02868-14 | Lewis Lawson | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Duke Energy, for nuclear cost recovery clause, Crystal River Unit 3 uprate (Audit Control No. 14-007-2-1). | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---------------------|-----------------|-----------------------|------------------------------------|---|-------------------------------------|--------------------------|
| | | 02951-14 | Lewis Lawson | Order issued | [x-ref. DN portion of 03558-14] FPL (Cano) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit Control No. 14-007-4-2 and reflected in staff's work papers. [x-ref. DN 02544-14] | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | | 03067-14 | Lewis Lawson | Order issued | FPL (Cano) - (CONFIDENTIAL) Portions of Audit Report PA-14-01-002, titled "Review of Florida Power & Light Company's Project Management Internal Controls for Nuclear Plant Uprate and Construction Projects." | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | | 03149-14 | Lewis Lawson | Staff recom fld; awaiting order | GCL/Young - (CONFIDENTIAL) Commission's highlighted direct joint testimony of William Coston and Jerry Hallenstein and | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---------------------|-----------------|-----------------------|----------------|--|---------------|---------------|
| | | 03151-14 | Lewis Lawson | Filed by staff | Exh CH-1. [PA-13-01-001] [See DNs 03158-14, 03638-14, and 04062-14] [CLK note: DN 04062-14 is a replacement filing of DN 03638-14, due to damage of CD.] GCL/Young - (CONFIDENTIAL) Commission's highlighted direct joint testimony of William Coston and Lynn Fisher and Exh CF-1. [PA-14-01-001] | ✓ — | — |
| | | 03153-14 | Lewis Lawson | Filed by staff | GCL/Young - (CONFIDENTIAL) Commission's highlighted direct joint testimony of David Rich and Jerry Hallenstein and Exh RH-1. [PA-14-01-002] | — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|-------------------------------|-----------------|-----------------------|------------------------------------|---|---------------|---------------|
| 140009-EI | Nuclear cost recovery clause. | 03295-14 | Lewis Lawson | Order issued | FPL (Cano) - (CONFIDENTIAL) Audit [Control No.] 14-007-4-1 work papers. [x-ref. DN 02781-14] | ✓ | — |
| | | 03558-14 | Lewis Lawson | Order issued | Duke Energy (Gamba) - (CONFIDENTIAL) Portions of workpapers for Audit Control Nos. 14-007-2-1 and 14-007-2-2. [x-ref. DNs 02863-14 and 02868-14] | ✓ | — |
| | | 04062-14 | Lewis Lawson | Staff recom fld; awaiting order | Duke Energy (Gamba) - (CONFIDENTIAL) Staff direct testimony of William Coston and Jerry Hallenstein, Exh CH-1, review of project management internal controls for nuclear plant uprate and construction projects, PA-13-01-001, 6/13; provided on | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|--|-----------------|-----------------------|------------------------------------|---|---------------|---------------|
| | | 04981-14 | Lewis Lawson | Staff recom fld; awaiting order | CD only. [x-ref. DNs 03158-14 and 03638-14; see DN 03149-14] [CLK note: DN 04062-14 is a replacement filing of DN 03638-14, due to damage of CD.] FPL (Cano) - (CONFIDENTIAL) Certain information provided to staff pursuant to Audit [Control No.] PA-14-01-001. [See DN 00940-15]. | ✓ | — |
| | | 05217-14 | Lewis Lawson | Order issued | Duke Energy (Gamba) - (CONFIDENTIAL) Portions of staff-generated audit workpapers; provided on CD only. | ✓ | — |
| 140060-WS | Application for increase in water and wastewater rates in Seminole County by Sanlando Utilities Corporation. | 06215-14 | Graves Young | Staff recom fld; awaiting order | APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for Utilities, Inc., for affiliated transaction audit (Audit Control No. 14-197-1-1). | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|------------------------------------|--|---------------|---------------|
| | | 06567-14 | Graves Young | Staff recom fld; awaiting order | [x-ref. DN 06567-14] Sanlando (Friedman) - (CONFIDENTIAL) Documents submitted in connection with staff's audit. (Audit Control No. 14-197-1-1) | ✓ | — |
| 140233-EI | Florida Power & Light Company's request for confidential treatment of certain information contained in report entitled review of physical security protection of utility substations and control centers. | 06514-14 | Harper Harper | Order issued | [x-ref. DN 06215-14] FPL (Lee) - (CONFIDENTIAL) Certain information included in the review of physical security protection of utility substations and control centers report and staff's workpapers, Audit Control No. PA-14-05-003. [CLK note: This document moved from Docket 140000-OT to new Docket 140233-EI per DN 06630-14.] [See DN 00942-15] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|---------------|--|---------------|---------------|
| 140233-EI | Florida Power & Light Company's request for confidential treatment of certain information contained in report entitled review of physical security protection of utility substations and control centers. | 00942-15 | Harper Harper | Order issued | APA/Delgado Perusquia - (CONFIDENTIAL) Work papers and report chapter relating to FPL's portion of staff's report, titled review of physical security protection of utility substations and control centers. (Audit Control No. PA-14-05-003) [See DN 06514-14] | ✓ | — |
| 140234-EI | Gulf Power Company's request for confidential treatment of certain information contained in report entitled review of physical security protection of utility substations and control centers. | 06521-14 | Harper Harper | Order issued | GPC (McGee) - (CONFIDENTIAL) Highlighted information included in responses to staff's data requests and in staff's workpapers concerning Commission's review of GPC's physical security of utility substations and control centers. [CLK note: This document moved | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|----------------|---|---------------|---------------|
| | | 00900-15 | Harper Harper | Filed by staff | from Docket 140000-OT to new Docket 140234-EI per DN 06631-14.] [See DN 00900-15] APA/Coston - (CONFIDENTIAL) Workpapers relating to GPC's portion of staff's report, review of physical security protection of utility substations and control centers. ([Audit Control No.] PA-14-05-003) [See DN 06521-14] | ✓ | — |
| 140235-EI | Duke Energy Florida, Inc.'s request for confidential treatment of certain information contained in report entitled review of physical security protection of utility substations and control centers. | 06533-14 | Harper Harper | Order issued | Duke Energy (Bernier) - (CONFIDENTIAL) Certain information included in staff's review of physical security protection of utility substations and control centers (Audit Control No. PA-14-05-003) and staff's auditors' workpapers. [CLK | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|---------------------------------|---|---------------|---------------|
| 150001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 01408-15 | Gervasi Gervasi | Order issued | note: This document moved from Docket 140000-OT to new Docket 140235-EI per DN 06632-14.] FPL (Moncada) - (CONFIDENTIAL) Work papers provided pursuant to Audit No. 13-016-4-1. [x-ref. DNs 02442-13, 02443-13, 02840-13 and 03097-13 (returned to source)] | ✓ — | — |
| | | 01431-15 | Gervasi Gervasi | Staff recom fld; awaiting order | FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 13-016-4-3. [x-ref. DN 02305-13; DN 02680-03 returned to source.] | — | — |
| | | 01959-15 | Gervasi Gervasi | Order issued | FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit No. 11-006-4-2. [See DNs 03689-11 and 04444-13 (returned | ✓ — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---------------------|-----------------|-----------------------|------------------------------------|--|---------------|---------------|
| | | 01965-15 | Gervasi Gervasi | Staff recom fld; awaiting order | to source)] FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit [Control] No. 11-129-4-2. [See DNs 06727-11, 06728-11, 06729-11, and 07322-11] | ✓ | — |
| | | 02580-15 | Gervasi Gervasi | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for capacity cost recovery clause audit (Audit Control No. 15-023-4-2). [x-ref. DN 03046-15] | ✓ | — |
| | | 02647-15 | Gervasi Gervasi | Staff recom fld; awaiting order | APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for GPC, for fuel cost recovery clause audit (Audit Control No. 15-023-1-1). [x-ref. DN 03153-15] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|---------------|---|---------------|---------------|
| 150001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 02649-15 | Gervasi Gervasi | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for GPC, for capacity cost recovery clause audit (Audit Control No. 15-023-1-2). [x-ref. DN 03151-15] | ✓ | — |
| | | 02887-15 | Gervasi Gervasi | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for FPL, for fuel cost recovery clause audit (Audit Control No. 15-023-4-1). [x-ref. DN 03327-15] | ✓ | — |
| | | 03046-15 | Gervasi Gervasi | Order issued | FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 15-023-4-2. [x-ref. DN 02580-15] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|----------------------|---|------------------------|------------------------------|---------------------------------|--|----------------------|----------------------|
| 150001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 03151-15 | Gervasi Gervasi | Order issued | GPC (Griffin) - (CONFIDENTIAL) Certain documents produced in connection with a review of 2014 capacity expenditures (Audit Control No. 15-023-1-2). [x-ref. DN 02649-15] | ✓ | — |
| | | 03153-15 | Gervasi Gervasi | Staff recom fld; awaiting order | GPC (Griffin) - (CONFIDENTIAL) Certain documents produced in connection with a review of 2014 fuel and purchased power transactions (Audit Control No. 15-023-1-1). [x-ref. DN 02647-15] | ✓ | — |
| | | 03327-15 | Gervasi Gervasi | Order issued | FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 15-023-4-1. [x-ref. DN 02887-15] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|------------------------------------|---|---------------|---------------|
| 150001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 03883-15 | Gervasi Gervasi | Staff recom fld; awaiting order | FPL (Moncada) - (CONFIDENTIAL) Exh A to second request for extension of confidential classification of materials provided pursuant to Audit No. 11-006-4-1, which was filed on 4/13/15 [DN 027073-15]; replaces previously filed version. [See DNs 04369-11, 04370-11, and 04780-11 (returned to source)] | ✓ | — |
| | | 04881-15 | Gervasi Gervasi | Staff recom fld; awaiting order | FPL (Moncada) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit Control No. 10-004-4-1. [x-ref. DNs 05823-10, 05824-10, 05825-10; see DN 05677-13 (returned to source)] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|------------------------------------|---|---------------|-----------------------------|
| 150001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 04953-15 | Gervasi Gervasi | Staff recom fld; awaiting order | FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit No. 10-130-4-1. [x-ref DNs 07986-10, 07987-10, 07988-10 and 08555-10] | ✓ | — |
| | | 05469-15 | Gervasi Gervasi | Staff recom fld; awaiting order | FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 09-190-4-1. [x-ref DNs 10203-09, 10204-09; and 10751-09 (returned to the source)] | ✓ | — |
| | | 05503-15 | Gervasi Gervasi | Staff recom fld; awaiting order | FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 09-041-4-4. [x-ref DNs 04935-09, 04936-09; and 05834-13 (returned to source)] | — | ✓ (Return to the Source) |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|---------------------------------|--|---------------|---------------|
| 150001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 05815-15 | Gervasi Gervasi | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for TECO, for hedging activities audit. (Audit Control No. 15-051-2-2) [x-ref DN 06268-15] | ✓ | — |
| | | 05860-15 | Gervasi Gervasi | Staff recom fld; awaiting order | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for GPC, for hedging activities audit (Audit Control No. 15-051-1-1). [x-ref. DN 06232-15] | ✓ | — |
| | | 05862-15 | Gervasi Gervasi | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Duke Energy, for hedging activities audit (Audit Control No. 15-051-2-1). [x-ref 06302-15] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|------------------------------------|--|---------------|---------------|
| 150001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 05934-15 | Gervasi Gervasi | Staff recom fld; awaiting order | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for hedging activities audit (Audit Control No. 15-051-4-1). [x-ref DN 06307-15] | ✓ | — |
| | | 06046-15 | Gervasi Gervasi | Staff recom fld; awaiting order | FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 10-004-4-3. [x-ref. DNs 05711-10 and 05725-13 (returned to the source)] | ✓ | — |
| | | 06232-15 | Gervasi Gervasi | Staff recom fld; awaiting order | GPC (Griffin) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 15-051-1-1. [x-ref DN 05860-15] | ✓ | — |
| | | 06268-15 | Gervasi Gervasi | Order issued | TECO (Beasley) - (CONFIDENTIAL) Materials provided pursuant to Audit Control No. 15-051-2-2. [x-ref DN 05815-15] | — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|---------------------------------|--|---------------|---------------|
| 150001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 06302-15 | Gervasi Gervasi | Order issued | Duke Energy (Bernier) - (CONFIDENTIAL) Information included in staff audit work papers [Audit Control No. 15-051-2-1] pertaining to 2015 hedging activities. [x-ref DN 05862-15] | ✓ | — |
| | | 06307-15 | Gervasi Gervasi | Staff recom fld; awaiting order | FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit Control No. 15-051-4-1. [x-ref DN 05934-15] | ✓ | — |
| | | 06637-15 | Gervasi Gervasi | Staff recom fld; awaiting order | FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 12-013-4-2. [x-ref DNs 03454-12, 00967-14 (returned to source), and 07659-15 (part of Exh A)] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|------------------------------------|--|---------------|---------------|
| 150001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 07277-15 | Gervasi Gervasi | Staff recom fld; awaiting order | FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 12-013-4-1. [x-ref DNs 03171-12 and 01039-14 (returned to source)] | ✓ — | — |
| | | 07659-15 | Gervasi Gervasi | Staff recom fld; awaiting order | FPL (Moncada) - (CONFIDENTIAL) Workpaper 43-7, page 4 to Exh A in second request for extension of confidential classification for Audit [Control] No. 12-013-4-2; advises this page was missing from previous filing [DN 06637-15]. [x-ref DNs 03454-12 and 00967-14 (returned to source)] | ✓ — | — |
| 150002-EG | Energy conservation cost recovery clause. | 03663-15 | Lingo Tan | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for energy conservation cost recovery clause audit (Audit | ✓ — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|---------------|---|---------------|---------------|
| | | 04140-15 | Lingo Tan | Order issued | Control No. 15-013-4-1). [x-ref DN 04140-15] FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 15-013-4-1. [x-ref DN 03663-15] | L | — |
| 150003-GU | Purchased gas adjustment (PGA) true-up. | 02990-15 | Draper Mapp | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for PGS, for purchased gas cost recovery clause audit (Audit Control No. 15-014-2-3). [x-ref DN 03462-15 (returned to source) and 04449-15] | t | — |
| | | 04449-15 | Draper Mapp | Order issued | PGS (Watson) - (CONFIDENTIAL) Highlighted portions of audit work papers related to Audit Control No. 15-014-2-3. [x-ref DNs 02990-15 and 03462-15 (returned to source)] | — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|-------------------------------------|-----------------|-----------------------|---------------------------------|--|---------------|---------------|
| 150007-EI | Environmental cost recovery clause. | 02071-15 | Graves Murphy | Order issued | FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 13-015-4-1. [See DN 03139-13; DN 03519-13 returned to source] | ✓ | — |
| | | 03557-15 | Graves Murphy | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for environmental cost recovery clause audit (Audit Control No. 15-014-4-1). [x-ref DN 04027-15] | — | — |
| | | 04027-15 | Graves Murphy | Order issued | FPL (Moncada) - (CONFIDENTIAL) Work papers with respect to Audit No. 15-014-4-1. [x-ref DN 03557-15] | ✓ | — |
| 150009-EI | Nuclear cost recovery clause. | 00940-15 | Hinton Barrera | Staff recom fld; awaiting order | APA/Rich - (CONFIDENTIAL) Work papers used in preparation of staff's report titled review of FPL's project management | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---------------------|-----------------|-----------------------|------------------------------------|--|---------------|---------------|
| | | 01019-15 | Hinton Barrera | Staff recom fld; awaiting order | controls for nuclear plant uprate and construction projects. (Audit Control No. PA-14-01-001) [See DN 04981-14]. APA/Coston - (CONFIDENTIAL) Workpapers used in preparation of staff's report titles review of Progress Energy Florida Inc.'s project management controls for nuclear plant uprate and construction projects (PA-11-11-004). [See DN 07239-12]. | ✓ | — |
| | | 01022-15 | Hinton Barrera | Staff recom fld; awaiting order | APA (Rich) - (CONFIDENTIAL) Confidential workpapers used in preparation of staff's report titled review of FPL's project management internal controls for nuclear plant | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---------------------|-----------------|-----------------------|------------------------------------|--|---------------|---------------|
| | | 03598-15 | Hinton Barrera | Staff recom fld; awaiting order | uprate and construction projects (PA-11-01-005) [sic]. [x-ref DNs 07083-12, 07668-12 and 02625-16]. APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Duke Energy, for nuclear cost recovery clause audit (Audit Control No. 15-005-2-2). APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Duke Energy, for nuclear cost recovery clause audit (Audit Control No. 15-005-2-1). [x-ref DNs 03881-15 (returned to source) and 04566-15] | ✓ | — |
| | | 03600-15 | Hinton Barrera | Staff recom fld; awaiting order | uprate and construction projects (PA-11-01-005) [sic]. [x-ref DNs 07083-12, 07668-12 and 02625-16]. APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Duke Energy, for nuclear cost recovery clause audit (Audit Control No. 15-005-2-2). APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Duke Energy, for nuclear cost recovery clause audit (Audit Control No. 15-005-2-1). [x-ref DNs 03881-15 (returned to source) and 04566-15] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|-------------------------------|-----------------|-----------------------|---------------|---|---------------|---------------|
| 150009-EI | Nuclear cost recovery clause. | 03639-15 | Hinton Barrera | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for nuclear cost recovery clause audit (Audit Control No. 15-005-4-1). [x-ref DN 04142-15] | ✓ — | — |
| | | 03676-15 | Hinton Barrera | Order issued | FPL (Cano) - (CONFIDENTIAL) Portions of Audit Report [No.] PA 15-01-002, titled review of FPL's project management internal controls for Turkey Point 6 & 7 construction. [x-ref DN 06309-15] | — | — |
| | | 03768-15 | Hinton Barrera | Order issued | Duke Energy (Walls) - (CONFIDENTIAL) Portions of the final audit report of staff's auditors review of Duke Energy's project management internal controls for nuclear plant | — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---------------------|-----------------|-----------------------|----------------|---|---------------|---------------|
| | | 03814-15 | Hinton Barrera | Filed by staff | uprate and construction projects, Audit Report No. PA-15-01-001. [x-ref. DN 06093-15] GCL/Mapp - (CONFIDENTIAL) Highlighted exhibit to direct testimony of William Coston [DN 03810-15]. GCL/Mapp - (CONFIDENTIAL) Highlighted exhibit to direct testimony of David Rich [DN 03811-15]. FPL (Cano) - (CONFIDENTIAL) Certain information provided to staff, pursuant to Audit 15-005-4-1. [x-ref DN 03639-15] | ✓ — | — |
| | | 03815-15 | Hinton Barrera | Filed by staff | | ✓ — | — |
| | | 04142-15 | Hinton Barrera | Order issued | | ✓ — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|-------------------------------|-----------------|-----------------------|---------------------------------|--|---------------|---------------|
| 150009-EI | Nuclear cost recovery clause. | 04566-15 | Hinton Barrera | Staff recom fld; awaiting order | Duke Energy (Gamba) - (CONFIDENTIAL) Portions of staff-generated financial audit workpapers. (Audit Control No. 15-005-2-1) [x-ref DNs 03600-15 and 03881-15 (returned to source)] | ✓ | — |
| | | 06093-15 | Hinton Barrera | Order issued | Duke Energy (Bernier) - (CONFIDENTIAL) Information contained staff's workpapers for Audit No. [PA]15-01-001, specifically page 141, subparagraph i. [x-ref. DN 03768-15] | ✓ | — |
| | | 06309-15 | Hinton Barrera | Order issued | FPL (Cano) - (CONFIDENTIAL) Certain information provided to staff pursuant to Audit Control No. PA-15-01-002. [x-ref DN 03676-15] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|-------------------------------|-----------------|-----------------------|---------------------------------|--|---------------|---------------|
| 150009-EI | Nuclear cost recovery clause. | 07369-15 | Hinton Barrera | Order issued | Duke Energy (Bernier) - (CONFIDENTIAL) Portions of documents and information provided to staff auditors in response to staff's review (Audit Control No. 10-006-2-2). [x-ref DNs 04557-10 and 04930-10 (returned to source)] | ✓ | — |
| | | 07798-15 | Hinton Barrera | Order issued | FPL (Cano) - (CONFIDENTIAL) Revisions to Exh A of Audit No. 11-01-001. [x-ref DN 04567-11] | ✓ | — |
| | | 07985-15 | Hinton Barrera | Staff recom fld; awaiting order | Duke Energy (Bernier) - (CONFIDENTIAL) Revised Exh A [portions of Audit Report No. PA-14-01-001]. [x-ref DN 03125-14] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|---------------|---|---------------|---------------|
| 150102-SU | Application for increase in wastewater rates in Charlotte County by Utilities, Inc. of Sandalhaven. | 05818-15 | Bruce Brownless | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Utilities, Inc. for affiliated transactions audit (Audit Control No. 15-175-1-2). [x-ref DN 06254-15] | ✓ | — |
| | | 06254-15 | Bruce Brownless | Order issued | Utilities, Inc. (Friedman) - (CONFIDENTIAL) Portions of staff-generated financial audit workpapers (Audit Control No. 15-236-1-2 [sic]). [CLK note: Correct Audit Control No. 15-175-1-2] [x-ref. 05818-15] | — | — |
| 150148-EI | Petition for approval to include in base rates the revenue requirement for the CR3 regulatory asset, by Duke Energy Florida, Inc. | 04956-15 | Fletcher Young | Order issued | APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for Duke Energy, for review of CR3 regulatory asset audit (Audit Control No. 15-161-2-1). | — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|--|-----------------|------------------------|---------------|--|---------------|---------------|
| 150171-EI | Petition for issuance of nuclear asset-recovery financing order, by Duke Energy Florida, Inc. d/b/a Duke Energy. | 05290-15 | Cicchetti Gervasi | Order issued | [x-ref. DN 05290-15 (150171-EI)] Duke Energy (Triplett) - (CONFIDENTIAL) Portions of staff auditors' workpapers, which contain contractual, financial, vendor, and employee information; provided on CD only. (Audit Control No. 15-161-2-1) | ✓ | — |
| 150216-EI | Duke Energy Florida's request for confidential treatment of certain information contained in report entitled Review of Data Accuracy in Electric Reliability Reporting by Florida Electric | 04425-15 | Harper No lead att. | Order issued | [x-ref. DN 04956-15 (150148-EI)] Duke Energy (Bernier) - (CONFIDENTIAL) FPSC's review of data accuracy in electric reliability report, Audit No. PA-15-01-003. [CLK note: This document moved from Docket 150000-OT to Docket 150216-EI] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|--|-----------------|------------------------|----------------|---|---------------|---------------|
| | IOUs. | 07897-15 | Harper No lead att. | Filed by staff | per DN 06303-15. APA/Delgado Perusquia - (CONFIDENTIAL) Duke audit workpapers PA-15-01-003. | ✓ | — |
| 150217-EI | Florida Power & Light Company's request for confidentiality of selected staff work papers related to the Review of Data Accuracy in Electric Reliability Reporting by Florida Electric IOUs. | 04702-15 | Harper No lead att. | Order issued | FPL (Goorland) - (CONFIDENTIAL) Certain material provided to staff pursuant to review of data accuracy in electric reliability reporting by Florida electric IOUs. [Audit Control Nos. PA-15-01-003 and PA-14-10-004]. [x-ref DN 04432-15 (returned to source)] [CLK note: This document moved | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|--|-----------------|------------------------|------------------------------------|---|---------------|---------------|
| | | 07944-15 | Harper No lead att. | Filed by staff | from Docket 150000-OT to Docket 150217-EI per DN 06304-15.] APA/Fisher - (CONFIDENTIAL) Work papers related to information provided by FPL during staff's review of data accuracy in electric reliability reporting by Florida electric IOUs (Audit Control No. PA-15-01-003). FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit [Control] No. 14-027-4-3. [x-ref DNs 02092-14 and 02419-14 (returned to source)] | ✓ | — |
| 160001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 00527-16 | Barrett Janjic | Staff recom fld; awaiting order | | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|------------------------------------|---|-------------------------------------|---------------|
| 160001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 00724-16 | Barrett Janjic | Staff recom fld; awaiting order | FPL (Moncada) - (CONFIDENTIAL) Information provided pursuant to Audit No. 14-027-4-1. [x-ref DNs 02094-14, 02095-14, 02096-14, and 03352-14] | <input checked="" type="checkbox"/> | — |
| | | 01358-16 | Barrett Janjic | Staff recom fld; awaiting order | FPL (Moncada) - (CONFIDENTIAL) Certain material provided pursuant to Audit Control Number 14-083-4-1. [x-ref. DNs 04996-14 and 05448-14 (returned to source)] | <input type="checkbox"/> | — |
| | | 02541-16 | Barrett Janjic | Staff recom fld; awaiting order | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for GPC, for capacity cost recovery clause audit (Audit Control No. 16-020-1-2). [x-ref DN 03029-16] | <input checked="" type="checkbox"/> | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|---------------------------------|---|---------------|---------------|
| 160001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 02584-16 | Barrett Janjic | Staff recom fld; awaiting order | APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for GPC, for fuel cost recovery clause audit (Audit Control No. 16-020-1-1). [x-ref DN 03027-16] | ✓ — | — |
| | | 02786-16 | Barrett Janjic | Staff recom fld; awaiting order | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for capacity cost recovery clause audit (Audit Control No. 16-020-1-2 [sic]). [CLK note: Correct Audit Control No. 16-020-4-2] [x-ref DN 03189-16] | ✓ — | — |
| | | 03027-16 | Barrett Janjic | Staff recom fld; awaiting order | GPC (Griffin) - (CONFIDENTIAL) Certain documents produced in connection with a review of 2015 fuel and purchased power transactions (Audit Control No. | ✓ — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---------------------|-----------------|-----------------------|-------------------------------------|--|---------------|---------------|
| | | 03029-16 | Barrett Janjic | Staff recom fld; awaiting order | 16-020-1-1). [x-ref DN 02584-16] GPC (Griffin) - (CONFIDENTIAL) Certain documents produced in connection with a review of 2015 capacity expenditures (Audit Control No. 16-020-1-2). | ✓ | — |
| | | 03189-16 | Barrett Janjic | Staff recom fld; awaiting order | [x-ref DN 02541-16] FPL (Moncada) - (CONFIDENTIAL) Certain material provided to staff pursuant to Audit Control No. 16-020-4-2. [x-ref DN 02786-16] | ✓ | — |
| | | 03504-16 | Barrett Janjic | Req forwarded to staff for recom | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 3] for FPL, for fuel cost recovery clause audit (Audit Control No. 16-020-4-1). [x-ref DN 03510-16 and 04091-16] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|----------------------------------|--|---------------|---------------|
| 160001-EI | Fuel and purchased power cost recovery clause with generating performance incentive factor. | 03510-16 | Barrett Janjic | Req forwarded to staff for recom | APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for FPL, for fuel cost recovery clause audit (Audit Control No. 16-020-4-1). [x-ref DNs 03504-16 and 04091-16] | ✓ — | — |
| | | 04091-16 | Barrett Janjic | Req forwarded to staff for recom | FPL (Goorland) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 16-020-4-1. [x-ref. DNs 03504-16 and 03510-16] | — | — |
| 160002-EG | Energy conservation cost recovery clause. | 03427-16 | Lingo Tan | NOI filed; awaiting request | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPUC, for energy conservation cost recovery clause audit (Audit Control No. 16-019-1-8). [x-ref DN 04212-16] | ✓ — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|---|-----------------|-----------------------|------------------------------------|---|---------------|---------------|
| 160002-EG | Energy conservation cost recovery clause. | 03932-16 | Lingo Tan | NOI filed; awaiting request | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for energy conservation cost recovery clause audit (Audit Control No. 16-019-4-1). | ✓ | — |
| | | 04212-16 | Lingo Tan | NOI filed; awaiting request | FPUC (Keating) - (CONFIDENTIAL) Certain audit workpapers associated with Audit Control No. 16-019-1-8, which include payroll and payroll support. [x-ref DN 03427-16] | ✓ | — |
| 160007-EI | Environmental cost recovery clause. | 00817-16 | Mtenga Murphy | Staff recom fld; awaiting order | FPL (Moncada) - (CONFIDENTIAL) Materials provided pursuant to Audit No. 14-010-4-1. [x-ref DNs 02755-14 and 03216-14 (returned to source)] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|-------------------------------|-----------------|-----------------------|---------------------------------|--|---------------|---------------|
| 160009-EI | Nuclear cost recovery clause. | 02280-16 | Whitfield Mapp | Staff recom fld; awaiting order | Duke Energy (Bernier) - (CONFIDENTIAL) Revised Exh A to first request for extension of confidential classification concerning portions of information contained in staff's 2011 audit workpapers [x-ref DN 04540-11] (Audit Control No. PA-11-01-001). | ✓ | — |
| | | 02311-16 | Whitfield Mapp | Staff recom fld; awaiting order | Duke Energy (Bernier) - (CONFIDENTIAL) Information contained in staff's workpapers for Audit Control No. 11-024-2-2 [x-ref DNs 02811-11 and 03196-11 (returned to source)]. | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|-------------------------------|-----------------|-----------------------|---------------------------------|--|---------------|---------------|
| 160009-EI | Nuclear cost recovery clause. | 02350-16 | Whitfield Mapp | Staff recom fld; awaiting order | Duke Energy (Bernier) - (CONFIDENTIAL) Information contained in workpapers for Audit Control No. 12-010-2-1 [x-ref DNs 03391-12 and 03853-12 (returned to source)]. | ✓ | — |
| | | 02625-16 | Whitfield Mapp | Staff recom fld; awaiting order | FPL (Cano) - (CONFIDENTIAL) Audit [Report No. PA-11-11-005] work papers. [x-ref DNs 07083-12 (returned to source), 07668-12 (returned to source) and 01022-15] | ✓ | — |
| | | 03473-16 | Whitfield Mapp | Staff recom fld; awaiting order | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 3] for Duke Energy, for nuclear cost recovery audit (Audit Control No. 16-005-2-1). [x-ref DNs 03475-16, 03878-16 and 03957-16] | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|-------------------------------|-----------------|-----------------------|----------------------------------|---|---------------|---------------|
| 160009-EI | Nuclear cost recovery clause. | 03475-16 | Whitfield Mapp | Staff recom fld; awaiting order | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for Duke Energy, for nuclear cost recovery clause audit (Audit Control No. 16-005-2-2). [x-ref DNs 03473-16, 03878-16 and 03957-16] | ✓ | — |
| | | 03553-16 | Whitfield Mapp | Req forwarded to staff for recom | APA/Deamer - (CONFIDENTIAL) Working papers Vol 2 [of 2] for FPL, for fuel cost recovery clause audit (Audit Control No. 16-005-4-1). [x-ref DN 04208-16] | ✓ | — |
| | | 03754-16 | Whitfield Mapp | Staff recom fld; awaiting order | Duke Energy (Bernier) - (CONFIDENTIAL) Staff Auditors Project Management Audit Report No. PA-16-01-001. | ✓ | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|-------------------------------|-----------------|-----------------------|---------------------------------|---|---------------|---------------|
| 160009-EI | Nuclear cost recovery clause. | 03767-16 | Whitfield Mapp | Filed by staff | GCL/Mapp - (CONFIDENTIAL) Exhibit to direct joint testimony of Jerry Hallenstein and Lynn Fisher. | ✓ — | — |
| | | 03878-16 | Whitfield Mapp | Staff recom fld; awaiting order | Duke Energy (Bernier) - (CONFIDENTIAL) Exh A to fourth request of certain information regarding Audit Control Nos. 16-005-2-1 and 16-005-2-2. [x-ref DNs 03473-16, 03475-16 and 03957-16] | ✓ — | — |
| | | 03957-16 | Whitfield Mapp | Staff recom fld; awaiting order | APA/Deamer - (CONFIDENTIAL) Working papers Vol 3 [of 3] for Duke Energy, for nuclear cost recovery clause audit (Audit Control No. 16-005-2-1). [x-ref DNs 03473-16, 03475-16 and 03878-16] | ✓ — | — |

Inventory of Confidential Documents by Responsible Division/Office as of 07/07/2016

Office of Auditing and Performance Analysis

| <u>DOCKET</u> | <u>DOCKET TITLE</u> | <u>DOCUMENT</u> | <u>LEAD STF./ATT.</u> | <u>STATUS</u> | <u>DESCRIPTION</u> | <u>RETAIN</u> | <u>RETURN</u> |
|---------------|--|-----------------|-----------------------|----------------------------------|---|---------------|---------------|
| 160009-EI | Nuclear cost recovery clause. | 04208-16 | Whitfield Mapp | Req forwarded to staff for recom | FPL (Cano) - (CONFIDENTIAL) Certain information provided to staff pursuant to Audit Control No. 16-005-4-1 work papers. [x-ref DN 03553-16] | ✓ — | — |
| 160021-EI | Petition for rate increase by Florida Power & Light Company. | 03742-16 | Fletcher Brownless | NOI filed; awaiting request | APA/Deamer - (CONFIDENTIAL) Working papers Vol 4 [of 4] for FPL, for rate case audit (Audit Control No. 16-095-4-1). | ✓ — | — |
| 160057-EI | Request for confidentiality of selected staff workpapers related to the review of Florida Power & Light Company's internal audit function. | 01306-16 | Harper Harper | Order issued | FPL (Donaldson) - (CONFIDENTIAL) Revised materials provided pursuant to Audit Report [No.] PA-15-10-006. [x-ref DN 01001-16 returned to source] [CLK note: This document moved from Docket 160000 to new Docket 160057 per DN 01335-16.] | ✓ — | — |

Total number of records: 350