

State of Florida



## Public Service Commission

CAPITAL CIRCLE OFFICE CENTER • 2540 SHUMARD OAK BOULEVARD  
TALLAHASSEE, FLORIDA 32399-0850

### -M-E-M-O-R-A-N-D-U-M-

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**DATE:** November 2, 2016  
**TO:** Carlotta S. Stauffer, Commission Clerk, Office of Commission Clerk  
**FROM:** Kelley F. Corbari, Senior Attorney, Office of the General Counsel *KFC*  
**RE:** **Docket No. 140219-WU** – Application for staff-assisted rate case in Polk County by Alturas Utilities, LLC.  
**Docket No. 140220-WU** – Application for staff-assisted rate case in Polk County by Sunrise Utilities, LLC.

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Attached please find a copy correspondence from the Commission's Office of Auditing and Performance Analysis division to Alturas Utilities, LLC and Sunrise Utilities, LLC, initiating a compliance and management audit of the utilities and requesting information.

Please file the attached in the documents tabs of above-referenced docket files.

Thank you for your assistance in this matter. Should you have any questions, please do not hesitate to contact me.

KFC

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STATE OF FLORIDA



OFFICE OF  
AUDITING & PERFORMANCE ANALYSIS  
DALE MAILHOT  
DIRECTOR  
(850) 413-6854

## Public Service Commission

November 2, 2016

Mr. Leslie Szabo  
Sunrise Utilities, L.L.C.  
Alturas Utilities, L.L.C.  
Post Office Box 2608  
Eaton Park, FL 33840-2608

Dear Mr. Szabo:

As we discussed by telephone today, the Office of Auditing and Performance Analysis is initiating a compliance and management audit of Sunrise and Alturas Utilities, L.L.C. This audit is being performed at the request of the Commission. Specifically, we will review and document your operations to determine whether:

- ▶ The utilities are in compliance with Chapter 25-30 of the Commission rules that govern water and wastewater utilities.
- ▶ Internal controls are in place and sufficient to protect the company and its customers, and,
- ▶ Operations are performed in an efficient and effective manner.

The primary points of contact for this review will be Jerry Hallenstein and me. We will make sure that you are aware of the audit's progress, and that our review is independent and accurate. At the conclusion of the audit, you will have an opportunity to review and respond to the report draft prior to its release.

In preparation for the review, we request that you reply to the attached data request no later than **November 21, 2016**. This will allow us to familiarize ourselves with company operations prior to meeting with you at a later date.

I would like to thank you in advance for your cooperation. Please contact Jerry (850-413-6818) or me (850-413-6812) if you have any questions regarding this review.

Sincerely,

A handwritten signature in cursive script that reads "Carl Vinson".

Carl Vinson  
Public Utility Supervisor  
Office of Auditing and Performance Analysis