Docket No. 160101-WS: Petition for rate increase by Utilities, Inc. of Florida and Utilities, Inc.

Direct Testimony of Rhonda L. Hicks, Appearing on Behalf of the Staff of the Florida Public Service Commission

Date Filed: March 20, 2017

1	BEFORE THE FLORIDA PUBLIC SERVICE COMMISSION					
2	COMMISSION STAFF					
3	DIRECT TESTIMONY OF RHONDA L. HICKS					
4	DOCKET NO. 160101-WS					
5	MARCH 20, 2017					
6						
7	Q. Please state your name and address.					
8	A. My name is Rhonda L. Hicks. My address is 2540 Shumard Oak Boulevard;					
9	Tallahassee, Florida; 32399-0850.					
10	Q. By whom are you employed and in what capacity?					
11	A. I am employed by the Florida Public Service Commission (FPSC or Commission) as					
12	Chief of the Bureau of Consumer Assistance in the Office of Consumer Assistance &					
13	Outreach.					
14	Q. Please give a brief description of your educational background and professional					
15	experience.					
16	A. I graduated from Florida A&M University in 1986 with a Bachelor of Science degree					
17	in Accounting. I have worked for the Commission for 30 years, and I have varied experience					
18	in the electric, gas, telephone, and water and wastewater industries. My work experience					
19	includes rate cases, cost recovery clauses, depreciation studies, tax, audit, consumer outreach,					
20	and consumer complaints. I currently work in the Bureau of Consumer Assistance within the					
21	Office of Consumer Assistance & Outreach where I manage consumer complaints and					
22	inquiries.					
23	Q. What is the function of the Bureau of Consumer Assistance?					
24	A. The Bureau's function is to resolve disputes between regulated companies and their					
25	customers as quickly, effectively, and inexpensively as possible.					

Q. Do all consumers, who have disputes with their regulated company, contact the Bureau of Consumer Assistance?

A. No. Consumers may initially file their complaint with the regulated company and
reach resolution without the Bureau's intervention. In fact, consumers are encouraged to
allow the regulated company the opportunity to resolve the dispute prior to any Commission
involvement.

7 **Q.** What is the purpose of your testimony?

A. The purpose of my testimony is to discuss/outline the number of consumer complaints
logged with the Commission against Utilities, Inc. of Florida under Rule 25-22.032, Florida
Administrative Code, Consumer Complaints, from January 1, 2010, through December 31,
2016. My testimony will also provide information on the type of complaints logged and those
complaints that appear to be rule violations.

Q. What do your records indicate concerning the number of complaints logged against Utilities Inc. of Florida?

A. From January 1, 2010, through December 31, 2016, the Commission logged 218
complaints against Utilities, Inc. of Florida.

Q. What have been the most common types of complaints logged against Utilities Inc.
of Florida during the period January 1, 2010, through December 31, 2016?

A. During the specified time period, approximately sixty-eight (68%) percent of the
complaints logged with the Commission concerned billing issues primarily related to improper
billing. The remaining thirty-two (32%) percent of the complaints involved service issues
primarily related to improper disconnections, outages, and other miscellaneous quality of
service issues.

24 **Q.** Do you have any exhibits attached to your testimony?

25 A. Yes. I am sponsoring Exhibit RLH-1, which is a summary listing of customer

complaints logged with the Commission against Utilities, Inc. of Florida pursuant to Rule 25 22.032, Florida Administrative Code. The complaints listed were received between January 1,
 2010, and December 31, 2016, and were captured in the Commission's Consumer Activity
 Tracking System (CATS). The summary includes the date the complaint was received, the
 CATS tracking number, the Pre-Close type, the Close Type, and whether the complaint is
 related to service or billing.

7 **Q.** What is a Pre-Close Type?

A. A pre-close type is an internal category assigned to a complaint based solely on
information provided by a customer during the initial complaint. The pre-close type indicates
the type of issue at stake for the complaint. For example, a pre-close type may be improper
disconnect, outages, quality of service, or improper billing, to name a few.

12 **Q.** What is a Close Type?

13 A. A close type is the internal code assigned to each complaint once staff completes its 14 investigation of the initial complaint and a proposed resolution is provided to the customer. 15 The close type code indicates the category of rule violation, if any, the complaint is classified 16 under. For instance, a close type of WB would indicate a rule violation related to water billing, 17 and a close type of WS would indicate a rule violation related to water service. In some 18 instances, the pre-close type category will differ from the corresponding close type code 19 because staff's investigation will reveal facts not readily available based upon the customer's 20 initial complaint. For example, a customer may file a complaint regarding overbilling. 21 However, once staff investigates, they may determine that overbilling did not in fact occur, 22 and as such the close type code will differ to reflect that no overbilling took place.

Q. How many of the complaints summarized on your exhibit has staff determined may be a violation of Commission rules?

25 A. Of the 218 complaints logged against Utilities, Inc. of Florida during the period

1	January 1, 2010, and December 31, 2016, staff determined that 53 complaints appear to be
2	violations of Commission rules. These complaints have a Close Type which is indicated by a
3	WB or WS.
4	Q. What was the nature of the apparent rule violations?
5	A. The majority of the apparent rule violations were related to meter reading inaccuracies,
6	and customers being charged improper rates. The utility was also cited on several occasions
7	for failure to provide complaint resolution prior to the established deadline.
8	Q. Does this conclude your testimony?
9	A. Yes, it does.
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Utilities Inc. of Florida Listing of Customer Complaints Received January 1, 2010 Through December 31, 2016

	Received	CATS No.	Preclose Type	Close Type	S= Service B= Billing
1	2/19/2010	0925991W	IMPROPER DISCONNECTS	GI-28	S
2	3/15/2010	0931077W	OUTAGES	GI-15	S
3	3/25/2010	0933409W	QUALITY OF SERVICE	GI-30	S
4	4/15/2010	0937498W	REPAIR	GI-11	S
5	4/22/2010	0938922W	DELAY IN CONNECTION	GI-29	S
6	5/24/2010	0945114W	IMPROPER BILLS	GI-25	В
7	5/24/2010	0944986W	QUALITY OF SERVICE	GI-30	S
8	5/25/2010	0945324W	IMPROPER BILLS	GI-30	В
9	5/26/2010	0945671W	IMPROPER BILLS	GI-25	В
10	5/26/2010	0945808W	IMPROPER DISCONNECTS	GI-28	S
11	5/26/2010	0945760W	QUALITY OF SERVICE	GI-30	S
12	5/27/2010	0945856W	OUTAGES	GI-15	S
13	6/16/2010	0949405W	OUTAGES	GI-15	S
14	7/8/2010	0953404W	IMPROPER BILLS	GI-25	В
15	7/30/2010	0958220W	IMPROPER BILLS	GI-25	В
16	8/4/2010	0959146W	IMPROPER BILLS	GI-25	В
17	8/9/2010	0960173W	IMPROPER BILLS	GI-25	В
18	8/11/2010	0960661W	IMPROPER BILLS	GI-25	В
19	8/30/2010	0964617W	IMPROPER BILLS	GI-25	В
20	9/1/2010	0965052W	QUALITY OF SERVICE	GI-30	S
21	9/8/2010	0966196W	IMPROPER BILLS	GI-05	В
22	9/8/2010	0966278W	IMPROPER BILLS	GI-25	В
23	9/16/2010	0967923W	IMPROPER BILLS	WB-49	В
24	9/23/2010	0969647W	QUALITY OF SERVICE	GI-30	S
25	10/4/2010	0971794W	IMPROPER BILLS	WB-50	В
26	10/6/2010	0972214W	IMPROPER DISCONNECTS	GI-28	S
27	10/12/2010	0973381W		GI-25	В
28	10/13/2010	0973761W		GI-25	В
29	10/14/2010	0973994W		GI-25	B
30	10/20/2010	0975231W		GI-25	B
31 32	10/29/2010	0977171W		GI-30	S B
32 33	11/18/2010 11/19/2010	0980798W 0981185W	IMPROPER BILLS IMPROPER BILLS	GI-25 GI-25	В
33 34	12/6/2010		IMPROPER BILLS	GI-25 GI-25	B
34 35	1/7/2011	0983267W 0988426W	IMPROPER BILLS	GI-25 GI-25	В
35 36	1/13/2011	0989522W	IMPROPER BILLS	GI-25 GI-25	В
30 37	1/21/2011	098952200 0990897W	QUALITY OF SERVICE	GI-25 GI-30	Б S
37 38	1/25/2011	0990897W 0991478W	IMPROPER BILLS	GI-30 GI-25	B
30 39	2/21/2011	0991478W 0995722W	SAFETY ISSUE	GI-25 GI-17	S
39 40	3/18/2011	0999690W	QUALITY OF SERVICE	GI-30	S
40 41	4/15/2011	1004129W	IMPROPER BILLS	GI-30 GI-25	B
42	5/4/2011	1004129W	QUALITY OF SERVICE	GI-23 GI-30	S
42 43	6/16/2011	1014077W	IMPROPER BILLS	GI-30 GI-25	B
43 44	6/17/2011	1014396W	IMPROPER BILLS	GI-25 GI-25	B
44 45	8/8/2011	1023067W	QUALITY OF SERVICE	GI-25 GI-30	S
40	0/0/2011	1023007 10		0-30	5

				Close	S= Service
	Received	CATS No.	Preclose Type	Туре	B= Billing
46	10/11/2011	1033739W	QUALITY OF SERVICE	GI-30	S
47	10/14/2011	1034494W	IMPROPER BILLS	GI-25	В
48	11/8/2011	1038463W	QUALITY OF SERVICE	GI-32	S
49	11/21/2011	1040502W	QUALITY OF SERVICE	GI-32	S
50	12/6/2011	1042425W	IMPROPER BILLS	GI-25	В
51	12/8/2011	1042988W	SAFETY ISSUE	GI-17	S
52	12/13/2011	1043767W	IMPROPER BILLS	GI-25	В
53	12/14/2011	1044241W	IMPROPER BILLS	GI-25	В
54	12/15/2011	1044465W	IMPROPER BILLS	GI-05	В
55	12/15/2011	1044281W	QUALITY OF SERVICE	GI-30	S
56	1/25/2012	1049999W	IMPROPER BILLS	GI-25	В
57	2/13/2012	1052512W	IMPROPER BILLS	WB-49	В
58	2/16/2012	1053196W	IMPROPER BILLS	GI-32	В
59	2/20/2012	1053419W	QUALITY OF SERVICE	GI-30	S
60	2/21/2012	1053614W	IMPROPER BILLS	GI-25	В
61	2/24/2012	1054174W	QUALITY OF SERVICE	GI-30	S
62	3/27/2012	1058214W	QUALITY OF SERVICE	GI-30	S
63	4/3/2012	1059076W	IMPROPER BILLS	GI-25	В
64	4/5/2012	1059462W	IMPROPER BILLS	GI-25	В
65	4/11/2012	1060210W	IMPROPER BILLS	GI-25	В
66	4/12/2012	1060310W	IMPROPER BILLS	GI-25	В
67	4/16/2012	1060717W	IMPROPER BILLS	WB-50	В
68	5/8/2012	1063341W	IMPROPER DISCONNECTS	GI-30	S
69	6/6/2012	1066614W	IMPROPER BILLS	GI-32	В
70	7/19/2012	1072538W	IMPROPER BILLS	GI-05	В
71	7/19/2012	1072520W	IMPROPER BILLS	GI-25	В
72	7/19/2012	1072557W	IMPROPER BILLS	GI-25	В
73	7/19/2012	1072476W	IMPROPER BILLS	WB-04	В
74	7/19/2012	1072521W	IMPROPER BILLS	WB-04	В
75	7/19/2012	1072498W	IMPROPER BILLS	WB-04	В
76	7/20/2012	1072612W	IMPROPER BILLS	WB-03	В
77	7/20/2012	1072567W	IMPROPER BILLS	WB-04	В
78	7/20/2012	1072599W	IMPROPER BILLS	WB-04	В
79	7/20/2012	1072607W	IMPROPER BILLS	WB-04	В
80	7/20/2012	1072669W	IMPROPER BILLS	WB-04	В
81	7/20/2012	1072711W	IMPROPER BILLS	WB-04	В
82	7/23/2012	1072883W	IMPROPER BILLS	WB-04	В
83	7/23/2012	1072890W	IMPROPER BILLS	WB-04	В
84	7/23/2012	1072956W	IMPROPER BILLS	WB-04	В
85	7/24/2012	1073017W	IMPROPER BILLS	WB-04	В
86	7/24/2012	1073056W	IMPROPER BILLS	WB-04	В
87	7/24/2012	1073136W	IMPROPER BILLS	WB-04	В
88	7/24/2012	1073185W	IMPROPER BILLS	WB-04	В
89	7/25/2012	1073274W	IMPROPER BILLS	GI-25	В
90	7/25/2012	1073212W	IMPROPER BILLS	WB-04	В
91 00	7/25/2012	1073292W		WB-04	В
92	7/26/2012	1073377W	IMPROPER BILLS	WB-04	В
93	7/26/2012	1073399W		WB-04	В
94 05	7/26/2012	1073407W		WB-04	В
95 00	7/26/2012	1073422W		WB-04	В
96 07	7/27/2012	1073583W		WB-04	В
97 08	7/27/2012	1073584W		WB-04	В
98	7/30/2012	1073923W	IMPROPER BILLS	GI-25	В

				Close	S= Service
	Received	CATS No.	Preclose Type	Close Type	B= Billing
99	7/31/2012	1074065W	IMPROPER BILLS	WB-04	B
100	8/1/2012	1074318W	IMPROPER BILLS	GI-05	B
100	8/3/2012	1074544W	IMPROPER BILLS	WB-04	B
102	8/6/2012	1074668W	IMPROPER BILLS	WB-04	B
102	8/7/2012	1074865W	IMPROPER BILLS	WB-04	B
103	8/14/2012	1075870W	IMPROPER BILLS	WB-04	B
104	8/24/2012	1077430W	IMPROPER BILLS	GI-25	B
105	9/5/2012	1079156W	IMPROPER BILLS	WB-12	B
100	9/7/2012	1079749W	DELAY IN CONNECTION	GI-29	S
107	10/12/2012	1085272W	IMPROPER BILLS	GI-25	B
100	12/26/2012	1094383W	OUTAGES	GI-25 GI-15	
110	1/2/2013	1094383W	OUTAGES	GI-15 GI-15	S S S S S S S S S
111	1/2/2013	1095307W	OUTAGES	GI-15 GI-15	5
112	1/3/2013	1095465W	OUTAGES	GI-15 GI-15	5
112	1/14/2013	1096620W	OUTAGES	GI-15 GI-15	5
114	1/14/2013	1096630W	OUTAGES	GI-15 GI-15	5
115	1/14/2013	1096631W	OUTAGES	GI-15 GI-15	5
116	1/14/2013	1096754W	OUTAGES	GI-15 GI-15	3 6
117	1/22/2013	1097686W	OUTAGES	GI-15 GI-15	5
118	2/14/2013	1101498W	IMPROPER BILLS	WB-03	B
110	2/14/2013	1101498W 1101720W	IMPROPER BILLS	GI-25	В
	3/22/2013	1105353W	IMPROPER BILLS	GI-25 GI-25	B
120 121	3/28/2013	1105353W 1105952W	IMPROPER BILLS	GI-25 GI-05	В
121	3/28/2013	1105952W 1106016W	SAFETY ISSUE	GI-05 GI-17	Б S
122	4/4/2013	1106572W	IMPROPER DISCONNECTS	WS-50	S
123	4/5/2013	1106572W 1106691W	IMPROPER DISCONNECTS	GI-25	B
	4/5/2013 5/2/2013	1109329W	IMPROPER BILLS	GI-25 GI-28	
125 126	5/3/2013	1109329W 1109442W	IMPROPER DISCONNECTS	GI-28 GI-25	S B
120	5/13/2013	1110166W	QUALITY OF SERVICE	GI-25 GI-30	D C
	5/30/2013	1111859W	REPAIR	GI-30 GI-11	S
128 129	6/6/2013	1112495W	SAFETY ISSUE	GI-08	S S S S
129	6/7/2013	1112495W 1112650W	QUALITY OF SERVICE	GI-08 GI-28	3 6
130	6/12/2013	1112050W	QUALITY OF SERVICE	GI-28 GI-30	3 6
131	6/13/2013	1113050W 1113257W	IMPROPER BILLS	GI-30 GI-25	B
132	6/28/2013	1114696W	DELAY IN CONNECTION	GI-25 GI-29	S
		1116783W			
134 135	7/22/2013 7/26/2013	1117411W	IMPROPER BILLS IMPROPER BILLS	GI-25 WB-05	B B
135	7/30/2013	1117773W	IMPROPER BILLS	WB-05 WB-26	B
130	8/1/2013	1118116W	IMPROPER BILLS	GI-25	B
137	8/7/2013	1118865W	IMPROPER BILLS	GI-25 GI-25	B
130	8/12/2013		IMPROPER BILLS	GI-25 GI-30	B
140	8/21/2013	1119224W 1120522W	IMPROPER BILLS	GI-30 GI-25	В
					В
141 142	8/29/2013 9/26/2013	1121532W	IMPROPER BILLS IMPROPER BILLS	GI-25 GI-25	В
142		1124966W	IMPROPER BILLS		В
	10/2/2013	1125651W		GI-25	В
144	11/8/2013	1129721W		GI-25	
145 146	11/21/2013	1131110W		GI-25	B
146	11/26/2013	1131484W		GI-16	S
147	2/19/2014	1139281W	QUALITY OF SERVICE	GI-30	S S
148	3/4/2014	1140457W		GI-28	
149	3/6/2014	1140696W		GI-25	В
150	3/25/2014	1142628W		GI-25	В
151	6/10/2014	1149530W	IMPROPER BILLS	GI-25	В

				Close	S= Service
	Received	CATS No.	Preclose Type	Туре	B= Billing
152	9/9/2014	1158801W	DELAY IN CONNECTION	GI-25	S
153	9/19/2014	1160084W	IMPROPER BILLS	GI-25	В
154	9/19/2014	1160049W	IMPROPER BILLS	GI-25	В
155	11/7/2014	1165077W	IMPROPER BILLS	GI-25	В
156	11/20/2014	1166253W	IMPROPER BILLS	GI-25	В
157	12/12/2014	1168646W	IMPROPER DISCONNECTS	GI-25	S
158	12/18/2014	1169250W	IMPROPER BILLS	GI-25	В
159	12/18/2014	1169295W	IMPROPER BILLS	GI-25	В
160	1/7/2015	1170645W	IMPROPER DISCONNECTS	GI-28	S
161	1/22/2015	1172088W	IMPROPER DISCONNECTS	GI-28	S
162	3/24/2015	1177434W	IMPROPER BILLS	GI-25	В
163	4/3/2015	1178289W	IMPROPER BILLS	GI-25	В
164	4/8/2015	1178639W	IMPROPER BILLS	GI-25	В
165	4/16/2015	1179238W	IMPROPER BILLS	GI-25	В
166	4/21/2015	1179676W	IMPROPER BILLS	WB-23	В
167	7/10/2015	1185956W	IMPROPER BILLS	GI-25	В
168	8/13/2015	1189049W	IMPROPER BILLS	GI-25	В
169	9/4/2015	1191132W	OUTAGES	GI-15	S
170	9/9/2015	1191412W	IMPROPER BILLS	WB-01	В
171	9/15/2015	1192018W	IMPROPER BILLS	GI-05	В
172	9/17/2015	1192257W	IMPROPER BILLS	WB-01	В
173	9/17/2015	1192204W	IMPROPER BILLS	GI-25	В
174	9/18/2015	1192407W	IMPROPER BILLS	WB-01	В
175	9/21/2015	1192563W	IMPROPER BILLS	WB-01	В
176	9/21/2015	1192602W	IMPROPER BILLS	WB-01	В
177	9/22/2015	1192685W	IMPROPER BILLS	WB-01	В
178	9/23/2015	1192905W	IMPROPER BILLS	PR-68	В
179	9/23/2015	1192819W	IMPROPER BILLS	WB-01	В
180	9/23/2015	1192908W	IMPROPER BILLS	WB-01	В
181	9/24/2015	1192968W	IMPROPER BILLS	WB-01	В
182	9/24/2015	1193001W	IMPROPER BILLS	WB-01	В
183	9/29/2015	1193490W	IMPROPER BILLS	WB-05	В
184	10/14/2015	1194987W	IMPROPER BILLS	WB-01	В
185	11/2/2015	1196510W	IMPROPER BILLS	WB-50	В
186	2/19/2016	1205948W	IMPROPER BILLS	GI-32	В
187	2/29/2016	1206552W	IMPROPER BILLS	GI-25	В
188	2/29/2016	1206631W	IMPROPER BILLS	GI-25	В
189	3/30/2016	1208976W	IMPROPER BILLS	GI-25	В
190	3/31/2016	1209070W	IMPROPER BILLS	GI-05	В
191	4/22/2016	1210827W	IMPROPER BILLS	NJ-06	В
192	4/25/2016	1210968W	IMPROPER BILLS	GI-25	В
193	4/29/2016	1211349W	IMPROPER DISCONNECTS	GI-15	S
194	5/6/2016	1211862W	OUTAGES	GI-15	S
195	5/9/2016	1212020W	IMPROPER BILLS	GI-25	В
196	5/19/2016	1212922W	IMPROPER DISCONNECTS	GI-28	S
197	5/26/2016	1213380W	IMPROPER BILLS	GI-32	В
198	6/15/2016	1214752W	DELAY IN CONNECTION	GI-29	S
199	6/20/2016	1215086W	IMPROPER BILLS	GI-05	В
200	6/21/2016	1215097W	IMPROPER BILLS	GI-25	В
201	6/28/2016	1215720W	IMPROPER BILLS	GI-05	В
202	7/6/2016	1216237W	IMPROPER DISCONNECTS	GI-28	S
203	7/12/2016	1216667W	IMPROPER BILLS	GI-32	В
204	9/8/2016	1222036W	IMPROPER BILLS	GI-05	В

				Close	S= Service
	Received	CATS No.	Preclose Type	Туре	B= Billing
205	9/19/2016	1222817W	IMPROPER BILLS	GI-25	В
206	9/23/2016	1223357W	IMPROPER BILLS	GI-25	В
207	10/4/2016	1224183W	QUALITY OF SERVICE	GI-30	S
208	10/12/2016	1224960W	SEWER SERVICE QUALITY	NJ-99	S
209	10/21/2016	1225797W	IMPROPER DISCONNECTS	GI-28	S
210	10/21/2016	1225887W	SEWER SERVICE QUALITY	GI-34	S
211	10/28/2016	1226453W	IMPROPER DISCONNECTS	Open	S
212	11/22/2016	1228754W	QUALITY OF SERVICE	WS-17	S
213	12/5/2016	1229790W	IMPROPER BILLS	GI-25	В
214	12/5/2016	1229823W	QUALITY OF SERVICE	GI-16	S
215	12/8/2016	1230354W	IMPROPER BILLS	GI-25	В
216	12/15/2016	1230946W	IMPROPER BILLS	Open	В
217	12/19/2016	1231199W	QUALITY OF SERVICE	GI-30	S
218	12/19/2016	1231205W	SEWER SERVICE QUALITY	GI-34	S

BEFORE THE FLORIDA PUBLIC SERVICE COMMISSION

In re: Application for increase in water and wastewater rates in Charlotte, Highlands, Lake, Lee, Marion, Orange, Pasco, Pinellas, Polk, and Seminole Counties by Utilities, Inc. of Florida.

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that the testimony of Rhonda L. Hicks on behalf of the staff of the Florida Public Service Commission was electronically filed with the Office of Commission Clerk, Florida Public Service Commission, and copies were furnished by electronic mail to the following on this 20th day of March, 2017.

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<u>/s/Wesley Taylor</u> WESLEY TAYLOR ATTORNEY

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