

(248) 557-1050

Phone Number

(248) 557-1232

Fax Number

Federal Employer Identification Number

QTY555@AOL.COM

E-Mail Address

Website Address

560-W

Water Certificate No.

488-S

Wastewater Certificate No.

- B) The contact information of the seller's authorized representative to contact concerning this application:

RON OSSIPOVE

Name

18700 W. 10 MILE ROAD #2200

Mailing Address

SOUTHFIELD

City

MI

State

48075

Zip Code

(248) 557-1050

Phone Number

(248) 557-1232

Fax Number

QTY555@AOL.COM

E-Mail Address

- C) Contact Information for Buyer. The buyer's name, address, telephone number, Federal Employer Identification Number, and, if applicable, fax number, e-mail address, website address, and new name of the utility if the buyer plans to operate under a different name. The buyer's business name, and if applicable, new utility name, should reflect the business and/or fictitious name(s) registered with the Department of State's Division of Corporations.

LAKE YALE UTILITIES, LLC

Buyer's Name

3336 GRAND BLVD. #102

Office Street Address

HOLIDAY

FL

34690

City

State

Zip Code

Mailing Address (if different from Street Address)

City

State

Zip Code

(352) 302-7406

() -

Phone Number

Fax Number

82-2203501

Federal Employer Identification Number

MIKE@FUS1LLC.COM

E-Mail Address

LAKE YALE UTILITIES, LLC

New Utility Name

- D) The contact information of the buyer's authorized representative to contact concerning this application:

MICHAEL SMALLRIDGE

Name

3336 GRAND BLVD. SUITE #102

Mailing Address

HOLIDAY

FL

34690

City

State

Zip Code

(352) 302-7406

() -

Phone Number

Fax Number

MIKE@FUS1LLC.COM

E-Mail Address

- E) The name, address, telephone number, and if available, e-mail address and fax number of the person in possession of the books and records when the application is filed.

RON OSSIPOVE

Name

18700 W. 10 MILE RD. #2200

Mailing Address

SOUTHFIELD

MI

48075

City

State

Zip Code

(248) 557-1050

() -

Phone Number

Fax Number

QTY555@AOL.COM

E-Mail Address

- F) Indicate the nature of the utility's/buyer's business organization (check one). Provide documentation from the Florida Department of State, Division of Corporations, showing the utility's/buyer's business name and registration/document number for the business, unless operating as a sole proprietor.

- Corporation _____
Number
- Limited Liability Company _____
L17000139342
Number
- Partnership _____
Number
- Limited Partnership _____
Number
- Limited Liability Partnership _____
Number
- Sole Proprietorship
- Association
- Other (Specify) _____

If the utility is doing business under a fictitious name, provide documentation from the Florida Department of State, Division of Corporations showing the utility's fictitious name and registration number for the fictitious name.

Fictitious Name (d/b/a) _____ N/A
Registration Number

G) The name(s), address(es), and percentage of ownership of each entity or person which owns or will own more than 5 percent interest in the utility (Use additional sheet if necessary).

MICHAEL SMALLRIDGE-100%

H) Provide the date and state of incorporation or organization of the buyer.

FLORIDA 6/30/2017

PART II **TRANSFER OF CERTIFICATE**

A) DESCRIPTION OF SALE AGREEMENT

1) Exhibit 1 - Provide a copy of the contract for sale and all auxiliary or supplemental agreements. If the sale, assignment, or transfer occurs prior to Commission approval, the contract shall include a provision stating that the contract is contingent upon Commission approval.

2) Exhibit 2 - Provide the following documentation of the terms of the transfer:

a) The date the closing occurred or will occur.

THE CLOSING OCCURRED ON 6/30/2017

b) The purchase price and terms of payment.

\$136,000

c) A list of and the dollar amount of the assets purchased and liabilities assumed or not assumed, including those of non-regulated operations or entities.

THERE ARE NO NON-REGULATED OPERATIONS OR ENTITIES.

- d) A description of all consideration between the parties, including promised salaries, retainer fees, stock, stock options, and assumption of obligations.

NONE- OTHER THAN THE PURCHASE PRICE.

- e) Provisions regarding the disposition, where applicable, of customer deposits and interest thereon, guaranteed revenue contracts, developer agreements, customer advances, debt of the utility, and leases.

ALL CUSTOMER DEPOSITS TRANSFERRED TO NEW UTILITY ACCOUNTS. NO OTHER CUSTOMER AGREEMENTS.

- f) A statement that the buyer will fulfill the commitments, obligations, and representations of the seller with regard to utility matters.

THE BUYER WILL FULFILL THE COMMITMENTS, OBLIGATIONS AND REPRESENTATIONS OF THE SELLER WITH REGARD TO UTILITY MATTERS.

- g) A provision that the buyer has or will obtain the books and records of the seller, including all supporting documentation for rate base additions since the last time rate base was established for the utility.

THE BUYER IS IN THE PROCESS OF OBTAINING THE BOOKS AND RECORDS OF THE SELLER, SOME OF WHICH ARE LOCATED IN MICHIGAN.

- h) A statement that the utility's books and records will be maintained using the National Association of Regulatory Utility Commissioners (NARUC) Uniform System of Accounts (USOA).

THE UTILITY'S BOOK AND RECORDS WILL BE MAINTAINED TO NARUC STANDARDS.

- i) A statement that the utility's books and records will be maintained at the utility's office(s) within Florida, or that the utility will comply with the requirements of Rule 25-30.110(1)(b) and (c), F.A.C., regarding maintenance of utility records at another location or out-of-state. If the records will not be maintained at the utility's office(s), the statement should include the location where the utility intends to maintain the books and records.

THE UTILITY'S BOOK AND RECORDS WILL BE MAINTAINED AT THE
UTILITY'S OFFICE AT 3336 GRAND BLVD. Suite 102, HOLIDAY
FLORIDA.

B) FINANCIAL ABILITY

- 1) Exhibit 3 - Provide a detailed financial statement (balance sheet and income statement), audited if available, of the financial condition of the applicant, that shows all assets and liabilities of every kind and character. The financial statements shall be for the preceding calendar or fiscal year. The financial statement shall be prepared in accordance with Rule 25-30.115, F.A.C. If available, a statement of the sources and uses of funds shall also be provided.

- 2) Exhibit 4 - Provide a list of all entities, including affiliates, upon which the buyer is relying to provide funding to the utility and an explanation of the manner and amount of such funding. The list need not include any person or entity holding less than 5 percent ownership interest in the utility. The applicant shall provide copies of any financial agreements between the listed entities and the utility and proof of the listed entities' ability to provide funding, such as financial statements.

BUYERS PERSONAL FINANCIAL STATEMENT WILL BE SUBMITTED
SEPERATELY AND CONFIDENTIALLY.

C) TECHNICAL ABILITY

- 1) Exhibit 5 - Provide the buyer's experience in the water or wastewater industry.

- 2) Exhibit 6 - Provide the buyer's plans for ensuring continued operation of the utility, such as retaining the existing plant operator(s) and office personnel, or contracting with outside entities.

D) TERRITORY DESCRIPTION, PUBLIC INTEREST, AND FACILITIES

1) Exhibit 7 - Provide a legal description of the proposed service area in the format prescribed in Rule 25-30.029, F.A.C.

2) Exhibit 8 - Provide a statement explaining why the transfer is in the public interest.

3) Exhibit 9 - Provide a statement from the buyer that after reasonable investigation, the system being acquired appears to be in satisfactory condition and compliance with all applicable standards set by the DEP, or, if the system is in need of repair or improvement, has any outstanding Notice of Violation of any standard set by the DEP or any outstanding consent orders with the DEP, the buyer shall provide a description of the repairs or improvements that have been identified, the governmental entity that required the repairs or improvements, if applicable, the approximate cost to complete the repairs or improvements, and any agreements between the seller and buyer regarding who will be responsible for any identified repairs or improvements.

4) Exhibit 10 - Provide documentation of the utility's right to continued long-term use of the land upon which the utility treatment facilities are located. This documentation shall be in the form of a recorded warranty deed, recorded quit claim deed accompanied by title insurance, recorded long-term lease, such as a 99-year lease, or recorded easement. The applicant may submit an unrecorded copy of the instrument granting the utility's right to access and continued use of the land upon which the utility treatment facilities are or will be located, provided the applicant files a recorded copy within the time prescribed in the order granting the transfer.

5) Exhibit 11 - Provide a copy of all of the utility's current permits from the Department of Environmental Protection (DEP) and the water management district.

6) Exhibit 12 - Provide a copy of the most recent DEP and/or county health department sanitary survey, compliance inspection report, and secondary water quality standards report.

- 7) Exhibit 13 - Provide a copy of all of the utility's correspondence with the DEP, county health department, and water management district, including consent orders and warning letters, and the utility's responses to the same, for the past five years.
- 8) Exhibit 14 - Provide a copy of all customer complaints that the utility has received regarding DEP secondary water quality standards during the past five years.

E) PROPOSED TARIFF

Exhibit 15 - Provide a tariff containing all rates, classifications, charges, rules, and regulations, which shall be consistent with Chapter 25-9, F.A.C. See Rule 25-30.037, F.A.C., for information about water and wastewater tariffs that are available and may be completed by the applicant and included in the application.

F) ACCOUNTING INFORMATION

- 1) Exhibit 16 - Provide the proposed net book value of the system as of the date of the proposed transfer, and a statement setting out the reasons for the inclusion of an acquisition adjustment, if one is requested. If rate base has been established by this Commission, provide the docket and the order number. In addition, provide a schedule of all subsequent changes to rate base.

no acquisition adjustment requested. Commission last established rate base in docket# 990194-ws in order # PSC-99-2190-PAA-WS

- 2) Exhibit 17 - Provide a statement from the buyer that it has obtained or will obtain copies of all of the federal income tax returns of the seller from the date the utility was first established or the rate base was last established by the Commission, whichever is later. If the tax returns have not been obtained, provide a description of the steps taken to obtain the tax returns.

Buyer is in the process of making arrangements to get the documents from the sellers office in Michigan.

- 3) Exhibit 18 - Provide a statement regarding the disposition of outstanding regulatory assessment fees, fines, or refunds owed and which entity will be responsible for paying regulatory assessment fees and filing the annual report for the year of the transfer and subsequent years.

Buyer will be responsible for the 2017 RAF's and annual report and subsequent years.

- 4) Exhibit 19 - If the buyer currently owns other water or wastewater utilities that are regulated by this Commission, provide a schedule reflecting any economies of scale that are anticipated to be achieved within the next three years and the effect on rates for existing customers served by both the utility being purchased and the buyer's other utilities.

Economies of scale are achieved by combining management, accounting and billing services. Lake Yale will consume around 15% of the costs over the entire company.

G) NOTICING REQUIREMENTS

Exhibit - 20 - Provide proof of noticing pursuant to Rule 25-30.030, F.A.C. This may be provided as a late-filed exhibit.

PART III

SIGNATURE

Please sign and date the utility's completed application.

APPLICATION SUBMITTED BY:


Applicant's Signature

Michael Smithridge
Applicant's Name (Printed)

Managing Member
Applicant's Title

10-9-17.
Date

Detail by Entity Name

Florida Limited Liability Company
LAKE YALE UTILITIES, LLC

Filing Information

Document Number L17000139342
FEI/EIN Number NONE
Date Filed 06/27/2017
Effective Date 06/30/2017
State FL
Status ACTIVE

Principal Address

3336 GRAND BLVD
102
HOLIDAY, FL 34690

Mailing Address

3336 GRAND BLVD
102
HOLIDAY, FL 34690

Registered Agent Name & Address

SMALLRIDGE, MICHAEL A
3336 GRAND BLVD
102
HOLIDAY, FL 34690

Authorized Person(s) Detail

Name & Address

Title MGR

SMALLRIDGE, MICHAEL A
3336 GRAND BLVD #102
HOLIDAY, FL 34690

Annual Reports

No Annual Reports Filed

Document Images

[06/27/2017 - Florida Limited Liability](#)

[View image in PDF format](#)

Date of this notice: 07-19-2017

Employer Identification Number:
82-2203501

Form: SS-4

Number of this notice: CP 575 G

LAKE YALE UTILITIES
MICHAEL SMALLRIDGE SOLE MBR
3336 GRAND BLVD STE 102
HOLIDAY, FL 34690

For assistance you may call us at:
1-800-829-4933

IF YOU WRITE, ATTACH THE
STUB AT THE END OF THIS NOTICE.

WE ASSIGNED YOU AN EMPLOYER IDENTIFICATION NUMBER

Thank you for applying for an Employer Identification Number (EIN). We assigned you EIN 82-2203501. This EIN will identify you, your business accounts, tax returns, and documents, even if you have no employees. Please keep this notice in your permanent records.

When filing tax documents, payments, and related correspondence, it is very important that you use your EIN and complete name and address exactly as shown above. Any variation may cause a delay in processing, result in incorrect information in your account, or even cause you to be assigned more than one EIN. If the information is not correct as shown above, please make the correction using the attached tear off stub and return it to us.

A limited liability company (LLC) may file Form 8832, *Entity Classification Election*, and elect to be classified as an association taxable as a corporation. If the LLC is eligible to be treated as a corporation that meets certain tests and it will be electing S corporation status, it must timely file Form 2553, *Election by a Small Business Corporation*. The LLC will be treated as a corporation as of the effective date of the S corporation election and does not need to file Form 8832.

To obtain tax forms and publications, including those referenced in this notice, visit our Web site at www.irs.gov. If you do not have access to the Internet, call 1-800-829-3676 (TTY/TDD 1-800-829-4059) or visit your local IRS office.

IMPORTANT REMINDERS:

- * Keep a copy of this notice in your permanent records. **This notice is issued only one time and the IRS will not be able to generate a duplicate copy for you.** You may give a copy of this document to anyone asking for proof of your EIN.
- * Use this EIN and your name exactly as they appear at the top of this notice on all your federal tax forms.
- * Refer to this EIN on your tax-related correspondence and documents.

If you have questions about your EIN, you can call us at the phone number or write to us at the address shown at the top of this notice. If you write, please tear off the stub at the bottom of this notice and send it along with your letter. If you do not need to write us, do not complete and return the stub.

Your name control associated with this EIN is LAKE. You will need to provide this information, along with your EIN, if you file your returns electronically.

Thank you for your cooperation.

EXHIBIT 1

AGREEMENT FOR PURCHASE AND SALE OF WATER AND WASTEWATER ASSETS

THIS AGREEMENT FOR PURCHASE AND SALE OF WATER AND WASTEWATER ASSETS ("Agreement") is entered into by and between Florida Utility Services 1, LLC, a Florida limited liability company, whose mailing address is 3336 Grand Blvd., Suite #102, Holiday, Florida 34690 ("Buyer") and Lake Yale Treatment Associates, Inc., a Michigan corporation authorized to do business in Florida, whose mailing address is 18700 W. 10 Mile Road, Southfield, MI. 48075, ("Seller") (collectively "the Parties").

Seller agrees to sell, and Buyer agrees to buy the utility system commonly known as Lake Yale Treatment Associates, Inc. ("Utility System"), pursuant to the following terms and conditions:

1. The Utility System includes Seller's domestic potable water and wastewater supply, transmission, distribution, collection, and treatment systems which operates pursuant to Florida Public Service Commission ("FPSC") Water Certificate No. 560-W and Wastewater Certificate 488-S, which includes the Seller's certificated franchise service area that provides water and wastewater residential and general service customers in Lake County, Florida.

2. This is a purchase of assets only. The Purchased Assets shall mean (a) all of Seller's rights, title, and interest in and to all assets, business properties, and rights, both tangible and intangible, constituting the Utility System; (b) an assignment of all rights described in any recorded restrictions, including the right to charge, collect and lien against any lot for nonpayment; (c) all easements, licenses, prescriptive rights, rights-of-way and rights to use public and private roads, highways, canals, streets and other areas owned or used by Seller for the construction, operation and maintenance of the Utility System; (d) all water and wastewater distribution facilities, treatment facilities, wells, of every kind and description whatsoever that constitute part of the Utility System, including but not limited to generators, pumps, plants, tanks, transmission mains, distribution mains, supply pipes, collection pipes or facilities, valves, meters, meter boxes, service connections and all other physical facilities, equipment and property installations owned by Seller and used in connection with the Utility System, wherever they may be stored, together with all additions or replacements thereto; (e) all certificates, permits, license rights, consents, grants, leaseholds, and similar rights relating to the construction, maintenance, and operation of the Utility System and its plants and systems for the procuring, storage and distribution of potable water, every right of every character whatever in connection therewith, subject to the obligations thereof (collectively, the "Certificates"); and (f) all water rights, flowage rights and all renewals, extensions, additions or modifications of any of the foregoing; together with all rights granted to Seller under the foregoing Certificates.

3. Purchased Assets shall also include: (a) all items of inventory owned by Seller on date this agreement is executed by Seller, for use in connection with the maintenance and operation of the Utility System, which inventory shall not be unreasonably depleted prior to the Closing date, including without limitation, all meters,

chemicals, and other materials and supplies used by Seller; (b) all supplier lists, customer records, receipts for payment of connection charges, prints, blueprints, plans, engineering reports, specifications, shop drawings, equipment manuals, maps, and other information in Seller's possession, including any rights of Seller to obtain copies of such items from engineers, contractors, consultants or other third parties, in paper and electronic form; (c) all sets of drawings, showing all facilities of the Utility System, including all original tracings, maps, or other reproducible materials in Seller's possession, including any rights of Seller to obtain copies of such items from engineers, contractors, consultants or other third parties, in paper and electronic form; (d) any Developer Agreements; (e) all equipment, computers, software, office equipment, intellectual property owned or licensed by Seller and other personal property owned by Seller and used by Seller in connection with the operation of the Utility System. Seller shall prepare and deliver to Buyer a list of personal property to be conveyed at closing, 5 days prior to closing, for Buyer's review and approval.

4. Buyer and Seller shall agree, prior to closing, on the method of calculating and transferring to Buyer the balance all customer deposits for the Utility System. Should the Closing take place other than on a billing date, the Parties shall pro-rate the revenues, which amount shall be credited to Seller at closing.

5. The following "Excluded Assets" are excluded from the Purchased Assets: (a) escrow and other Seller provisions for payment of federal and state taxes and other obligations to governmental entities; (b) all bank accounts; (c) all deposits with utility companies; and (d) all accounts receivables. Seller shall be responsible for paying any such taxes and other obligations, to the extent that they are due from the operation of the Utility System prior to the Closing Date.

6. Name of New Entity. Buyer shall utilize, and may acquire title in the names "Lake Yale Utilities, LLC," and no infringement shall be claimed by Seller.

7. Buyer shall assume all obligations and liabilities arising from the operation of the Utility System after the day of Closing, under the Certificates or under contracts or commitments expressly assumed by Buyer. Seller warrants that there are no known contracts to be assumed by Buyer. Buyer does not assume and shall not be liable for any expense, assessment, exposure, fine, penalty, liability, act or omission of Seller of any kind whatsoever imposed or required by any third party (including any federal, state, or local authority), whether known or unknown, whether contingent, liquidated or unliquidated, and whether arising or accruing under contract, tort, or pursuant to statute, rule, ordinance, law, regulation or otherwise. Without limiting the foregoing, Buyer shall not be liable for any liabilities to the extent that they are based upon or arise out of any violation of law, breach of permit obligation, breach of contract, tort, or other act or omission of Seller occurring prior to the Closing Date. Seller shall remain liable for and shall pay, perform or discharge all of Seller's liabilities and obligations, other than liabilities and obligations assumed by Buyer.

8. **Purchase Price.** On the Closing Date, Buyer shall pay to Seller, subject to the additions, adjustments and pro-rations referenced in this Agreement the purchase price of \$136,000 ("Purchase Price").

9. **Warranties.** Seller represents and warrants to Buyer that the execution and performance of this Agreement will not violate any provision of law, order of any court or agency of government applicable to Seller, the Articles of Incorporation or By-

Laws of Seller, nor any indenture, agreement, or other instrument to which Seller is a party, or by which it is bound. The Purchased Assets are not subject to any mortgage, pledge, lien, charge, security interest, or encumbrance and Seller shall, at closing deliver title to such personal property free and clear of all debts, liens, pledges, charges or encumbrances whatsoever.

10. Environmental Law Compliance. Seller warrants that the Utility is in material compliance with all applicable Environmental Laws, including any federal, state, or local statute, regulation, or ordinance, relating to the protection of human health or the environment in effect as of the Closing Date and includes, but is not limited to, The Florida Air and Water Pollution Control Act (Chapter 403, Florida Statutes), the Comprehensive Environmental Response, Compensation and Liability Act ("CERCLA")(42 U.S.C. § 9601 et seq.), the Resource Conservation and Recovery Act (42 U.S.C. § 6901 et seq.), the Clean Water Act (33 U.S.C. § 1251 et seq.), the Toxic Substances Control Act (15 U.S.C. § 2601 et seq.), and the Safe Drinking Water Act, (42 U.S.C. § 300f et seq.), as such have been amended or supplemented as of the Closing Date, the regulations promulgated pursuant thereto, and any conditions and requirements contained in any permits possessed by Seller from any federal, state or local agencies necessary to operate the Utility System.

11. Seller warrants there are no current actions, suits or proceedings at law or in equity pending or, to Seller's knowledge, threatened against the Seller or the Utility before any federal, state, municipal or other court, administrative or governmental agency or instrumentality, domestic or foreign, which affect the Utility System or any of the Purchased Assets or the Seller's right and ability to make and perform its obligations under this Agreement; nor is the Seller aware of any facts which to its knowledge are likely to result in any such action, suit or proceeding. Seller is not in material default with respect to any permit, order or decree of any court or of any administrative or governmental agency or instrumentality affecting the Utility System or any of the Purchased Assets. Seller agrees and warrants that it shall have a continuing duty to disclose up to and including the Closing Date the existence and nature of all pending judicial or administrative suits, actions, proceedings and orders which in any way relate to the operation of the Utility System.

12. Deleted

13. Deleted

14. **Conditions Precedent to Closing.** This contract is contingent upon the Florida Public Service Commission (FPSC) approving the transfer of the Utility System Lake Yale Utilities, LLC. The sale, assignment and transfer of the utility's certificate of authorization, facilities and equipment is contingent upon FPSC approval. In the event the PSC does not approve the sale and transfer of the Certificate of Authorization to Buyer, Seller shall cooperate in Buyer's continued operation of the system, until the expiration of such time as the FPSC approves said transfer to Buyer, or any other applicant proposed by Buyer or 2 years elapses from date of closing to approve such sale and transfer, whichever event occurs first. However, pursuant to Section 367.071(1), Florida Statutes, if both Parties agree, the Closing may occur prior to FPSC approval. Within 60 days of the date of this Agreement, or within 90 days of Closing, if the Parties close prior to FPSC approval, Buyer, at its sole expense, shall file an Application with the

FPSC for approval of the transfer of the Utility System. Seller shall cooperate with Buyer in connection with such Application

15. Documents to be Provided by Seller. Seller shall provide Buyer, to the extent it is in possession of same, all plans and specifications showing the Utility System, together with a map showing the Utility System and appurtenances as now constructed; any contracts or leases; all documents identifying equipment, tools, parts and all other personal property owned or used by Seller in connection with the operation of the Utility System; a schedule and copies of documents reflecting the rates, fees and charges currently being collected by Seller; copies of all permits, applications, or other documents, together with effective dates and expiration dates (if any), issued to Seller by all applicable governmental authorities including, but not limited to: (a) the Florida Department of Environmental Protection, (b) the United States Environmental Protection Agency, and (c) the Southwest Florida Water Management District; a list of all customers, customer deposits and accounts receivable by name and account number, setting forth the amount of each individual deposit and receivable and their aggregate totals and identifying each deposit as refundable or non-refundable; all warranties held by Seller with respect to completed, or in progress, construction work with respect to the Utility System, in addition to a copy of all warranties relating to the Purchased Assets; any and all effective insurance policies with respect to the Purchased Assets and Utility System, all surveys, if any; all easements, licenses, prescriptive rights and rights-of-way identified in Exhibit "A"; all environmental permits and applications..

16. OBLIGATIONS OF SELLER. The risk of any loss of the Purchased Assets shall remain with Seller until Closing. Seller shall not enter into any new contracts or obligations without Buyer's written consent.

17. TERMINATION. Buyer shall have the right to terminate this Agreement for any material defect or problem revealed including, but not limited to, any terms of the Seller's contracts which would cause a material adverse change in the long term operation of the Utility System or the Purchased Assets from the current operation.

18. CLOSING. This transaction shall be closed on or before June 30, 2017, unless extended by both parties. At Closing (a) Buyer shall pay the Purchase Price, recording costs, documentary and intangible tax on mortgage; (b) the parties shall execute such documents as are necessary to meet the conditions described herein; (c) title to the Purchased Assets shall be conveyed to Buyer by Bill of Sale free of all claims, liens, or encumbrances, whatsoever, other than Permitted Encumbrances; (d) Seller shall assign its right, title and interest in those easements, licenses, etc. identified in Exhibit "A."

19. Closing Costs: (a) recording fees to record any instruments necessary to deliver title to the Buyer, and all transfer fees imposed by regulatory agencies shall be paid by the Buyer; (b) each of the parties shall pay the fees of its own attorneys, bankers, engineers, accountants, and other professional advisers or consultants incurred in connection with the negotiation and execution of this Agreement; (c) Seller shall be responsible for all costs for services, materials and supplies rendered in connection with the operation of the Utility System prior to and including the day of Closing including, but not limited to, electricity, purchased water or telephone service and other such services, materials and supplies ("Accounts Payable"). Buyer shall be responsible for all such costs and expenses incurred subsequent to Closing; (d) Seller shall convey to Buyer

by check all customer deposits, and interest accumulated thereon through the day of Closing. Buyer shall assume liability for customer deposits which are conveyed to Buyer by Seller at Closing; and (e) the tangible personal property taxes shall be prorated at Closing with a credit to the Buyer. Seller warrants that Seller is not prohibited by decree or law from entering into this transaction, there are no legal actions or proceedings that hinder the ability of Seller to close the transaction, nor are there any pending against the Utility.

20. Post-Closing Cooperation. After Closing, Seller and Buyer shall upon reasonable request of the other execute, acknowledge and deliver, or cause to be executed, acknowledged and delivered, all such further documents, acts, deeds, assignments, transfers, powers of attorney and assurances as may be required to implement and perform any of the obligations, covenants and agreements of the parties. The terms of this Agreement shall survive closing.

21. GENERAL PROVISIONS.

(a) Entire Agreement. The Parties agree that this Agreement contains the entire agreement between the Parties, and therefore supersedes any prior agreements of the Parties, with respect to the issues addressed herein. The terms of this Agreement are contractual and shall survive the execution of this Agreement.

(b) Amendments. No modification, amendment, or waiver of any provision of this Agreement, nor consent to any departure by any Party therefrom, shall in any event be effective unless the same shall be in writing and signed by the Parties, and same shall be effective only in the specific instance and for the specific purpose for which given.

(c) Severability. If any clause or provision herein shall be adjudged invalid or unenforceable by a court of competent jurisdiction or by operation of any applicable law, it shall not affect the validity of any other clause or provision, which shall remain in full force and effect as such invalid clause or provision shall be deemed severable, unless such severance should materially affect the intent of the parties in entering into this Agreement.

(d) Governing Law/Venue. This Agreement is made and entered into in the State of Florida and will in all material respects be interpreted, enforced, and governed under the laws of Florida, and venue of any litigation shall be in Lake County, Florida.

(e) Costs and Attorney's Fees. In the event either party brings an action to enforce this Agreement by Court proceedings or otherwise, then the prevailing party shall be entitled to recover from the other party all costs incurred, together with reasonable attorney's fees at all levels, including appeals.

(f) Capacity. The Parties warrant and represent that, prior to the execution of this Agreement, they have not sold, assigned, granted, conveyed, or transferred to any other entity or person any of the rights, obligations, claims, demands, actions, or causes of actions described herein. The Parties have full legal and mental capacity to enter into, execute, and perform the terms and conditions contained in this Agreement and have entered into the Agreement voluntarily. Any person executing this Agreement in a representative capacity, represents and warrants that that person is duly authorized to execute this agreement on behalf of the represented party.

(g) Binding Effect. This Agreement shall be binding upon and shall inure to the benefit of the Parties hereto and their respective successors, assigns and legal representatives.

(h) Counterparts. This Agreement may be executed in one or more counterparts, at different times and places. When all parties have executed a counterpart of this Agreement, it shall be binding on all parties notwithstanding that all of them may not have signed the same counterpart. A facsimile or other copy of an executed counterpart hereof, such as an e-mailed PDF copy, shall have the same effect as an original.

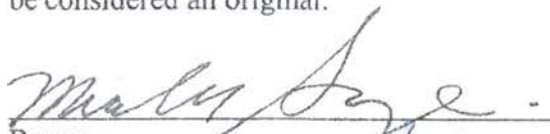
(i) Representation by Counsel. The Parties have read and understand this Agreement, and they have had the opportunity to be or in fact have been represented by legal counsel in the negotiation, drafting, and consummation of the transactions herein contemplated. Accordingly, the Parties agree to waive any and all rights and to apply in the interpretation of this Agreement the rule of construction that any ambiguities are to be resolved against the drafter of this Agreement. This Agreement is to be treated as if the Parties had jointly conceived and drafted the Agreement.

(j) Headings. The headings of the paragraphs herein are intended solely for convenience of reference and shall not control the meaning or interpretation of any of the provisions of this Agreement.

(k) Date of Execution. The date of this Agreement shall be the date that the last Party executes this Agreement as shown below.

(l) Alternative Dispute Resolution. Disputes arising under this Agreement must be first mediated by a Supreme Court Certified Circuit Civil Mediator in Lake County, Florida. The parties agree that the mediation shall occur within 30 days of the date mediation is requested by either party. The mediator shall be agreed upon, but if the parties are unwilling or unable to agree upon a mediator then each party shall select a mediator and the two mediators shall select a third mediator to conduct the mediation. The parties agree to pay the Mediator fees promptly and share them on an equal basis. Litigation may not be commenced until after mediation has been (i) declared an impasse by the Mediator or (ii) terminated in writing by one or both parties. The confidentiality provisions of the "Mediation Confidentiality and Privilege Act" attach to any such pre-suit mediation.

a. IN WITNESS WHEREOF, the parties have hereunto caused this Agreement to be executed the day and year aforesaid in counterparts, each counterpart to be considered an original.


Buyer

4-29-17
Date


Seller

4-29-17
Date

PROMISSORY NOTE
WITH GUARANTY

\$145,000.00

Pasco County, Florida

Date: July 7, 2017

Maturity Date: July 7, 2027

Lender: Wendle Clinton Smallridge and Vera Lee Smallridge
318 Sara Lane
Maynardville, TN 37807

Borrower: Lake Yale Utilities, LLC
3336 Grand Boulevard, Suite 102
Holiday, FL 34690

FOR VALUE RECEIVED, the undersigned, Lake Yale Utilities, LLC, promises to pay to the order of: Wendle Clinton Smallridge and Vera Lee Smallridge, in lawful money of the United States of America, the principal amount of One Hundred Forty-Five Thousand and 00/100 Dollars (\$145,000.00), together with interest on the unpaid principal balance from July 7, 2017, calculated at the rate of six (6%) percent per annum, until paid in full.

Borrower will pay this loan in 120 regular payments of \$1,223.59 each and one irregular last payment estimated at \$64,193.63. Borrower's first payment is due August 7, 2017, and all subsequent payments are due on the same day of each month after that. Borrower's final payment will be due on July 7, 2027, and will be for all principal and all accrued interest not yet paid. Payments include principal and interest. Unless otherwise agreed or required by applicable law, payments will be applied first to any accrued unpaid interest; then to principal; then to any late charges; and then to any unpaid collection costs. Borrower will pay Lender at Lender's address shown above or at such other place as Lender may designate in writing.

Interest on this Note is computed on a 360 day basis; that is, by applying the ratio of the interest rate over a year of 360 days, multiplied by the outstanding principal balance, multiplied by the actual number of days the principal balance is outstanding. All interest payable under this Note is computed using this method.

This note is prepayable in whole or in part without penalty. If payment is 10 days or more late, Borrower will be charged \$25.00 late fee. Borrower will pay a fee to Lender of \$15.00 if Borrower makes a payment on Borrower's loan and the check or preauthorized charge with which Borrower pays is later dishonored.

Upon default, including failure to pay upon final maturity, the interest rate on this Note shall be increased to \$18.00% per annum based on a year of 360 days. However, in no event will the interest rate exceed the maximum interest rate limitations under applicable law. Each of the following shall constitute an "Event of Default" under this Note: 1) Borrower fails to comply with or to perform any obligation contained in this Note. 2) Borrower makes any false statement in any material respect under this Note. 3) The dissolution of Borrower, or the death of any member, or the commencement of any proceeding under any bankruptcy or insolvency laws by or against Borrower. 4) Commencement of

foreclosure or forfeiture proceedings, unless there is good faith dispute by Borrower as to the reasonableness of the claim. 5) If Guarantor dies. 6) A material adverse change occurs in Borrower's financial condition.

If default be made in the payment of any of the sums or interest mentioned herein or in any instrument securing this note, or in the performance of any of the agreements contained herein or in said instrument(s) securing this note, then the entire principal sum and accrued interest shall at the option of the Lender become at once due and collectible without notice, time being of the essence; and said principal sum and accrued interest shall both bear interest from such time until paid at the highest rate allowable under the laws of the State of Florida. Failure to exercise this option shall not constitute a waiver of the right to exercise the same in the event of any subsequent default.

Lender may hire or pay someone else to help collect this Note if Borrower does not pay. Borrower will pay Lender the amount of these costs and expenses, which includes, subject to any limits under applicable law, lender's reasonable attorney's fees and Lender's legal expenses whether or not there is a lawsuit, including reasonable attorneys' fees and legal expenses for bankruptcy proceedings (Including efforts to modify or vacate any automatic stay or injunction) and appeals. If not prohibited by applicable law, Borrower also will pay any court costs, in addition to all other sums provided by law.

Lender and Borrower hereby waive the right to any jury trial in any action, proceeding, or counterclaim brought by either Lender or Borrower against the other. This note shall be construed and enforced according to the laws of the State of Florida, and venue shall be in Pasco County, Florida.

The terms of this Note shall be binding upon borrower and upon Borrower's heirs, personal representatives, successors and assigns, and shall inure to the benefit of Lender and its successors and assigns. If any part of this Note cannot be enforced, this fact will not affect the rest of the Note.

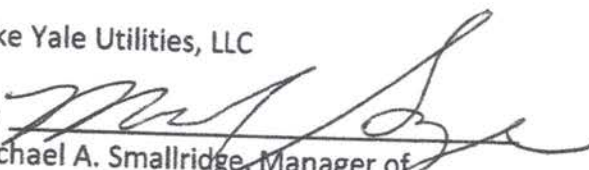
Each person liable hereon whether maker or endorser, hereby waives presentment, protest, notice, notice of protest and notice of dishonor, and agrees to pay all costs, including a reasonable attorney's fee, whether suit be brought or not, if, after maturity date of this note or default hereunder, counsel shall be employed to collect this note. The undersigned certifies that all statements, documents, and information furnished to the Bank are correct and complete and shall be until this Note is paid in full.

PRIOR TO SIGNING THIS NOTE, BORROWER HAS READ AND UNDERSTOOD ALL THE PROVISIONS OF THIS NOTE. BORROWER AGREES TO THE TERMS OF THIS NOTE. BORROWER ACKNOWLEDGES RECEIPT OF A COMPLETED COPY OF THIS PROMISSORY NOTE.

BORROWER:

Lake Yale Utilities, LLC

By:


Michael A. Smallridge, Manager of
Lake Yale Utilities, LLC

Florida documentary stamp tax has been paid or will be paid directly to Dept. of Revenue.

COMMERCIAL GUARANTY

Loan Amount: \$145,000.00

Date: July 7, 2017

Lender: Wendle Clinton Smallridge and Vera Smallridge
318 Sara Lane
Maynardville, TN 37807

Borrower: Lake Yale Utilities, LLC
3336 Grand Boulevard, Suite 102
Holiday, FL 34690

Guarantor: Michael A. Smallridge
3336 Grand Boulevard, Suite 102
Holiday, FL 34690

For value received, the undersigned, indorse, **guarantees**, and promises to pay the above note, all extensions and renewals of such note, and waives: (a) presentment, demand, protest, notice of protest, notice of dishonor, and notice of nonpayment; (b) the right, if any, to the benefit of, or to direct the application of, any security hypothecated to the holder, until all indebtedness of the maker to the holder, howsoever arising, shall have been paid; (c) the right to require the holder to proceed against the maker, or to pursue any other remedy in the holder's power; and agrees that the holder may proceed against the undersigned directly and independently of the maker, and that the cessation of the liability of the maker for any reason other than full payment, or any extension, forbearance, change of rate of interest, or acceptance, release, or substitution of security, or any impairment of suspension of the Lender's remedies or rights against the maker, shall not in any way affect the liability of the undersigned under this guaranty.

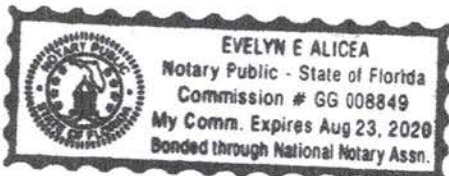
GUARANTOR:

By: 
Michael A. Smallridge

STATE OF FLORIDA
COUNTY OF PASCO

I HEREBY CERTIFY that on this day before me, an officer duly authorized in the State aforesaid and in the County aforesaid to take acknowledgments, personally appeared Michael A. Smallridge, who is personally known to me.

WITNESS my hand and official seal in the County and State last aforesaid this 26 day of June, 2017.



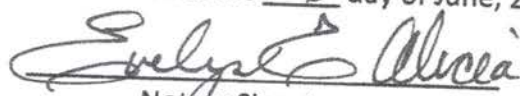

Notary Signature

EXHIBIT 2

UTILITY NAME: Lake Yale Treatment Associates, Inc.

YEAR OF REPORT
DECEMBER 31, 2016

WATER UTILITY PLANT ACCOUNTS

Acct. No. (a)	Account Name (b)	Previous Year (c)	Additions (d)	Retirements (e)	Current Year (f)
301	Organization	\$ _____	\$ _____	\$ _____	\$ _____
302	Franchises	_____	_____	_____	_____
303	Land and Land Rights	_____	_____	_____	_____
304	Structures and Improvements	<u>59132</u>	<u>41287</u>	_____	<u>100419</u>
305	Collecting and Impounding Reservoirs	_____	_____	_____	_____
306	Lake, River and Other Intakes	_____	_____	_____	_____
307	Wells and Springs	<u>12507</u>	_____	_____	<u>12507</u>
308	Infiltration Galleries and Tunnels	_____	_____	_____	_____
309	Supply Mains	<u>8874</u>	_____	_____	<u>8874</u>
310	Power Generation Equipment	<u>16952</u>	_____	_____	<u>16952</u>
311	Pumping Equipment (Electric)	<u>51911</u>	<u>3606</u>	_____	<u>55517</u>
320	Water Treatment Equipment	_____	_____	_____	_____
330	Distribution Reservoirs and Standpipes	_____	_____	_____	_____
331	Transmission and Distribution Lines	<u>12668</u>	_____	_____	<u>12668</u>
333	Services	_____	_____	_____	_____
334	Meters and Meter Installations	<u>103381</u>	<u>6500</u>	_____	<u>109881</u>
335	Hydrants	<u>7239</u>	_____	_____	<u>7239</u>
336	Backflow Prevention Devices	_____	_____	_____	_____
339	Other Plant and Miscellaneous Equipment	<u>2609</u>	_____	_____	<u>2609</u>
340	Office Furniture and Equipment	<u>6103</u>	_____	_____	<u>6103</u>
341	Transportation Equipment	_____	_____	_____	_____
342	Stores Equipment	_____	_____	_____	_____
343	Tools, Shop and Garage Equipment	<u>2798</u>	_____	_____	<u>2798</u>
344	Laboratory Equipment	_____	_____	_____	_____
345	Power Operated Equipment	_____	_____	_____	_____
346	Communication Equipment	_____	_____	_____	_____
347	Miscellaneous Equipment	_____	_____	_____	_____
348	Other Tangible Plant	_____	_____	_____	_____
	Total Water Plant	\$ <u>284174</u>	\$ <u>51393</u>	\$ <u>0</u>	\$ <u>335567</u>

UTILITY NAME: Lake Yale Treatment Associates, Inc.

YEAR OF REPORT December 31, 2016

WASTEWATER UTILITY PLANT ACCOUNTS

Acct. No. (a)	Account Name (b)	Previous Year (c)	Additions (d)	Retirements (e)	Current Year (f)
351	Organization	\$ _____	\$ _____	\$ _____	\$ _____
352	Franchises	_____	_____	_____	0
353	Land and Land Rights	_____	_____	_____	0
354	Structures and Improvements	49296	_____	_____	49296
355	Power Generation Equipment	_____	_____	_____	0
360	Collection Sewers - Force	_____	_____	_____	0
361	Collection Sewers - Gravity	_____	_____	_____	0
362	Special Collecting Structures	161044	9043	_____	170087
363	Services to Customers	_____	_____	_____	0
364	Flow Measuring Devices	40138	_____	_____	40138
365	Flow Measuring Installations	_____	_____	_____	0
370	Receiving Wells	_____	_____	_____	0
371	Pumping Equipment	_____	_____	_____	0
380	Treatment and Disposal Equipment	40332	4855	_____	45187
381	Plant Sewers	_____	_____	_____	0
382	Outfall Sewer Lines	_____	_____	_____	0
389	Other Plant and Miscellaneous Equipment	427	_____	_____	427
390	Office Furniture and Equipment	_____	_____	_____	_____
391	Transportation Equipment	_____	_____	_____	_____
392	Stores Equipment	_____	_____	_____	_____
393	Tools, Shop and Garage Equipment	_____	_____	_____	_____
394	Laboratory Equipment	_____	_____	_____	_____
395	Power Operated Equipment	_____	_____	_____	_____
396	Communication Equipment	_____	_____	_____	_____
397	Miscellaneous Equipment	_____	_____	_____	_____
398	Other Tangible Plant	_____	_____	_____	0
	Total Wastewater Plant	\$ 291238	\$ 13898	\$ 0	\$ 305135

* This amount should tie to sheet F-5.

EXHIBIT 3

Florida Utility Services 1, LLC
Balance Sheet
 As of September 30, 2017

	Sep 30, 17
ASSETS	
Current Assets	
Checking/Savings	
1300 · CX	
1320 · Checking - Iberia Bank	72,365.63
1330 · Payroll Checking - Iberia Bank	100.00
1340 · Iberia Bank - Savings	469.53
Total 1300 · CX	72,935.16
Total Checking/Savings	72,935.16
Accounts Receivable	
1600 · Accounts Receivable	237,916.83
Total Accounts Receivable	237,916.83
Other Current Assets	
1 · Suspense	-1,578.78
1210 · Inventory Asset	350.00
1400 · Due To/From	
1401 · Crestridge Due To/From	-8,800.00
1402 · Holiday Gardens Due To/From	-12,449.88
1403 · West Lakeland Due To/From	3,318.53
1404 · Pinecrest Due To/From	800.00
1405 · Charlie Creek Due To/From	-5,022.54
1406 · East Marion Due To/From	6,531.37
1407 · Mc Leod Gardens Due To/From	10,112.81
1408 · College Manor Due To/From	525.00
1409 · Orange Land Due To/From	-577.33
1410 · Heather Hills Due To/From	162.20
1411 · Suwanee Valley Due To/From	1,301.50
1412 · Lake Yale Due To/From	410.00
1413 · Lake Forest Due To/From	1,000.00
1430 · LTX Due To/From	2,700.00
Total 1400 · Due To/From	11.66
1472 · Deferred Costs Rate Case Expens	289.62
1473 · Employee Receivable	-32.13
Total Other Current Assets	-959.63
Total Current Assets	309,892.36
Fixed Assets	
1501 · Equipment	6,913.20
1502 · Structures & Improvements	266.43
1503 · Accumulated Depreciation	-17,292.15
1504 · Vehicles	29,867.21
1505 · Furniture	395.38
Total Fixed Assets	20,150.07
Other Assets	
1550 · Rent Security Deposit	1,585.00
Total Other Assets	1,585.00
TOTAL ASSETS	331,627.43
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Accounts Payable	
2000 · Accounts Payable	8,044.10
Total Accounts Payable	8,044.10

3:17 PM

10/09/17

Accrual Basis

Florida Utility Services 1, LLC

Balance Sheet

As of September 30, 2017

	Sep 30, 17
Other Current Liabilities	
3001 · Iberia Line of Credit	5,311.85
3003 · Iberia Bank Loan #5300492388	15,000.00
3005 · Iberia Loan 1111	67,903.92
3010 · Truck Loan - ALLY	3,289.62
3011 · Payroll Liabilities Aflac	360.67
3020 · Michael Smallrige Loan	-7,504.98
3050 · Payroll Liabilities	
3050.1 · Payroll Liabilities	0.34
3050 · Payroll Liabilities - Other	3,367.99
Total 3050 · Payroll Liabilities	3,368.33
Total Other Current Liabilities	87,729.41
Total Current Liabilities	95,773.51
Total Liabilities	95,773.51
Equity	
4002 · Members Equity	223,917.30
Net Income	11,936.62
Total Equity	235,853.92
TOTAL LIABILITIES & EQUITY	331,627.43

Florida Utility Services 1, LLC
Profit & Loss
January through September 2017

	Jan - Sep 17
Ordinary Income/Expense	
Income	
5000 · Revenue	
5001 · Management	300,952.62
5003 · Non-Utility Revenue	3,950.00
Total 5000 · Revenue	304,902.62
5100 · Direct Reimburse	47,739.83
Total Income	352,642.45
Gross Profit	352,642.45
Expense	
6000 · Mgmt	128,122.30
6100 · Payroll	188,556.87
7000 · Direct	22,762.59
Total Expense	339,441.76
Net Ordinary Income	13,200.69
Other Income/Expense	
Other Expense	1,264.07
Net Other Income	-1,264.07
Net Income	<u>11,936.62</u>

EXHIBIT 5

Michael Smallridge was appointed to the Citrus County Water and Wastewater Authority, the local regulatory body for Citrus County, where he served for seven years. The owner also served as the "Class C" representative for the Governors Study Committee for Investor Owned Water and Wastewater Utility Systems in 2013. He attends yearly training classes through the Florida Rural Water Association and completed the National Association of Regulatory Utility Commissioners (NARUC) Utility Rate School in 2001. He owns, is the receiver of, or is the manager of, a total of seven Class C water and wastewater facilities that are regulated by the Commission.

EXHIBIT 6

BUYER WAS ABLE TO RETAIN THE CURRENT OPERATOR AND FIELD STAFF. I moved the billing and accounting functions to my FUS office and personnel.

EXHIBIT 7

DESCRIPTION OF TERRITORY SERVED

Township 18 South, Range 25 East
Sections 24 and 25

The following described lands located in portions of Sections 24 and 25, Township 18 South, Range 25 East, Lake County, Florida.

Section 24, Township 18 South, Range 25 East, Lake County, Florida.
Section 25, Township 18 South, Range 25 East, less right-of-way for County Road 452.

Begin 1,802.38 feet north of the Southeast corner of Northeast 1/4 of Section 25, Township 18 South, Range 25 East, Lake County, Florida. From said Point of Beginning, run north along the east line of Northeast 1/4 of Section 25 to the Southeast corner of Section 24, Township 18 South, Range 25 East;

Thence continue north along the east line of the Southeast 1/4 of Section 24 to the waters of Lake Yale;

Thence run westerly along and with said waters to the west line of the East 1/2 of the Southeast 1/4 of the Southeast 1/4 of Section 24;

Thence run south along said west line to the south line of Section 24;

Thence along said section line run west to the east line of the West 1/2 of the SE 1/4 of Section 24;

Thence along said east line run north to the waters of Lake Yale;

Thence run northwesterly along and with said waters to a line that is 25.00 feet south of the north line of the Southeast 1/4 of Section 24;

Thence run west to the west line of the Southeast 1/4;

Thence continue 125.00 feet;

Thence south to a point that is 138.44 feet north of the north line of the Southeast 1/2 of the Southeast 1/4 of Section 24;

Thence South 86°47'40" East, a distance of 316.98 feet;

Thence South 00°14'40" East, a distance of 261.54 feet;

Thence South 89°58'06" West, a distance of 191.41 feet to the west line of the Southeast 1/4 of Section 24;

Thence along said west line run South 00°14'40" East, a distance of 1,176.80 feet to the North 1/4 corner of aforesaid Section 25;

Thence run south along the mid-section line to the northeasterly right-of-way line of County Road C-452;

Thence southeasterly along said right-of-way line to the east line of the Northwest 1/4 of the Northeast 1/4 of Section 25;

Thence run north along said east line to a point that is west of the Point of Beginning;

Thence run east to the Point of Beginning.

MICHAEL SMALLRIDGE
ISSUING OFFICER

MANAGING MEMBER
TITLE

EXHIBIT 8

I BELIEVE THE TRANSFER IS IN THE PUBLIC INTEREST BECAUSE I AM ABLE TO PROVIDE THE CAPITAL INVESTMENT FOR NEEDED REPAIRS AND PROVIDE REGULAR MAINTENANCE. UPGRADES TO CUSTOMER SERVICE WILL INCLUDE THE ABILITY TO RECEIVE BILLS BY EMAIL AND PAY ON-LINE.

EXHIBIT 9

The system will need the flow meters at all the wells inspected and calibrated. The flow meter at Sandpiper is malfunctioning and will need to be replaced, at that time I will replace the existing well plumbing.

Most of the customer meters are old and are long past there useful life and will likely have to be replaced.

The bar screen at the wastewater plant failed and is bypassed. I will have a new bars screen made and installed. New fencing will be required around the plant which was wiped out by the hurricane.

EXHIBIT 10

This instrument prepared by:
Martin S. Friedman, Esquire
Friedman & Friedman, P.A.
766 N. Sun Drive, Suite 4030
Lake Mary, FL 32746

ASSIGNMENT AND ASSUMPTION OF AGREEMENTS

THIS ASSIGNMENT AND ASSUMPTION OF AGREEMENTS ("Assignment") is made and entered into this 28 day of June, 2017, by and between Lake Yale Treatment Associates, Inc., a Florida corporation ("Assignor") and Lake Yale Utilities, LLC, a Florida limited liability company ("Assignee").

FOR AND IN CONSIDERATION of the mutual promises, covenants, representations and agreements contained herein, together with \$10.00 and other good and valuable consideration exchanged between the parties, Assignor hereby assigns to Assignee all of its rights, obligations and benefits arising out of, relating to or in any way associated with the instruments listed on Exhibit "A" attached hereto ("Assumed Agreements"), and Assignee will assume all of Assignor's liabilities and obligations under the Assumed Agreements. the parties do undertake, promise and agree for themselves, their permitted successors and assigns as follows:

1. Assignor hereby conveys and assigns unto Assignee, its successors and assigns, all rights, title, interests, obligations, liabilities, and responsibilities of Assignor in the Assumed Agreements. Between the Execution Date of the Assumed Agreement and the date of this Assignment, Assignor has complied with all contractual obligations in connection with the Assumed Agreements. Assignor is not in default under any term of the Assumed Agreements and the Assumed Agreements remain in full force and effect.
 2. Assignee hereby accepts the transfer and assignment of the Assumed Agreements as set forth in Paragraph 1 herein, and assumes the performance, obligations, duties and liabilities of Assignor under such Assumed Agreements which accrue after the date of this Assignment. As of the date of this Assignment, Assignor's obligations and responsibilities to act under the Assumed Agreements shall cease and terminate and Assignor shall have no further liabilities or obligations with respect to the Assumed Agreements, except for those obligations and responsibilities which accrued prior to the date of this Assignment.
 3. Assignor shall indemnify and hold Assignee harmless from and against any loss, claims, costs and expenses arising in connection with the Assumed Agreements attributable to the period prior to the date hereof. Assignee shall indemnify and hold Assignor harmless from and against any loss, claims, costs and expenses arising in connection with the Assumed Agreements attributable to the period after the date hereof to the extent permitted by law.
2. This Assignment shall inure to the benefit of and be binding upon Assignor and Assignee and their successors and assigns. This Assignment is not intended to confer upon any person

other than the parties hereto any rights or remedies hereunder.

3. This Assignment shall be governed in all respects, whether as to validity, construction, capacity, performance or otherwise, by the laws of the State of Florida applicable to contracts made and to be performed within that state.

4. If any term or provision of this Assignment shall, to any extent or for any reason, be held to be invalid or unenforceable, the remainder of this Assignment shall not be affected thereby and shall be construed as if such invalid or unenforceable provision had never been contained herein or been applicable in such circumstances.

5. This Assignment incorporates the Agreement and by reference all definitions, terms, provisions, conditions and limitations set forth therein. In the event of any conflict or inconsistency between the definitions, terms, provisions, conditions and limitations set forth in the Agreement and those which are set forth in this Assignment, the definitions, terms, provisions, conditions and limitations set forth in the Agreement shall supersede and prevail.

6. This Assignment may be executed in one or more counterparts, each of which shall be deemed to be an original, but all of which shall constitute one and the same Assignment.

IN WITNESS WHEREOF, Assignor and Assignee have caused this Assignment to be duly executed and entered into on the date first above written.

Witnesses:

Ron Ossipove
Printed Name: Ron Ossipove
Dawn Kivimaki
Printed Name: Dawn Kivimaki

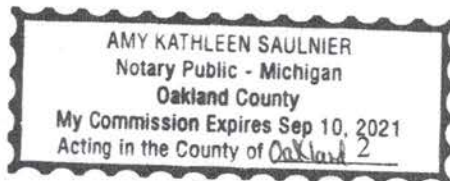
LAKE YALE TREATMENT ASSOCIATES, INC.

By: *Peter Beer*
Peter Beer, President

STATE OF MICHIGAN
COUNTY OF Oakland

The foregoing instrument was acknowledged before me this 28 day of June, 2015, by Peter Beer, as President of Lake Yale Treatment Associates, Inc., a Florida corporation, on behalf of the corporation. He is personally known to me or has produced Drivers License as identification.

Amy Kathleen Saulnier
Notary Public -
Printed Name: Amy Saulnier
My Commission Expires: 9-10-21



Witnesses:

Marianna McDonald

Printed Name: Marianna McDonald

Evelyn E Alicea

Printed Name: Evelyn E Alicea

LAKE YALE UTILITIES, LLC

Michael Smallridge

Michael Smallridge, Manager

STATE OF FLORIDA
COUNTY OF Pasco

The foregoing instrument was acknowledged before me this 27 day of June, 2017, by Michael Smallridge, as Manager of Lake Yale Utilities, LLC, a Florida limited liability company, on behalf of the company. He is personally known to me or has produced _____ as identification.

Evelyn E Alicea

Notary Public -

Printed Name: EVELYN E ALICEA

My Commission Expires: 8/23/20

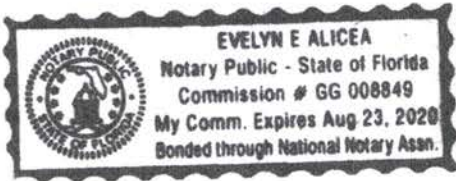


EXHIBIT "A"

1. Transfer of Sewer and Water Infrastructure to Lake Yale Utility Company with Access Easements recorded in Official Records Book 1311, Page 1575, Public Records of Lake County, Florida.
2. Equipment Lease recorded in Official Records Book 1785, Page 1009, Public Records of Lake County, Florida.

This instrument prepared by:
Martin S. Friedman, Esquire
Friedman & Friedman, P.A.
766 N. Sun Drive, Suite 4030
Lake Mary, FL 32746

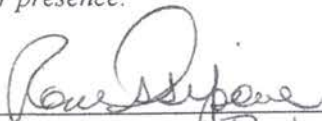
ASSIGNMENT OF EASEMENTS

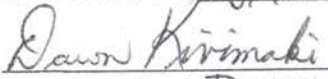
THIS ASSIGNMENT OF EASEMENTS, is made and entered as of this 28 day of June 2017, by Lake Yale Treatment Associates, Inc., a Florida corporation, whose address is 18700 West Ten Mile Road, 2nd Floor, Southfield MI 48075 (hereinafter "Assignor") for and in consideration of the sum of Ten Dollars (\$10.00) and other good and valuable consideration paid by Lake Yale Utilities, LLC, a Florida limited liability company, whose address is 3336 Grand Boulevard, Suite 102, Holiday, FL 34690 (hereinafter "Assignee"). Assignor has granted, bargained, sold, transferred, assigned and delivered, and by these presents does grant, bargain, sell, transfer, assign and deliver unto the Assignee, its successors and assigns, all of Assignor's right, title, and interest in and to all easements, licenses, prescriptive rights, rights of way, rights to use public and private roads, highways, canals, streets and other areas and all other rights owned or used by Assignor in connection with the operation of the Utility System identified in that certain Agreement for Purchase and Sale of Water and Wastewater Assets by and between Assignor and Assignee, dated April 29, 2017, located in Lake County, Florida, including but not limited to those identified in Exhibit A" hereto and incorporated herein.

TO HAVE AND TO HOLD the same unto the Assignee, its successors and assigns forever.

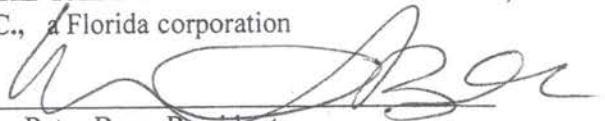
IN WITNESS WHEREOF, the Assignor has caused this instrument to be executed as of the day and year first above written.

*Signed, sealed and delivered in
our presence:*


Printed Witness Name: Ron Ossipove

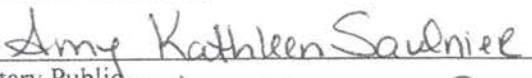

Printed Witness Name: Dawn Kivimaki

**LAKE YALE TREATMENT ASSOCIATES,
INC.**, a Florida corporation


By: Peter Beer, President

STATE OF MICHIGAN
COUNTY OF Oakland

The foregoing instrument was acknowledged before me this 28 day of June, 2015, by Peter Beer, as President of Lake Yale Treatment Associates, Inc., a Florida corporation, on behalf of the corporation. He is personally known to me or has produced Drivers License as identification.


Notary Public -
Printed Name: Amy Kathleen Saulnier
My Commission Expires: 9-10-21

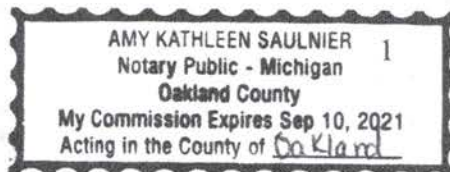


EXHIBIT "A"

1. Transfer of Sewer and Water Infrastructure to Lake Yale Utility Company with Access Easements recorded in Official Records Book 1311, Page 1575, of the Public Records of Lake County, Florida.

EXHIBIT 11



Florida Department of Environmental Protection

Central District
3319 Maguire Boulevard, Suite 232
Orlando, Florida 32803-3767

Rick Scott
Governor

Carlos Lopez-Cantera
Lt. Governor

Jonathan P. Steverson
Secretary

NOTICE OF PERMIT ISSUANCE

Peter Beer, Owner
Lake Yale Treatment Associates Inc
18700 W 10 Mile Road
Southfield MI 48075
Qty555@aol.com and lkyaleclubhouse@comcast.net

Lake County - DW
Lake Yale Estates WWTF

Enclosed is Permit Number FLA010547-005-DW3P/NRL to operate a domestic wastewater facility issued under Section(s) 403.087 and 403.0885 of the Florida Statutes.

Monitoring requirements under this permit are effective on July 1, 2017. Until such time, the permittee shall continue to monitor and report in accordance with previously effective permit requirements.

The Department's proposed agency action shall become final unless a timely petition for an administrative hearing is filed under Sections 120.569 and 120.57 of the Florida Statutes before the deadline for filing a petition. The procedures for petitioning for a hearing are set forth below.

A person whose substantial interests are affected by the Department's proposed permitting decision may petition for an administrative proceeding (hearing) under Sections 120.569 and 120.57 of the Florida Statutes. The petition must contain the information set forth below and must be filed (received by the clerk) in the Office of General Counsel of the Department at 3900 Commonwealth Boulevard, Mail Station 35, Tallahassee, Florida 32399-3000.

Petitions by the applicant or any of the parties listed below must be filed within fourteen days of receipt of this written notice. Petitions filed by any persons other than those entitled to written notice under Section 120.60(3) of the Florida Statutes must be filed within fourteen days of publication of the notice or within fourteen days of receipt of the written notice, whichever occurs first.

Under Section 120.60(3) of the Florida Statutes, however, any person who has asked the Department for notice of agency action may file a petition within fourteen days of receipt of such notice, regardless of the date of publication.

The petitioner shall mail a copy of the petition to the applicant at the address indicated above at the time of filing. The failure of any person to file a petition within the appropriate time period shall constitute a waiver of that person's right to request an administrative determination (hearing) under Sections 120.569 and 120.57 of the Florida Statutes. Any subsequent intervention (in a proceeding initiated by another party) will be only at the discretion of the presiding officer upon the filing of a motion in compliance with Rule 28-106.205 of the Florida Administrative Code.

A petition that disputes the material facts on which the Department's action is based must contain the following information:

- (a) The name, address, and telephone number of each petitioner; the name, address, and telephone number of the petitioner's representative, if any; the Department permit identification number and the county in which the subject matter or activity is located;
- (b) A statement of how and when each petitioner received notice of the Department action;
- (c) A statement of how each petitioner's substantial interests are affected by the Department action;
- (d) A statement of all disputed issues of material fact. If there are none, the petition must so indicate;
- (e) A statement of facts that the petitioner contends warrant reversal or modification of the Department action;
- (f) A concise statement of the ultimate facts alleged, as well as the Rules and statutes which entitle the petitioner to relief; and
- (g) A statement of the relief sought by the petitioner, stating precisely the action that the petitioner wants the Department to take.

A petition that does not dispute the material facts on which the Department's action is based shall state that no such facts are in dispute and otherwise shall contain the same information as set forth above, as required by Rule 28-106.301.

Because the administrative hearing process is designed to formulate final agency action, the filing of a petition means that the Department's final action may be different from the position taken by it in this notice. Persons whose substantial interests will be affected by any such final decision of the Department have the right to petition to become a party to the proceeding, in accordance with the requirements set forth above.

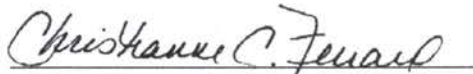
Mediation under Section 120.573 of the Florida Statutes is not available for this proceeding.

This action is final on the date filed with the Clerk of the Department unless a petition is filed in accordance with the above. Upon the timely filing of a petition this order will not be effective until further order of the Department.

Any party to the order has the right to seek judicial review of the order under Section 120.68 of the Florida Statutes, by the filing of a notice of appeal under Rule 9.110 of the Florida Rules of Appellate Procedure with the Clerk of the Department in the Office of General Counsel, Mail Station 35, 3900 Commonwealth Boulevard, Tallahassee, Florida, 32399-3000; and by filing a copy of the notice of appeal accompanied by the applicable filing fees with the appropriate district court of appeal. The notice of appeal must be filed within 30 days from the date when the final order is filed with the Clerk of the Department.

Executed in Orlando, Florida.

STATE OF FLORIDA DEPARTMENT
OF ENVIRONMENTAL PROTECTION



Christianne C. Ferraro, P.E.

Administrator

Permitting and Waste Cleanup Program - Wastewater

3319 Maguire Boulevard, Suite 232

Orlando, Florida 32803-3767

PERMITTEE: Lake Yale Treatment Associates, Inc
FACILITY: Lake Yale Estates WWTF

PERMIT NUMBER: FLA010547
EXPIRATION DATE: May 29, 2027

REPORT Type on DMR	Monitoring Period	Mail or Electronically Submit by
Monthly	first day of month - last day of month	28 th day of following month
Quarterly	January 1 - March 31 April 1 - June 30 July 1 - September 30 October 1 - December 31	April 28 July 28 October 28 January 28
Semiannual	January 1 - June 30 July 1 - December 31	July 28 January 28
Annual	January 1 - December 31	January 28

The permittee may submit either paper or electronic DMR forms. If submitting paper DMR forms, the permittee shall make copies of the attached DMR forms, without altering the original format or content unless approved by the Department, and shall mail the completed DMR forms to the Department's Central District Office at the address specified in Permit Condition I.B.8. by the twenty-eighth (28th) of the month following the month of operation.

When submitting electronic DMR forms (**preferred**), the permittee shall use the Department's electronic DMR system (EzDMR) and shall electronically submit the completed DMR forms to the Department by the twenty-eighth (28th) of the month following the month of operation. Data submitted in electronic format is equivalent to data submitted on signed and certified paper DMR forms.

[62-620.610(18)][62-600.680(1)]

8. Unless specified otherwise in this permit, all reports and other information required by this permit, including 24-hour notifications, shall be submitted to or reported to, as appropriate, the Department's Central District Office at the address specified below:

Electronic submittal is preferred, by sending to DEP_CD@dep.state.fl.us.

Florida Department of Environmental Protection
Central District Office
3319 Maguire Blvd
Suite 232
Orlando, Florida 32803-3767

Phone Number - (407)897-4100

[62-620.305]

9. All reports and other information shall be signed in accordance with the requirements of Rule 62-620.305, F.A.C. [62-620.305]

II. BIOSOLIDS MANAGEMENT REQUIREMENTS

A. Basic Requirements

1. Biosolids generated by this facility may be transferred to 412 Biosolids Treatment Facility or disposed of in a Class I solid waste landfill. Transferring biosolids to an alternative biosolids treatment facility does not require a permit modification. However, use of an alternative biosolids treatment facility requires submittal of a copy of the agreement pursuant to Rule 62-640.880(1)(c), F.A.C., along with a written notification to the Department at least 30 days before transport of the biosolids. [62-620.320(6), 62-640.880(1)]
2. The permittee shall monitor and keep records of the quantities of biosolids generated, received from source facilities, treated, distributed and marketed, land applied, used as a biofuel or for bioenergy, transferred to another facility, or landfilled. These records shall be kept for a minimum of five years. [62-640.650(4)(a)]
3. Biosolids quantities shall be monitored by the permittee as specified below. Results shall be reported on the permittee's Discharge Monitoring Report for Monitoring Group RMP-Q in accordance with Condition I.B.7.

Filed, on this date, pursuant to Section 120.52, F.S., with the designated Department Clerk, receipt of which is hereby acknowledged.

Quax M. Testa

Clerk

January 23, 2017

Date

CCF/wgb

Enclosures: Permit, DMR and SOB

Copies furnished to:

Janet M. Bolivar, P.E., Bolivar Consulting, janet.bolivar@comcast.net

David Smicherko, DEP CAP, david.smicherko@dep.state.fl.us

Wanda Parker-Garvin, DEP CAP, wanda.parker-garvin@dep.state.fl.us

Shabbir Rizvi, DEP, shabbir.rizvi@dep.state.fl.us

Wilmott Brown, DEP, wilmott.brown@dep.state.fl.us

CERTIFICATE OF SERVICE

This is to certify that this NOTICE OF PERMIT ISSUANCE and all copies were mailed before close of

business on January 23, 2017 to the listed persons, by

Quax M. Testa



Florida Department of Environmental Protection

Central District
3319 Maguire Boulevard, Suite 232
Orlando, Florida 32803-3767

Rick Scott
Governor

Carlos Lopez-Cantera
Lt. Governor

Jonathan P. Steverson
Secretary

STATE OF FLORIDA DOMESTIC WASTEWATER FACILITY PERMIT

PERMITTEE:

Lake Yale Treatment Associates, Inc

RESPONSIBLE OFFICIAL:

Peter Beer
18700 W 10 Mile Rd Fl 2
Southfield, Michigan 48075-2612
(248) 557-1050

PERMIT NUMBER: FLA010547

FILE NUMBER: FLA010547-005-DW3P/NRL

EFFECTIVE DATE: May 30, 2017

EXPIRATION DATE: May 29, 2027

FACILITY:

Lake Yale Estates WWTF
37802 County Road 452
Leesburg, FL 34788-8952
Lake County
Latitude: 28°54' 2.83" N Longitude: 81°45' 30.9" W

This permit is issued under the provisions of Chapter 403, Florida Statutes (F.S.), and applicable rules of the Florida Administrative Code (F.A.C.). This permit does not constitute authorization to discharge wastewater other than as expressly stated in this permit. The above named permittee is hereby authorized to operate the facilities in accordance with the documents attached hereto and specifically described as follows:

WASTEWATER TREATMENT:

An existing 0.055 million gallons per day (mgd) annual average daily flow (AADF) permitted capacity extended aeration domestic wastewater treatment facility consisting of influent screening, aeration, denitrification (anoxic tanks), secondary clarification, chlorination, and aerobic digestion of biosolids.

REUSE OR DISPOSAL:

Land Application R-001: An existing 0.055 MGD annual average daily flow permitted capacity rapid infiltration basin system. R-001 is a reuse system which consists of three rapid infiltration basins with a total wetted area of 0.23 acres (10,000 square feet) located approximately at latitude 28°54' 3" N, longitude 81°45' 30" W.

IN ACCORDANCE WITH: The limitations, monitoring requirements, and other conditions set forth in this cover sheet and Part I through Part IX on pages 1 through 15 of this permit.

PERMITTEE: Lake Yale Treatment Associates, Inc
 FACILITY: Lake Yale Estates WWTF

PERMIT NUMBER: FLA010547
 EXPIRATION DATE: May 29, 2027

I. RECLAIMED WATER AND EFFLUENT LIMITATIONS AND MONITORING REQUIREMENTS

A. Reuse and Land Application Systems

1. During the period beginning on the effective date and lasting through the expiration date of this permit, the permittee is authorized to direct reclaimed water to Reuse System R-001. Such reclaimed water shall be limited and monitored by the permittee as specified below and reported in accordance with Permit Condition I.B.7.:

Parameter	Units	Max/Min	Reclaimed Water Limitations		Monitoring Requirements			Notes
			Limit	Statistical Basis	Frequency of Monitoring	Sample Type	Monitoring Site Number	
Flow (Flow to R-001)	MGD	Max Max	0.055 Report	Annual Average Monthly Average	5 Days/Week	Recording Flow Meter with Totalizer	FLW-1	See I.A.3
BOD, Carbonaceous 5 day, 20C	mg/L	Max Max Max Max	20.0 30.0 45.0 60.0	Annual Average Monthly Average Weekly Average Single Sample	Monthly	Grab	EFA-1	
Solids, Total Suspended	mg/L	Max Max Max Max	20.0 30.0 45.0 60.0	Annual Average Monthly Average Weekly Average Single Sample	Monthly	Grab	EFA-1	
Coliform, Fecal	#/100mL	Max Max Max	200 200 800	Monthly Geometric Mean Annual Average Single Sample	Monthly	Grab	EFA-1	See I.A.4
pH	s.u.	Min Max	6.0 8.5	Single Sample Single Sample	5 Days/Week	Grab	EFA-1	
Chlorine, Total Residual (For Disinfection)	mg/L	Min	0.5	Single Sample	5 Days/Week	Grab	EFA-1	See I.A.5
Nitrogen, Nitrate, Total (as N)	mg/L	Max	12.0	Single Sample	Annually	Grab	EFA-1	See I.A.6
Nitrogen, Total (as N)	mg/L	Max Max	Report Report	Annual Average Monthly Average	Monthly	Grab	EFA-1	See I.A.7
Phosphorus, Total (as P)	mg/L	Max Max	Report Report	Annual Average Monthly Average	Monthly	Grab	EFA-1	See I.A.7

PERMITTEE: Lake Yale Treatment Associates, Inc
FACILITY: Lake Yale Estates WWTF

PERMIT NUMBER: FLA010547
EXPIRATION DATE: May 29, 2027

2. Reclaimed water samples shall be taken at the monitoring site locations listed in Permit Condition I.A.1. and as described below:

Monitoring Site Number	Description of Monitoring Site
FLW-1	Flow meter in chlorine contact chamber
EFA-1	Chlorine contact chamber effluent

3. A recording flow meter with totalizer shall be utilized to measure flow and calibrated at least once every 12 months. [62-600.200(25)]
4. The effluent limitation for the monthly geometric mean for fecal coliform is only applicable if 10 or more values are reported. If fewer than 10 values are reported, the monthly geometric mean shall be calculated and reported on the Discharge Monitoring Report to be used to calculate the annual average. [62-600.440(5)(b)]
5. Total residual chlorine must be maintained for a minimum contact time of 15 minutes based on peak hourly flow. [62-610.510][62-600.440(5)(c) and (6)(b)]
6. Nitrate nitrogen (NO₃) concentration in the water discharged to the land application system shall not exceed 12.0 mg/L, or as required to comply with Rule 62-610.510, F.A.C. If the facility exceeds this limit, the Department may require future groundwater monitoring or modification to the treatment facility to remove nitrogen. [62-610.510]
7. Monitoring for total nitrogen (TN) and total phosphorus (TP) are required, as allowed by Rule 62-600.650(3), FAC, to evaluate impacts of reclaimed water to ground and surface waters in an impaired water basin. [62-600.650(3)]

PERMITTEE: Lake Yale Treatment Associates, Inc
 FACILITY: Lake Yale Estates WWTF

PERMIT NUMBER: FLA010547
 EXPIRATION DATE: May 29, 2027

B. Other Limitations and Monitoring and Reporting Requirements

1. During the period beginning on the effective date and lasting through the expiration date of this permit, the treatment facility shall be limited and monitored by the permittee as specified below and reported in accordance with condition I.B.7.:

Parameter	Units	Max/Min	Limitations		Monitoring Requirements			Notes
			Limit	Statistical Basis	Frequency of Analysis	Sample Type	Monitoring Site Number	
Flow (Flow thru plant)	MGD	Max Max Max	0.055 Report Report	Annual Average Monthly Average Quarterly Average	5 Days/Week	Recording Flow Meter with Totalizer	FLW-1	See I.B.4
Percent Capacity, (TMADF/Permitted Capacity) x 100	percent	Max	Report	Monthly Average	Monthly	Calculated	FLW-1	
BOD, Carbonaceous 5 day, 20C (Influent)	mg/L	Max	Report	Single Sample	Annually	Grab	INF-1	See I.B.3
Solids, Total Suspended (Influent)	mg/L	Max	Report	Single Sample	Annually	Grab	INF-1	See I.B.3

PERMITTEE: Lake Yale Treatment Associates, Inc
FACILITY: Lake Yale Estates WWTF

PERMIT NUMBER: FLA010547
EXPIRATION DATE: May 29, 2027

2. Samples shall be taken at the monitoring site locations listed in Permit Condition I.B.1. and as described below:

Monitoring Site Number	Description of Monitoring Site
FLW-1	Flow meter in chlorine contact chamber
INF-1	Raw influent to aeration tanks

3. Influent samples shall be collected so that they do not contain digester supernatant or return activated sludge, or any other plant process recycled waters. [62-600.660(4)(a)]
4. A recording flow meter with totalizer shall be utilized to measure flow and calibrated at least once every 12 months. [62-600.200(25)]
5. The sample collection, analytical test methods, and method detection limits (MDLs) applicable to this permit shall be conducted using a sufficiently sensitive method to ensure compliance with applicable water quality standards and effluent limitations and shall be in accordance with Rule 62-4.246, Chapters 62-160 and 62-600, F.A.C., and 40 CFR 136, as appropriate. The list of Department established analytical methods, and corresponding MDLs (method detection limits) and PQLs (practical quantitation limits), which is titled "FAC 62-4 MDL/PQL Table (April 26, 2006)" is available at <http://www.dep.state.fl.us/labs/library/index.htm>. The MDLs and PQLs as described in this list shall constitute the minimum acceptable MDL/PQL values and the Department shall not accept results for which the laboratory's MDLs or PQLs are greater than those described above unless alternate MDLs and/or PQLs have been specifically approved by the Department for this permit. Any method included in the list may be used for reporting as long as it meets the following requirements:
- a. The laboratory's reported MDL and PQL values for the particular method must be equal or less than the corresponding method values specified in the Department's approved MDL and PQL list;
 - b. The laboratory reported MDL for the specific parameter is less than or equal to the permit limit or the applicable water quality criteria, if any, stated in Chapter 62-302, F.A.C. Parameters that are listed as "report only" in the permit shall use methods that provide an MDL, which is equal to or less than the applicable water quality criteria stated in 62-302, F.A.C.; and
 - c. If the MDLs for all methods available in the approved list are above the stated permit limit or applicable water quality criteria for that parameter, then the method with the lowest stated MDL shall be used.

When the analytical results are below method detection or practical quantitation limits, the permittee shall report the actual laboratory MDL and/or PQL values for the analyses that were performed following the instructions on the applicable discharge monitoring report.

Where necessary, the permittee may request approval of alternate methods or for alternative MDLs or PQLs for any approved analytical method. Approval of alternate laboratory MDLs or PQLs are not necessary if the laboratory reported MDLs and PQLs are less than or equal to the permit limit or the applicable water quality criteria, if any, stated in Chapter 62-302, F.A.C. Approval of an analytical method not included in the above-referenced list is not necessary if the analytical method is approved in accordance with 40 CFR 136 or deemed acceptable by the Department. [62-4.246, 62-160]

6. The permittee shall provide safe access points for obtaining representative samples which are required by this permit. [62-600.650(2)]
7. **Monitoring requirements under this permit are effective on July 1, 2017.** Until such time, the permittee shall continue to monitor and report in accordance with previously effective permit requirements. During the period of operation authorized by this permit, the permittee shall complete and submit to the Department Discharge Monitoring Reports (DMRs) in accordance with the frequencies specified by the REPORT type (i.e. monthly, quarterly, semiannual, annual, etc.) indicated on the DMR forms attached to this permit. Unless specified otherwise in this permit, monitoring results for each monitoring period shall be submitted in accordance with the associated DMR due dates below. DMRs shall be submitted for each required monitoring period including periods of no discharge.

PERMITTEE: Lake Yale Treatment Associates, Inc
 FACILITY: Lake Yale Estates WWTF

PERMIT NUMBER: FLA010547
 EXPIRATION DATE: May 29, 2027

Parameter	Units	Max/Min	Biosolids Limitations		Monitoring Requirements		
			Limit	Statistical Basis	Frequency of Analysis	Sample Type	Monitoring Site Number
Biosolids Quantity (Transferred)	dry tons	Max	Report	Monthly Total	Monthly	Calculated	RMP-1
Biosolids Quantity (Landfilled)	dry tons	Max	Report	Monthly Total	Monthly	Calculated	RMP-1

[62-640.650(5)(a)1]

4. Biosolids quantities shall be calculated as listed in Permit Condition II.3 and as described below:

Monitoring Site Number	Description of Monitoring Site Calculations
RMP-1	Calculated (based on volume and estimated %solids)

5. The treatment, management, transportation, use, land application, or disposal of biosolids shall not cause a violation of the odor prohibition in subsection 62-296.320(2), F.A.C. [62-640.400(6)]
6. Storage of biosolids or other solids at this facility shall be in accordance with the Facility Biosolids Storage Plan. [62-640.300(4)]
7. Biosolids shall not be spilled from or tracked off the treatment facility site by the hauling vehicle. [62-640.400(9)]

B. Disposal

8. Disposal of biosolids, septage, and "other solids" in a solid waste disposal facility, or disposal by placement on land for purposes other than soil conditioning or fertilization, such as at a monofill, surface impoundment, waste pile, or dedicated site, shall be in accordance with Chapter 62-701, F.A.C. [62-640.100(6)(b) & (c)]

C. Transfer

9. The permittee shall not be held responsible for treatment and management violations that occur after its biosolids have been accepted by a permitted biosolids treatment facility with which the source facility has an agreement in accordance with subsection 62-640.880(1)(c), F.A.C., for further treatment, management, or disposal. [62-640.880(1)(b)]
10. The permittee shall keep hauling records to track the transport of biosolids between the facilities. The hauling records shall contain the following information:
- | | |
|---|---|
| <p>Source Facility</p> <ol style="list-style-type: none"> 1. Date and time shipped 2. Amount of biosolids shipped 3. Degree of treatment (if applicable) 4. Name and ID Number of treatment facility 5. Signature of responsible party at source facility 6. Signature of hauler and name of hauling firm | <p>Biosolids Treatment Facility or Treatment Facility</p> <ol style="list-style-type: none"> 1. Date and time received 2. Amount of biosolids received 3. Name and ID number of source facility 4. Signature of hauler 5. Signature of responsible party at treatment facility |
|---|---|

A copy of the source facility hauling records for each shipment shall be provided upon delivery of the biosolids to the biosolids treatment facility or treatment facility. The treatment facility permittee shall report to the Department within 24 hours of discovery any discrepancy in the quantity of biosolids leaving the source facility and arriving at the biosolids treatment facility or treatment facility.

[62-640.880(4)]

PERMITTEE: Lake Yale Treatment Associates, Inc
FACILITY: Lake Yale Estates WWTF

PERMIT NUMBER: FLA010547
EXPIRATION DATE: May 29, 2027

D. Receipt

11. If the permittee intends to accept biosolids from other facilities, a permit revision is required pursuant to paragraph 62-640.880(2)(d), F.A.C. [62-640.880(2)(d)]

III. GROUND WATER REQUIREMENTS

1. Section III is not applicable to this facility.

IV. ADDITIONAL REUSE AND LAND APPLICATION REQUIREMENTS

A. Part IV Rapid Infiltration Basins (RIBs)

1. Advisory signs shall be posted around the site boundaries to designate the nature of the project area. [62-610.518]
2. The maximum annual average loading rate to the three rapid infiltration basins shall be limited to 8.82 inches per day (as applied to the entire bottom area). [62-610.523(3)]
3. The RIBs normally shall be loaded for 7 days and shall be rested for 7 days. Infiltration ponds, basins, or trenches shall be allowed to dry during the resting portion of the cycle. [62-610.523(4)]
4. Rapid infiltration basins shall be routinely maintained to control vegetation growth and to maintain percolation capability by scarification or removal of deposited solids. Basin bottoms shall be maintained to be level. [62-610.523(6) and (7)]
5. Routine aquatic weed control and regular maintenance of storage pond embankments and access areas are required. [62-610.514 and 62-610.414]
6. Overflows from emergency discharge facilities on storage ponds or on infiltration ponds, basins, or trenches shall be reported as abnormal events in accordance with Permit Condition IX.20. [62-610.800(9)]

V. OPERATION AND MAINTENANCE REQUIREMENTS

A. Staffing Requirements

1. During the period of operation authorized by this permit, the wastewater facilities shall be operated under the supervision of one or more operators certified in accordance with Chapter 62-602, F.A.C. In accordance with Chapter 62-699, F.A.C., this facility is a Category III, Class C facility and, at a minimum, operators with appropriate certification must be on the site as follows:

A Class C or higher operator 1/2 hour/day for 5 days/week and one visit each weekend. The lead/chief operator must be a Class C operator, or higher.

2. An operator meeting the lead/chief operator class for the plant shall be available during all periods of plant operation. "Available" means able to be contacted as needed to initiate the appropriate action in a timely manner. [62-699.311(1)]

B. Capacity Analysis Report and Operation and Maintenance Performance Report Requirements

1. Submit an updated capacity analysis report prepared in accordance with Rule 62-600.405, F.A.C., five years from the date of issuance of this permit. [62-600.405(5)]
2. The application to renew this permit shall include an updated capacity analysis report prepared in accordance with Rule 62-600.405, F.A.C. [62-600.405(5)]

PERMITTEE: Lake Yale Treatment Associates, Inc
FACILITY: Lake Yale Estates WWTF

PERMIT NUMBER: FLA010547
EXPIRATION DATE: May 29, 2027

3. The application to renew this permit shall include a detailed operation and maintenance performance report prepared in accordance with Rule 62-600.735, F.A.C. [62-600.735(1)]

C. Recordkeeping Requirements

1. The permittee shall maintain the following records and make them available for inspection on the site of the permitted facility.
 - a. Records of all compliance monitoring information, including all calibration and maintenance records and all original strip chart recordings for continuous monitoring instrumentation, including, if applicable, a copy of the laboratory certification showing the certification number of the laboratory, for at least three years from the date the sample or measurement was taken;
 - b. Copies of all reports required by the permit for at least three years from the date the report was prepared;
 - c. Records of all data, including reports and documents, used to complete the application for the permit for at least three years from the date the application was filed;
 - d. Monitoring information, including a copy of the laboratory certification showing the laboratory certification number, related to the residuals use and disposal activities for the time period set forth in Chapter 62-640, F.A.C., for at least three years from the date of sampling or measurement;
 - e. A copy of the current permit;
 - f. A copy of the current operation and maintenance manual as required by Chapter 62-600, F.A.C.;
 - g. A copy of any required record drawings;
 - h. Copies of the licenses of the current certified operators;
 - i. Copies of the logs and schedules showing plant operations and equipment maintenance for three years from the date of the logs or schedules. The logs shall, at a minimum, include identification of the plant; the signature and license number of the operator(s) and the signature of the person(s) making any entries; date and time in and out; specific operation and maintenance activities, including any preventive maintenance or repairs made or requested; results of tests performed and samples taken, unless documented on a laboratory sheet; and notation of any notification or reporting completed in accordance with Rule 62-602.650(3), F.A.C. The logs shall be maintained on-site in a location accessible to 24-hour inspection, protected from weather damage, and current to the last operation and maintenance performed; and
 - j. Records of biosolids quantities, treatment, monitoring, and hauling for at least five years.

[62-620.350, 62-602.650, 62-640.650(4)]

VI. SCHEDULES

1. The following improvement actions shall be completed according to the following schedule:

Improvement Action	Completion Date
Submit an updated capacity analysis report in accordance with permit condition V.B.1.	June 1, 2022
Provide written notification that all corrective actions listed in the Operation and Maintenance Performance Report have been completed.	Upon completion

[62-620.320(6)]

2. The permittee is not authorized to discharge to waters of the state after the expiration date of this permit, unless:
 - a. The permittee has applied for renewal of this permit at least 180 days before the expiration date of this permit using the appropriate forms listed in Rule 62-620.910, F.A.C., and in the manner established in the Department of Environmental Protection Guide to Permitting Wastewater Facilities or Activities Under Chapter 62-620, F.A.C., including submittal of the appropriate processing fee set forth in Rule 62-4.050, F.A.C.; or

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FACILITY: Lake Yale Estates WWTF

PERMIT NUMBER: FLA010547
EXPIRATION DATE: May 29, 2027

- b. The permittee has made complete the application for renewal of this permit before the permit expiration date.

[62-620.335(1) - (4)]

VII. INDUSTRIAL PRETREATMENT PROGRAM REQUIREMENTS

1. This facility is not required to have a pretreatment program at this time. *[62-625.500]*

VIII. OTHER SPECIFIC CONDITIONS

1. The permittee shall comply with all conditions and requirements for reuse contained in their consumptive use permit issued by the Water Management District, if such requirements are consistent with Department rules. *[62-610.800(10)]*
2. In the event that the treatment facilities or equipment no longer function as intended, are no longer safe in terms of public health and safety, or odor, noise, aerosol drift, or lighting adversely affects neighboring developed areas at the levels prohibited by Rule 62-600.400(2)(a), F.A.C., corrective action (which may include additional maintenance or modifications of the permitted facilities) shall be taken by the permittee. Other corrective action may be required to ensure compliance with rules of the Department. Additionally, the treatment, management, use or land application of residuals shall not cause a violation of the odor prohibition in Rule 62-296.320(2), F.A.C. *[62-600.410(5) and 62-640.400(6)]*
3. The deliberate introduction of stormwater in any amount into collection/transmission systems designed solely for the introduction (and conveyance) of domestic/industrial wastewater; or the deliberate introduction of stormwater into collection/transmission systems designed for the introduction or conveyance of combinations of storm and domestic/industrial wastewater in amounts which may reduce the efficiency of pollutant removal by the treatment plant is prohibited, except as provided by Rule 62-610.472, F.A.C. *[62-604.130(3)]*
4. Collection/transmission system overflows shall be reported to the Department in accordance with Permit Condition IX. 20. *[62-604.550] [62-620.610(20)]*
5. The operating authority of a collection/transmission system and the permittee of a treatment plant are prohibited from accepting connections of wastewater discharges which have not received necessary pretreatment or which contain materials or pollutants (other than normal domestic wastewater constituents):
 - a. Which may cause fire or explosion hazards; or
 - b. Which may cause excessive corrosion or other deterioration of wastewater facilities due to chemical action or pH levels; or
 - c. Which are solid or viscous and obstruct flow or otherwise interfere with wastewater facility operations or treatment; or
 - d. Which result in the wastewater temperature at the introduction of the treatment plant exceeding 40°C or otherwise inhibiting treatment; or
 - e. Which result in the presence of toxic gases, vapors, or fumes that may cause worker health and safety problems.

[62-604.130(5)]

6. The treatment facility, storage ponds for Part II systems, rapid infiltration basins, and/or infiltration trenches shall be enclosed with a fence or otherwise provided with features to discourage the entry of animals and unauthorized persons. *[62-610.518(1) and 62-600.400(2)(b)]*
7. Screenings and grit removed from the wastewater facilities shall be collected in suitable containers and hauled to a Department approved Class I landfill or to a landfill approved by the Department for receipt/disposal of screenings and grit. *[62-701.300(1)(a)]*

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8. Where required by Chapter 471 or Chapter 492, F.S., applicable portions of reports that must be submitted under this permit shall be signed and sealed by a professional engineer or a professional geologist, as appropriate. *[62-620.310(4)]*
9. The permittee shall provide verbal notice to the Department's Central District Office as soon as practical after discovery of a sinkhole or other karst feature within an area for the management or application of wastewater, wastewater residuals (sludges), or reclaimed water. The permittee shall immediately implement measures appropriate to control the entry of contaminants, and shall detail these measures to the Department's Central District Office in a written report within 7 days of the sinkhole discovery. *[62-620.320(6)]*
10. The permittee shall provide notice to the Department of the following:
 - a. Any new introduction of pollutants into the facility from an industrial discharger which would be subject to Chapter 403, F.S., and the requirements of Chapter 62-620, F.A.C., if it were directly discharging those pollutants; and
 - b. Any substantial change in the volume or character of pollutants being introduced into that facility by a source which was identified in the permit application and known to be discharging at the time the permit was issued.

Notice shall include information on the quality and quantity of effluent introduced into the facility and any anticipated impact of the change on the quantity or quality of effluent or reclaimed water to be discharged from the facility.

[62-620.625(2)]

IX. GENERAL CONDITIONS

1. The terms, conditions, requirements, limitations, and restrictions set forth in this permit are binding and enforceable pursuant to Chapter 403, Florida Statutes. Any permit noncompliance constitutes a violation of Chapter 403, Florida Statutes, and is grounds for enforcement action, permit termination, permit revocation and reissuance, or permit revision. *[62-620.610(1)]*
2. This permit is valid only for the specific processes and operations applied for and indicated in the approved drawings or exhibits. Any unauthorized deviations from the approved drawings, exhibits, specifications, or conditions of this permit constitutes grounds for revocation and enforcement action by the Department. *[62-620.610(2)]*
3. As provided in subsection 403.087(7), F.S., the issuance of this permit does not convey any vested rights or any exclusive privileges. Neither does it authorize any injury to public or private property or any invasion of personal rights, nor authorize any infringement of federal, state, or local laws or regulations. This permit is not a waiver of or approval of any other Department permit or authorization that may be required for other aspects of the total project which are not addressed in this permit. *[62-620.610(3)]*
4. This permit conveys no title to land or water, does not constitute state recognition or acknowledgment of title, and does not constitute authority for the use of submerged lands unless herein provided and the necessary title or leasehold interests have been obtained from the State. Only the Trustees of the Internal Improvement Trust Fund may express State opinion as to title. *[62-620.610(4)]*
5. This permit does not relieve the permittee from liability and penalties for harm or injury to human health or welfare, animal or plant life, or property caused by the construction or operation of this permitted source; nor does it allow the permittee to cause pollution in contravention of Florida Statutes and Department rules, unless specifically authorized by an order from the Department. The permittee shall take all reasonable steps to minimize or prevent any discharge, reuse of reclaimed water, or residuals use or disposal in violation of this permit which has a reasonable likelihood of adversely affecting human health or the environment. It shall not be a defense for a permittee in an enforcement action that it would have been necessary to halt or reduce the permitted activity in order to maintain compliance with the conditions of this permit. *[62-620.610(5)]*
6. If the permittee wishes to continue an activity regulated by this permit after its expiration date, the permittee shall apply for and obtain a new permit. *[62-620.610(6)]*

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7. The permittee shall at all times properly operate and maintain the facility and systems of treatment and control, and related appurtenances, that are installed and used by the permittee to achieve compliance with the conditions of this permit. This provision includes the operation of backup or auxiliary facilities or similar systems when necessary to maintain or achieve compliance with the conditions of the permit. *[62-620.610(7)]*
8. This permit may be modified, revoked and reissued, or terminated for cause. The filing of a request by the permittee for a permit revision, revocation and reissuance, or termination, or a notification of planned changes or anticipated noncompliance does not stay any permit condition. *[62-620.610(8)]*
9. The permittee, by accepting this permit, specifically agrees to allow authorized Department personnel, including an authorized representative of the Department and authorized EPA personnel, when applicable, upon presentation of credentials or other documents as may be required by law, and at reasonable times, depending upon the nature of the concern being investigated, to:
 - a. Enter upon the permittee's premises where a regulated facility, system, or activity is located or conducted, or where records shall be kept under the conditions of this permit;
 - b. Have access to and copy any records that shall be kept under the conditions of this permit;
 - c. Inspect the facilities, equipment, practices, or operations regulated or required under this permit; and
 - d. Sample or monitor any substances or parameters at any location necessary to assure compliance with this permit or Department rules.

[62-620.610(9)]

10. In accepting this permit, the permittee understands and agrees that all records, notes, monitoring data, and other information relating to the construction or operation of this permitted source which are submitted to the Department may be used by the Department as evidence in any enforcement case involving the permitted source arising under the Florida Statutes or Department rules, except as such use is proscribed by Section 403.111, F.S., or Rule 62-620.302, F.A.C. Such evidence shall only be used to the extent that it is consistent with the Florida Rules of Civil Procedure and applicable evidentiary rules. *[62-620.610(10)]*
11. When requested by the Department, the permittee shall within a reasonable time provide any information required by law which is needed to determine whether there is cause for revising, revoking and reissuing, or terminating this permit, or to determine compliance with the permit. The permittee shall also provide to the Department upon request copies of records required by this permit to be kept. If the permittee becomes aware of relevant facts that were not submitted or were incorrect in the permit application or in any report to the Department, such facts or information shall be promptly submitted or corrections promptly reported to the Department. *[62-620.610(11)]*
12. Unless specifically stated otherwise in Department rules, the permittee, in accepting this permit, agrees to comply with changes in Department rules and Florida Statutes after a reasonable time for compliance; provided, however, the permittee does not waive any other rights granted by Florida Statutes or Department rules. A reasonable time for compliance with a new or amended surface water quality standard, other than those standards addressed in Rule 62-302.500, F.A.C., shall include a reasonable time to obtain or be denied a mixing zone for the new or amended standard. *[62-620.610(12)]*
13. The permittee, in accepting this permit, agrees to pay the applicable regulatory program and surveillance fee in accordance with Rule 62-4.052, F.A.C. *[62-620.610(13)]*
14. This permit is transferable only upon Department approval in accordance with Rule 62-620.340, F.A.C. The permittee shall be liable for any noncompliance of the permitted activity until the transfer is approved by the Department. *[62-620.610(14)]*
15. The permittee shall give the Department written notice at least 60 days before inactivation or abandonment of a wastewater facility or activity and shall specify what steps will be taken to safeguard public health and safety during and following inactivation or abandonment. *[62-620.610(15)]*

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16. The permittee shall apply for a revision to the Department permit in accordance with Rules 62-620.300, F.A.C., and the Department of Environmental Protection Guide to Permitting Wastewater Facilities or Activities Under Chapter 62-620, F.A.C., at least 90 days before construction of any planned substantial modifications to the permitted facility is to commence or with Rule 62-620.325(2), F.A.C., for minor modifications to the permitted facility. A revised permit shall be obtained before construction begins except as provided in Rule 62-620.300, F.A.C. [62-620.610(16)]
17. The permittee shall give advance notice to the Department of any planned changes in the permitted facility or activity which may result in noncompliance with permit requirements. The permittee shall be responsible for any and all damages which may result from the changes and may be subject to enforcement action by the Department for penalties or revocation of this permit. The notice shall include the following information:
- A description of the anticipated noncompliance;
 - The period of the anticipated noncompliance, including dates and times; and
 - Steps being taken to prevent future occurrence of the noncompliance.

[62-620.610(17)]

18. Sampling and monitoring data shall be collected and analyzed in accordance with Rule 62-4.246 and Chapters 62-160, 62-600, and 62-610, F.A.C., and 40 CFR 136, as appropriate.
- Monitoring results shall be reported at the intervals specified elsewhere in this permit and shall be reported on a Discharge Monitoring Report (DMR), DEP Form 62-620.910(10), or as specified elsewhere in the permit.
 - If the permittee monitors any contaminant more frequently than required by the permit, using Department approved test procedures, the results of this monitoring shall be included in the calculation and reporting of the data submitted in the DMR.
 - Calculations for all limitations which require averaging of measurements shall use an arithmetic mean unless otherwise specified in this permit.
 - Except as specifically provided in Rule 62-160.300, F.A.C., any laboratory test required by this permit shall be performed by a laboratory that has been certified by the Department of Health Environmental Laboratory Certification Program (DOH ELCP). Such certification shall be for the matrix, test method and analyte(s) being measured to comply with this permit. For domestic wastewater facilities, testing for parameters listed in Rule 62-160.300(4), F.A.C., shall be conducted under the direction of a certified operator.
 - Field activities including on-site tests and sample collection shall follow the applicable standard operating procedures described in DEP-SOP-001/01 adopted by reference in Chapter 62-160, F.A.C.
 - Alternate field procedures and laboratory methods may be used where they have been approved in accordance with Rules 62-160.220, and 62-160.330, F.A.C.

[62-620.610(18)]

19. Reports of compliance or noncompliance with, or any progress reports on, interim and final requirements contained in any compliance schedule detailed elsewhere in this permit shall be submitted no later than 14 days following each schedule date. [62-620.610(19)]
20. The permittee shall report to the Department's Central District Office any noncompliance which may endanger health or the environment. Any information shall be provided orally within 24 hours from the time the permittee becomes aware of the circumstances. A written submission shall also be provided within five days of the time the permittee becomes aware of the circumstances. The written submission shall contain: a description of the noncompliance and its cause; the period of noncompliance including exact dates and time, and if the noncompliance has not been corrected, the anticipated time it is expected to continue; and steps taken or planned to reduce, eliminate, and prevent recurrence of the noncompliance.
- The following shall be included as information which must be reported within 24 hours under this condition:

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- (1) Any unanticipated bypass which causes any reclaimed water or effluent to exceed any permit limitation or results in an unpermitted discharge,
 - (2) Any upset which causes any reclaimed water or the effluent to exceed any limitation in the permit,
 - (3) Violation of a maximum daily discharge limitation for any of the pollutants specifically listed in the permit for such notice, and
 - (4) Any unauthorized discharge to surface or ground waters.
- b. Oral reports as required by this subsection shall be provided as follows:
- (1) For unauthorized releases or spills of treated or untreated wastewater reported pursuant to subparagraph (a)4. that are in excess of 1,000 gallons per incident, or where information indicates that public health or the environment will be endangered, oral reports shall be provided to the STATE WATCH OFFICE TOLL FREE NUMBER (800) 320-0519, as soon as practical, but no later than 24 hours from the time the permittee becomes aware of the discharge. The permittee, to the extent known, shall provide the following information to the State Watch Office:
 - (a) Name, address, and telephone number of person reporting;
 - (b) Name, address, and telephone number of permittee or responsible person for the discharge;
 - (c) Date and time of the discharge and status of discharge (ongoing or ceased);
 - (d) Characteristics of the wastewater spilled or released (untreated or treated, industrial or domestic wastewater);
 - (e) Estimated amount of the discharge;
 - (f) Location or address of the discharge;
 - (g) Source and cause of the discharge;
 - (h) Whether the discharge was contained on-site, and cleanup actions taken to date;
 - (i) Description of area affected by the discharge, including name of water body affected, if any; and
 - (j) Other persons or agencies contacted.
 - (2) Oral reports, not otherwise required to be provided pursuant to subparagraph b.1 above, shall be provided to the Department's Central District Office within 24 hours from the time the permittee becomes aware of the circumstances.
- c. If the oral report has been received within 24 hours, the noncompliance has been corrected, and the noncompliance did not endanger health or the environment, the Department's Central District Office shall waive the written report.

[62-620.610(20)]

21. The permittee shall report all instances of noncompliance not reported under Permit Conditions IX.17., IX.18., or IX.19. of this permit at the time monitoring reports are submitted. This report shall contain the same information required by Permit Condition IX.20. of this permit. *[62-620.610(21)]*
22. Bypass Provisions.
 - a. "Bypass" means the intentional diversion of waste streams from any portion of a treatment works.
 - b. Bypass is prohibited, and the Department may take enforcement action against a permittee for bypass, unless the permittee affirmatively demonstrates that:
 - (1) Bypass was unavoidable to prevent loss of life, personal injury, or severe property damage; and
 - (2) There were no feasible alternatives to the bypass, such as the use of auxiliary treatment facilities, retention of untreated wastes, or maintenance during normal periods of equipment downtime. This condition is not satisfied if adequate back-up equipment should have been installed in the exercise of reasonable engineering judgment to prevent a bypass which occurred during normal periods of equipment downtime or preventive maintenance; and
 - (3) The permittee submitted notices as required under Permit Condition IX.22.c. of this permit.
 - c. If the permittee knows in advance of the need for a bypass, it shall submit prior notice to the Department, if possible at least 10 days before the date of the bypass. The permittee shall submit notice of an unanticipated bypass within 24 hours of learning about the bypass as required in Permit Condition IX.20. of this permit. A notice shall include a description of the bypass and its cause; the period of the bypass, including exact dates and times; if the bypass has not been corrected, the anticipated time it is expected to continue; and the steps taken or planned to reduce, eliminate, and prevent recurrence of the bypass.

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EXPIRATION DATE: May 29, 2027

- d. The Department shall approve an anticipated bypass, after considering its adverse effect, if the permittee demonstrates that it will meet the three conditions listed in Permit Condition IX.22.b.(1) through (3) of this permit.
- e. A permittee may allow any bypass to occur which does not cause reclaimed water or effluent limitations to be exceeded if it is for essential maintenance to assure efficient operation. These bypasses are not subject to the provisions of Permit Condition IX.22.b. through d. of this permit.

[62-620.610(22)]

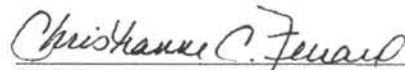
23. Upset Provisions.

- a. "Upset" means an exceptional incident in which there is unintentional and temporary noncompliance with technology-based effluent limitations because of factors beyond the reasonable control of the permittee.
 - (1) An upset does not include noncompliance caused by operational error, improperly designed treatment facilities, inadequate treatment facilities, lack of preventive maintenance, careless or improper operation.
 - (2) An upset constitutes an affirmative defense to an action brought for noncompliance with technology based permit effluent limitations if the requirements of upset provisions of Rule 62-620.610, F.A.C., are met.
- b. A permittee who wishes to establish the affirmative defense of upset shall demonstrate, through properly signed contemporaneous operating logs, or other relevant evidence that:
 - (1) An upset occurred and that the permittee can identify the cause(s) of the upset;
 - (2) The permitted facility was at the time being properly operated;
 - (3) The permittee submitted notice of the upset as required in Permit Condition IX.20. of this permit; and
 - (4) The permittee complied with any remedial measures required under Permit Condition IX.5. of this permit.
- c. In any enforcement proceeding, the burden of proof for establishing the occurrence of an upset rests with the permittee.
- d. Before an enforcement proceeding is instituted, no representation made during the Department review of a claim that noncompliance was caused by an upset is final agency action subject to judicial review.

[62-620.610(23)]

Executed in Orlando, Florida.

STATE OF FLORIDA DEPARTMENT OF
ENVIRONMENTAL PROTECTION



Christianne C. Ferraro, P.E.
Administrator
Permitting and Waste Cleanup Program - Wastewater

PERMIT ISSUANCE DATE:

JANUARY 23, 2017

Attachment(s):
Discharge Monitoring Report

DEPARTMENT OF ENVIRONMENTAL PROTECTION DISCHARGE MONITORING REPORT - PART A

When Completed mail this report to: Department of Environmental Protection, 3319 Maguire Blvd, Suite 232, Orlando, FL 32803-3767

PERMITTEE NAME: Lake Yale Treatment Associates, Inc
 MAILING ADDRESS: 18700 W 10 Mile Rd Fl 2
 Southfield, Michigan 48075-2612

FACILITY: Lake Yale Estates WWTF
 LOCATION: 37802 County Road 452
 Leesburg, FL 34788-8952

COUNTY: Lake
 OFFICE: Central District

PERMIT NUMBER: FLA010547-005-DW3P/NRL
 LIMIT: Final
 CLASS SIZE: N/A
 MONITORING GROUP NUMBER: R-001
 MONITORING GROUP DESCRIPTION: Rapid Infiltration Basin, including Influent
 RE-SUBMITTED DMR:
 NO DISCHARGE FROM SITE:
 MONITORING PERIOD From: _____ To: _____

DMR effective date: July 1, 2017
 Expiration Date: May 29, 2027
 REPORT FREQUENCY: Monthly
 PROGRAM: Domestic

Parameter		Quantity or Loading	Units	Quality or Concentration			Units	No. Ex.	Frequency of Analysis	Sample Type
Flow (Flow to R-001)	Sample Measurement									
PARM Code 50050 Y Mon. Site No. FLW-1	Permit Requirement	0.055 (An. Avg.)	MGD						5 Days/Week	Flow Totalizer
Flow (Flow to R-001)	Sample Measurement									
PARM Code 50050 I Mon. Site No. FLW-1	Permit Requirement	Report (Mo. Avg.)	MGD						5 Days/Week	Flow Totalizer
BOD, Carbonaceous 5 day, 20C	Sample Measurement									
PARM Code 80082 Y Mon. Site No. EFA-1	Permit Requirement				20.0 (An. Avg.)		mg/L		Monthly	Grab
BOD, Carbonaceous 5 day, 20C	Sample Measurement									
PARM Code 80082 A Mon. Site No. EFA-1	Permit Requirement			60.0 (Max.)	45.0 (Max. Wk. Avg.)	30.0 (Mo. Avg.)	mg/L		Monthly	Grab
Solids, Total Suspended	Sample Measurement									
PARM Code 00530 Y Mon. Site No. EFA-1	Permit Requirement				20.0 (An. Avg.)		mg/L		Monthly	Grab
Solids, Total Suspended	Sample Measurement									
PARM Code 00530 A Mon. Site No. EFA-1	Permit Requirement			60.0 (Max.)	45.0 (Max. Wk. Avg.)	30.0 (Mo. Avg.)	mg/L		Monthly	Grab

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

NAME/TITLE OF PRINCIPAL EXECUTIVE OFFICER OR AUTHORIZED AGENT	SIGNATURE OF PRINCIPAL EXECUTIVE OFFICER OR AUTHORIZED AGENT	TELEPHONE NO	DATE (mm/dd/yyyy)

COMMENT AND EXPLANATION OF ANY VIOLATIONS (Reference all attachments here):

DISCHARGE MONITORING REPORT - PART A (Continued)

FACILITY: Lake Yale Estates WWTF

MONITORING GROUP R-001

PERMIT NUMBER: FLA010547-005-DW3P

NUMBER:

MONITORING PERIOD From: _____ To: _____

Parameter		Quantity or Loading		Units	Quality or Concentration		Units	No. Ex.	Frequency of Analysis	Sample Type
Coliform, Fecal	Sample Measurement									
PARM Code 74055 Y Mon. Site No. EFA-1	Permit Requirement				200 (An.Avg.)		#/100mL		Monthly	Grab
Coliform, Fecal	Sample Measurement									
PARM Code 74055 A Mon. Site No. EFA-1	Permit Requirement				200 (Mo.Geo.Mn.)	800 (Max.)	#/100mL		Monthly	Grab
pH	Sample Measurement									
PARM Code 00400 A Mon. Site No. EFA-1	Permit Requirement				6.0 (Min.)	8.5 (Max.)	s.u.		5 Days/Week	Grab
Chlorine, Total Residual (For Disinfection)	Sample Measurement									
PARM Code 50060 A Mon. Site No. EFA-1	Permit Requirement				0.5 (Min.)		mg/L		5 Days/Week	Grab
Nitrogen, Total	Sample Measurement									
PARM Code 00600 Y Mon. Site No. EFA-1	Permit Requirement				Report (An.Avg.)		mg/L		Monthly	Grab
Nitrogen, Total	Sample Measurement									
PARM Code 00600 A Mon. Site No. EFA-1	Permit Requirement					Report (Mo.Avg.)	mg/L		Monthly	Grab
Phosphorus, Total (as P)	Sample Measurement									
PARM Code 00665 Y Mon. Site No. EFA-1	Permit Requirement				Report (An.Avg.)		mg/L		Monthly	Grab
Phosphorus, Total (as P)	Sample Measurement									
PARM Code 00665 A Mon. Site No. EFA-1	Permit Requirement					Report (Mo.Avg.)	mg/L		Monthly	Grab
Flow (Flow thru plant)	Sample Measurement									
PARM Code 50050 P Mon. Site No. FLW-1	Permit Requirement		0.055 (An.Avg.)	MGD					5 Days/Week	Flow Totalizer
Flow (Flow thru plant)	Sample Measurement									
PARM Code 50050 Q Mon. Site No. FLW-1	Permit Requirement	Report (Qt.Avg.)	Report (Mo.Avg.)	MGD					5 Days/Week	Flow Totalizer

DISCHARGE MONITORING REPORT - PART A (Continued)

FACILITY: Lake Yale Estates WWTF

MONITORING GROUP R-001
 NUMBER:
 MONITORING PERIOD From: _____ To: _____

PERMIT NUMBER: FLA010547-005-DW3P

Parameter	Sample Measurement	Quantity or Loading		Units	Quality or Concentration			Units	No. Ex.	Frequency of Analysis	Sample Type
Percent Capacity, (TMADF/Permitted Capacity) x 100	Sample Measurement										
PARM Code 00180 1 Mon. Site No. FLW-1	Permit Requirement					Report (Mo. Avg.)	percent		Monthly	Calculated	

DEPARTMENT OF ENVIRONMENTAL PROTECTION DISCHARGE MONITORING REPORT - PART A

When Completed mail this report to: Department of Environmental Protection, 3319 Maguire Blvd, Suite 232, Orlando, FL 32803-3767

PERMITTEE NAME: Lake Yale Treatment Associates, Inc
 MAILING ADDRESS: 18700 W 10 Mile Rd Fl 2
 Southfield, Michigan 48075-2612

FACILITY: Lake Yale Estates WWTF
 LOCATION: 37802 County Road 452
 Leesburg, FL 34788-8952

COUNTY: Lake
 OFFICE: Central District

PERMIT NUMBER: FLA010547-005-DW3P

LIMIT: Final
 CLASS SIZE: N/A
 MONITORING GROUP NUMBER: R-001
 MONITORING GROUP DESCRIPTION: Rapid Infiltration Basin, including Influent

REPORT FREQUENCY: Annually
 PROGRAM: Domestic

RE-SUBMITTED DMR:
 NO DISCHARGE FROM SITE:
 MONITORING PERIOD From: _____ To: _____

Parameter		Quantity or Loading	Units	Quality or Concentration	Units	No. Ex.	Frequency of Analysis	Sample Type
Nitrogen, Nitrate, Total (as N) PARM Code 00620 A Mon. Site No. EFA-1	Sample Measurement							
	Permit Requirement			12.0 (Max.)	mg/L		Annually	Grab
BOD, Carbonaceous 5 day, 20C (Influent) PARM Code 80082 G Mon. Site No. INF-1	Sample Measurement							
	Permit Requirement			Report (Max.)	mg/L		Annually	Grab
Solids, Total Suspended (Influent) PARM Code 00530 G Mon. Site No. INF-1	Sample Measurement							
	Permit Requirement			Report (Max.)	mg/L		Annually	Grab

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

NAME/TITLE OF PRINCIPAL EXECUTIVE OFFICER OR AUTHORIZED AGENT	SIGNATURE OF PRINCIPAL EXECUTIVE OFFICER OR AUTHORIZED AGENT	TELEPHONE NO	DATE (mm/dd/yyyy)

COMMENT AND EXPLANATION OF ANY VIOLATIONS (Reference all attachments here):

DEPARTMENT OF ENVIRONMENTAL PROTECTION DISCHARGE MONITORING REPORT - PART A

When Completed mail this report to: Department of Environmental Protection, 3319 Maguire Blvd, Suite 232, Orlando, FL 32803-3767

PERMITTEE NAME: Lake Yale Treatment Associates, Inc
 MAILING ADDRESS: 18700 W 10 Mile Rd Fl 2
 Southfield, Michigan 48075-2612

FACILITY: Lake Yale Estates WWTF
 LOCATION: 37802 County Road 452
 Leesburg, FL 34788-8952

COUNTY: Lake
 OFFICE: Central District

PERMIT NUMBER: FLA010547-005-DW3P

LIMIT: Final
 CLASS SIZE: N/A
 MONITORING GROUP NUMBER: RMP-Q
 MONITORING GROUP DESCRIPTION: Biosolids Quantity
 RE-SUBMITTED DMR:
 NO DISCHARGE FROM SITE:
 MONITORING PERIOD From: _____ To: _____

REPORT FREQUENCY: Monthly
 PROGRAM: Domestic

Parameter		Quantity or Loading	Units	Quality or Concentration	Units	No. Ex.	Frequency of Analysis	Sample Type
Biosolids Quantity (Transferred)	Sample Measurement							
PARM Code B0007 + Mon. Site No. RMP-1	Permit Requirement	Report (Mo. Total)	dry tons				Monthly	Calculated
Biosolids Quantity (Landfilled)	Sample Measurement							
PARM Code B0008 + Mon. Site No. RMP-1	Permit Requirement	Report (Mo. Total)	dry tons				Monthly	Calculated

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

NAME/TITLE OF PRINCIPAL EXECUTIVE OFFICER OR AUTHORIZED AGENT	SIGNATURE OF PRINCIPAL EXECUTIVE OFFICER OR AUTHORIZED AGENT	TELEPHONE NO	DATE (mm/dd/yyyy)

COMMENT AND EXPLANATION OF ANY VIOLATIONS (Reference all attachments here):

DAILY SAMPLE RESULTS - PART B

Permit Number:
Monitoring Period

FLA010547-005-DW3P
From: _____ To: _____

Facility: Lake Yale Estates WWTF

	BOD, Carbonaceous 5 day, 20C mg/L	Chlorine, Total Residual (For Disinfection) mg/L	Coliform, Fecal #/100mL	Nitrogen, Total mg/L	Phosphorus, Total (as P) mg/L	Solids, Total Suspended mg/L	pH s.u.	Flow (Flow thru plant) MGD
Code	80082	50060	74055	00600	00665	00530	00400	50050
Mon. Site	EFA-1	EFA-1	EFA-1	EFA-1	EFA-1	EFA-1	EFA-1	FLW-1
1								
2								
3								
4								
5								
6								
7								
8								
9								
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12								
13								
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19								
20								
21								
22								
23								
24								
25								
26								
27								
28								
29								
30								
31								
Total								
Mo. Avg.								

PLANT STAFFING:

Day Shift Operator Class: _____ Certificate No: _____ Name: _____

Evening Shift Operator Class: _____ Certificate No: _____ Name: _____

Night Shift Operator Class: _____ Certificate No: _____ Name: _____

Lead Operator Class: _____ Certificate No: _____ Name: _____

INSTRUCTIONS FOR COMPLETING THE WASTEWATER DISCHARGE MONITORING REPORT

Read these instructions before completing the DMR. Hard copies and/or electronic copies of the required parts of the DMR were provided with the permit. All required information shall be completed in full and typed or printed in ink. A signed, original DMR shall be mailed to the address printed on the DMR by the 28th of the month following the monitoring period. Facilities who submit their DMR(s) electronically through eDMR do not need to submit a hardcopy DMR. The DMR shall not be submitted before the end of the monitoring period.

The DMR consists of three parts--A, B, and D--all of which may or may not be applicable to every facility. Facilities may have one or more Part A's for reporting effluent or reclaimed water data. All domestic wastewater facilities will have a Part B for reporting daily sample results. Part D is used for reporting ground water monitoring well data.

When results are not available, the following codes should be used on parts A and D of the DMR and an explanation provided where appropriate. Note: Codes used on Part B for raw data are different.

CODE	DESCRIPTION/INSTRUCTIONS
ANC	Analysis not conducted.
DRY	Dry Well
FLD	Flood disaster.
IFS	Insufficient flow for sampling.
LS	Lost sample.
MNR	Monitoring not required this period.

CODE	DESCRIPTION/INSTRUCTIONS
NOD	No discharge from/to site.
OPS	Operations were shutdown so no sample could be taken.
OTH	Other. Please enter an explanation of why monitoring data were not available.
SEF	Sampling equipment failure.

When reporting analytical results that fall below a laboratory's reported method detection limits or practical quantification limits, the following instructions should be used, unless indicated otherwise in the permit or on the DMR:

1. Results greater than or equal to the PQL shall be reported as the measured quantity.
2. Results less than the PQL and greater than or equal to the MDL shall be reported as the laboratory's MDL value. These values shall be deemed equal to the MDL when necessary to calculate an average for that parameter and when determining compliance with permit limits.
3. Results less than the MDL shall be reported by entering a less than sign (" $<$ ") followed by the laboratory's MDL value, e.g. < 0.001 . A value of one-half the MDL or one-half the effluent limit, whichever is lower, shall be used for that sample when necessary to calculate an average for that parameter. Values less than the MDL are considered to demonstrate compliance with an effluent limitation.

PART A -DISCHARGE MONITORING REPORT (DMR)

Part A of the DMR is comprised of one or more sections, each having its own header information. Facility information is preprinted in the header as well as the monitoring group number, whether the limits and monitoring requirements are interim or final, and the required submittal frequency (e.g. monthly, annually, quarterly, etc.). Submit Part A based on the required reporting frequency in the header and the instructions shown in the permit. The following should be completed by the permittee or authorized representative:

Resubmitted DMR: Check this box if this DMR is being re-submitted because there was information missing from or information that needed correction on a previously submitted DMR. The information that is being revised should be clearly noted on the re-submitted DMR (e.g. highlight, circle, etc.)

No Discharge From Site: Check this box if no discharge occurs and, as a result, there are no data or codes to be entered for all of the parameters on the DMR for the entire monitoring group number; however, if the monitoring group includes other monitoring locations (e.g., influent sampling), the "NOD" code should be used to individually denote those parameters for which there was no discharge.

Monitoring Period: Enter the month, day, and year for the first and last day of the monitoring period (i.e. the month, the quarter, the year, etc.) during which the data on this report were collected and analyzed.

Sample Measurement: Before filling in sample measurements in the table, check to see that the data collected correspond to the limit indicated on the DMR (i.e. interim or final) and that the data correspond to the monitoring group number in the header. Enter the data or calculated results for each parameter on this row in the non-shaded area above the limit. Be sure the result being entered corresponds to the appropriate statistical base code (e.g. annual average, monthly average, single sample maximum, etc.) and units. Data qualifier codes are not to be reported on Part A.

No. Ex.: Enter the number of sample measurements during the monitoring period that exceeded the permit limit for each parameter in the non-shaded area. If none, enter zero.

Frequency of Analysis: The shaded areas in this column contain the minimum number of times the measurement is required to be made according to the permit. Enter the actual number of times the measurement was made in the space above the shaded area.

Sample Type: The shaded areas in this column contain the type of sample (e.g. grab, composite, continuous) required by the permit. Enter the actual sample type that was taken in the space above the shaded area.

Signature: This report must be signed in accordance with Rule 62-620.305, F.A.C. Type or print the name and title of the signing official. Include the telephone number where the official may be reached in the event there are questions concerning this report. Enter the date when the report is signed.

Comment and Explanation of Any Violations: Use this area to explain any exceedances, any upset or by-pass events, or other items which require explanation. If more space is needed, reference all attachments in this area.

PART B - DAILY SAMPLE RESULTS

Monitoring Period: Enter the month, day, and year for the first and last day of the monitoring period (i.e. the month, the quarter, the year, etc.) during which the data on this report were collected and analyzed.

Daily Monitoring Results: Transfer all analytical data from your facility's laboratory or a contract laboratory's data sheets for all day(s) that samples were collected. Record the data in the units indicated. Table 1 in Chapter 62-160, F.A.C., contains a complete list of all the data qualifier codes that your laboratory may use when reporting analytical results. However, when transferring numerical results onto Part B of the DMR, only the following data qualifier codes should be used and an explanation provided where appropriate.

CODE	DESCRIPTION/INSTRUCTIONS
<	The compound was analyzed for but not detected.
A	Value reported is the mean (average) of two or more determinations.
J	Estimated value, value not accurate.
Q	Sample held beyond the actual holding time.
Y	Laboratory analysis was from an unpreserved or improperly preserved sample.

To calculate the monthly average, add each reported value to get a total. For flow, divide this total by the number of days in the month. For all other parameters, divide the total by the number of observations.

Plant Staffing: List the name, certificate number, and class of all state certified operators operating the facility during the monitoring period. Use additional sheets as necessary.

PART D - GROUND WATER MONITORING REPORT

Monitoring Period: Enter the month, day, and year for the first and last day of the monitoring period (i.e. the month, the quarter, the year, etc.) during which the data on this report were collected and analyzed.

Date Sample Obtained: Enter the date the sample was taken. Also, check whether or not the well was purged before sampling.

Time Sample Obtained: Enter the time the sample was taken.

Sample Measurement: Record the results of the analysis. If the result was below the minimum detection limit, indicate that. Data qualifier codes are not to be reported on Part D.

Detection Limits: Record the detection limits of the analytical methods used.

Analysis Method: Indicate the analytical method used. Record the method number from Chapter 62-160 or Chapter 62-601, F.A.C., or from other sources.

Sampling Equipment Used: Indicate the procedure used to collect the sample (e.g. airlift, bucket/bailer, centrifugal pump, etc.)

Samples Filtered: Indicate whether the sample obtained was filtered by laboratory (L), filtered in field (F), or unfiltered (N).

Signature: This report must be signed in accordance with Rule 62-620.305, F.A.C. Type or print the name and title of the signing official. Include the telephone number where the official may be reached in the event there are questions concerning this report. Enter the date when the report is signed.

Comments and Explanation: Use this space to make any comments on or explanations of results that are unexpected. If more space is needed, reference all attachments in this area.

SPECIAL INSTRUCTIONS FOR LIMITED WET WEATHER DISCHARGES

Flow (Limited Wet Weather Discharge): Enter the measured average flow rate during the period of discharge or divide gallons discharged by duration of discharge (converted into days). Record in million gallons per day (MGD).

Flow (Upstream): Enter the average flow rate in the receiving stream upstream from the point of discharge for the period of discharge. The average flow rate can be calculated based on two measurements, one made at the start and one made at the end of the discharge period. Measurements are to be made at the upstream gauging station described in the permit.

Actual Stream Dilution Ratio: To calculate the Actual Stream Dilution Ratio, divide the average upstream flow rate by the average discharge flow rate. Enter the Actual Stream Dilution Ratio accurate to the nearest 0.1.

No. of Days the SDF > Stream Dilution Ratio: For each day of discharge, compare the minimum Stream Dilution Factor (SDF) from the permit to the calculated Stream Dilution Ratio. On Part B of the DMR, enter an asterisk (*) if the SDF is greater than the Stream Dilution Ratio on any day of discharge. On Part A of the DMR, add up the days with an "*" and record the total number of days the Stream Dilution Factor was greater than the Stream Dilution Ratio.

CBOD₅: Enter the average CBOD₅ of the reclaimed water discharged during the period shown in duration of discharge.

TKN: Enter the average TKN of the reclaimed water discharged during the period shown in duration of discharge.

Actual Rainfall: Enter the actual rainfall for each day on Part B. Enter the actual cumulative rainfall to date for this calendar year and the actual total monthly rainfall on Part A. The cumulative rainfall to date for this calendar year is the total amount of rain, in inches, that has been recorded since January 1 of the current year through the month for which this DMR contains data.

Rainfall During Average Rainfall Year: On Part A, enter the total monthly rainfall during the average rainfall year and the cumulative rainfall for the average rainfall year. The cumulative rainfall for the average rainfall year is the amount of rain, in inches, which fell during the average rainfall year from January through the month for which this DMR contains data.

No. of Days LWWD Activated During Calendar Year: Enter the cumulative number of days that the limited wet weather discharge was activated since January 1 of the current year.

Reason for Discharge: Attach to the DMR a brief explanation of the factors contributing to the need to activate the limited wet weather discharge.

**STATEMENT OF BASIS
FOR
STATE OF FLORIDA DOMESTIC WASTEWATER FACILITY PERMIT**

PERMIT NUMBER: FLA010547-005
FACILITY NAME: Lake Yale Estates WWTF
FACILITY LOCATION: 37802 County Road 452, Leesburg, FL 34788-8952
Lake County
NAME OF PERMITTEE: Lake Yale Treatment Associates, Inc
PERMIT WRITER: Wilmott Brown

1. SUMMARY OF APPLICATION

a. Chronology of Application

Application Number: FLA010547-005-DW3P
Application Submittal Date: December 1, 2016

b. Type of Facility

Domestic Wastewater Treatment Plant
Ownership Type: Private
SIC Code: 4952

c. Facility Capacity

Existing Permitted Capacity:	0.055 mgd Annual Average Daily Flow
Proposed Increase in Permitted Capacity:	0 mgd Annual Average Daily Flow
Proposed Total Permitted Capacity:	0.055 mgd Annual Average Daily Flow

d. Description of Wastewater Treatment

An existing 0.055 million gallons per day (mgd) annual average daily flow (AADF) permitted capacity extended aeration domestic wastewater treatment facility consisting of influent screening, aeration, denitrification (anoxic tanks), secondary clarification, chlorination, and aerobic digestion of biosolids.

e. Description of Effluent Disposal and Land Application Sites

Land Application R-001: An existing 0.055 MGD annual average daily flow permitted capacity rapid infiltration basin system. R-001 is a reuse system which consists of three rapid infiltration basins with a total wetted area of 0.23 acres (10,000 square feet) located approximately at latitude 28°54' 3" N, longitude 81°45' 30" W.

2. SUMMARY OF SURFACE WATER DISCHARGE

This facility does not discharge to surface waters.

3. BASIS FOR PERMIT LIMITATIONS AND MONITORING REQUIREMENTS

This facility is authorized to direct reclaimed water to Reuse System R-001, a rapid infiltration basin system, based on the following:

Parameter	Units	Max/Min	Limit	Statistical Basis	Rationale
Flow (Flow to R-001)	MGD	Max	0.055	Annual Average	62-600.700(2)(b) & 62-610.810(5) FAC
		Max	Report	Monthly Average	62-600.700(2)(b) & 62-610.810(5) FAC
BOD, Carbonaceous 5 day, 20C	mg/L	Max	20.0	Annual Average	62-610.510 & 62-600.420(3)(a)1. FAC
		Max	30.0	Monthly Average	62-610.510 & 62-600.420(3)(a)2. FAC
		Max	45.0	Weekly Average	62-610.510 & 62-600.420(3)(a)3. FAC
		Max	60.0	Single Sample	62-610.510 & 62-600.420(3)(a)4. FAC
Solids, Total Suspended	mg/L	Max	20.0	Annual Average	62-610.510 & 62-600.420(3)(b)1. FAC
		Max	30.0	Monthly Average	62-610.510 & 62-600.420(3)(b)2. FAC
		Max	45.0	Weekly Average	62-610.510 & 62-600.420(3)(b)3. FAC
		Max	60.0	Single Sample	62-610.510 & 62-600.420(3)(b)4. FAC
Coliform, Fecal	#/100mL	Max	200	Monthly Geometric Mean	62-610.510 & 62-600.440(5)(a)2. FAC
		Max	200	Annual Average	62-610.510 & 62-600.440(5)(a)1. FAC
		Max	800	Single Sample	62-610.510 & 62-600.440(5)(a)4. FAC
pH	s.u.	Min	6.0	Single Sample	62-600.445 FAC
		Max	8.5	Single Sample	62-600.445 FAC
Chlorine, Total Residual (For Disinfection)	mg/L	Min	0.5	Single Sample	62-610.510 & 62-600.440(5)(c) FAC
Nitrogen, Nitrate, Total (as N)	mg/L	Max	12.0	Single Sample	62-610.510(1) FAC Annual frequency: 62-600.650(3) FAC
Nitrogen, Total	mg/L	Max	Report	Annual Average	62-600.650(3) FAC
		Max	Report	Monthly Average	62-600.650(3) FAC
Phosphorus, Total (as P)	mg/L	Max	Report	Annual Average	62-600.650(3) FAC
		Max	Report	Monthly Average	62-600.650(3) FAC

Other Limitations and Monitoring Requirements:

Parameter	Units	Max/Min	Limit	Statistical Basis	Rationale
Flow (Flow thru plant)	MGD	Max	0.055	Annual Average	62-600.700(2)(b) FAC
		Max	Report	Monthly Average	62-600.700(2)(b) FAC
		Max	Report	Quarterly Average	62-600.700(2)(b) FAC
Percent Capacity, (TMADF/Permitted Capacity) x 100	percent	Max	Report	Monthly Average	62-600.405(4) FAC
BOD, Carbonaceous 5 day, 20C (Influent)	mg/L	Max	Report	Single Sample	62-600.660(1) FAC Annual frequency: 62-600.650(3) FAC
Solids, Total Suspended (Influent)	mg/L	Max	Report	Single Sample	62-600.660(1) FAC Annual frequency: 62-600.650(3) FAC

Parameter	Units	Max/ Min	Limit	Statistical Basis	Rationale
Monitoring Frequencies and Sample Types	-	-	-	All Parameters	62-600 FAC & 62-699 FAC and/or BPJ of permit writer
Sampling Locations	-	-	-	All Parameters	62-600, 62-610.412, 62-610.463(1), 62-610.568, 62-610.613 FAC and/or BPJ of permit writer

4. DISCUSSION OF CHANGES TO PERMIT LIMITATIONS

The current wastewater permit for this facility FLA010547-005-DW3P is effective on May 30, 2017, and expires on May 29, 2027.

Monitoring for total nitrogen (TN) and total phosphorus (TP) are required, as allowed by Rule 62-600.650(3), FAC, to evaluate impacts of reclaimed water to ground and surface waters in an impaired water basin.

5. BIOSOLIDS MANAGEMENT REQUIREMENTS

Biosolids generated by this facility may be transferred to 412 BTF or disposed of in a Class I solid waste landfill.

See the table below for the rationale for the biosolids quantities monitoring requirements.

Parameter	Units	Max/ Min	Limit	Statistical Basis	Rationale
Biosolids Quantity (Transferred)	dry tons	Max	Report	Monthly Total	62-640.650(5)(a)1. FAC
Biosolids Quantity (Landfilled)	dry tons	Max	Report	Monthly Total	62-640.650(5)(a)1. FAC
Monitoring Frequency				All Parameters	62-640.650(5)(a) FAC

6. GROUND WATER MONITORING REQUIREMENTS

This section is not applicable to this facility.

7. PERMIT SCHEDULES

There is a schedule in the permit for a 10 year permit (CAR after 5 years) and for repair items.

8. INDUSTRIAL PRETREATMENT REQUIREMENTS

At this time, the facility is not required to develop an approved industrial pretreatment program. However, the Department reserves the right to require an approved program if future conditions warrant.

9. ADMINISTRATIVE ORDERS (AO) AND CONSENT ORDERS (CO)

This permit is not accompanied by an AO and the permittee has not entered into a CO with the Department.

10. REQUESTED VARIANCES OR ALTERNATIVES TO REQUIRED STANDARDS

No variances were requested for this facility.

11. TERM OF THE PERMIT

The applicant has requested, and the Department agrees, that the permit for FLA010547 be issued for a term exceeding five years. The Department has reviewed the criteria in Section 403.087(3), F.S., and determined that the requirements have been met. Lake Yale Estates WWTF has been in operation for at least five years, is not operating under a permit with an accompanying administrative order, does not have any enforcement action pending against it, and has a good compliance history for at least the preceding two years. An inspection of the facility has been conducted by the Department within the preceding twelve months that documents that the facility is not exceeding its permitted capacity and is in substantial compliance.

12. THE ADMINISTRATIVE RECORD

The administrative record including application, draft permit, fact sheet, public notice (after release), comments received and additional information is available for public inspection during normal business hours at the location specified in item 14. Copies will be provided at a minimal charge per page.

13. PROPOSED SCHEDULE FOR PERMIT ISSUANCE

Notice of Permit Issuance

January 20, 2017

14. CONTACT

Additional information concerning the permit and proposed schedule for permit issuance may be obtained during normal business hours from:

Wilmott Brown
Professional Engineer I
wilmott.brown@dep.state.fl.us

3319 Maguire Blvd
Suite 232
Orlando, FL 32803-3767

Telephone No.: (407) 897-4100



Florida Department of Environmental Protection

Central District
3319 Maguire Boulevard, Suite 232
Orlando, Florida 32803-3767

Rick Scott
Governor

Carlos Lopez-Cantera
Lt. Governor

Jonathan P. Steverson
Secretary

February 8, 2016

ELECTRONIC CORRESPONDENCE

**In the matter of an
Application for Permit by:**

Ron Ossipove, Controller
Lake Yale Treatment Associates, Inc
18700 West Ten Mile Road, 2nd Floor
Southfield, MI 48075
Qty555@aol.com

DEP File No. 0341310-001-WC
County: Lake

NOTICE OF PERMIT ISSUANCE

Enclosed is Permit Number 0341310-001-WC for Lake Yale Estates Water Treatment Plant Modification to replace the existing hydropneumatic tank, issued pursuant to Section 403.861(9), Florida Statutes.

This permit is final and effective on the date filed with the clerk of the Department unless a petition is filed in accordance with the paragraphs below or unless a request for extension of time in which to file a petition is filed within the required timeframe and conforms to Rule 62-110.106(4), F.A.C. Upon timely filing of a petition or a request for an extension, this permit will not be effective until further Order of the Department.

A person whose substantial interests are affected by this permit may petition for an administrative proceeding (hearing) in accordance with sections 120.569 and 120.57 of the Florida Statutes. The petition must contain the information set forth below and must be filed (received) with the Agency Clerk for the Department of Environmental Protection, Office of General Counsel, Mail Station 35, 3900 Commonwealth Boulevard, Tallahassee, Florida 32399-3000, within 14 days of receipt of this Notice. Petitioner shall mail a copy of the petition to the applicant at the address indicated above at the time of filing. Failure to file a petition within this time period shall constitute a waiver of any right such person may have to request an administrative determination (hearing) under sections 120.569 and 120.57 of the Florida Statutes. Any subsequent intervention will only be at the approval of the presiding officer upon motion filed pursuant to Rule 28-106.205, F.A.C.

A petition must contain the following information:

- (a) The name and address of each agency affected and each agency's file or identification number, if known;
- (b) The name, address, and telephone number of the petitioner; the name, address, and telephone number of the petitioner's representative, if any, which shall be the address for service purposes during the course of the proceeding; and an explanation of how the petitioner's substantial interests will be affected by the agency determination;

- (c) A statement of how and when the petitioner received notice of the agency decision;
- (d) A statement of all disputed issues of material fact. If there are none, the petition must so indicate;
- (e) A concise statement of the ultimate facts alleged, including the specific facts which petitioner contends warrant reversal or modification of the Department's action;
- (f) A statement of the specific rules or statutes the petitioner contends requires reversal or modification of the Department's action, including an explanation of how the alleged facts relate to the specific rules or statutes; and
- (g) A statement of the relief sought by petitioner, stating precisely the action that the petitioner wants the Department to take.

A petition that does not dispute the materials facts on which the Department's action is based shall state that no such facts are in dispute and otherwise contain the same information as set forth above, as required by Rule 28-106.301, F.A.C.

Because the administrative hearing process is designed to formulate final agency action, the filing of a petition means that, the Department's final action may be different from the position taken by it in this Notice. Persons whose substantial interests will be affected by any such final decision of the Department on the petition have the right to petition to become a party to the proceeding, in accordance with the requirements set forth above.

When the Order (Permit) is final, any party to the Order has the right to seek judicial review of the Order pursuant to section 120.68 of the Florida Statutes, by filing a Notice of Appeal pursuant to Rule 9.110 of the Florida Rules of Appellate Procedure, with the Clerk of the Department in the Office of General Counsel, Mail Station 35, 3900 Commonwealth Boulevard, Tallahassee, Florida 32399-3000; and by filing a copy of the notice of appeal accompanied by the applicable filing fees with the appropriate district court of appeal. The notice of appeal must be filed within 30 days from the date when the final order is filed with the Clerk of the Department.

Executed in Orlando, Florida.

STATE OF FLORIDA DEPARTMENT
OF ENVIRONMENTAL PROTECTION



Caroline Shine, Environmental Administrator
Drinking Water/Environmental Resource Permitting
Permitting and Waste Cleanup Program
FDEP, Central District
(407) 897-2927

Enclosures: Permit No. 0341310-001-WC

CERTIFICATION OF SERVICE

The undersigned hereby acknowledges that this **Notice of Permit Issuance** and all copies were electronically transmitted before the close of business on February 8, 2016 to those persons listed.



Clerk

February 8, 2016

Date

FILING AND ACKNOWLEDGEMENT

FILED, on this date, under Section 120.52(7), Florida Statutes, with the designated Department Clerk, receipt of which is hereby acknowledged.



Clerk

February 8, 2016

Date

Copies Furnished to:

Keith E. Riddle, P.E., Riddle-Newman Engineering, Inc. [keith@riddlenewman.com]
FDEP - Wanda Parker- Garvin, Jill Farris, Shabbir Rizvi, Matt Bretzke, Javed Mayet, Daissan A. Villareal



Florida Department of Environmental Protection

Rick Scott
Governor

Carlos Lopez-Cantera
Lt. Governor

Central District
3319 Maguire Boulevard, Suite 232
Orlando, Florida 32803-3767

Jonathan P. Steverson
Secretary

February 8, 2016

ELECTRONIC CORRESPONDENCE

PERMITTEE:

Lake Yale Treatment Associates, Inc
18700 West Ten Mile Road, 2nd Floor
Southfield, FL 48075

PWS ID NUMBER: 3354688

PERMIT NUMBER: 0341310-001-WC

DATE OF ISSUE: February 8, 2016

EXPIRATION DATE: February 7, 2021

COUNTY: Lake

PROJECT: Lake Yale Estates WTP Modification

This permit is issued under the provisions of Chapter 403, Florida Statutes (F.S.), and Florida Administrative Code (F.A.C.) Chapters 62-4, 62-550, 62-555 and 62-560. The above named permittee is hereby authorized to perform the work or operate the facility shown on the application and approved drawings, plans, and other documents attached hereto or on file with the Department and made a part hereof and specifically described as follows:

TO CONSTRUCT: The project includes the replacement of the existing 10,000-gallon hydropneumatic tank with a new 6,500-gallon hydro-pneumatic tank. The existing tank failed the structural inspection and has been placed out of service. The Lake Yale system is interconnected with the Sandpiper Mobile Manor system immediately adjacent. The 2 systems are interconnected and work with virtual Telemetry to control the lead/lag alternating setup.

PROPOSED CONSTRUCTION INCLUDES THE FOLLOWING COMPONENTS:

- 1. One 6,500-Gallon (Gal) hydropneumatic tank** that meets the ASME Section VIII Unfired Pressure Vessels Code Requirements and with openings per the design drawings and specifications submitted. The tank shall be +/- 48 inches in diameter and +/- 180 inches long and shall meet the Standard Engineering Specification for Unfired Pressure Vessel and Specification for General Welding and NDE Requirements for Vessels, Heat Exchangers, Fired-Heater Coils, Boilers and Compressors.
- 2. Associated yard piping, valves, fittings and appurtenances.**

Lake Yale Estates WTP is a Community Water System with a rated design capacity of 468,000 gallons per day (GPD). The plant is classified as Category V Class C. Accordingly, staffing is by a Class C or higher operator, 5 visits per week and one visit each weekend for a total of 0.6 hour per week. The lead chief operator must be Class C or higher.

IN ACCORDANCE WITH: This permit does not pertain to any wastewater, storm water or dredge and fill aspects of the project. This permit is issued based upon the dates and submissions during the application process as follows: Construction plans, specifications and details received on January 29, 2016

LOCATION: Water treatment plant is located at 37842 CR 452, west of Eustis. The plant is located off of CR 452 immediately south of Maywood Bay Drive.

Work must be conducted in accordance with the General and Specific Conditions, attached hereto.

The permittee shall be aware of and operate under the Permit Conditions below. These applicable conditions are binding upon the permittee and enforceable pursuant to Chapter 403, Florida Statutes. [F.A.C. Rule 62-555.533(1)]

A. GENERAL CONDITIONS

1. The terms, conditions, requirements, limitations and restrictions set forth in this permit, are "permit conditions" and are binding and enforceable pursuant to Sections 403.141, 403.727, or 403.859 through 403.861, F.S. The permittee is placed on notice that the Department will review this permit periodically and may initiate enforcement action for any violation of these conditions.
2. This permit is valid only for the specific processes and operations applied for and indicated in the approved drawings or exhibits. Any unauthorized deviation from the approved drawings, exhibits, specifications, or conditions of this permit may constitute grounds for revocation and enforcement action by the Department.
3. As provided in Subsections 403.087(6) and 403.722(5), F.S., the issuance of this permit does not convey any vested rights or any exclusive privileges. Neither does it authorize any injury to public or private property or any invasion of personal rights, nor any infringement of federal, state, or local laws or regulations. This permit is not a waiver of or approval of any other department permit that may be required for other aspects of the total project which are not addressed in this permit.
4. This permit conveys no title to land or water, does not constitute State recognition or acknowledgment of title, and does not constitute authority for the use of submerged lands unless herein provided and the necessary title or leasehold interests have been obtained from the State. Only the Trustees of the Internal Improvement Trust Fund may express State opinion as to title.
5. This permit does not relieve the permittee from liability for harm or injury to human health or welfare, animal, or plant life, or property caused by the construction or operation of this permitted source, or from penalties therefore; nor does it allow the permittee to cause pollution in contravention of Florida Statutes and Department rules, unless specifically authorized by an order from the Department.
6. The permittee shall properly operate and maintain the facility and systems of treatment and control (and related appurtenances) that are installed and used by the permittee to achieve compliance with the conditions of this permit, as required by Department rules. This provision includes the operation of backup or auxiliary facilities or similar systems when necessary to achieve compliance with the conditions of the permit and when required by Department rules.
7. The permittee, by accepting this permit, specifically agrees to allow authorized Department personnel, upon presentation of credentials or other documents as may be required by law and at

reasonable times (reasonable time may depend on the nature of the concern being investigated), access to the premises where the permitted activity is located or conducted to:

- a. Have access to and copy any records that must be kept under conditions of the permit;
 - b. Inspect the facility, equipment, practices, or operations regulated or required under this permit; and
 - c. Sample or monitor any substances or parameters at any location reasonably necessary to assure compliance with this permit or Department rules.
8. If, for any reason, the permittee does not comply with or will be unable to comply with any condition or limitation specified in this permit, the permittee shall immediately provide the Department with the following information:
- a. A description of and cause of noncompliance; and
 - b. The period of noncompliance, including dates and times; or, if not corrected, the anticipated time the noncompliance is expected to continue, and steps being taken to reduce, eliminate, and prevent recurrence of the noncompliance. The permittee shall be responsible for any and all damages which may result and may be subject to enforcement action by the Department for penalties or for revocation of this permit.
9. In accepting this permit, the permittee understands and agrees that all records, notes, monitoring data and other information relating to the construction or operation of this permitted source which are submitted to the Department may be used by the Department as evidence in any enforcement case involving the permitted source arising under the Florida Statutes or Department rules, except where such use is prescribed by Sections 403.111 and 403.73, F.S. Such evidence shall only be used to the extent it is consistent with the Florida Rules of Civil Procedure and appropriate evidentiary rules.
10. The permittee agrees to comply with changes in Department rules and Florida Statutes after a reasonable time for compliance; provided, however, the permittee does not waive any other rights granted by Florida Statutes or Department rules. A reasonable time for compliance with a new or amended surface water quality standard, other than those standards addressed in Rule 62-302.500, shall include a reasonable time to obtain or be denied a mixing zone for the new or amended standard.
11. This permit is transferable only upon Department approval in accordance with Rule 62- 4.120 and 62-730.300, F.A.C., as applicable. The permittee shall be liable for any non-compliance of the permitted activity until the transfer is approved by the Department.
12. This permit or a copy thereof shall be kept at the work site of the permitted activity.
13. This permit also constitutes:
- a. Determination of Best Available Control Technology (BACT)
 - b. Determination of Prevention of Significant Deterioration (PSD)
 - c. Certification of compliance with State Water Quality Standards (Section 401, PL 92-500)
 - d. Compliance with New Source Performance Standards

14. The permittee shall comply with the following:
- a. Upon request, the permittee shall furnish all records and plans required under Department rules. During enforcement actions, the retention period for all records will be extended automatically unless otherwise stipulated by the Department.
 - b. The permittee shall hold at the facility or other location designated by this permit records of all monitoring information (including all calibration and maintenance records and all original strip chart recordings for continuous monitoring instrumentation) required by the permit, copies of all reports required by this permit, and records of all data used to complete the application for this permit. These materials shall be retained at least three years from the date of the sample, measurement, report, or application unless otherwise specified by Department rule.
 - c. Records of monitoring information shall include:
 - i. the date, exact place, and time of sampling or measurements;
 - ii. the person responsible for performing the sampling or measurements;
 - iii. the dates analyses were performed;
 - iv. the person responsible for performing the analyses;
 - v. the analytical techniques or methods used;
 - vi. the results of such analyses.
15. When requested by the Department, the permittee shall within a reasonable time furnish any information required by law which is needed to determine compliance with the permit. If the permittee becomes aware the relevant facts were not submitted or were incorrect in the permit application or in any report to the Department, such facts or information shall be corrected promptly.

SPECIFIC CONDITIONS

B. Construction Activities

1. Permit Modification

All construction must be in accordance with this permit. Before commencing work on project changes for which a construction permit modification is required per 62-555.536(1), the permittee shall submit to the Department a written request for a permit modification. Each such request shall be accompanied by one copy of a revised construction permit application, the proper processing fee and one copy of either a revised preliminary design report or revised drawings, specifications and design data. [F.A.C. Rule 62-555.536].

2. Professional Engineer Supervision

Permitted construction or alteration of public water supply systems must be supervised during construction by a professional engineer registered in the State of Florida if the project was designed under the responsible charge of a professional engineer licensed in the State of Florida. The permittee must retain the service of a professional engineer registered in the State of Florida to observe that construction of the project is in accordance with the engineering plans and specifications as submitted in support of the application for this permit. [F.A.C. Rule 62-555.520(3)].

3. Artifacts

If prehistoric or historic artifacts, such as pottery or ceramics, stone tools or metal implements, dugout canoe remains, or any other physical remains that could be associated with Native American cultures, or early colonial or American settlement are encountered at any time within the project site area, the permitted project should cease all activities involving subsurface disturbance in the immediate vicinity of such discoveries. The permittee, or other designee, should contact the Florida Department of State, Division of Historical Resources, Compliance and Review Section at 850.245.6333 or 800.847.7278, as well as the appropriate permitting agency office. Project activities should not resume without verbal and/or written authorization from the Division of Historical Resources and the permitting agency. In the event that unmarked human remains are encountered during permitted activities, all work shall stop immediately and the proper authorities notified in accordance with Section 872.05, *Florida Statutes*.

4. Delays and Extension of Permit

If delays will cause project completion to extend beyond the expiration date of this permit, the permittee shall submit to the Department a request to extend the expiration date of this permit including the appropriate processing fee. This request shall specify the reasons for the delay and shall be submitted to the Department for approval prior to the expiration date of this permit. Note that no specific construction permit shall be extended so as to remain in effect longer than five years. [F.A.C. Rule 62-555.536(4)].

5. Permit Transfer

In accordance with General Condition #11 of this permit, this permit is transferable only upon Department approval. Persons proposing to transfer this permit must apply jointly for a transfer of the permit within 30 days after the sale or legal transfer of ownership of the permitted project that has not been cleared for service by the Department using form, 62-555.900(8), Application for Transfer of a PWS Construction Permit along with the appropriate fee. [F.A.C. Rule 62-555.536(5)]

6. Obligation to Obtain Other Permits

This permit satisfies Drinking Water permitting requirements only and does not authorize construction or operation of this facility prior to obtaining all other necessary permits from other program areas within the Department, or required permits from other state, federal, or local agencies.

7. Limits on Authorizing Connections

This permit is for **CONSTRUCTION ONLY** of the components listed in the first page of this permit. This permit shall not infer that the clearance necessary for connection will be granted. Partial clearance may be granted, if required.

8. Gasoline Contamination

If gasoline contamination is found at the construction site, work shall be stopped and the proper authorities notified. With the approval of the Department, ductile iron pipe and fittings, and solvent resistant gaskets materials shall be used in the contaminated area. The ductile pipe shall be used in the contaminated area. The ductile iron pipe shall extend 100 feet beyond any solvent

noted. Any contaminated soil that is excavated shall be placed on an impermeable mat, covered with waterproof covering, and held for disposal. If the site cannot be properly cleaned, then consultation with the Department is necessary prior to continuing with the project construction.

9. Wetlands Jurisdiction

This permit does not constitute approval of construction on jurisdictional wetland areas; therefore such approval must be obtained separately from the Water Management District or from DEP ERP Section, as applicable, Permittee shall provide a copy of the permit approval to the Department if water main installation involves activities on wetlands.

C. Construction Standards

1. National Sanitation Foundation (NSF)

All products, including paints, which shall come into contact with potable water, either directly or indirectly, shall conform with National Sanitation Foundation (NSF) International, Water Chemicals Codex, Food Chemicals Codex, American Water Works Association (AWWA) Standards and the Food and Drug Administration, as provided in Rule 62-555.320(3), F.A.C.

2. American Water Works Association (AWWA)

Water supply facilities, including mains, pipe, fittings, valves, fire hydrants and other materials shall be installed in accordance with the latest applicable AWWA Standards and Department rules and regulations. The system shall be pressure and leak tested in accordance with AWWA Standard C600 C603, or C605, as applicable, and disinfected in accordance with AWWA Standard C651-653, as well as in accordance with Rule 62-555.340, F.A.C.

3. Lead Free

The installation or repairs of any public water system, or any plumbing in residential or nonresidential facilities providing water for human consumption, which is connected to a public water system shall be lead free in accordance with Rule 62-555.322, F.A.C.

4. Asbestos

When any existing asbestos cement (AC) pipes are replaced under this permit, the permittee shall do so in accordance with the applicable rules of the Federal Asbestos Regulation and Florida DEP requirements. For specific requirements applicable to AC pipes, the permittee should contact Wanda Parker-Garvin of the Central District Compliance and Assurance Program at (407).897.2934 prior to commencing any such activities. Please be aware that a notification is required to be submitted to the Department for a regulated project.

5. Hazard and Reuse Setbacks

Setback distances between potable water wells and sanitary hazards shall be in accordance with 62-555.312, F.A.C. Reclaimed water land application areas, if applicable, must not be located within the setback distance from potable water supply wells established in Chapter 62-610, F.A.C.

6. Line Separation

Permittee shall maintain vertical clearance and horizontal separation between water mains and sanitary sewers, storm sewers, etc. unless approved otherwise by the Department, as provided in Rule 62-555.314, F.A.C., and Section 8.6 of *Recommended Standards for Water Works*, a manual adopted by reference in Rule 62-555.330(3), F.A.C.

7. Color Coding of Pipes

The new or altered aboveground piping at the drinking water treatment plant shall be color coded and labeled as recommended in Section 2.14 of "Recommended Standards for Water Works, 1997 Edition". [F.A.C. Rule 62-555.320(10)]

8. Cross Connections

Permittee shall ensure that there shall be no cross-connection with any non-potable water source in accordance with Rule 62-555.360, F.A.C.

D. Operational Requirements

1. Staffing

Lake Yale Estates WTP is a Community Water System with a rated design capacity of 468,000 GPD. The plant is classified as Category V Class C. Accordingly, staffing is by a Class C or higher operator, 5 visits per week and one visit each weekend for a total of 0.6 hour per week. The lead chief operator must be Class C or higher. [F.A.C. Rule 62-699.310].

2. Operation and Maintenance to comply with Water Quality Standards

The supplier of water shall operate and maintain the public water system so as to comply with applicable standards in F.A.C. Rule 62-550 and 62-555.350.

3. Record Drawings

The permittee shall have complete record drawings produced for the project in accordance with Rule 62-555.530(4), F.A.C.

4. State Watch Office

The permittee or suppliers of water shall telephone the State Watch Office (SWO), at 1-800-320-0519 immediately (i.e., within two hours) after discovery of any actual or suspected sabotage or security breach, or any suspicious incident, involving a public water system in accordance with the F.A.C. Rule 62-555.350(10).

E. Monitoring Provisions

1. Chlorine Residual

The water treatment plant shall maintain throughout the distribution system, a minimum continuous and effective free chlorine residual of 0.2 mg/L (or its equivalent) or a minimum combined chlorine residual of 0.6 mg/L (or its equivalent), whichever is appropriate for the water treatment plant providing water to the project. A minimum system pressure of 20 psi must be maintained throughout the system. Also, safety equipment shall be provided and located outside of chlorine room.

F. Clearance Requirements

1. Clearance Letter

The permittee must instruct the engineer of record to request system clearance from the Department within sixty (60) days of completion of construction, testing and disinfecting the system. Bacteriological test results shall be considered unacceptable if the test were completed more than 60 days before the Department received the results. [F.A.C. Rule 62-555.340(2)(c)]

Permitted construction or alteration of a public water system may not be placed into service until a letter of clearance has been issued by this Department. [F.A.C. Rule 62-555.345]

2. Requirements to Obtain Clearance

After submitting the permit clearance package, the permittee will contact Javed Mayet at 407.897.4128 or Javed.Mayet@dep.state.fl.us to establish a date/time for an inspection of the components contained in this permit.

Prior to placing this project into service, Permittee shall submit, at a minimum, all of the following to the Department for evaluation and approval for operation, as provided in Rules 62-555.340 and 62-555.345, F.A.C.:

- a. the engineer's *Certification of Construction Completion and Request for Clearance to Place Permitted PWS Components Into Operation* {DEP Form 62-555.900(9)};
- b. certified record drawings, if there are any changes noted for the permitted project.
- c. analytical results from two consecutive days of satisfactory bacteriological samples from locations found in paragraph 3 below.
- d. Signed and sealed certification from the engineer that the new installed hydropneumatic tank meets the ASME Section VIII Unfired Pressure Vessels Code Requirements.
- e. Evidence in the form of photographs that the new ASME 6,500-Gal hydropneumatic tank has been constructed.

3. Cleaning, Disinfecting, and Bacteriological Samples

The new facilities shall be cleaned, disinfected, and bacteriologically cleared in accordance with Chapter 62-555, F.A.C. The bacteriological clearance data shall be submitted to the Department with the engineer's certification of construction completion. [Section 62-555.340 and 62-555.315(6)(b), F.A.C.]

Bacteriological Sampling Locations: Copies of results from satisfactory bacteriological samples shall be submitted with the clearance package. Samples shall be taken from locations within the distribution system or water main extension to be cleared, in accordance with Rules 62-555.315 (6), 62-555.340 and 62-555.330, F.A.C. and American Water Works Association (AWWA) Standard C 651-92, at the at the discharge pipe of the new hydropneumatic tank.

Each location shall be sampled on two separate days (at least 6 hours apart) with sample point locations and chlorine residual readings **clearly indicated** on the report and/or drawings.

Permittee:
Lake Yale Treatment Associates, Inc
Ron Ossipove, Controller
Page 9

DEP File No.: 0341310-001-WC

Bacteriological sample results will be considered unacceptable if the tests were completed more than 60 days before the Department receives the results.

Please submit the entire clearance document package in electronic format to DEP_CD@dep.state.fl.us, with a copy to Javed.mayet@dep.state.fl.us and Caroline.Shine@dep.state.fl.us. If the file is very large, you may post it to the Water Electronic Submittal folder on the Central District's ftp site at:

ftp://ftp.dep.state.fl.us/pub/incoming/Central_District/Water%20Electronic%20Applications.

After posting the document, send an e-mail to DEP_CD@dep.state.fl.us, with a copy to javed.mayet@dep.state.fl.us and Caroline.Shine@dep.state.fl.us, alerting us that it has been posted.

Any submitted drawings (should be sized 11" x 17") and the engineer of record's signed seal and dates on the required document must be legible for acceptance. Documents requiring signing and sealing must be certified as required by FBPE for electronic submittals. Please refer to the DEP SOP found on our website for procedures:

<http://www.dep.state.fl.us/water/drinkingwater/forms/ElectronicSubmissionInstructions-SDW.pdf>

<http://www.dep.state.fl.us/water/wastewater/docs/InstructionsIndependentDocumentsEngineerLetter.pdf>

Forms: <http://www.dep.state.fl.us/water/drinkingwater/forms.htm>

STATE OF FLORIDA
DEPARTMENT OF ENVIRONMENTAL PROTECTION



Caroline Shine, Environmental Administrator
Drinking Water/Environmental Resource Permitting
Permitting and Waste Cleanup Program
FDEP, Central District
(407) 897-2927

EXHIBIT 12



Florida Department of Environmental Protection

Central District
3319 Maguire Boulevard, Suite 232
Orlando, Florida 32803-3767

Rick Scott
Governor

Jennifer Carroll
Lt. Governor

Herschel T. Vinyard Jr.
Secretary

January 23, 2012

Mr. Peter Beer
Lake Yale Treatment Associates, Inc.
18700 West Ten Mile Road, 2nd Floor
Southfield, MI 48075

OCD-PW-SS-12-0063

Lake County – PW

<u>System Name</u>	<u>PWS ID Number</u>
Lake Yale Estates	3354688
Sandpiper Mobile Home Manor	3351115

Dear Mr. Beer:

This confirms a visit to the subject community public water systems on January 18, 2012 by Jill M. Farris to conduct a sanitary survey inspection. A copy of the sanitary survey inspection reports is enclosed for your reference and records.

Deficiencies found during the sanitary survey and in Department records are listed in the enclosed reports. These deficiencies shall be corrected in order to return to compliance with *Florida Administrative Code* (F.A.C.) Rules 62-550, 62-555, 62-560 and 62-602.

Please correct the indicated deficiencies, and notify the Department in writing that the deficiencies have been corrected, **no later than February 27, 2012**. (*You may use the attached response form to indicate the corrective actions taken.*)

If you have any questions, please contact Jill Farris by phone at (407) 897-4136, or by e-mail at Jill.Farris@dep.state.fl.us.

Sincerely,

Reggie Phillips, Environmental Supervisor II
Drinking Water Compliance and Enforcement

RFP/jmf
Enclosures

cc: Daniel Ellis, Resident Engineer
Tom Felton, General Utilities [generalutilities@aol.com]

State of Florida
 Department of Environmental Protection
 Central District
SANITARY SURVEY REPORT

Plant Name LAKE YALE ESTATES County Lake PWS ID # 3354688
 Plant Location 37802 CR 452, Leesburg, FL 34788 Phone 352-483-1377
 Owner Name Lake Yale Treatment Associates, Inc., Attn: Peter Beer Phone 248-557-1050
 Owner Address 18700 W. Ten Mile Rd., 2nd Floor, Southfield, MI 48075
 Contact Person Daniel Ellis Title Resident Engineer Phone 352-589-9214
 This Survey Date 01/18/12 Last Survey Date 12/09/09 Last Compliance Inspection Date 04/24/07

PWS TYPE: Community

PLANT CATEGORY & CLASS: 5C

MAX-DAY DESIGN CAPACITY: 468,000 gpd

PWS STATUS: Approved

TREATMENT PROCESSES IN USE

Gas chlorination

SERVICE AREA CHARACTERISTICS

Mobile Home Park
 Food Service: Yes No N/A
 Number of Service Connections 58
 Population Served 101 Basis Operator

OPERATION & MAINTENANCE LOG: Yes

Location Tank housing
 Comments _____

CERTIFIED OPERATOR: Yes

Operator(s) & Certification Class-Number:
Tom Felton C-2241

Hrs/day: *Required* *Visit *Actual* *Visit
 Days/wk: *Required* 5+1 *Actual* 5+1
 Non-consecutive Days? Yes No N/A
 Comments *5 visits/week and one visit each weekend for a total of 0.6 hour/week.

MONTHLY OPERATION REPORTS (MORs)

MORs submitted regularly? Yes No N/A
 Data missing from MORs? No Yes N/A
 Average Day (from MORs) 20,833 gpd
 Maximum Day (from MORs) 49,000 gpd 03/2011
 Comments _____

Flow Measuring Device Flow Meter
 Meter Size & Type 8" McCrometer
 Date Last Calibrated Unknown

RAW WATER SOURCE

GROUND; Number of Wells 2
 PURCHASED from PWS ID # _____
 Emergency Water Source PWS # 3351115
 Emergency Water Capacity _____

STANDBY POWER SOURCE: Not Required

Source _____
 Capacity of Standby (kW) _____
 Switchover: Automatic Manual
 Hrs Operated Under Load _____
 What equipment does it operate?
 Well Pumps _____
 High Service Pumps _____
 Treatment Equipment _____
 Satisfy avg. daily demand? Yes No Unknown
 Audio-visual alarm? Yes No
 Comments _____

PLANS AND MAPS

Coliform Sampling Plan Yes No N/A
 D/DBP Monitoring Plan Yes No N/A
 Lead and Copper Plan Yes No N/A
 Distribution System Map Yes No N/A
 Emergency Response Plan Yes No N/A
 Comments Lead and copper plan approved 6/26/09.

PREVENTIVE MAINTENANCE/O&M

Operation & Maintenance Manual Yes No
 Preventive Maintenance Program Yes No
 Flushing Program Yes No N/A
 Records Yes No N/A
 Isolation Valve Exercise Yes No N/A
 Records Yes No N/A
 Comments _____

CROSS CONNECTION CONTROL

BFPAs None noted # Tested Unknown
 WWTP RPZ Yes Date Tested 03/2011
 Written Plan Yes Date Unknown
 Comments N/A

GROUND WATER SOURCE

Well Number (Florida Unique Well ID #)	1 (AAC3271)	2 (AAC3270)		
Year Drilled	1986	1987		
Depth Drilled	280'	316'		
Drilling Method	Combination	Combination		
Type of Grout	Unknown	Unknown		
Static Water Level	16.5'	17'		
Pumping Water Level	Unknown	Unknown		
Design Well Yield	Unknown	Unknown		
Test Yield	Unknown	Unknown		
Actual Yield (if different than rated capacity)	Unknown	Unknown		
Strainer	Unknown	Open hole		
Length (outside casing)	113'	105'		
Diameter (outside casing)	4"	16"		
Material (outside casing)	Black steel	Black steel		
Well Contamination History	None noted	None noted		
Is inundation of well possible?	No	No		
6' X 6' X 4" Concrete Pad	Yes	Yes		
SET BACKS	Septic Tank	N/A	N/A	
	Reuse Water	N/A	N/A	
	WW Plumbing	>220'	>200'	
	Other Sanitary Hazard	None observed	None observed	
PUMP	Type	Submersible	Vertical turbine	
	Manufacturer Name	Unknown	Goulds	
	Model Number	Unknown	Unknown	
	Rated Capacity (gpm)	80	650	
	Motor Horsepower	5	40	
Well casing 12" above grade?	Yes	Yes		
Well Casing Sanitary Seal	Ok	Ok		
Raw Water Sampling Tap	Yes	Yes		
Above Ground Check Valve	Yes	Yes		
Security	Yes	Yes		
Well Vent Protection	Yes	Yes		

COMMENTS _____

CHLORINATION (Disinfection)

Type: Gas Hypo

Make Superior Capacity 50 ppd

Chlorine Feed Rate 10 ppd

Avg. Amount of Cl₂ gas used 1-2 ppd

Chlorine Residuals: Plant 2.2+ Remote 2.2+

Remote tap location WWTP

DPD Test Kit: On-site With operator
 None Not Used Daily

Injection Points Prior to hydropneumatic

Booster Pump Info 1 hp Goulds 18BC10

Comments _____

STORAGE FACILITIES

(G) Ground (C) Clearwell (E) Elevated
 (B) Bladder (H) Hydropneumatic / flow-through

Tank Type/Number	H	
Capacity (gal)	10,000	
Material	Steel	
Gravity Drain	Yes	
By-Pass Piping	Yes	
Protected Openings	Yes	
Sight Glass or Level Indicator	Yes	
PRV/ARV	PRV	
Pressure Gauge	Yes	
On/Off Pressure	40/60	
Access Secured	Yes	
Access Manhole	Yes	
Tank Sample Tap Location	On tank	
Date of Inspection	06/19/08	
Date of Cleaning	06/19/08	

Comments _____

~~**HIGH SERVICE PUMPS**~~

Pump Number			
Type			
Make			
Model			
Capacity (gpm)			
Motor HP			
Date Installed			

~~Comments _____~~

~~_____
 _____~~

Chlorine Gas Use Requirements	YES	NO	Comments
Dual System	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
Auto-switchover	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
Alarms:			
Loss of Cl ₂ capability	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Loss of Cl ₂ residual	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Cl ₂ leak detection	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
Scale	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Chained Cylinders	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Reserve Supply	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Adequate Air-pak	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Sign of Leaks	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
Fresh Ammonia	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Ventilation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Room Lighting	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Warning Signs	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Repair Kits	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
Fitted Wrench	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Housing/Protection	<input checked="" type="checkbox"/>	<input type="checkbox"/>	

AERATION (Gases, Fe, & Mn Removal)

Type _____ Capacity _____

Aerator Condition _____

Visible Algae Growth _____

Protective Screen Condition _____

Frequency of Cleaning _____

Date Last Inspected/Cleaned _____

Comments _____

DEFICIENCIES:

- 1. Failure to evaluate existing service connections to determine the need for backflow prevention at service connections.** Initial and recurring hazard assessments shall be performed at premises served by your water system and have any required backflow prevention assemblies installed as necessary, consistent with the policy that you have established.

Community water systems, and all public water systems that have service areas also served by reclaimed water systems regulated under Part III of Chapter 62-610, F.A.C., shall establish and implement a routine cross-connection control program to detect and control cross-connections and prevent backflow of contaminants into the water system. This program shall include a written plan that is developed using recommended practices of the American Water Works Association set forth in Recommended Practice for Backflow Prevention and Cross-Connection Control, AWWA Manual M14, as incorporated into Rule 62-555.330, F.A.C.

- 2. Failure to provide documentation of last cleaning and inspection for finished water storage tanks.**

All suppliers of water shall keep records documenting that their finished-drinking-water storage tanks, including conventional hydropneumatic tanks with an access manhole but excluding bladder- or diaphragm-type hydropneumatic tanks without an access manhole, have been cleaned and inspected during the past five years in accordance with subsection 62-555.350(2), F.A.C. In addition, all suppliers of water shall keep records documenting that their isolation valves are being exercised, and their water mains conveying finished drinking water are being flushed, in accordance with subsection 62-555.350(2), F.A.C. [Rule 62-555.350(12)(c), F.A.C.]

COMMENTS/REMINDERS:

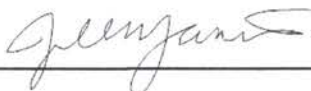
- Lead and copper tap sampling is due during the July through September 2012 monitoring period.

For monitoring schedules and information about the Drinking Water Program, please visit the Central District's Drinking Water website at <http://www.dep.state.fl.us/central/Home/DrinkingWater/default.htm>.

- Suppliers of water shall submit written notification to the Department before beginning work or alterations to the public water system. Each notification shall be submitted to the appropriate Department of Environmental Protection District Office or Approved County Health Department and shall include the following: a description of the scope, purpose, and location of the work or alterations; and assurance that the work or alterations will comply with applicable requirements listed in Rule 62-555.330, F.A.C. Suppliers of water may begin such work or alterations 14 days after providing notification to the Department unless they are advised by the Department that the notification is incomplete or that a construction permit is required.
- Suppliers of water shall telephone the SWP at 1-800-320-0519 immediately (i.e., within two hours) after discovery of any actual or suspected sabotage or security breach, or any suspicious incident, involving a public water system. [Rule 62-555.350(10)(a), F.A.C.]
- Suppliers of water shall telephone, and speak directly to a person at, the appropriate DEP District Office as soon as possible, but never later than noon of the next business day, in the event of any of the following emergency or abnormal operating conditions:
 - The occurrence of any abnormal color, odor, or taste in a public water system's raw or finished water;
 - The failure of a public water system to comply with applicable disinfection requirements; or
 - The breakdown of any water treatment or pumping facilities, or the break of any water main, in a public water system if the breakdown or break is expected to adversely affect finished-water quality, interrupt water service to 150 or more service connections or 350 or more people, interrupt water service to any one service connection for more than eight hours, or necessitate the issuance of a precautionary "boil water" notice in accordance with the Department of Health's "Guidelines for the Issuance of Precautionary Boil Water Notices" as adopted in Rule 62-555.335, F.A.C. [Rule 62-555.350(10)(b), F.A.C.]

COMMENTS/REMINDERS (continued):

- Suppliers of water shall notify affected water customers in writing or via telephone, newspaper, radio, or television; and telephone, and speak directly to a person at, the appropriate DEP District Office by no later than the previous business day before taking PWS components out of operation for planned maintenance or repair work if the work is expected to adversely affect finished-water quality, interrupt water service to 150 or more service connections or 350 or more people, interrupt water service to any one service connection for more than eight hours, or necessitate the issuance of a precautionary "boil water" notice in accordance with the Department of Health's "Guidelines for the Issuance of Precautionary Boil Water Notices" as adopted in Rule 62-555.335, F.A.C. [Rule 62-555.350(10)(d), F.A.C.]
- Suppliers of water shall issue precautionary "boil water" notices as required or recommended in the Department of Health's "Guidelines for the Issuance of Precautionary Boil Water Notices" as adopted in Rule 62-555.335, F.A.C. [Rule 62-555.350(11), F.A.C.]

Inspector  Title Env. Specialist II Date 01/23/12

Approved by  Title Env. Supervisor II Date 01/23/12



Supplement to the Sanitary Survey Form for Community Water Systems (CWSs)

DISTRIBUTION	
CROSS-CONNECTION CONTROL (CCC)	
Is the Written CCC Plan Compliant (i.e., Does the Written CCC Plan Include the Following 6 Components)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
1. Legal authority for the CCC program (ordinance, bylaw or resolution, or service rules). [AWWA Manual M14, 1990; Sections 1.2 & 2.2 & Appendix B] [AWWA Manual M14, 2004; p. 13 & Appendix B] [FAC Rule 62-555.360(3)] <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
• Includes or references Elements 2 & 3 below?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
• Establishes the right of the CWS to discontinue water service to any customer who fails to comply with the program?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
• Establishes the right of the CWS to inspect any customer's potable water system for cross-connections?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
2. Policy establishing where backflow protection at service connections is mandatory. [AWWA Manual M14, 1990; Section 4.1, Table 4-2, Chapter 5, & Section 6.3] [AWWA Manual M14, 2004; pp. 13 & 63-80] <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
• Specifies categories of customer premises & systems for which a backflow preventer (BP) is required at the service connection to the premises or system, & specifies the appropriate type(s) of BP for each such category?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
• As stringent as AWWA Manual M14 (except for premises where there is auxiliary water, including reclaimed water)?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
• Addresses commercial/industrial & residential premises where there is an auxiliary water system, including a reclaimed water system?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
• Addresses temporary connections from fire hydrants?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
3. Policy regarding ownership, installation, testing, & maintenance of BPs at service connections. [AWWA Manual M14, 1990; Sections 2.3, 2.4, & 4.2 & Chapters 7 & 8] [AWWA Manual M14, 2004; pp. 14-15 & 17-20, Chapter 4, & Appendix A] <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
• Specifies who (the CWS or customers) is responsible for ownership, installation, testing, & maintenance of BPs?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
• Specifies design/performance standards, & installation criteria, for new BPs?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
• Specifies that BP assemblies shall be tested after installation or repair & at least annually thereafter & shall be repaired if they fail a test?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
○ Specifies minimum qualifications for persons testing BP assemblies?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
○ Specifies procedures to be used for testing BP assemblies?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
• Specifies frequency for refurbishing or replacing dual checks (DuCs) if DuCs are allowed/used at service connections to residential premises where there is a reclaimed water system?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> NA
4. Procedures for evaluating new & existing service connections to determine the need for BPs at service connections. [AWWA Manual M14, 1990; Section 2.5] [AWWA Manual M14, 2004; pp. 16-17] <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
• The CWS evaluates each new service connection before providing water service?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
• The CWS evaluates/re-evaluates existing service connections when the customer first connects to a reclaimed water distribution system?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> NA
• The CWS evaluates/re-evaluates existing service connections whenever there is a change in the customer of record or at some other frequency (unless a reduced-pressure principle assembly [RP] already is installed at the service connection)?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
5. Procedures for keeping CCC program records. [AWWA Manual M14, 1990; Section 2.6] [AWWA Manual M14, 2004; pp. 22-23] [FAC Rule 62-550.720(3)] <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
• The CWS keeps a record of the latest evaluation of each service connection?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
• The CWS keeps an up-to-date inventory of all BPs installed at service connections?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
• The CWS keeps for at least 10 years records of tests of BP assemblies installed at service connections?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
6. For customers with a reclaimed water system, public education & inspection programs. (The public education & inspection programs may be implemented by either the CWS or the wastewater facility permittee or reuse authority.) [FAC Rules 62-555.360(5), 62-610.468(6), & 62-610.469(7)(h)] <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> NA	
Is the CCC Program Being Implemented? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Total # of RPs, double check valve assemblies (DCs), & pressure vacuum-breaker assemblies (PVBs) at Service Connections: <u>0</u>	# of RPs, DCs, & PVBs at <u>Commercial/Industrial</u> Service Connections: <u>1</u>
	# of RPs, DCs, & PVBs at <u>Residential</u> Service Connections: <u>0</u>
Total # of Service-Connection RPs, DCs, & PVBs Tested Last Year: <u>1</u>	
Total # of DuCs at Residential Service Connections: <u>0</u>	
Total # of Service-Connection DuCs Replaced or Refurbished Last Year: <u>0</u>	
Comments: 1 RPZ located at the WWTP. An evaluation of service connections has not been completed.	

State of Florida
 Department of Environmental Protection
 Central District
SANITARY SURVEY REPORT

Plant Name SANDPIPER MOBILE HOME MANOR County Lake PWS ID # 3351115
 Plant Location Corner of Cardinal Drive and Sunset Drive, Leesburg, FL 34788 Phone 352-483-1377
 Owner Name Lake Yale Treatment Associates, Inc., Attn: Peter Beer Phone 248-557-1050
 Owner Address 18700 West Ten Mile Road, 2nd Floor, Southfield, MI 48075
 Contact Person Daniel Ellis Title Resident Engineer Phone 352-589-9214
 This Survey Date 01/18/12 Last Survey Date 07/08/10 Last Compliance Inspection Date 04/24/07

PWS TYPE: Community

PLANT CATEGORY & CLASS: (5D)

MAX-DAY DESIGN CAPACITY: 162,000 gpd

PWS STATUS: Approved

TREATMENT PROCESSES IN USE
Hypochlorination

SERVICE AREA CHARACTERISTICS

Mobile Home Park
 Food Service: Yes No N/A
 Number of Service Connections 172
 Population Served 430 Basis Operator

OPERATION & MAINTENANCE

O&M Log: Yes No Location Plant

CERTIFIED OPERATOR: Yes

Operator(s) & Certification Class-Number:
Tom Felton C-2241

Hrs/day: *Required* *Visit** *Actual* *Visit**
 Days/wk: *Required* 3 *Actual* 6
 Non-consecutive Days? Yes No N/A
 Comments

MONTHLY OPERATION REPORTS (MORs)

MORs submitted regularly? Yes No N/A
 Data missing from MORs? No Yes N/A
 Average Day (from MORs) 14,508 gpd
 Maximum Day (from MORs) 50,000 gpd 4/2011
 Comments

Flow Measuring Device Flow Meter
 Meter Size & Type 3" Kent
 Date Last Calibrated Unknown

RAW WATER SOURCE

GROUND; Number of Wells 1
 PURCHASED from PWS ID #
 Emergency Water Source PWS# 3354688
 Emergency Water Capacity

STANDBY POWER SOURCE: Yes

Source Onan Diesel
 Capacity of Standby (kW) 30
 Switchover: Automatic Manual
 Hrs Operated Under Load 1 hr/wk.
 What equipment does it operate?
 Well Pumps
 High Service Pumps
 Treatment Equipment
 Satisfy avg. daily demand? Yes No Unknown
 Audio-visual alarm? Yes No
 Comments

PLANS AND MAPS

Coliform Sampling Plan Yes No N/A
 D/DBP Monitoring Plan Yes No N/A
 Lead and Copper Plan Yes No N/A
 Distribution System Map Yes No N/A
 Emergency Response Plan Yes No N/A
 Comments

PREVENTIVE MAINTENANCE/O&M

Operation & Maintenance Manual Yes No
 Preventive Maintenance Program Yes No
 Flushing Program Yes No N/A
 Records Yes No N/A
 Isolation Valve Exercise Yes No N/A
 Records Yes No N/A
 Comments No hydrants or flushing points in the distribution. No isolation valves.

CROSS CONNECTION CONTROL

BFPAs 0 # Tested 0
 WWTP RPZ Yes Date Tested *3/2011
 Written Plan Yes Date Unknown
 Comments *WWTP is at interconnect PWS ID354988

DEFICIENCIES:

- 1. Failure to evaluate existing service connections to determine the need for backflow prevention at service connections.** Initial and recurring hazard assessments shall be performed at premises served by your water system and have any required backflow prevention assemblies installed as necessary, consistent with the policy that you have established.

Community water systems, and all public water systems that have service areas also served by reclaimed water systems regulated under Part III of Chapter 62-610, F.A.C., shall establish and implement a routine cross-connection control program to detect and control cross-connections and prevent backflow of contaminants into the water system. This program shall include a written plan that is developed using recommended practices of the American Water Works Association set forth in Recommended Practice for Backflow Prevention and Cross-Connection Control, AWWA Manual M14, as incorporated into Rule 62-555.330, F.A.C.

- 2. Failure to provide documentation of last cleaning and inspection for finished water storage tanks.**

All suppliers of water shall keep records documenting that their finished-drinking-water storage tanks, including conventional hydropneumatic tanks with an access manhole but excluding bladder- or diaphragm-type hydropneumatic tanks without an access manhole, have been cleaned and inspected during the past five years in accordance with subsection 62-555.350(2), F.A.C. In addition, all suppliers of water shall keep records documenting that their isolation valves are being exercised, and their water mains conveying finished drinking water are being flushed, in accordance with subsection 62-555.350(2), F.A.C. [Rule 62-555.350(12)(c), F.A.C.]

- 3. Failure to maintain an up-to-date map of the drinking water distribution system.**

Suppliers of water who own or operate a community water system serving, or designed to serve, 350 or more persons or 150 or more service connections shall have, and thereafter maintain, an up-to-date map of their drinking water distribution system. Such a map shall show the location and size of water mains if known; the location of valves and fire hydrants; and the location of any pressure zone boundaries, pumping facilities, storage tanks, and interconnections with other public water systems. [Rule 62-555.350(14), F.A.C.]

COMMENTS/REMINDERS:

- Lead and copper tap sampling is due during the July through September 2012 monitoring period.

For monitoring schedules and information about the Drinking Water Program, please visit the Central District's Drinking Water website at <http://www.dep.state.fl.us/central/Home/DrinkingWater/default.htm>.

- Suppliers of water shall submit written notification to the Department before beginning work or alterations to the public water system. Each notification shall be submitted to the appropriate Department of Environmental Protection District Office or Approved County Health Department and shall include the following: a description of the scope, purpose, and location of the work or alterations; and assurance that the work or alterations will comply with applicable requirements listed in Rule 62-555.330, F.A.C. Suppliers of water may begin such work or alterations 14 days after providing notification to the Department unless they are advised by the Department that the notification is incomplete or that a construction permit is required.
- Suppliers of water shall telephone the SWP at 1-800-320-0519 immediately (i.e., within two hours) after discovery of any actual or suspected sabotage or security breach, or any suspicious incident, involving a public water system. [Rule 62-555.350(10)(a), F.A.C.]


COMMENTS/REMINDERS (continued):

- Suppliers of water shall telephone, and speak directly to a person at, the appropriate DEP District Office as soon as possible, but never later than noon of the next business day, in the event of any of the following emergency or abnormal operating conditions:
 - The occurrence of any abnormal color, odor, or taste in a public water system's raw or finished water;
 - The failure of a public water system to comply with applicable disinfection requirements; or
 - The breakdown of any water treatment or pumping facilities, or the break of any water main, in a public water system if the breakdown or break is expected to adversely affect finished-water quality, interrupt water service to 150 or more service connections or 350 or more people, interrupt water service to any one service connection for more than eight hours, or necessitate the issuance of a precautionary "boil water" notice in accordance with the Department of Health's "Guidelines for the Issuance of Precautionary Boil Water Notices" as adopted in Rule 62-555.335, F.A.C. [Rule 62-555.350(10)(b), F.A.C.]

- Suppliers of water shall notify affected water customers in writing or via telephone, newspaper, radio, or television; and telephone, and speak directly to a person at, the appropriate DEP District Office by no later than the previous business day before taking PWS components out of operation for planned maintenance or repair work if the work is expected to adversely affect finished-water quality, interrupt water service to 150 or more service connections or 350 or more people, interrupt water service to any one service connection for more than eight hours, or necessitate the issuance of a precautionary "boil water" notice in accordance with the Department of Health's "Guidelines for the Issuance of Precautionary Boil Water Notices" as adopted in Rule 62-555.335, F.A.C. [Rule 62-555.350(10)(d), F.A.C.]

- Suppliers of water shall issue precautionary "boil water" notices as required or recommended in the Department of Health's "Guidelines for the Issuance of Precautionary Boil Water Notices" as adopted in Rule 62-555.335, F.A.C. [Rule 62-555.350(11), F.A.C.]

Inspector  Title Env. Specialist II Date 01/23/12

Approved by  Title Env. Supervisor II Date 01/23/12



Supplement to the Sanitary Survey Form for Community Water Systems (CWSs)

DISTRIBUTION	
CROSS-CONNECTION CONTROL (CCC)	
Is the Written CCC Plan Compliant (i.e., Does the Written CCC Plan Include the Following 6 Components)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
7. Legal authority for the CCC program (ordinance, bylaw or resolution, or service rules). [AWWA Manual M14, 1990; Sections 1.2 & 2.2 & Appendix B] [AWWA Manual M14, 2004; p. 13 & Appendix B] [FAC Rule 62-555.360(3)] <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
• Includes or references Elements 2 & 3 below?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
• Establishes the right of the CWS to discontinue water service to any customer who fails to comply with the program?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
• Establishes the right of the CWS to inspect any customer's potable water system for cross-connections?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
8. Policy establishing where backflow protection at service connections is mandatory. [AWWA Manual M14, 1990; Section 4.1, Table 4-2, Chapter 5, & Section 6.3] [AWWA Manual M14, 2004; pp. 13 & 63-80] <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
• Specifies categories of customer premises & systems for which a backflow preventer (BP) is required at the service connection to the premises or system, & specifies the appropriate type(s) of BP for each such category?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
• As stringent as AWWA Manual M14 (except for premises where there is auxiliary water, including reclaimed water)?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
• Addresses commercial/industrial & residential premises where there is an auxiliary water system, including a reclaimed water system?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
• Addresses temporary connections from fire hydrants?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
9. Policy regarding ownership, installation, testing, & maintenance of BPs at service connections. [AWWA Manual M14, 1990; Sections 2.3, 2.4, & 4.2 & Chapters 7 & 8] [AWWA Manual M14, 2004; pp. 14-15 & 17-20, Chapter 4, & Appendix A] <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
• Specifies who (the CWS or customers) is responsible for ownership, installation, testing, & maintenance of BPs?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
• Specifies design/performance standards, & installation criteria, for new BPs?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
• Specifies that BP assemblies shall be tested after installation or repair & at least annually thereafter & shall be repaired if they fail a test?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
○ Specifies minimum qualifications for persons testing BP assemblies?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
○ Specifies procedures to be used for testing BP assemblies?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
• Specifies frequency for refurbishing or replacing dual checks (DuCs) if DuCs are allowed/used at service connections to residential premises where there is a reclaimed water system?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> NA
10. Procedures for evaluating new & existing service connections to determine the need for BPs at service connections. [AWWA Manual M14, 1990; Section 2.5] [AWWA Manual M14, 2004; pp. 16-17] <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
• The CWS evaluates each new service connection before providing water service?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
• The CWS evaluates/re-evaluates existing service connections when the customer first connects to a reclaimed water distribution system?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> NA
• The CWS evaluates/re-evaluates existing service connections whenever there is a change in the customer of record or at some other frequency (unless a reduced-pressure principle assembly [RP] already is installed at the service connection)?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
11. Procedures for keeping CCC program records. [AWWA Manual M14, 1990; Section 2.6] [AWWA Manual M14, 2004; pp. 22-23] [FAC Rule 62-550.720(3)] <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
• The CWS keeps a record of the latest evaluation of each service connection?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
• The CWS keeps an up-to-date inventory of all BPs installed at service connections?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
• The CWS keeps for at least 10 years records of tests of BP assemblies installed at service connections?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
12. For customers with a reclaimed water system, public education & inspection programs. (The public education & inspection programs may be implemented by either the CWS or the wastewater facility permittee or reuse authority.) [FAC Rules 62-555.360(5), 62-610.468(6), & 62-610.469(7)(h)] <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> NA	
Is the CCC Program Being Implemented? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Total # of RPs, double check valve assemblies (DCs), & pressure vacuum-breaker assemblies (PVBs) at Service Connections: <u>0</u>	# of RPs, DCs, & PVBs at <u>Commercial/Industrial</u> Service Connections: <u>0</u>
	# of RPs, DCs, & PVBs at <u>Residential</u> Service Connections: <u>0</u>
Total # of Service-Connection RPs, DCs, & PVBs Tested Last Year: <u>0</u>	
Total # of DuCs at Residential Service Connections: <u>0</u>	
Total # of Service-Connection DuCs Replaced or Refurbished Last Year: <u>0</u>	
Comments: An evaluation of service connections has not been completed.	

DRINKING WATER MICROBIAL SAMPLE COLLECTION & LABORATORY REPORTING FORMAT
(62-550.730 Reporting Format Effective 01/1996, Revised 02/2010)

INITIALS _____
 Lab Receipt Date & Time: 1-13-17 6530
 Analysis Date & Time: 1-13-17 1215
 Sample Acceptance Criteria:
 Sample Preservation: On Ice Not On Ice _____ °C
 Disinfectant Check: Not Detected _____ mg/L
 This sample does not meet the following NELAC requirements:

Tri-Tech Analytical Laboratories, Inc.
 P.O. Box 140966
 Orlando, Florida 32817
 DOH# E83294

1701342 Lab Sticker
 352-787-2493 Lab Sticker
 352-787-2493 Lab Sticker

Report Number: 1701342 Sub-Contract Lab ID: _____

County: Lake

Analysis Requested: (check all that apply)
 Total Coliform/*E. coli* Total Coliform/Fecal Enterococci Coliphage HPC Other: _____

Public Water System (PWS) Name: Lake Yale Estates PWS I.D. 3354688

PWS Address: Hwy 462 City: _____

PWS or PWS Owner's Phone #: 352-787-2493 Fax #: 352-326-8756

Collector: C. Watkins Collector's Phone #: 352-787-2493

Type of Supply: (check only one)
 Community Water System Non-Transient Non-community Water System Transient Non-community Water System
 Limited Use System Bottled Water Private Well Swimming Pool Other: _____

Reason for Sampling: (check all that apply)
 Distribution Routine Distribution Repeat Raw (triggered or assessment) Raw (triggered or assessment) additional Well Survey
 Clearance Replacement (also check type of sample being replaced) Boil Water Notice Other: _____

Sample Collection Date: ** 12 Jan 2017 Day ___ of ___ day

To be completed by collector of sample						To be completed by lab				
Sample #	Sample Point (Location or Specific Address)	Sample Collection Time	Sample Type ¹	Disinfectant Residual (mg/L)	pH	Analysis Method(s) ² : 9222 BMF				
						Non-Coliform	Total Coliform	Fecal, <i>E. coli</i> , Enterococci, or Coliphage ³	Data Qualifier ⁴	Lab Sample #
1	Well 1	1409	R	1.7			A			1
2	Well 2	1408	R	1.7			A			2
3	W.WTP H.B.'s	1413	D	1.7			A			3
4										
5										
6										
7										

Average of disinfectant residuals for distribution routine & repeat samples.⁵ Free chlorine= 1.7

Disinfectant Residual Analysis Method:
 DPD Colorimetric Other: _____

Person performing disinfectant analysis is (see instructions on reverse):
 A certified operator (# _____)
 Supervised by certified operator (# 0002241)
 Employed by a certified lab Employed by DEP or DOH
 Authorized representative of supplier of water

Unless otherwise noted, all tests are performed in accordance with NELAC standards, and the results relate only to the samples.

Date and time PWS notified by lab of positive results: _____
 Date and time DEP/DOH notified by lab of positive results: _____
 Date Report Issued: _____
 Lab Signature: _____
 Title: _____

General Utilities Corporation
 P.O. Box 491221
 715 W. Main Street
 Leesburg, FL 34749-1221

Satisfactory
 Replacement Samples Required
 Incomplete Collection Information
 Repeat Samples Required

DEP/DOH USE ONLY

Date Reviewed by DEP/DOH: _____ DEP/DOH Reviewing Official: _____

¹ For Sample Types see Instructions item I.16.
² For Analysis Methods see Instructions item II.6.
³ Please circle appropriate selection.
⁴ Defined in Florida Administrative Code Rule 62-160, Table 1.
⁵ Complete for community & non-transient non-community systems serving populations up to and including 4,900. Do not include raw or tap samples in the average.

EXHIBIT 13



Florida Department of Environmental Protection

Central District
3319 Maguire Boulevard, Suite 232
Orlando, Florida 32803-3767

Rick Scott
Governor

Jennifer Carroll
Lt. Governor

Herschel T. Vinyard Jr.
Secretary

June 15, 2012

Mr. Peter Beer
Lake Yale Treatment Associates, Inc.
18700 West Ten Mile Road, 2nd Floor
Southfield, MI 48075

OCD-PW-CE-12-0566

Lake County - PW

System Name

Lake Yale Estates

Sandpiper Mobile Home Manor

PWS ID Number

3354688

3351115

Dear Mr. Beer:

The Department has not received a written statement from you that all the deficiencies noted during the sanitary survey on January 18, 2012 have been corrected. You were requested in the inspection letter dated January 23, 2012, to provide the Department with a written response by February 27, 2012, stating that all noted deficiencies have been corrected.

Please reply in writing to the Department no later than June 29, 2012, in order to avoid possible enforcement action.

If you have any questions, please contact me at (407) 897-4136 or by email at Jill.Farris@dep.state.fl.us.

Your cooperation in this matter is appreciated.

Sincerely,

Jill Farris, Environmental Specialist II
Drinking Water Compliance & Enforcement

JMF

cc: Tom Felton, General Utilities [generalutilities@aol.com]



Florida Department of Environmental Protection

Central District
3319 Maguire Boulevard, Suite 232
Orlando, Florida 32803-3767

Rick Scott
Governor

Carlos Lopez-Cantera
Lt. Governor

Noah Valenstein
Secretary

STATE OF FLORIDA NOTICE OF PERMIT TRANSFER

In the Matter of an Application for
Transfer of Permit by:

Michael Smallridge, Managing Member
Lake Yale Utilities, LLC
3336 Grand Boulevard, Suite 102
Holiday, FL 34690

Lake County
Lake Yale Estates WWTF
Permit No. FLA010547
File No. FLA010547-006

Dear Mr. Smallridge:

We are in receipt of your request for revision of the permit conditions. The owner is changed as follows:

FROM

Lake Yale Treatment Associates, Inc.
18700 West Ten Mile Road
Southfield, MI 48075

TO

Lake Yale Utilities, LLC
3336 Grand Boulevard, Suite 102
Holiday, FL 34690

All other conditions of the permit and the expiration date shall remain in effect and fully enforceable. This letter must be attached to the referenced permit and becomes a permanent part thereof.

The Department's proposed agency action shall become final unless a timely petition for an administrative hearing is filed under Sections 120.569 and 120.57 of the Florida Statutes before the deadline for filing a petition. The procedures for petitioning for a hearing are set forth below.

A person whose substantial interests are affected by the Department's proposed permitting decision may petition for an administrative proceeding (hearing) under Sections 120.569 and 120.57 of the Florida Statutes. The petition must contain the information set forth below and must be filed (received by the clerk) in the Office of General Counsel of the Department at 3900 Commonwealth Boulevard, Mail Station 35, Tallahassee, Florida 32399-3000.

Petitions by the applicant or any of the parties listed below must be filed within fourteen days of receipt of this written notice. Petitions filed by any persons other than those entitled to written notice under Section 120.60(3) of the Florida Statutes must be filed within fourteen days of publication of the notice or within fourteen days of receipt of the written notice, whichever occurs first.

Under Section 120.60(3) of the Florida Statutes, however, any person who has asked the Department for notice of agency action may file a petition within fourteen days of receipt of such notice, regardless of the date of publication.

EXHIBIT 14

The previous owner has no complaints.

EXHIBIT 15

To be late filed

GROUND WATER SOURCE

Well Number (Florida Unique Well ID #)	1 (AAC3272)		
Year Drilled	1968		
Depth Drilled	270'		
Drilling Method	Unknown		
Type of Grout	Unknown		
Static Water Level	Unknown		
Pumping Water Level	Unknown		
Design Well Yield	Unknown		
Test Yield	Unknown		
Actual Yield (if different than rated capacity)	Unknown		
Strainer	Unknown		
Length (outside casing)	210'		
Diameter (outside casing)	6"		
Material (outside casing)	Black steel		
Well Contamination History	None		
Is inundation of well possible?	No		
6' X 6' X 4" Concrete Pad	Cracked		
SET BACKS	Septic Tank	N/A	
	Reuse Water	N/A	
	WW Plumbing	103'	
	Other Sanitary Hazard	None observed	
PUMP	Type	Submersible	
	Manufacturer Name	Sta-Rite	
	Model Number	Unknown	
	Rated Capacity (gpm)	225	
	Motor Horsepower	15	
Well casing 12" above grade?	*No		
Well Casing Sanitary Seal	OK		
Raw Water Sampling Tap	Yes		
Above Ground Check Valve	Yes		
Security	Yes		
Well Vent Protection	Yes		

COMMENTS *The Department will continue to accept the current well casing height unless it is shown to be microbially or chemically contaminated. The interconnect with 3354688 Lake Yale Estates is normally open and this plant primarily serves as a backup.

CHLORINATION (Disinfection)

Type: Gas Hypo
 Make Pulsatron Capacity 24 gpd
 Chlorine Feed Rate 100% stroke
 Avg. Amount of Cl₂ gas used N/A
 Chlorine Residuals: Plant 2.2+ Remote 2.2+
 Remote tap location WWTP
 DPD Test Kit: On-site With operator
 None Not Used Daily
 Injection Points Prior to hydropneumatic tank
 Booster Pump Info N/A
 Comments _____

STORAGE FACILITIES

(G) Ground (C) Clearwell (E) Elevated
 (B) Bladder (H) Hydropneumatic / flow-through

Tank Type/Number	H	
Capacity (gal)	5,000	
Material	Steel	
Gravity Drain	Yes	
By-Pass Piping	Yes	
Protected Openings	Yes	
Sight Glass or Level Indicator	Yes	
PRV/ARV	PRV	
Pressure Gauge	Yes	
On/Off Pressure	40/55	
Access Secured	Yes	
Access Manhole	Yes	
Tank Sample Tap Location	On tank	
Date of Inspection	06/27/11	
Date of Cleaning	06/27/11	

Comments _____

HIGH SERVICE PUMPS

Pump Number			
Type			
Make			
Model			
Capacity (gpm)			
Motor HP			
Date Installed			

Comments _____

Chlorine Gas Use Requirements	YES	NO	Comments
Dual System	<input type="checkbox"/>	<input type="checkbox"/>	
Auto-switchover	<input type="checkbox"/>	<input type="checkbox"/>	
Alarms:			
Loss of Cl ₂ capability	<input type="checkbox"/>	<input type="checkbox"/>	
Loss of Cl ₂ residual	<input type="checkbox"/>	<input type="checkbox"/>	
Cl ₂ leak detection	<input type="checkbox"/>	<input type="checkbox"/>	
Scale	<input type="checkbox"/>	<input type="checkbox"/>	
Chained Cylinders	<input type="checkbox"/>	<input type="checkbox"/>	
Reserve Supply	<input type="checkbox"/>	<input type="checkbox"/>	
Adequate Air-pak	<input type="checkbox"/>	<input type="checkbox"/>	
Sign of Leaks	<input type="checkbox"/>	<input type="checkbox"/>	
Fresh Ammonia	<input type="checkbox"/>	<input type="checkbox"/>	
Ventilation	<input type="checkbox"/>	<input type="checkbox"/>	
Room Lighting	<input type="checkbox"/>	<input type="checkbox"/>	
Warning Signs	<input type="checkbox"/>	<input type="checkbox"/>	
Repair Kits	<input type="checkbox"/>	<input type="checkbox"/>	
Fitted Wrench	<input type="checkbox"/>	<input type="checkbox"/>	
Housing/Protection	<input type="checkbox"/>	<input type="checkbox"/>	

AERATION (Gases, Fe, & Mn Removal)

Type _____ Capacity _____
 Aerator Condition _____
 Visible Algae Growth _____
 Protective Screen Condition _____
 Frequency of Cleaning _____
 Date Last Inspected/Cleaned _____
 Comments _____

DEFICIENCIES:

- 1. Failure to evaluate existing service connections to determine the need for backflow prevention at service connections.** Initial and recurring hazard assessments shall be performed at premises served by your water system and have any required backflow prevention assemblies installed as necessary, consistent with the policy that you have established.

Community water systems, and all public water systems that have service areas also served by reclaimed water systems regulated under Part III of Chapter 62-610, F.A.C., shall establish and implement a routine cross-connection control program to detect and control cross-connections and prevent backflow of contaminants into the water system. This program shall include a written plan that is developed using recommended practices of the American Water Works Association set forth in Recommended Practice for Backflow Prevention and Cross-Connection Control, AWWA Manual M14, as incorporated into Rule 62-555.330, F.A.C.

- 2. Failure to provide documentation of last cleaning and inspection for finished water storage tanks.**

All suppliers of water shall keep records documenting that their finished-drinking-water storage tanks, including conventional hydropneumatic tanks with an access manhole but excluding bladder- or diaphragm-type hydropneumatic tanks without an access manhole, have been cleaned and inspected during the past five years in accordance with subsection 62-555.350(2), F.A.C. In addition, all suppliers of water shall keep records documenting that their isolation valves are being exercised, and their water mains conveying finished drinking water are being flushed, in accordance with subsection 62-555.350(2), F.A.C. [Rule 62-555.350(12)(c), F.A.C.]

- 3. Failure to maintain an up-to-date map of the drinking water distribution system.**

Suppliers of water who own or operate a community water system serving, or designed to serve, 350 or more persons or 150 or more service connections shall have, and thereafter maintain, an up-to-date map of their drinking water distribution system. Such a map shall show the location and size of water mains if known; the location of valves and fire hydrants; and the location of any pressure zone boundaries, pumping facilities, storage tanks, and interconnections with other public water systems. [Rule 62-555.350(14), F.A.C.]

COMMENTS/REMINDERS:

- Lead and copper tap sampling is due during the July through September 2012 monitoring period.

For monitoring schedules and information about the Drinking Water Program, please visit the Central District's Drinking Water website at <http://www.dep.state.fl.us/central/Home/DrinkingWater/default.htm>.