

BEFORE THE FLORIDA PUBLIC SERVICE COMMISSION

In re: Application for approval of transfer
of Lake Yale Treatments Associates, Inc.
water and wastewater systems and Certificates
Nos. 560-W and 488-S in Lake County to Lake
Yale Utilities, LLC

Docket No. 20170220-WS

RECEIVED-FPSC
2017 OCT 13 AM 8:49
COMMISSION
CLERK

MICHAEL SMALLRIDGE'S

REDACTED REQUEST FOR CONFIDENTIAL CLASSIFICATION

Michael Smallridge ("Smallridge"), by and through his undersigned counsel, files this Request for Confidential Classification in relation to his Personal Financial Statement filed in support of the financial ability of Lake Yale Utilities, LLC.

1. Pursuant to 367.156, Florida Statutes, this Commission has the authority to classify certain material as proprietary confidential business information. This classification exempts the material from public disclosure under Section 119.07(1), Florida Statutes.

2. Mr. Smallridge requests that the information in his Personal Financial Statement be classified as proprietary confidential business information under Section 367.156, Florida Statutes, and Rule 25-22.006, Florida Administrative Code (the "Confidential Information"). If this request is granted, then the subject portions of Mr. Smallridge's Personal Financial Statement will be exempt from Section 119.07(1), Florida Statutes. Attached hereto as Exhibit "A" is a Justification Matrix providing a justification for the Utility's request. The information is attached hereto both in highlighted and redacted format.

3. The personal financial information of Mr. Smallridge filed in support of this Application is intended to be and is treated by Smallridge as private and confidential and has not been disclosed externally and has been strictly controlled internally.

4. The information consists of the Personal Financial Statement of the owner of Lake Yale Utilities, LLC (“Utility”). This information should be classified as proprietary confidential business information because it is the personal financial information of the owner unrelated to his compensation from the Utility, and disclosure would impair the owner’s competitive interests as he moves to acquire other systems in the future. . This Commission frequently treats personal financial statements as confidential. For example, see Docket Nos. 20150166-WS and 20160169-WU.

5. Requiring the disclosure of the owner’s person financial information would violate the owner’s right to privacy under Article I, Section 23 of the Florida Constitution.

WHEREFORE, Michael Smallridge requests confidential treatment of the referenced documents and the entry of the protective order that is consistent with this Motion.

Respectfully submitted this 11th day of October, 2017, by:

Friedman & Friedman, P.A.
766 North Sun Drive, Suite 4030
Lake Mary, FL 32746
Phone: (407) 830-6331
Fax: (407) 878-2178
mfriedman@ff-attorneys.com



MARTIN S. FRIEDMAN
Florida Bar No.: 0199060
For the Firm

JUSTIFICATION MATRIX

Location (Document name and location of information)	Justification
<p><u>Personal Financial Statement</u></p> <p>First Page – Pursuant to Rule 2.425, Rules of Judicial Administration only the last four digits of a personal telephone number can be shown.</p> <p>Section 3 – The specific identification of “other assets” and all dollar amounts.</p> <p>Section 4 – The specific identification of “other expenses” and all dollar amounts.</p> <p>Section 5 – Dollar amounts</p> <p>Schedules A through F – All information</p> <p>Personal Information, and pursuant to Rule 2.425, Rules of Judicial Administration only the year of birth can be shown</p>	<p>The requested financial information of the owner is not related to any ratemaking function with regard to the Utility.</p> <p>§367.156(3)(e) Disclosure of the compensation data would impair the owner’s competitive interests he may acquire other utilities in the future.</p> <p>The financial information relates to the owner in his ownership capacity, and is not information of the Utility.</p> <p>Article I, Section 23 of the Florida Constitution. Disclosure of the information would invade the privacy rights of the owner.</p>

Personal Financial Statement

Section 1 – Individual/Applicant Information (please print)		Section 2 – Other Party/Co-Applicant Information	
Name Michael Andrew Smallridge		Name	
Residence Address 9539 E. Southgate Dr		Residence Address	
City State Zip Code Inverness FL 34150		City State Zip Code	
Position or Occupation Utility Owner-Self employed.		Position or Occupation	
Business Name Florida Utility Services 1, LLC		Business Name	
Business Address 3336 Grand Blvd Suite 102		Business Address	
City State Zip Code Holiday FL 34690		City State Zip Code	
Years with Business 25		Years with Business	
Res. Phone ([REDACTED] -7406)	Bus. Phone (863-904-5574)	Res. Phone ()	Bus. Phone ()

CONFIDENTIAL

Statement of Financial Condition as of April 1, 2017

Section 3 – Balance Sheet (attach additional schedules as needed)					
Assets	Dollars	Jt*	Liabilities	Dollars	Jt*
Cash and Short-term Investments (Sch A)		-	Outstanding Credit Card Balances		-
Stocks and Bonds (readily marketable) (Sch B)		-	Taxes Payable		-
Unlisted Securities (Sch C)		-	Policy Loan (life insurance) (Sch D)		-
Notes Receivable & Accounts Receivable		-	Mortgages & Obligations Due (Schs F & G)		-
Cash Surrender Value-Life Insurance (Sch D)		-	Notes & Accounts Payable (Sch H)		-
General/Ltd Partnership Interests (Sch E)		-	Other Liabilities (list):		-
Retirement Accounts		-	2014 Forester Camper		-
Personal Property		-			-
Automobiles		-			-
Real Estate – Personal Residences (Sch F)		-			-
Real Estate – Investments (Sch G)		-			-
Real Estate Investments		-			-
(Direct & Partnership Interests)		-			-
Other Assets (list):		-			-
		-			-
		-			-
		-			-
		-			-
TOTAL ASSETS		-	TOTAL LIABILITIES		-
			NET WORTH (total assets minus total liabilities)		-

Section 4 – Income Statement					
Annual Income	Applicant	Co-Applicant	Annual Expenses	Applicant	Co-Applicant
Salary		-	Home Mortgage (Principal & Interest)		-
Bonus and Commissions		-	Loan Payments (including other R/E)		-
Interest and Dividends		-	Income Tax (State & Federal)		-
Alimony, Separate Maintenance, Child Support**		-	Planned or Required Investments/ Partnership Contributions		-
Capital Gains		-	General Living Expenses		-
Real Estate Income		-	Other Expenses (list):		-
Other Income (list):		-	Royal Oaks HOA		-
		-	Moonrise HOA		-
		-			-
		-			-
		-			-
		-			-
GROSS INCOME		-	TOTAL EXPENSES		-

Section 5 – Contingent Liabilities (include brief description)			
	Applicant	Co-Applicant	
As endorser or guarantor on notes/leases/contracts: 0		-	
On letters of credit:		-	
Current or pending suits or other litigation:		-	
Other (Partnership, etc.) explain: 0		-	
TOTAL		-	

Schedule G: Real Estate Investments

Description/Address of Property	Mortgage Holder	Maturity Date	% Owned	Title in Name of	Purchase Date	Cost	Present Loan Balance	Market Value	Total Annual Rental Income	Monthly Loan Paymt.	Other Expense

Schedule H: Notes & Accounts Payable (also include credit lines and other commitments even if unused)

Name of Creditor	Orig. Amt. Of Loan	Payment/ Repayment	Maturity Date	Interest Rate	Description of Collateral (if any)	Balance Owning	Debtor(s) Code*

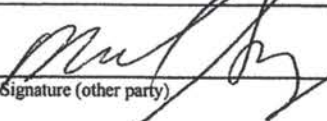
Debtor(s) Code: A=Applicant JC=Joint Account of Co-Applicant and another party
 AC=Joint Account of Applicant and Co-Applicant JA=Joint Account of Applicant and another party
 C=Co-Applicant

Were your gross revenues \$ Yes No

Personal Information

[Redacted Personal Information]

Dependents AGE-7

Date Signed	10/1/2017	Signature (other party)		Social Security #	[Redacted]	Date of Birth	[Redacted] 1969
				Social Security #	[Redacted]	Date of Birth	[Redacted]