BEFORE THE FLORIDA PUBLIC SERVICE COMMISSION

In re: Application for approval of transfer of Lake Yale Treatments Associates, Inc. water and wastewater systems and Certificates Nos. 560-W and 488-S in Lake County to Lake Yale Utilities, LLC

Docket No. 20170220-WS

REDACTED

MICHAEL SMALLRIDGE'S REQUEST FOR CONFIDENTIAL CLASSIFICATION

Michael Smallridge ("Smallridge"), by and through his undersigned counsel, files this Request for Confidential Classification in relation to his Personal Financial Statement filed in support of the financial ability of Lake Yale Utilities, LLC.

- 1. Pursuant to 367.156, Florida Statutes, this Commission has the authority to classify certain material as proprietary confidential business information. This classification exempts the material from public disclosure under Section 119.07(1), Florida Statutes.
- 2. Mr. Smallridge requests that the information in his Personal Financial Statement be classified as proprietary confidential business information under Section 367.156, Florida Statutes, and Rule 25-22.006, Florida Administrative Code (the "Confidential Information"). If this request is granted, then the subject portions of Mr. Smallridge's Personal Financial Statement will be exempt from Section 119.07(1), Florida Statutes. Attached hereto as Exhibit "A" is a Justification Matrix providing a justification for the Utility's request. The information is attached hereto both in highlighted and redacted format.
- 3. The personal financial information of Mr. Smallridge filed in support of this Application is intended to be and is treated by Smallridge as private and confidential and has not been disclosed externally and has been strictly controlled internally.

COM _______AFD ________APA ______ECO ______Req only GCL _____IDM _____CLK

4. The information consists of the Personal Financial Statement of the owner of Lake

Yale Utilities, LLC ("Utility"). This information should be classified as proprietary confidential

business information because it is the personal financial information of the owner unrelated to his

compensation from the Utility, and disclosure would impair the owner's competitive interests as he

moves to acquire other systems in the future. . This Commission frequently treats personal financial

statements as confidential. For example, see Docket Nos. 20150166-WS and 20160169-WU.

5. Requiring the disclosure of the owner's person financial information would violate the

owner's right to privacy under Article I, Section 23 of the Florida Constitution.

WHEREFORE, Michael Smallridge requests confidential treatment of the referenced

documents and the entry of the protective order that is consistent with this Motion.

Respectfully submitted this 2nd day of May,

2018, by:

Friedman & Friedman, P.A.

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Lake Mary, FL 32746

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/s/ Martin S. Friedman

MARTIN S. FRIEDMAN

Florida Bar No.: 0199060

For the Firm

JUSTIFICATION MATRIX

Location	Justification
(Document name and location of information)	
Personal Financial Statement First Page — Pursuant to Rule 2.425, Rules of Judicial Administration only the last four digits of a personal telephone number can be shown. Section 3 — The specific identification of "other assets" and all dollar amounts. Section 4 — The specific identification of "other expenses" and all dollar amounts. Section 5 — Dollar amounts Schedules A through F — All information Personal Information, and pursuant to Rule 2.425, Rules of Judicial Administration only the year of birth can be shown	The requested financial information of the owner is not related to any ratemaking function with regard to the Utility. §367.156(3)(e) Disclosure of the compensation data would impair the owner's competitive interests he may acquire other utilities in the future. The financial information relates to the owner in his ownership capacity, and is not information of the Utility. Article I, Section 23 of the Florida Constitution. Disclosure of the information would invade the privacy rights of the owner.

Personal Financial Statement

Section 1 – Individu Information (please p				her Party/Co- ormation
Name		Name		
Michael Andrew Sr	nallridge			
Residence Address	8	Residen	ce Add	lress
1159 E. Lindenwoo	od Drive			
City State Zip	o Code	City State		Zip Code
Tarpon Springs ,FL	. 34688			
Position or Occupa	tion	Position	or Oc	cupation
Utility Owner-Self	employed.			
Business Name		Busines	s Nam	e
Florida Utility Serv	rices 1, LLC			
Business Address	¥			
3336 Grand Blvd S	Suite 102	Busines	ss Addı	ress
City	6.1	City		Zip Code
State Zi Holiday FL	p Code 34690	State		Zip Code
Years with Busine	SS	Years v	with Bu	ısiness
25		D Pi		Bus. Phone
Res. Phone	Bus. Phone	Res. Ph	ione	Bus. Phone
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CONFIDENTIAL

Statement of Financial Condition a as of April 1, 2018

Assets	Dollars	Jt*	Liabilities	Dollars	.Jt*
Cash and Short-term Investments (Sch A)		979	Outstanding Credit Card Balances		-
Stocks and Bonds (readily marketable) (Sch B)			Taxes Payable		-
Unlisted Securities (Sch C)		(10)	Policy Loan (life insurance) (Sch D)		
Notes Receivable & Accounts Receivable			Mortgages & Obligations Due (Schs F & G)		
Cash Surrender Value-Life Insurance (Sch D)		1.43	Notes & Accounts Payable (Sch H)		
General/Ltd Partnership Interests (Sch E)		-	Other Liabilities (list):		
Retirement Accounts		-	2014 Forester Camper		
Personal Property					
Automobiles					7784 A TO WAR
Real Estate – Personal Residences (Sch F)		2			
Real Estate – Investments (Sch G)					
Real Estate Investments					
(Direct & Partnership Interests)					
Other Assets (list):		2			
		-			
TO THE ME		-			Mark Control
TOTAL ASSETS	S. M. C.	-	TOTAL LIABILITIES		
		***************************************	NET WORTH (total assets minus total liabilities)		

Annual Income	Applicant	Co-	Annual Expenses	Applicant	Co-
Salary	150		Home Mortgage (Principal & Interest)		-
Bonus and Commissions		-	Loan Payments (including other R/E)	G. Serre	
Interest and Dividends			Income Tax (State & Federal)	1508539	
Alimony, Separate Maintenance, Child Support**		-	Planned or Required Investments/ Partnership Contributions		
Capital Gains			General Living Expenses		-
Real Estate Income		-	Other Expenses (list):		
Other Income (list):			BUTUALINE "		
			Y		
GROSS INCOME			TOTAL EXPENSES		-

	Applicant	Co-Applicant
As endorser or guarantor on notes/leases/contracts: 0		-
On letters of credit:		
Current or pending suits or other litigation:		-
Other (Partnership, etc.) explain: 0		-
TOTAL	Marks at Ca	-

Name of Institution	Savings Accts.	Checking Acets.	Туре	Other Short-term investments	Total	Pledged?	Owner(s)	
	(S amount)	(S amount)	S-T1	(type and S		(Y/N)	Code*	
		WENT OF	A STATE OF THE STATE OF	ALL A BREEK		WEST TO		
						- India		
						A ESTA		
	o new los salesses		2	THE LETTERS	MORAFYSI	4401600		l
hedule B: Listed Stocks & Bonds	(include U.S. Covernm	ant and Marketable	Sacurities traded on	etock exchange)	(#UNDERSOR	LEED WITH THE V	8565	1
Number of Shares or		T T T T T T T T T T T T T T T T T T T	Securities traded on	Margin?	Restricted?	Pledged?	Owner(s)	
Face Value (Bonds)	Description	Market Value		(Y/N)	(Y/N)	(Y/N)	Code*	
THE REST OF THE REST	VIA TO THE RESERVE OF THE PARTY		TO SHARING THE SE	TO PURSELLA		A CONTRACTOR OF THE PARTY OF TH	MALE LA	
		-			30 Stage 2	-]
hedule C: Unlisted Securities (cl	osely held corporation N	OT listed on stock	exchange)					
Number of Shares	Description	Source of Value		Value	% of Company	Pledged?	Owner(s)	
7.4411001.01.01100		03.00.00		(34(03))	Owned	(Y/N)	Code*	
								·
chedule D: Life Insurance Carrie	d (include individual an	d group insurance)			SWITTEN TO THE			1
		1 1 1 1 1 1 1 1 1 1	N-000-000-000-000-000-000-000-000-000-0			Cash	Assigned?	1
Name of Insurance Company	Owner of Policy	Beneficiary		Face Value	Policy Loans	Surrender	(Y/N)	
			want Saw to					
	ATTENDED					Control of		ı
chedule E: General and/or Limite	ed Partnership Interests	(please attach K-1	from Partnership tax				12 A 20 S	
		(L)imited	Amount Invested	Fair Market Value	Annual	Pledged?	Owner(s)	
Name of Partnership	Type of Investment	(G)eneral	•	of Interest	Contribution	(Y/N)	Code*	
		(G)eller III			Required			
DEL MARIE DE LA COMPANIONE DE LA COMPANI			CHARLES AND AND AND	death with the second	recquired	SECTION NO.	T. States	
Owner(s) Code:	A=Applicant	AC=Joint Accoun	t of Applicant and Co	- JC=Joint Account	of Co-Applicant an	d another party		ď
3		C=Co-Applicant		JA=Joint Account	of Applicant and a	nother party		
chedule F: Real Estate (personal	residences)		SECTION S	AND THE RESERVE		(San		
		Maturity	Title in Name of	Purchase	Cost	Present Loan	Monthly	Market V-1
Description/Address of Property	Mortgage Holder		PER 01/11/15/75/55/6/75/55/75/5/75/5/75/5/75/	Date	-	Balance	Paymt.	Market Val
Station to Contain		Date		Date	EN PLATE	Damice	Adjust	

Description/Address of Property	Mortgage Holder	Maturity Date	% Owned	Title in Name of	Purchase Date	Cost	Present Loan Balance	Market Value	Total Annual Rental Income	Monthly Loan Paymt.	Other

Name of Creditor	Orig. Amt. Of Loan	Payment/	Maturity Date	Interest	Description of Collateral	Balance Owing	Debtor(s) Code*
		Repayment			(if any)		

* Debtor(s) Code:

Date Signed

A=Applicant

Signature (other party)

JC=Joint Account of Co-Applicant and another party

AC=Joint Account of Applicant and Co-Applicant

JA=Joint Account of Applicant and another party

C=Co-Applicant

Were your gross revenues \$

X

Personal Inform	nation		Ban/loggini A.		
W.Attal.		- March 201	Dependents	AGE-7	
re you a partn	er or officer in any venture other than described on schedules?]		
res No	If yes, describe:				
are any assets p	pledged other than as described on schedules?				
Yes No	If yes, describe:				
lave you ever l	been declared bankrupt?		1		
res No	If yes, describe:				
Are there any o	utstanding Do you have disability insurance?	Income tax settled through	Alimony, Child		
Yes No	Yes No				

Security #

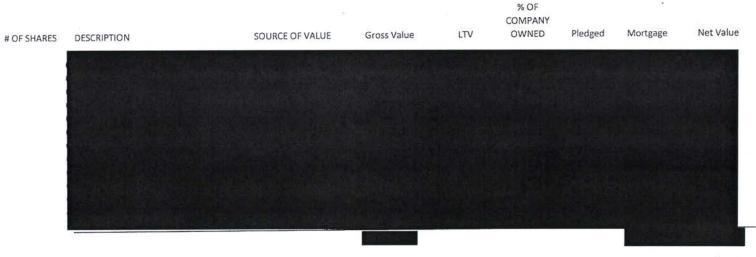
Security #

Social

Date of Birth

Date of Birth

/1969



Total LTV