

October 23, 2018

Carlotta S. Stauffer, Director
Division of Commission Clerk and Administrative Services
Florida Public Service Commission
2540 Shumard Oak Boulevard
Tallahassee, FL 32399

COMMISSION

2018 OCT 30 AM 8:

RE:

Docket No. 20180174-WU; Application to transfer facilities and Certificate No. 627-W in Polk County from Sunrise Utilities, LLC to Sunrise Water, LLC

Docket No. 20180175-WU; Application to transfer facilities and Certificate No. 628-W in

Polk County from Alturas Utilities, L.L.C. to Alturas Water, LLC

Our File No.: 47136.03

Dear Ms. Stauffer:

On September 28, 2018, we filed Requests for Confidential Classification in the respective above-referenced Dockets on behalf of Michael Smallridge, along with two copies of the confidential documents in highlighted format (in separate envelope) and redacted format. (Document Nos. 06316-2018 and 06317-2018 in Docket No. 20180175-WU, and Document Nos. 06313-2018 and 06315-2018 in Docket No. 20180174-WU) The Financial Statements filed with the respective Requets were outdated and not the ones which the Requests addressed. Therefore, I have enclosed the correct redacted and in a separate envelope, the highlighted Financial Statements.

I apologize for any inconvenience this mistake caused, and please do not hesitate to contact me if you or staff have any questions.

Very truly yours,

MARTIN S. FRIEDMAN

For the Firm

MSF/

cc: Mike Smallridge w/o enclosures (via email)

Personal Financial Statement

Section 1 – Individual/Applicant Information (please print)	Section 2 – Other Party/Co- Applicant Information					
Name	Name					
Michael Andrew Smallridge						
Residence Address	Residence Address					
1159 E. Lindenwood Drive						
City	City					
State Zip Code	State Zip Code					
Tarpon Springs ,FL. 34688						
Position or Occupation	Position or Occupation					
Utility Owner-Self employed.						
Business Name	Business Name					
Florida Utility Services 1, LLC						
Business Address						
3336 Grand Blvd Suite 102	Business Address					
City	City					
State Zip Code	State Zip Code					
Holiday FL 34690						
Years with Business						
25	Years with Business					
Res. Phone Bus. Phone	Res. Phone Bus. Phone					
(863-904-5574)	()					

 $\begin{tabular}{ll} \hline CONFIDENTIAL \\ \hline \textbf{Statement of Financial Condition ϵ as of April 1, 2018} \\ \hline \end{tabular}$



Assets	Dollars	Jt*	Liabilities	Dollars	Jt*
Cash and Short-term Investments (Sch A)	Some State		Outstanding Credit Card Balances	THE RESERVE	
Stocks and Bonds (readily marketable) (Sch B)	WAS THE REAL PROPERTY.	-	Taxes Payable		
Unlisted Securities (Sch C)		-	Policy Loan (life insurance) (Sch D)	AND NOTE OF	-
Notes Receivable & Accounts Receivable			Mortgages & Obligations Due (Schs F & G)	性原则	-
Cash Surrender Value-Life Insurance (Sch D)			Notes & Accounts Payable (Sch H)		-
General/Ltd Partnership Interests (Sch E)		-	Other Liabilities (list):		-
Retirement Accounts		-	CHARLES AND THE VALUE OF THE PARTY.		
Personal Property		-			
Automobiles		-			
Real Estate - Personal Residences (Sch F)		-			
Real Estate – Investments (Sch G)		-			
Real Estate Investments	TO MESTICAL				
(Direct & Partnership Interests)		(*)		THE RESERVE	
Other Assets (list):		-			
THE REPORT OF THE PARTY OF THE	A TOWN THE REAL	-			
MAN AND AND AND AND AND AND AND AND AND A	BEST LEVEL	125			
TOTAL ASSETS	THE WHAT !	-	TOTAL LIABILITIES		-
The state of the s			NET WORTH (total assets minus total liabilities)		

Annual Income	Applicant	Co-	Annual Expenses	Applicant	Co-	
Salary	Historia de Par	-	Home Mortgage (Principal & Interest)			
Bonus and Commissions			Loan Payments (including other R/E)		-	
Interest and Dividends		- 2	Income Tax (State & Federal)			
Alimony, Separate Maintenance, Child Support**		8	Planned or Required Investments/ Partnership Contributions			
Capital Gains	於山北部。這一		General Living Expenses		-	
Real Estate Income	EVEL XV	-	Other Expenses (list):		-	
Other Income (list):			\$ 5 5 5 6 6 F			
			231 v j Av 32 (8-246)			
		1				
GROSS INCOME			TOTAL EXPENSES		-	

	Applicant	Co-Applicant
As endorser or guarantor on notes/leases/contracts: 0		
On letters of credit:		
Current or pending suits or other litigation:		-
Other (Partnership, etc.) explain: 0		-
TOTAL		-



Schedule A: Cash & Short-term In	vestments (certificates o	of deposit, commerci	ial paper, money mark	et funds, etc.)			ewell-rig	
Name of Institution	Savings Accts.	Checking Acets.	Туре	Other Short-term investments	Total	Pledged?	Owner(s)	
	(S amount)	(S amount)	S-TI	(type and S		(Y/N)	Code*	
Fotal							9	
					- Parking 1			J
Schedule B: Listed Stocks & Bonds Number of Shares or Face Value (Bonds)	(include U.S. Governm Description	ent and Marketable Market Value	e Securities traded on	Margin? (Y/N)	Restricted? (Y/N)	Pledged? (Y/N)	Owner(s) Code*	
Schedule C: Unlisted Securities (cl	osely held corporation I	NOT listed on stock	exchange)		% of Company	Pledged?	Owner(s)]
Number of Shares	Description	Source of Value		Value	Owned	(Y/N)	Code*	-
Schedule D: Life Insurance Carrie	d (include individual an	d group insurance)]
Name of Insurance Company	Owner of Policy	Beneficiary		Face Value	Policy Loans	Cash Surrender Volue	Assigned? (Y/N)	
Schedule E: General and/or Limit			C. Portonikio (c.					<u> </u>
Name of Partnership	Type of Investment	(L)imited (G)eneral	Amount Invested	Fair Market Value of Interest	Annual Contribution Required	Pledged? (Y/N)	Owner(s) Code*	
* Owner(s) Code:	A=Applicant	AC=Joint Accoun	t of Applicant and Co	J - JC=Joint Account JA=Joint Account				3
Schedule F: Real Estate (personal	residences)							g Ambanisida
Description/Address of Property	Mortgage Holder	Maturity Date	Title in Name of	Purchase Date	Cost	Present Loan Balance	Monthly Paymt.	Market Valu
		The Second Co.		Nation relieve			25 14	

Description/Address of Property	Mortgage Holder	Maturity Date	% Owned	Title in Name of	Purchase Date	Cost	Present Loan Balance	Market Value	Total Annual Rental Income	Monthly Loan Paymt	Other

Name of Creditor	Orig. Amt. Of Loan	Payment/	Maturity	Interest	Description of Collateral	Balance Owing	Debtor(s)
		Repayment	Date	Rate	(if any)	. 10 1	Code*
							Media.

* Debtor(s) Code:

A=Applicant

JC=Joint Account of Co-Applicant and another party

AC=Joint Account of Applicant and Co-Applicant

JA=Joint Account of Applicant and another party

C=Co-Applicant

Were your gross revenues \$

Yes X No

Personal Information					
Do you have a will?			Mark Coly U. Sale unto		
x Yes No		60	Water San Laboratory		
Are you a partner or of	fficer in any venture other than described on sc	hedules?	7		
Yes No If	yes, describe:				£2
Are any assets pledged	d other than as described on schedules?		7		
Yes No If	yes, describe:				
Have you ever been de	eclared bankrupt?		1		
Yes No If	yes, describe:			*	
Are there any outstand judgments against you		12.00			
Yes No	Yes No		女 注 1016		
Date Signed	Signature (individual) Signature (other party)	Social Social Security #	Date of Birth 1969 Date of Birth		

