



**RADEY**

ATTORNEYS & COUNSELORS at LAW

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*tcrabb@radeylaw.com*

August 26, 2024

***Via Electronic Filing***

Florida Public Service Commission  
Office of Commission Clerk  
2540 Shumard Oak Boulevard  
Tallahassee, FL 32399-0850

Re: Application for Original Certificates Following Rescission of Jurisdiction by  
Citrus County (Grandfather Certificates) - CSWR-Florida Utility Operating  
Company, LLC

Dear Commission Clerk:

Attached please find an Application for Original Certificates Following Rescission of Jurisdiction by Citrus County (Grandfather Certificates) filed by CSWR Florida Utility Operating Company. A filing fee in the amount of \$4,500.00 will be hand delivered to the Office of Commission Clerk.

Sincerely,

*/s/ Thomas A. Crabb*

Thomas A. Crabb  
Susan F. Clark  
Attorneys for Applicant  
CSWR-Florida Utility Operating Company, LLC

**BEFORE THE FLORIDA PUBLIC SERVICE COMMISSION**

In re: Application for grandfather certificates to  
operate a water and wastewater utility in Citrus County, by CSWR-Florida Utility Operating Company, LLC

Docket No.: \_\_\_\_\_

\_\_\_\_\_ /

**APPLICATION FOR ORIGINAL CERTIFICATES FOLLOWING RESCISSION OF JURISDICTION BY CITRUS COUNTY (GRANDFATHER CERTIFICATES)**

CSWR-Florida Utility Operating Company, LLC (“CSWR-Florida UOC” or “Utility”) applies for original certificates to operate a water and wastewater utility in Citrus County, Florida, and submits the following information:

**FILING FEE**

Pursuant to Rule 25-30.020(2)(d), F.A.C., the filing fee is \$2,250.00 for water and \$2,250.00 for wastewater, as each system has the capacity to serve over 4,000 ERCs. The total filing fee of \$4,500.00 will be submitted.

**PART I. APPLICANT INFORMATION**

**A. Contact Information for Utility**

Utility Name: CSWR-Florida Utility Operating Company, LLC  
Street Address: 1630 Des Peres Rd., Suite 140  
St. Louis, MO 63131  
Mailing Address: 1630 Des Peres Rd., Suite 140  
St. Louis, MO 63131  
Phone Number: (314) 380-8505  
Fax Number: None  
FEIN: 38-4180174  
Email address: regulatory@cswrgroup.com  
Website address: <https://www.centralstateswaterresources.com/cswr-florida>

**B. Contact Information for Utility’s Authorized Representative**

Name: Thomas A. Crabb, Esq.  
Susan F. Clark, Esq.  
Mailing Address: Radey Law Firm  
301 South Bronough St., Suite 200  
Tallahassee, FL 32301  
Phone Number: (850) 425-6654  
Fax Number: (850) 425-6694  
Email addresses: tcrabb@radeylaw.com

sclark@radeylaw.com  
sturner@radeylaw.com  
dgueltzow@radeylaw.com

**C. Utility’s Business Organization**

CSWR-Florida UOC is a Florida limited liability company, document number L21000150005, and does not do business under a fictitious name. Attached as **Exhibit A** is documentation from the Florida Department of State, Division of Corporations, showing the business name and active document number.

CSWR-Florida UOC is wholly owned by CSWR-Florida Utility Holding Company, LLC, a Florida limited liability company whose principal address is 1650 Des Peres Road, Suite 140, St. Louis, MO 63131.

**PART II. GRANDFATHER CERTIFICATE**

**A. Description of Service**

1) CSWR-Florida UOC is applying for both a water certificate and a wastewater certificate for its systems in Citrus County, Florida.

2) CSWR-Florida UOC purchased the water and wastewater systems from Rolling Oaks Utilities, Inc. The Citrus County Board of County Commissioners approved the transfer of the systems on November 7, 2022 pursuant to Resolution No. 2022-108, attached as **Exhibit B**, and the purchase closed on November 30, 2022. The systems were first established prior to 1975 (see dockets 74709-S and 74710-W).

3) In Citrus County, CSWR-Florida UOC serves single family homes and commercial customers.

4) Below is a schedule showing the number of customers currently served, by class and meter size, as well as the number of customers projected to be served when the requested service territory is fully developed.

**Water Customers**

<u>Class</u>	<u>5/8”</u>	<u>3/4”</u>	<u>5/8” x 3/4”</u>	<u>1”</u>	<u>1 1/2”</u>	<u>2”</u>	<u>Unknown</u>	<u>Total</u>
Residential	5654	163	195	1	1	0	23	6037
Commercial	12	78	1	18	8	4	2	123
Irrigation	9	35	0	19	1	2	3	69
Totals	5675	276	196	38	10	6	28	6229

<u>Sewer Customers</u>	
Residential	5355
Commercial	117
Irrigation	2
Total	5474

An additional 3000 connections are currently projected by 2034. CSWR-Florida UOC expects additional growth in the territory thereafter but does not currently have a projected number of customers when the territory is fully developed.

**B. Territory Description, Maps, and Facilities**

1) Attached as **Exhibit C** is a legal description of the proposed territory in the format prescribed in Rule 25-30.029, F.A.C., which is the same territory CSWR-Florida UOC was authorized to serve by Citrus County.

2) Attached as **Exhibit D** is a recorded warranty deed demonstrating the right to the continued long-term use of the land upon which the treatment facilities are located.

3) **Exhibit E** provides a detailed system map showing the existing and proposed lines and treatment facilities with the territory proposed to be served, consistent with the legal description provided in B-1 above.

4) **Exhibit F** is a map showing township, range, and section with a scale such as 1"=200' or 1"=400', with the proposed territory plotted, consistent with the legal description provided in B-1 above.

5) **Exhibit G** includes a copy of the current domestic wastewater facility permit from the Department of Environmental Protection and the water use permit from the Southwest Florida Water Management District.

**C. Proposed Tariff and Rate Information**

1) Attached as **Exhibit H** are the Rolling Oaks tariffs.

2) The current rates were established for Rolling Oaks Utilities, Inc. (the entity that owned and operated the water and wastewater systems prior to their acquisition by CSWR-Florida UOC in 2022) by Citrus County and are shown in Exhibit H as effective 2/1/2022. **Exhibit I** is the Evaluation of the Rate Application by Rolling Oaks Utilities, Inc. for the Pro Forma Year Ended December 31, 2019, prepared by Raftelis for Citrus County. At the time it acquired the water and wastewater systems, CSWR-Florida UOC adopted the rates previously approved for Rolling Oaks Utilities, Inc.

**PART III. SIGNATURE**

APPLICATION SUBMITTED BY:



---

Josiah Cox, President, on behalf of  
CSWR-Florida Utility Operating Company, LLC

8/16/2024

---

Date

# **EXHIBIT A**



[Department of State](#) / [Division of Corporations](#) / [Search Records](#) / [Search by Entity Name](#) /

## Detail by Entity Name

Florida Limited Liability Company  
 CSWR-FLORIDA UTILITY OPERATING COMPANY, LLC

### Filing Information

<b>Document Number</b>	L21000150005
<b>FEI/EIN Number</b>	38-4180174
<b>Date Filed</b>	03/31/2021
<b>Effective Date</b>	03/31/2021
<b>State</b>	FL
<b>Status</b>	ACTIVE
<b>Last Event</b>	LC AMENDMENT
<b>Event Date Filed</b>	07/11/2022
<b>Event Effective Date</b>	NONE

### Principal Address

1630 DES PERES RD.  
 SUITE 140  
 ST. LOUIS, MO 63131

Changed: 09/20/2022

### Mailing Address

13421 Manchester Road, Suite 103  
 St. Louis, MO 63131

Changed: 01/25/2023

### Registered Agent Name & Address

C T CORPORATION SYSTEMS  
 1200 S PINE ISLAND ROAD  
 PLANTATION, FL 33324

### Authorized Person(s) Detail

#### **Name & Address**

Title MGR

CENTRAL STATES WATER RESOURCES, INC.  
 1630 DES PERES RD., SUITE 140  
 ST. LOUIS, MO 63131

## Annual Reports

<b>Report Year</b>	<b>Filed Date</b>
2022	02/03/2022
2023	01/25/2023
2024	02/13/2024

## Document Images

<a href="#">02/13/2024 -- ANNUAL REPORT</a>	<a href="#">View image in PDF format</a>
<a href="#">01/25/2023 -- ANNUAL REPORT</a>	<a href="#">View image in PDF format</a>
<a href="#">07/11/2022 -- LC Amendment</a>	<a href="#">View image in PDF format</a>
<a href="#">02/03/2022 -- ANNUAL REPORT</a>	<a href="#">View image in PDF format</a>
<a href="#">03/31/2021 -- Florida Limited Liability</a>	<a href="#">View image in PDF format</a>



# **EXHIBIT B**

**RESOLUTION NO. 2022- 108**  
**CITRUS COUNTY BOARD OF COUNTY COMMISSIONERS**

**A RESOLUTION OF THE CITRUS COUNTY BOARD OF COUNTY COMMISSIONERS, PURSUANT TO CITRUS COUNTY CODE OF ORDINANCES, SECTION 102-269 AND SECTION 102-270, APPROVING THE SALE AND TRANSFER OF ROLLING OAKS UTILITIES, INC.'S UTILITY SYSTEMS, WATER FRANCHISE CERTIFICATE NUMBER 02W AND WASTEWATER FRANCHISE CERTIFICATE NUMBER 01S TO CSWR-FLORIDA UTILITY OPERATING COMPANY, LLC; AND PROVIDING FOR AN EFFECTIVE DATE.**

**WHEREAS**, the Citrus County Board of County Commissioners (BOARD) issued Rolling Oaks Utilities, Inc., Water Franchise Certificate No. 02W and Wastewater Franchise Certificate No. 01S;

**WHEREAS**, CSWR-Florida Utility Operating Company, Inc., proposes to purchase Rolling Oaks Utilities, Inc.'s utility systems and franchise certificates;

**WHEREAS**, pursuant to Citrus County Code, Section 102-270, CSWR-Florida Utility Operating Company, Inc., was required to submit an application to the Citrus County Water and Wastewater Authority (AUTHORITY) ;

**WHEREAS**, after consideration of the application at a public hearing, the AUTHORITY issued Preliminary Order No. 22-06, which set forth findings that the minimum filing requirements were met and the application was complete, and recommended denial of the transfer;

**WHEREAS**, Citrus County Code of Ordinances, Sections 102-269 and 102-270, provide that the BOARD has authority to issue a final order authorizing transfer of a franchise certificate;

**WHEREAS**, Citrus County Code of Ordinances, Section 102-270, provides that following a determination by preliminary order of the AUTHORITY that the application to transfer is complete, the BOARD may consider the application for transfer and may grant, deny or amend the preliminary order;

**WHEREAS**, Staff, having reviewed CSWR-Florida's Application and the Report submitted by the AUTHORITY'S Consultant, Raftelis Financial Consultants, Inc., recommends approval of the sale of the utility systems facilities and transfer of Franchise Water Certificate No. 02W and Franchise Wastewater Certificate No. 01S to CSWR-Florida Utility Operating Company, Inc., with certain conditions regarding the book value of the water and wastewater utility systems;

**NOW, THEREFORE BE IT ORDERED** by the Board of County Commissioners of

Citrus County, Florida, in public meeting assembled, that:

1. The Application for Transfer of Certificates and Facilities submitted by CSWR-Florida Utility Operating Company, LLC, is complete.
2. The proposed sale and transfer of the utility system facilities and Franchise Certificates No. 02W and No. 01S from Rolling Oaks Utilities, Inc., to CSWR-Florida Utility Operating Company, LLC, is in the public interest.
3. CSWR-Florida Utility Operating Company, LLC, can fulfill the commitments, duties and obligations of Rolling Oaks Utilities, Inc.
4. Pursuant to Citrus County Code of Ordinances, Section 102-270, the sale of the utility system facilities and transfer of Franchise Certificates No. 02W and No. 01S from Rolling Oaks Utilities, Inc., to CSWR-Florida Utility Operating Company, LLC, is approved subject to the following conditions:
  - a. The net book value of the water system utility plant-in-service is recommended to be \$613,586 as of December 31, 2019, per the Authority's Final Order No. 22-01 dated January 10, 2022 (the "Rate Order"). This amount shall be reflected in the determination of the reported utility plant value in the CSWR-Florida 2022 Annual Report when filed recognizing any and all documented asset additions, retirements, and depreciation on such assets if in service since December 31, 2019, to determine the net book value of the water system utility plant as of December 31, 2022.
  - b. The net book value of the wastewater system utility plant-in-service is recommended to \$539,880 as of December 31, 2019, as referenced in the Rate Order. This amount shall be reflected in the determination of the reported utility plant value in the CSWR-Florida 2022 Annual Report when filed recognizing any and all documented asset additions, retirements, and depreciation expense on such assets if in service since December 31, 2019, to determine the net book value of the wastewater system utility plant as of December 31, 2022.
  - c. The requested positive Acquisition Adjustment (addition to rate base) associated with the franchise sale and transfer is denied since the CSWR-Florida did not demonstrate the need for an adjustment due to extraordinary circumstances.
5. Pursuant to Citrus County Code Sections 102-269(2) and 102-270, the BOARD hereby issues a final order approving application for transfer of Water

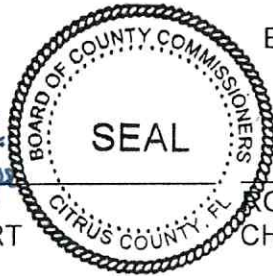
Franchise Certificate Number 02W and Wastewater Franchise Certificate Number 01S to CSWR-Florida Utility Operating Company, LLC. A duplicate original of Franchise Certificate Number 02W is attached hereto as Exhibit A and a duplicate original of Wastewater Franchise Certificate Number 01S is attached hereto as Exhibit B.

6. Water Franchise Certificate Number 02W and Wastewater Franchise Certificate Number 01S shall remain in force and effect until suspended, canceled, or revoked by Resolution of the BOARD.
7. CSWR-Florida Utility Operating Company, LLC, shall maintain the same utility rates charged by Rolling Oaks Utility, Inc., at the time of transfer of the Certificates.

**DONE AND ADOPTED**, in the regular meeting of the Citrus County Board of County Commissioners, this 7<sup>th</sup> day of November, 2022.

ATTEST:

*for* *DC*  
*Angela Vick*  
ANGELA VICK  
CLERK OF COURT



BOARD OF COUNTY COMMISSIONERS OF  
CITRUS COUNTY, FLORIDA

*[Signature]*  
RONALD E. KITCHEN, JR.  
CHAIRMAN

APPROVED AS TO FORM FOR THE RELIANCE OF  
CITRUS COUNTY ONLY:

*[Signature]*  
DENISE DYMOND LYNN COUNTY  
ATTORNEY

**APPROVED**

NOV - 7 2022

**BOARD OF COUNTY  
COMMISSIONERS**

EXHIBIT A

# CITRUS COUNTY, FLORIDA BOARD OF COUNTY COMMISSIONERS

CERTIFICATE NUMBER: 02W

Upon consideration of the record, it is hereby RESOLVED that authority be and is hereby granted to CSWR-FLORIDA UTILITY OPERATING COMPANY, LLC, to provide WATER service in accordance with the provisions of Citrus County Code of Ordinances, Supplemental Rules of the Board, Final Orders of the Citrus County Water and Wastewater Authority, and Resolutions of the Board in the territory described in the record.

This Certificate shall remain in force and effect until suspended, canceled or revoked by Resolution of this Board.

Resolution No. 2022- 108 Dated November 7, 2022

By Resolution of the Board of County Commissioners of  
Citrus County, a political subdivision of the State of Florida

ATTEST:

*Angela Vick*  
for ANGELA VICK, CLERK OF COURT



BY:

*Ronald E. Kitchen, Jr.*  
RONALD E. KITCHEN, JR., CHAIRMAN

Approved as to form for the reliance of  
Citrus County only:

*Denise A. Dymond Lyn*  
Denise A. Dymond Lyn, County Attorney

APPROVED

NOV - 7 2022

BOARD OF COUNTY  
COMMISSIONERS

# CITRUS COUNTY, FLORIDA BOARD OF COUNTY COMMISSIONERS

CERTIFICATE NUMBER: 01S

Upon consideration of the record, it is hereby RESOLVED that authority be and is hereby granted to CSWR-FLORIDA UTILITY OPERATING COMPANY, LLC, to provide WASTEWATER service in accordance with the provisions of Citrus County Code of Ordinances, Supplemental Rules of the Board, Final Orders of the Citrus County Water and Wastewater Authority, and Resolutions of the Board in the territory described in the record.

This Certificate shall remain in force and effect until suspended, canceled or revoked by Resolution of this Board.

Resolution No. 2022- 109 Dated November 7, 2022

By Resolution of the Board of County Commissioners of  
Citrus County, a political subdivision of the State of Florida

ATTEST:

*Angela Vick*  
for ANGELA VICK, CLERK OF COURT



BY:

*Ronald E. Kitchen, Jr.*  
RONALD E. KITCHEN, JR., CHAIRMAN

Approved as to form for the reliance of  
Citrus County only:

*Denise A. Dymond Lyn*  
Denise A. Dymond Lyn, County Attorney

APPROVED

NOV - 7 2022

BOARD OF COUNTY  
COMMISSIONERS

# **EXHIBIT C**

TERRITORY SERVED

Order No. 6499

In Township 18 South, Range 18 East, Citrus County, Florida.

A portion of Sections 11, 12, 13 and 14 further described as follows:

Commence at the SW corner of said Section 11; thence South 89 degrees 51 minutes East along the South line of said Section 11 a distance of 585.94 feet to a point on the Easterly right of way line of State Road No. 491, as presently situated, said point being the POINT OF BEGINNING; thence North 39 degrees East along said right of way line a distance of 1601.33 feet; thence South 51 degrees East 5680.00 feet; thence South 39 degrees West 3110.00 feet to a point on the Southerly right of way line of Regina Boulevard; thence North 51 degrees West along said right of way line a distance of 5680.00 feet to a point on the Easterly right of way line of State Road No. 491; thence North 39 degrees East along said right of way line a distance of 1508.67 feet to the POINT OF BEGINNING.

Order No. 6602

In Township 18 South, Range 18 East, Citrus County, Florida.

Sections 11, 13 and 14:

Commence at the Southwest corner of said Section 11, thence South 89 degrees 51 minutes East along the South line of said Section 11 a distance of 585.94 feet to a point on the Easterly right of way line of State Road No. 491 as presently situated, said point being the Point of Beginning, thence North 39 degrees East along said right of way line a distance of 4751.33 feet, thence South 51 degrees East 2050.00 feet, thence South 39 degrees West 650.00 feet, thence South 51 degrees East 3630.00 feet, thence South 39 degrees West 5610.00 feet to a point on the Southerly right of way line of Regina Boulevard, thence North 51 degrees West along said right of way line a distance of 5680.00 feet to a point on the Easterly right of way line of State Road No. 491, thence North 39 degrees East along said right of way line a distance of 1508.67 feet to the Point of Beginning.

**JOHN W. PATTON III**  
ISSUING OFFICER  
PRESIDENT



Order No. 8517

In Township 18 South, Range 18 East, Citrus County, Florida.

Sections 11 & 22

Commence at the Southwest corner of said Section 11; thence South 89 degrees 51 minutes 00 seconds East along the South line of said Section 11, a distance of 585.94 feet to a point on the Easterly right-of-way line of State Road No. 491, said point being the POINT OF BEGINNING; thence North 39 degrees East along said right-of-way line, a distance of 1601.33 feet to a point on the Southwesterly line of Beverly Hills Unit No. 1; thence South 51 degrees East along said Southwesterly line and along the Northeasterly line of Rose Avenue, a distance of 5680 feet; thence South 39 degrees West, 3110 feet to a point on the Southerly right-of-way line of Regina Boulevard; thence South 51 degrees East on a Southeasterly projection of said Southerly right-of-way line, a distance of 843.38 feet to a point on the South line of said Section 14, thence South 89 degrees 39 minutes 06 seconds West along said South line, a distance of 2101.52 feet to the Southeast corner of the Southwest 1/4 of said Section 14; thence South 89 degrees 35 minutes 17 seconds West along said South line, a distance of 2586.46 feet to the Southwest corner of said Section 14, said point also being the Northeast corner of said Section 22; thence South 0 degrees 07 minutes 35 seconds East along the East line of said Section 22, a distance of 1269.49 feet; thence North 89 degrees 41 minutes 14 seconds West; 2707.57 feet; thence South 89 degrees 47 minutes West, 861.70 feet to a point on the Easterly right-of-way line of said State Road No. 491; thence along said right-of-way line the following courses and distances; North 23 degrees 29 minutes 34 seconds East, 3071.18 feet to the P.C. of a curve, concaved Southeasterly, having a central angle of 15 degrees 30 minutes and a radius of 2814.93 feet; thence Northeasterly along the arc of said curve, a distance of 761.51 feet to the P.T. of said curve (chord bearing and distance between said points being North 31 degrees 14 minutes 34 seconds East and 759.19 feet); thence North 38 degrees 59 minutes 34 seconds East, 3990.53 feet to the POINT OF BEGINNING.

**JOHN W. PATTON III**  
ISSUING OFFICER  
PRESIDENT

TERRITORY SERVED (cont'd)

Order No. 17283

That part of Section 11, Township 18 South, Range 18 East lying Southeasterly of County Road No. 491 (formerly State Road No. 491). Northeasterly of Beverly Hills Unit Two, as recorded in Plat Book 4, pages 96 through 98, public records of Citrus County, Florida, Southeasterly of Beverly Hills Unit Three, as recorded in Plat Book 4, page 123, public records of Citrus County, Florida and lying Southeasterly and Northeasterly of Beverly Hills Unit 3-A, as recorded in Plat Book 5, page 1, public records of Citrus County, Florida,

AND

All of Section 12, Township 18 South, Range 18 East EXCEPT the E 1/2 of the SE 1/4 AND EXCEPT Beverly Hills Unit Two, as recorded in Plat Book 4, pages 96 through 98, public records of Citrus County, Florida;

AND

All of Section 13, Township 18 South, Range 18 East, EXCEPT Beverly Hills Unit Two, as recorded in Plat Book 4, pages 96 through 98, public records of Citrus County, Florida, AND EXCEPT Beverly Hills Unit Four, as recorded in Plat Book 5, pages 130 through 132, public records of Citrus County, Florida, AND EXCEPT Beverly Hills Unit Five, as recorded in Plat Book 9, pages 2 through 5, public records of Citrus County, Florida,

AND

That part of Section 14, Township 18 South, Range 18 East lying Southeasterly of Beverly Hills Unit Five, as recorded in Plat Book 9, pages 2 through 5, public records of Citrus County, Florida and lying Northeasterly of Beverly Hills Unit seven, as recorded in Plat Book 12, pages 101 through 105, public records of Citrus County, Florida,

AND

All of Beverly Hills Unit Seven, as recorded in Plat Book 12, pages 101 through 105, public records of Citrus County, Florida.

Additional Territory:

The Northeast 1/4 and the Southwest 1/4 of Section 7, Township 18 South, Range 19 East; The Northwest 1/4 of Section 8, Township 18 South, Range 19 East; and The East 1/2 of the Southeast 1/4 of Section 12, Township 18 South, Range 18 East;

All lying in Citrus County, Florida and containing 560 acres, more or less.

The Northwest 1/4 of Section 7, Township 18 South, Range 19 East; The Northwest 1/4 and the Northeast 1/4 and the Southwest 1/4 of Section 6, Township 18 South, Range 19 East; and All of Section 1, Township 18 South, Range 18 East lying east of the Easterly right of way line of County Road No. 491;

All lying in Citrus County, Florida and containing 1000 acres, more or less.

**JOHN W. PATTON III**  
ISSUING OFFICER  
PRESIDENT

# **EXHIBIT D**

Rec. \$  
Doc Stamps \$

For Recorder's Use Only

Prepared by/Record & Return to:  
Thomas J. Dobbins, Esquire  
Trow & Dobbins, P.A.  
1301 NE 14<sup>th</sup> Street  
Ocala, FL 34470-4641  
(352) 369-8830

Official Records Citrus County FL  
Angela Vick, Clerk of the Circuit Court & Comptroller  
#2022079599 BK: 3338 PG: 1275  
12/1/2022 3:09 PM 1 Receipt: 2022068113  
RECORDING \$35.50  
D DOCTAX PD \$0.70

## WARRANTY DEED

THIS INDENTURE, made this 30<sup>th</sup> day of November 2022 by and between BEVERLY HILLS DEVELOPMENT CORPORATION, a Florida corporation, whose mailing address is PO Box 640001, Beverly Hills, County of Citrus, State of Florida 34464, GRANTOR, and ROLLING OAKS UTILITIES, INC., a Florida corporation, whose mailing address is PO Box 640001, Beverly Hills, County of Citrus, State of Florida 34464, GRANTEE.

WITNESSETH, that the said GRANTOR for and in consideration of the sum of Ten and no/100 Dollars, to it in hand paid by the GRANTEE has granted, bargained and sold to the said GRANTEE and GRANTEE'S successors and assigns forever the lands described on Exhibits "A" and "B" attached hereto.

SUBJECT to taxes for 2023 and thereafter.

Citrus County Property Appraiser's Parcel Numbers: 18E18S110082 1780  
and 18E18S01 10000

Together with all tenements, hereditaments, and appurtenances thereto, belonging or in any way appertaining, to have and to hold in the same in fee simple forever. The GRANTOR does hereby fully warrant the title to said land, and will defend the same against the lawful claims of all persons whomsoever.

IN WITNESS WHEREOF, the GRANTOR has caused its corporate name to be hereunto subscribed and the corporate seal to be affixed by its officers hereunto duly authorized, this 30<sup>th</sup> day of November 2022.

Witnesses as to Grantor:

GRANTOR:

TJD  
Print Name: THOMAS J DOBBINS

BEVERLY HILLS DEVELOPMENT CORPORATION, a Florida corporation

Shelly M. Rosado  
Print Name: Shelly M. Rosado

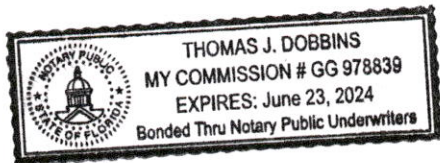
By: John W. Patton, III  
JOHN W. PATTON, III,  
its President

(corporate seal)

STATE OF FLORIDA  
COUNTY OF MARION

The foregoing instrument was acknowledged and executed before me by means of  physical presence or  online notarization this 30<sup>th</sup> day of November 2022 by JOHN W. PATTON, III, as President of BEVERLY HILLS DEVELOPMENT CORPORATION, a Florida corporation. Such person(s): (notary must check applicable box)

- is personally known to me.
- produced a current FLORIDA Driver's License as identification.
- produced \_\_\_\_\_ as identification.



TJD  
Notary Public  
State of Florida, at Large  
My Commission Expires:

## EXHIBIT "A"

### *Legal Description – Site 22*

#### **Part A:**

D.R.A., Block 178, of BEVERLY HILLS, UNIT No. 8, PHASE NO. 2, of the public records of Citrus County, Florida, lying in Section 13, Township 18 South, Range 18 East, being more particularly described as follows:

Begin at the Southwest corner of Lot 52, Block 178, of said BEVERLY HILLS, UNIT No. 8, PHASE No. 2, as per plat recorded in Plat Book 13, Page 35, public records of Citrus County, Florida, lying in Section 13, Township 18 South, Range 18 East, thence East 338.25 feet to the East line of said Block 178, thence S.0°22'11"W., along said East line 180.56 feet, thence West 337.09 feet to the Easterly right-of-way line of North Tamarisk Avenue, said point also being on a curve concaved Easterly, having a radius of 275.00 feet, a delta of 38°19'48", a tangent of 95.58 feet, and a chord bearing and distance of North 180.56 feet, thence along the arc of said curve, a distance of 183.97 feet to the Point of Beginning.

Less and except the portion of the above described property as described in Quit Claim Deed recorded in O.R. Book 810, Page 725, Public Records of Citrus County, Florida.

#### **Part B:**

A portion of Drainage Retention Area (D.R.A.) in Block 178, of BEVERLY HILLS, UNIT NO. 8, PHASE NO. 2, according to the map or plat thereof recorded in Plat Book 13, Pages 33 to 38, inclusive, public records of Citrus County, Florida, lying in Section 13, Township 18 South, Range 18 East, being more particularly described as follows:

Commence at the Northeast Corner of Lot 51 in Block 178, of BEVERLY HILLS, UNIT NO. 8, PHASE NO. 2, according to the map or plat thereof recorded in Plat Book 13, pages 33 to 38 inclusive, public records of Citrus County, Florida, lying in Section 13, Township 18 South, Range 18 East, thence West 229.96 feet to the East right-of-way line of North Tamarisk Avenue, said point also being the NW Corner of Lot 51, thence North 74.88 feet to the POINT OF BEGINNING, thence S.81°34'18"W. a distance of 7.35 feet, thence N.08°25'42" W. a distance of 28.70 feet, thence N. 81°34'18"E. a distance of 16.30 feet, thence S. 08°25'42" E. a distance of 28.70 feet, thence S. 81°34'18" W. a distance of 8.95 feet to the Point of Beginning.

#### **Easement Interest:**

TOGETHER WITH an easement for Access more particularly described as follows: Commence at the Northeast Corner of Lot 51 in Block 178, of BEVERLY HILLS, UNIT No. 8, PHASE NO. 2, according to the map or plat thereof recorded in Plat Book 13, pages 33 to 38 inclusive, public records of Citrus County, Florida, lying in Section 13, Township 18 South, Range 18 East, thence West 229.96 feet to the East right-of-way line of North Tamarisk Avenue, said point also being the Northwest Corner of Lot 51, thence North 74.88 feet, thence S. 81°34'18" W. 7.35 feet, thence N. 08°25'42" W. 8.70 feet to the POINT OF BEGINNING, thence S. 81°34'18" W. 6.63 feet to the Easterly right-of-way of N. Tamarisk Avenue as shown on BEVERLY HILLS, UNIT NO. 8, PHASE NO. 2, Plat Book 13, page 35 of the public records of Citrus County, Florida, said point being on a curve concaved Easterly having a radius of 275 feet and a delta of 04°12'59", thence along the arc of said right-of-way and said curve 20.24 feet (chord bearing and distance between said points being N.00° 15'42" E. 20.23 feet), thence leaving said right-of-way N. 81°34'18" E. 3.58 feet, thence S. 08°25'42" E. a distance of 20 feet to the Point of Beginning.

## EXHIBIT "B"

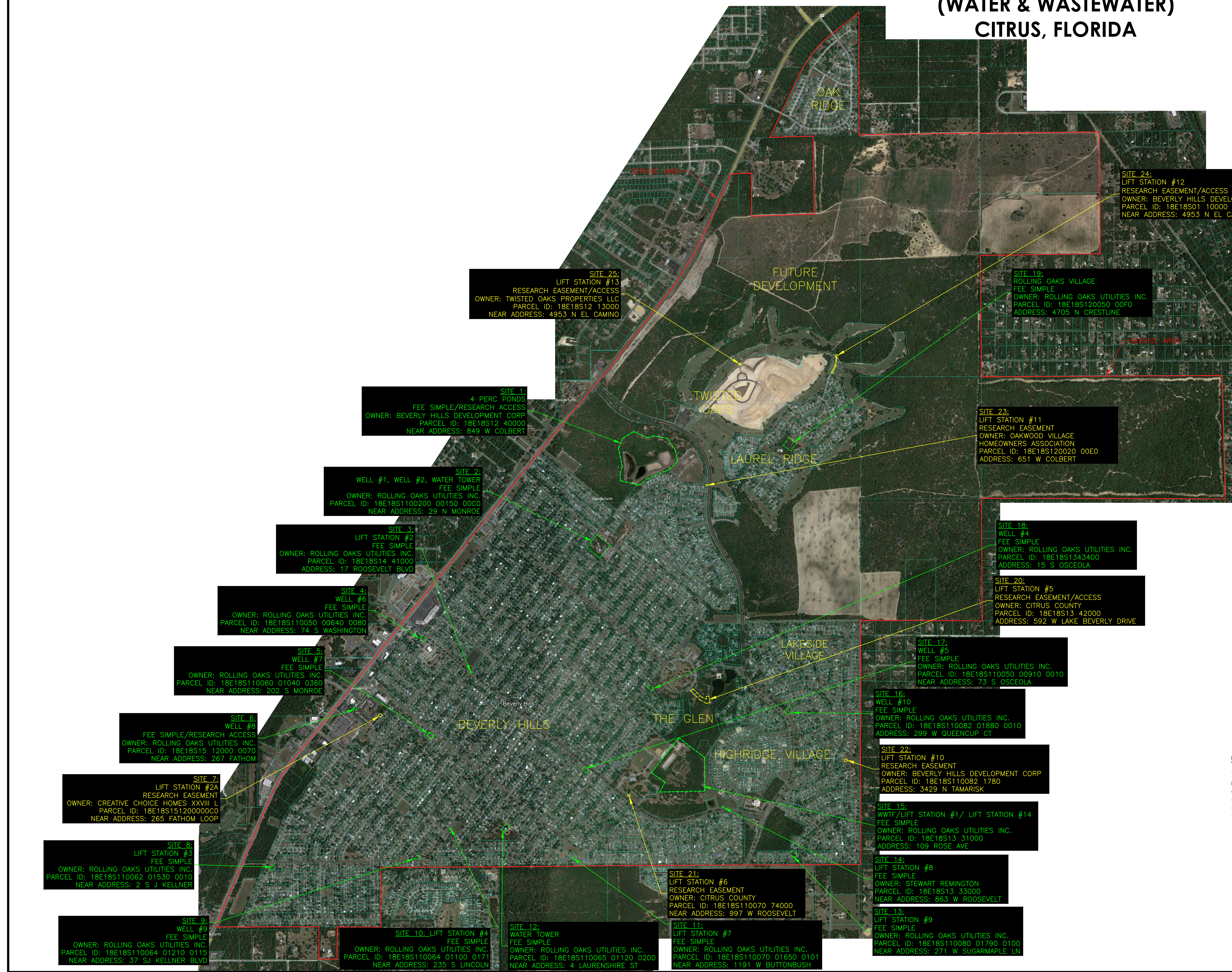
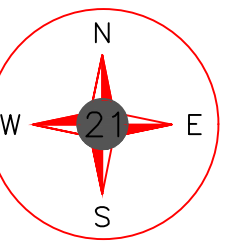
### *Legal Description – Site 24*

Commence at the East 1/4 corner of Section 12, Township 18 South, Range 18 East, Citrus County, Florida; thence N 00°02'39" E, along the East line of said Section 12, a distance of 2638.72 feet to the Northeast corner of said Section 12, thence South a distance of 310.65 feet, thence West, a distance of 792.03 feet, thence N 15°32'54"E, a distance of 419.45 feet to the point of beginning; said point being the beginning of a curve, concave southeasterly, having a radius of 2825.60 feet and a central angle of 04°03'14", thence Northeasterly along the arc of said curve, a distance of 199.92 feet, to the P.T. of said curve (chord bearing and distance between said points being, N17°34'31"E, 199.87 feet), thence N19°36'08"E, a distance of 213.10 feet; thence N06°35'05"W, a distance of 88.86 feet; thence N38°25'15"E, a distance of 9.65 feet; thence S48°41'32"E, a distance of 172.73 feet; to a point of intersection with a non-tangent curve, concave southeasterly, having a radius of 985.88 feet and a central angle of 06°00'48", thence Southwesterly along the arc of said curve to the left, a distance of 103.47 feet, to the P.T. of said curve (chord bearing and distance between said points being S38°18'04"W, 103.42 feet); to the point of intersection with a non-tangent line; thence S 37°53'13"E, a distance of 2.01 feet; thence S21°28'46"W, a distance of 175.28 feet; thence S19°26'37"W, a distance of 157.91 feet; thence N74°27'06"W, a distance of 80.74 feet, to the Point of Beginning.



# **EXHIBIT E**

# ROUGH SERVICE AREA MAP (v3) ROLLING OAKS UTILITIES (WATER & WASTEWATER) CITRUS, FLORIDA



**SITE 24:**  
LIFT STATION #12  
RESEARCH EASEMENT/ACCESS  
OWNER: BEVERLY HILLS DEVELOPMENT CORP  
PARCEL ID: 18E18S01 10000  
NEAR ADDRESS: 4953 N EL CAMINO

**SITE 25:**  
LIFT STATION #13  
RESEARCH EASEMENT/ACCESS  
OWNER: TWISTED OAKS PROPERTIES LLC  
PARCEL ID: 18E18S12 13000  
NEAR ADDRESS: 4953 N EL CAMINO

**SITE 19:**  
ROLLING OAKS VILLAGE  
FEE SIMPLE  
OWNER: ROLLING OAKS UTILITIES INC.  
PARCEL ID: 18E18S120050 00F0  
ADDRESS: 4705 N CRESTLINE

**SITE 1E:**  
4 PERC PONDS  
FEE SIMPLE/RESEARCH ACCESS  
OWNER: BEVERLY HILLS DEVELOPMENT CORP  
PARCEL ID: 18E18S12 40000  
NEAR ADDRESS: 849 W COLBERT

**SITE 23:**  
LIFT STATION #11  
RESEARCH EASEMENT  
OWNER: OAKWOOD VILLAGE HOMEOWNERS ASSOCIATION  
PARCEL ID: 18E18S120020 00E0  
ADDRESS: 651 W COLBERT

**SITE 2:**  
WELL #1, WELL #2, WATER TOWER  
FEE SIMPLE  
OWNER: ROLLING OAKS UTILITIES INC.  
PARCEL ID: 18E18S1100200 00150 0000  
NEAR ADDRESS: 29 N MONROE

**SITE 3:**  
LIFT STATION #2  
FEE SIMPLE  
OWNER: ROLLING OAKS UTILITIES INC.  
PARCEL ID: 18E18S14 41000  
ADDRESS: 17 ROOSEVELT BLVD

**SITE 18:**  
WELL #4  
FEE SIMPLE  
OWNER: ROLLING OAKS UTILITIES INC.  
PARCEL ID: 18E18S1343400  
ADDRESS: 15 S OSCEOLA

**SITE 4:**  
WELL #6  
FEE SIMPLE  
OWNER: ROLLING OAKS UTILITIES INC.  
PARCEL ID: 18E18S110050 00640 0080  
NEAR ADDRESS: 74 S WASHINGTON

**SITE 20:**  
LIFT STATION #5  
RESEARCH EASEMENT/ACCESS  
OWNER: CITRUS COUNTY  
PARCEL ID: 18E18S13 42000  
ADDRESS: 592 W LAKE BEVERLY DRIVE

**SITE 5:**  
WELL #7  
FEE SIMPLE  
OWNER: ROLLING OAKS UTILITIES INC.  
PARCEL ID: 18E18S110060 01040 0360  
NEAR ADDRESS: 202 S MONROE

**SITE 17:**  
WELL #5  
FEE SIMPLE  
OWNER: ROLLING OAKS UTILITIES INC.  
PARCEL ID: 18E18S110050 00910 0010  
NEAR ADDRESS: 73 S OSCEOLA

**SITE 6:**  
WELL #8  
FEE SIMPLE/RESEARCH ACCESS  
OWNER: ROLLING OAKS UTILITIES INC.  
PARCEL ID: 18E18S15 12000 0070  
NEAR ADDRESS: 267 FATHOM

**SITE 16:**  
WELL #10  
FEE SIMPLE  
OWNER: ROLLING OAKS UTILITIES INC.  
PARCEL ID: 18E18S110082 01880 0010  
ADDRESS: 299 W QUEENCUP CT

**SITE 7:**  
LIFT STATION #2A  
RESEARCH EASEMENT  
OWNER: CREATIVE CHOICE HOMES XXVIII L  
PARCEL ID: 18E18S151200000C0  
NEAR ADDRESS: 265 FATHOM LOOP

**SITE 22:**  
LIFT STATION #10  
RESEARCH EASEMENT  
OWNER: BEVERLY HILLS DEVELOPMENT CORP  
PARCEL ID: 18E18S110082 1780  
ADDRESS: 3429 N TAMARISK

**SITE 8:**  
LIFT STATION #3  
FEE SIMPLE  
OWNER: ROLLING OAKS UTILITIES INC.  
PARCEL ID: 18E18S110062 01530 0010  
NEAR ADDRESS: 2 S J KELLNER

**SITE 15:**  
WWTF/LIFT STATION #1/ LIFT STATION #14  
FEE SIMPLE  
OWNER: ROLLING OAKS UTILITIES INC.  
PARCEL ID: 18E18S13 31000  
ADDRESS: 109 ROSE AVE

**SITE 9:**  
WELL #9  
FEE SIMPLE  
OWNER: ROLLING OAKS UTILITIES INC.  
PARCEL ID: 18E18S110064 01210 0115  
NEAR ADDRESS: 37 SJ KELLNER BLVD

**SITE 14:**  
LIFT STATION #8  
FEE SIMPLE  
OWNER: STEWART REMINGTON  
PARCEL ID: 18E18S13 33000  
NEAR ADDRESS: 863 W ROOSEVELT

**SITE 10:**  
LIFT STATION #4  
FEE SIMPLE  
OWNER: ROLLING OAKS UTILITIES INC.  
PARCEL ID: 18E18S110064 01100 0177  
NEAR ADDRESS: 235 S LINCOLN

**SITE 21:**  
LIFT STATION #6  
RESEARCH EASEMENT  
OWNER: CITRUS COUNTY  
PARCEL ID: 18E18S110070 74000  
NEAR ADDRESS: 997 W ROOSEVELT

**SITE 13:**  
LIFT STATION #9  
FEE SIMPLE  
OWNER: ROLLING OAKS UTILITIES INC.  
PARCEL ID: 18E18S110080 01790 0100  
NEAR ADDRESS: 271 W SUGARMAPLE LN

**SITE 12:**  
WATER TOWER  
FEE SIMPLE  
OWNER: ROLLING OAKS UTILITIES INC.  
PARCEL ID: 18E18S110065 01120 0200  
NEAR ADDRESS: 4 LAURENSHIRE ST

**SITE 11:**  
LIFT STATION #7  
FEE SIMPLE  
OWNER: ROLLING OAKS UTILITIES INC.  
PARCEL ID: 18E18S110070 01650 0101  
NEAR ADDRESS: 1191 W BUTTONBUSH

Utility Note Disclaimer:  
The service area shown hereon are depicted based on the service area map provided by the system manager. 21 Design Group, Inc performed no field verification of the layout and are unable to determine the exact location of this time. The location represents approximate location only and should not be construed as being 100% accurate. It is shown to provide general service area of the system to assist with ordering title work and preparation of scope for a License Land Surveyor. This sketch should not be used to interpret encroachments.

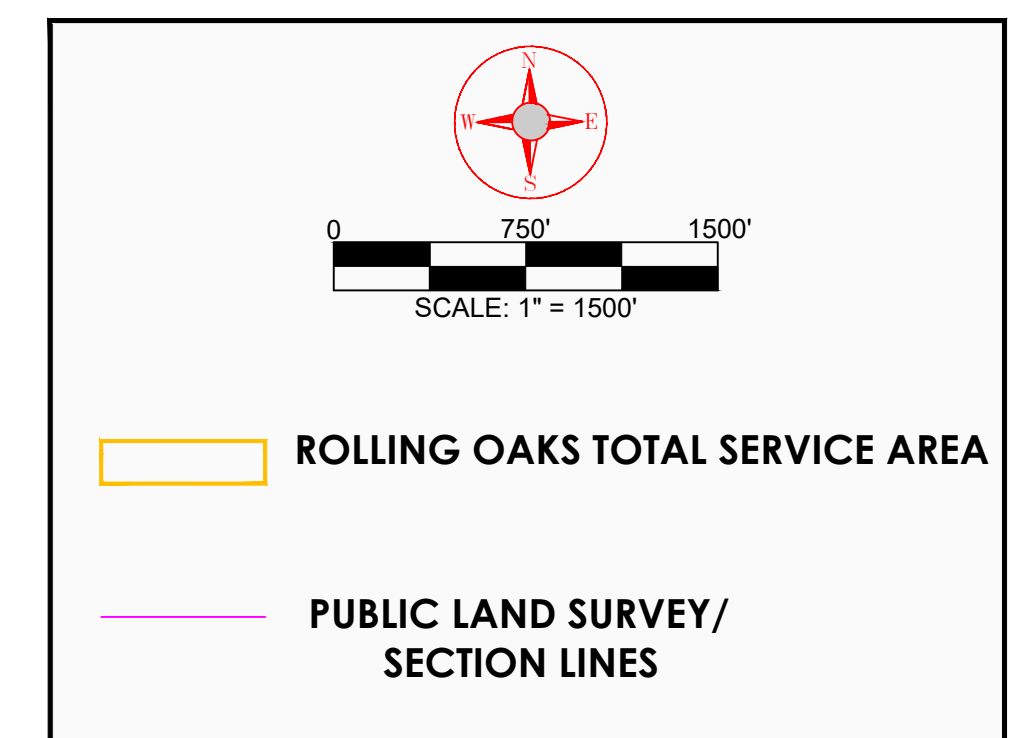
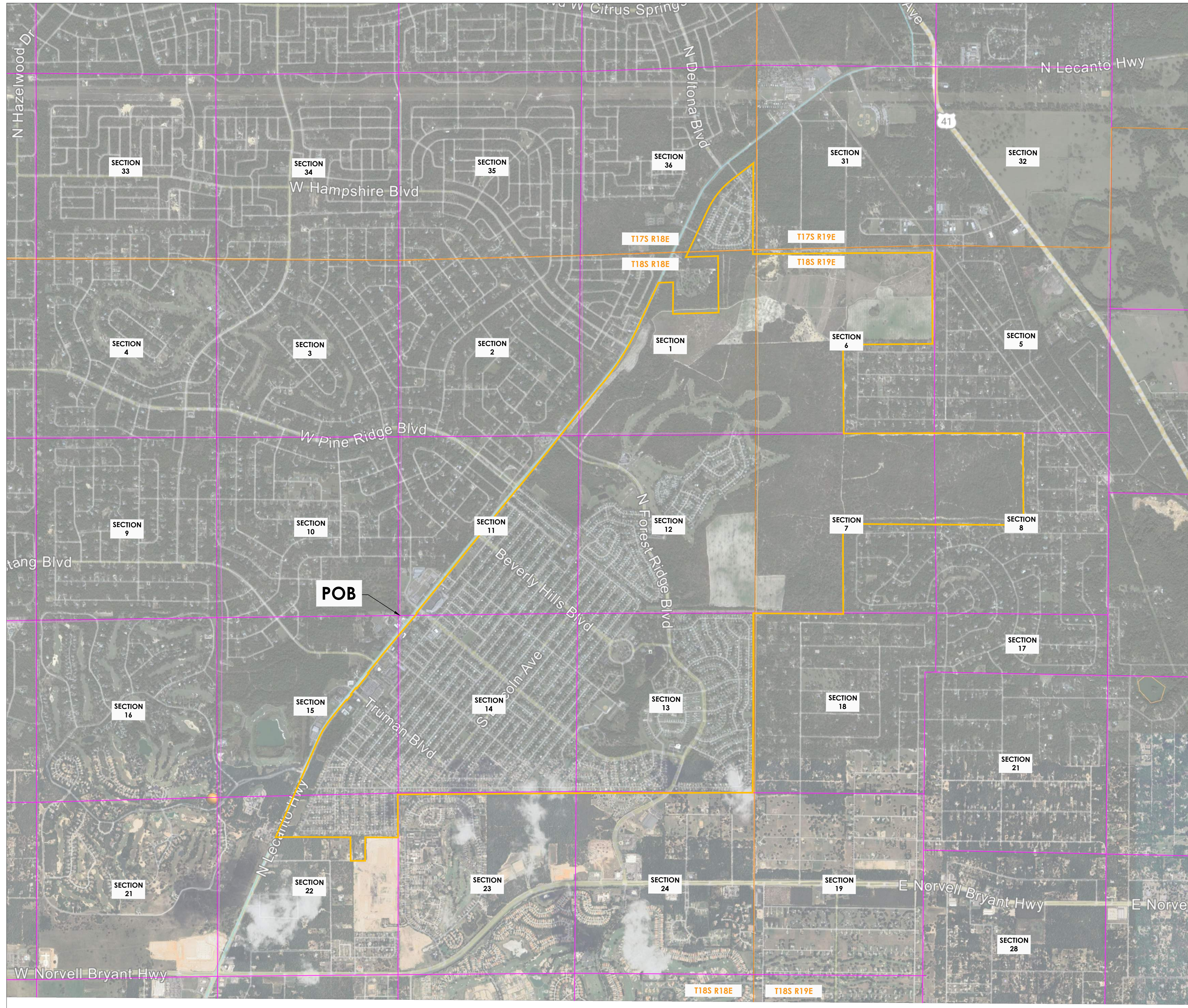
DATE:	6/15/22
PROJECT NO:	0633-22
DRAWN BY:	KAR
SCALE:	
SHEET NAME:	
SERVICE AREA MAP	



1351 Jefferson, Suite 301  
Washington, MO 63090  
mail@21designgroup.net  
P: 636-432-5029

# **EXHIBIT F**

# ROLLING OAKS UTILITIES, MARION COUNTY, FL TERRITORY MAP



# **EXHIBIT G**



# FLORIDA DEPARTMENT OF Environmental Protection

Southwest District Office  
13051 North Telecom Parkway #101  
Temple Terrace, Florida, 33637-0926

**Ron DeSantis**  
Governor

**Jeanette Nuñez**  
Lt. Governor

**Shawn Hamilton**  
Secretary

July 19, 2023

**PERMITTEE:**

Josiah Cox, President  
CSWR-Florida Utility Operating Company, LLC  
1630 Des Peres Road, Suite 140  
St. Louis, Missouri, 63131  
[jcox@cswrgroup.com](mailto:jcox@cswrgroup.com)

Re: Transfer of Permit  
Beverly Hills WWTF  
PA File No. [FLA011869-012-DWF/MT]  
Citrus County

Dear Mr. Cox,

In accordance with Rule 62-620.325(2), Florida Administrative Code (F.A.C.), the Department received your request for the transfer of the above-referenced domestic wastewater treatment facility permit, FLA011869-011-DW1P/NR, which expires on October 23, 2027.

The permit was transferred from Rolling Oaks Utilities, Inc. (responsible authority: John W. Patton III, President) to CSWR-Florida Utility Operating Company, LLC (responsible authority: Josiah Cox, President).

The revised permit and Discharge Monitoring Reports (DMR) are enclosed and replace the previous documents in their entirety.

You are authorized to operate the wastewater treatment facility and disposal system subject to all of the conditions and requirements specified in the permit and applicable Department rules. Please make note of the expiration date of the permit and your responsibility, under Rule 62-620.335(1), F.A.C., to apply for renewal of the permit at least 180 days before it expires.

If you have any questions, please contact Mandela Robin at (813) 470-5780 or via email at [Mandela.Robin@FloridaDEP.gov](mailto:Mandela.Robin@FloridaDEP.gov).

Mr. Josiah Cox

Page 2

July 19, 2023

Sincerely,



---

for Shannon Herbon  
Program Administrator  
Permitting & Waste Cleanup Program  
Southwest District

Enclosures

Cc:

John W. Patton III, Rolling Oaks Utilities, Inc, [John4bhd@tampabay.rr.com](mailto:John4bhd@tampabay.rr.com)

Jessica Thomas, Central States Water Resources, [jthomas@cswrgroup.com](mailto:jthomas@cswrgroup.com)

Kyle Johnson, Operator, [Kyle@rollingoakutilities.com](mailto:Kyle@rollingoakutilities.com)

Emily Larson, FDEP SWD, [Emily.Larson@floridadep.gov](mailto:Emily.Larson@floridadep.gov)

Maryn Jones, FDEP SWD, [Maryn.A.Jones@floridadep.gov](mailto:Maryn.A.Jones@floridadep.gov)

Mandela Robin, FDEP SWD, [Mandela.Robin@floridadep.gov](mailto:Mandela.Robin@floridadep.gov)



# FLORIDA DEPARTMENT OF Environmental Protection

**Ron DeSantis**  
Governor

**Jeanette Nuñez**  
Lt. Governor

**Shawn Hamilton**  
Secretary

Southwest District Office  
13051 N Telecom Pkwy, Suite 101  
Temple Terrace, Florida 33637-0926

## STATE OF FLORIDA DOMESTIC WASTEWATER FACILITY PERMIT

**PERMITTEE:**  
CSWR-Florida Utility Operating Company, LLC

**RESPONSIBLE OFFICIAL:**

Josiah Cox, President  
1630 Des Peres Road, Suite 140  
St. Louis, Missouri 63131  
(314) 736-4672  
[jcox@cswrgroup.com](mailto:jcox@cswrgroup.com)

**PERMIT NUMBER:** FLA011869  
**FILE NUMBER:** FLA011869-011-DW1P/NR  
**EFFECTIVE DATE:** October 24, 2022  
**FILE NUMBER:** FLA011869-012-DWF/MT  
**REVISION DATE:** July 19, 2023  
**EXPIRATION DATE:** October 23, 2027

**FACILITY:**

Beverly Hills WWTF  
109 Rose Avenue  
Beverly Hills, Florida 34465-3600  
Citrus County  
Latitude: 28°54' 51" N Longitude: 82°26' 49" W

This permit is issued under the provisions of Chapter 403, Florida Statutes (F.S.), and applicable rules of the Florida Administrative Code (F.A.C.). This permit does not constitute authorization to discharge wastewater other than as expressly stated in this permit. This permit is accompanied by an Administrative Order, pursuant to paragraphs 403.088(2)(e) and (f), Florida Statutes. Compliance with Administrative Order, AO-047-SWD22, is a specific requirement of this permit. The above-named permittee is hereby authorized to operate the facilities in accordance with the documents attached hereto and specifically described as follows:

**WASTEWATER TREATMENT:**

Operation of an existing 0.575 million gallons per day (MGD) annual average daily flow (AADF), Type I, conventional activated sludge process domestic wastewater treatment plant consisting of: one manually cleaned bar screen, one anoxic basin, one aeration basin, one clarifier, one mixing tank, one chlorine contact chamber, one digester, one lime stabilization tank and two sludge drying beds. Disinfection is achieved by using sodium hypochlorite solution. This plant is operated to provide secondary treatment with basic disinfection.

**MODIFICATION I:**

Construction and operation of a new 1.0 MGD AADF, Type I, Modified Ludzack-Ettinger (oxidation ditch) domestic wastewater treatment plant consisting of: headworks with one manual and one mechanical bar screen, grit removal, two oxidation ditches of 455,000 gallons each for a total volume of 910,000 gallons. Each oxidation ditch consists of an anoxic zone of 109,000 gallons and an aerobic zone of 346,000 gallons, two clarifiers of 145,000 gallons and 1,385 square feet of surface area each for a total of 290,000 gallons and 2,770 square feet of surface area, two filters of 430 square feet of total surface area, two chlorine contact chambers of 32,250 gallons each for a total volume of 64,500 gallons, conversion of the existing clarifier and ring plant into digesters of 190,000 and 250,000 gallons each for a total volume of 440,000 gallons. Disinfection will be achieved by using sodium hypochlorite solution. Stand by polymer feed will be available on site. This plant will be operated to provide secondary treatment with basic disinfection.

**MODIFICATION II:**



PERMITTEE: CSWR-Florida Utility Operating Company, LLC  
FACILITY: Beverly Hills WWTF

PERMIT NUMBER: FLA011869-012-DWF/MT

Replacing the existing 8,700-gallon lime stabilization tank with a higher capacity lime stabilization tank of 25,000 gallons total volume.

**AFTER MODIFICATION:**

Operation of a new 1.0 MGD AADF, Type I, Modified Ludzack-Ettinger (oxidation ditch) domestic wastewater treatment plant consisting of: headworks with one manual and one mechanical bar screen, grit removal, two oxidation ditches. Each oxidation ditch consists of an anoxic zone and an aerobic zone, two clarifiers, two filters, two chlorine contact chambers, two digester, and one lime stabilization tank. Disinfection will be achieved by using sodium hypochlorite solution. Stand-by polymer feed will be available on site. This plant will be operated to provide secondary treatment with basic disinfection.

**REUSE OR DISPOSAL:**

**Land Application R-001:** An existing 1.00 MGD AADF permitted capacity Part IV rapid-rate land application system (R-001). R-001 is a reuse system which consists a four-cell Rapid Infiltration Basin (RIB) of 224,000 square feet total bottom area and it is located approximately at latitude 28°55' 50" N, longitude 82°27' 05" W.

**IN ACCORDANCE WITH:** The limitations, monitoring requirements, and other conditions set forth in this cover sheet and Part I through Part IX on pages 3 through 24 of this permit.

**I. RECLAIMED WATER AND EFFLUENT LIMITATIONS AND MONITORING REQUIREMENTS**

**A. Reuse and Land Application Systems**

1. During the period beginning on the effective date and lasting through the compliance schedule period granted by the administrative order AO-047-SWD22 i.e. until December 31, 2023, the permittee is authorized to direct reclaimed water to Reuse System R-001. Such reclaimed water shall be limited and monitored by the permittee as specified below and reported in accordance with Permit Condition I.B.8.:

Parameter	Units	Max. /Min	Reclaimed Water Limitations		Monitoring Requirements			Notes
			Limit	Statistical Basis	Frequency of Analysis	Sample Type	Monitoring Site Number	
Flow	MGD	Max Max	1.00 Report	Annual Average Monthly Average	5 Days/Week	Recording Flow Meter with Totalizer	FLW-01	See I.A.4
BOD, Carbonaceous 5 day, 20C	mg/L	Max Max Max	20.0 30.0 60.0	Annual Average Monthly Average Single Sample	Weekly	8-hr FPC	EFA-01	
Solids, Total Suspended	mg/L	Max Max Max	20.0 30.0 60.0	Annual Average Monthly Average Single Sample	Weekly	8-hr FPC	EFA-01	
Coliform, Fecal	#/100mL	Max Max Max	200 400 800	Annual Average 90th Percentile Single Sample	Weekly	Grab	EFA-01	See I.A.5 and I.A.6
pH	s.u.	Min Max	6.0 8.5	Single Sample Single Sample	5 Days/Week	Grab	EFA-01	
Chlorine, Total Residual (For Disinfection)	mg/L	Min	0.5	Single Sample	5 Days/Week	Grab	EFA-01	See I.A.7
Nitrogen, Nitrate, Total (as N) (Interim Limit)	mg/L	Max	12	Single Sample	Weekly	8-hr FPC	EFA-01	
Nitrogen, Total	mg/L	Max	Report	Single Sample	Monthly	8-hr FPC	EFA-01	
Nitrogen, Total (Interim Limit)	mg/L	Max	29.0	Annual Average	Monthly	Calculated	EFA-01	
Phosphorus, Total (as P)	mg/L	Max	Report	Single Sample	Monthly	8-hr FPC	EFA-01	
Phosphorus, Total (as P)	mg/L	Max	Report	Annual Average	Monthly	Calculated	EFA-01	

2. During the period beginning when the compliance schedule period granted by the administrative order AO-047-SWD22 i.e. until December 31, 2023, and lasting through the expiration date of this permit, the permittee is authorized to direct reclaimed water to Reuse System R-001. Such reclaimed water shall be limited and monitored by the permittee as specified below and reported in accordance with Permit Condition I.B.8.:

Parameter	Units	Max. /Min	Reclaimed Water Limitations		Monitoring Requirements			Notes
			Limit	Statistical Basis	Frequency of Analysis	Sample Type	Monitoring Site Number	
Flow	MGD	Max Max	1.00 Report	Annual Average Monthly Average	5 Days/Week	Recording Flow Meter with Totalizer	FLW-01	See I.A.4
BOD, Carbonaceous 5 day, 20C	mg/L	Max Max Max	20.0 30.0 60.0	Annual Average Monthly Average Single Sample	Weekly	8-hr FPC	EFA-01	
Solids, Total Suspended	mg/L	Max Max Max	20.0 30.0 60.0	Annual Average Monthly Average Single Sample	Weekly	8-hr FPC	EFA-01	
Coliform, Fecal	#/100mL	Max Max Max	200 400 800	Annual Average 90th Percentile Single Sample	Weekly	Grab	EFA-01	See I.A.5 and I.A.6
pH	s.u.	Min Max	6.0 8.5	Single Sample Single Sample	5 Days/Week	Grab	EFA-01	
Chlorine, Total Residual (For Disinfection)	mg/L	Min	0.5	Single Sample	5 Days/Week	Grab	EFA-01	See I.A.7
Nitrogen, Nitrate, Total (as N) (Final Limit)	mg/L	Max	Report	Single Sample	Weekly	8-hr FPC	EFA-01	
Nitrogen, Total	mg/L	Max	Report	Single Sample	Monthly	8-hr FPC	EFA-01	
Nitrogen, Total (Final Limit)	mg/L	Max	3.0	Annual Average	Monthly	Calculated	EFA-01	See I.A.8
Phosphorus, Total (as P)	mg/L	Max	Report	Single Sample	Monthly	8-hr FPC	EFA-01	
Phosphorus, Total (as P)	mg/L	Max	Report	Annual Average	Monthly	Calculated	EFA-01	

3. Reclaimed water samples shall be taken at the monitoring site locations listed in Permit Condition I.A.1. and as described below:

Monitoring Site Number	Description of Monitoring Site
FLW-01	A 90 V-Notch weir and an ultrasonic flow meter at the mixing tank located before disinfection.
EFA-01	After disinfection and prior to discharge to the reuse system.

4. A recording flow meter with totalizer shall be utilized to measure flow and calibrated at least once every 12 months. [62-600.200(25)]
5. The effluent limitation for the monthly geometric mean for fecal coliform is only applicable if 10 or more values are reported. If fewer than 10 values are reported, the monthly geometric mean shall be calculated and reported on the Discharge Monitoring Report to be used to calculate the annual average. All other fecal coliform effluent limitations included in permit condition I.A.1 apply regardless of the number of values reported. [62-600.440(5)(b)]
6. To report the "90th percentile,"
- Place the bacteria results in ascending order (from lowest to highest value) and assign each sample a number, 1 for the lowest value.
  - Multiply the total number of samples by 0.9 to determine the 90th percentile level.

- c. Report the value of the sample that corresponds to the 90th percentile level (e.g., 10 samples x 0.9 = 9, report the value of the 9th sample). If the 90th percentile level is not a whole number, rounding or interpolation should be used to determine the 90th percentile. When rounding, round down to the nearest whole number if the decimal is 0.4 or lower, and round up to the nearest whole number if the decimal is 0.5 or higher (e.g., 12 samples x 0.9 = 10.8, report the value of the 11th sample if rounding).

[62-600.440(5)(a)3]

- 7. Total residual chlorine must be maintained for a minimum contact time of 15 minutes based on peak hourly flow. [62-610.510] [62-600.440(5)(c) and (6)(b)]
- 8. The Department approved the Crystal River/Kings Bay Basin Management Action Plan (BMAP) in June 2018 and the plan became effective in January 2019. In accordance with Table -13 of the BMAP, for this facility, with a RIB system located in the priority focus area, a reduction of total nitrogen to less than 3.0 milligrams per liter (mg/l) is required. [62-600.650(3), F.A.C.]

**B. Other Limitations and Monitoring and Reporting Requirements**

- 1. During the period beginning on the effective date and lasting until the plant modifications are certified complete to the Department, the treatment facility shall be limited and monitored by the permittee as specified below and reported in accordance with condition I.B.8.:

Parameter	Units	Max. /Min	Limitations		Monitoring Requirements			Notes
			Limit	Statistical Basis	Frequency of Analysis	Sample Type	Monitoring Site Number	
Flow	MGD	Max Max	0.575 Report	Annual Average Monthly Average	5 Days/Week	Recording Flow Meter with Totalizer	FLW-01	See I.B.5
Percent Capacity, (TMADF/Permitted Capacity) x 100	percent	Max	Report	Monthly Average	Monthly	Calculated	FLW-01	
BOD, Carbonaceous 5 day, 20C (Influent)	mg/L	Max	Report	Single Sample	Monthly	8-hr FPC	INF-01	See I.B.4
Solids, Total Suspended (Influent)	mg/L	Max	Report	Single Sample	Monthly	8-hr FPC	INF-01	See I.B.4

- 2. During the period beginning when the proposed modifications are certified complete to the Department and lasting until lasting through the expiration date of this permit, the treatment facility shall be limited and monitored by the permittee as specified below and reported in accordance with condition I.B.8.:

Parameter	Units	Max. /Min	Limitations		Monitoring Requirements			Notes
			Limit	Statistical Basis	Frequency of Analysis	Sample Type	Monitoring Site Number	
Flow	MGD	Max Max	1.0 Report	Annual Average Monthly Average	5 Days/Week	Recording Flow Meter with Totalizer	FLW-01	See I.B.5
Percent Capacity, (TMADF/Permitted Capacity) x 100	percent	Max	Report	Monthly Average	Monthly	Calculated	FLW-01	
BOD, Carbonaceous 5 day, 20C (Influent)	mg/L	Max	Report	Single Sample	Weekly	8-hr FPC	INF-01	See I.B.4

Parameter	Units	Max. /Min	Limitations		Monitoring Requirements			Notes
			Limit	Statistical Basis	Frequency of Analysis	Sample Type	Monitoring Site Number	
Solids, Total Suspended (Influent)	mg/L	Max	Report	Single Sample	Weekly	8-hr FPC	INF-01	See I.B.4

3. Samples shall be taken at the monitoring site locations listed in Permit Condition I.B.1. and as described below:

Monitoring Site Number	Description of Monitoring Site
FLW-01	A 90 V-Notch weir and an ultrasonic flow meter at the mixing tank located before disinfection.
INF-01	At headworks, prior to treatment and ahead of any RAS lines.

4. Influent samples shall be collected so that they do not contain digester supernatant or return activated sludge, or any other plant process recycled waters. [62-600.660(4)(a)]
5. A recording flow meter with totalizer shall be utilized to measure flow and calibrated at least once every 12 months. [62-600.200(25)]
6. The sample collection, analytical test methods, and method detection limits (MDLs) applicable to this permit shall be conducted using a sufficiently sensitive method to ensure compliance with applicable water quality standards and effluent limitations and shall be in accordance with Rule 62-4.246, Chapters 62-160 and 62-600, F.A.C., and 40 CFR 136, as appropriate. The list of Department established analytical methods, and corresponding MDLs (method detection limits) and PQLs (practical quantitation limits), which is titled "FAC 62-4 MDL/PQL Table (November 10, 2020)" is available at <https://floridadep.gov/dear/quality-assurance/content/quality-assurance-resources>. The MDLs and PQLs as described in this list shall constitute the minimum acceptable MDL/PQL values and the Department shall not accept results for which the laboratory's MDLs or PQLs are greater than those described above unless alternate MDLs and/or PQLs have been specifically approved by the Department for this permit. Any method included in the list may be used for reporting as long as it meets the following requirements:
  - a. The laboratory's reported MDL and PQL values for the particular method must be equal or less than the corresponding method values specified in the Department's approved MDL and PQL list;
  - b. The laboratory reported MDL for the specific parameter is less than or equal to the permit limit or the applicable water quality criteria, if any, stated in Chapter 62-302, F.A.C. Parameters that are listed as "report only" in the permit shall use methods that provide an MDL, which is equal to or less than the applicable water quality criteria stated in 62-302, F.A.C.; and
  - c. If the MDLs for all methods available in the approved list are above the stated permit limit or applicable water quality criteria for that parameter, then the method with the lowest stated MDL shall be used.

When the analytical results are below method detection or practical quantitation limits, the permittee shall report the actual laboratory MDL and/or PQL values for the analyses that were performed following the instructions on the applicable discharge monitoring report.

Where necessary, the permittee may request approval of alternate methods or for alternative MDLs or PQLs for any approved analytical method. Approval of alternate laboratory MDLs or PQLs are not necessary if the laboratory reported MDLs and PQLs are less than or equal to the permit limit or the applicable water quality criteria, if any, stated in Chapter 62-302, F.A.C. Approval of an analytical method not included in the above-referenced list is not necessary if the analytical method is approved in accordance with 40 CFR 136 or deemed acceptable by the Department. [62-4.246, 62-160]

7. The permittee shall provide safe access points for obtaining representative samples which are required by this permit. *[62-600.650(2)]*
  
8. Monitoring requirements under this permit are effective on the first day of the second month following the effective date of the permit. Until such time, the permittee shall continue to monitor and report in accordance with previously effective permit requirements, if any. During the period of operation authorized by this permit, the permittee shall complete and submit to the Department Discharge Monitoring Reports (DMRs) in accordance with the frequencies specified by the REPORT type (i.e. monthly, quarterly, semiannual, annual, etc.) indicated on the DMR forms attached to this permit. Unless specified otherwise in this permit, monitoring results for each monitoring period shall be submitted in accordance with the associated DMR due dates below. DMRs shall be submitted for each required monitoring period including periods of no discharge.

REPORT Type on DMR	Monitoring Period	Submit by
Monthly	first day of month - last day of month	28 <sup>th</sup> day of following month
Once Every Two Months	January 1 - February 28/29 March 1 - April 30 May 1 - June 30 July 1 - August 31 September 1 - October 31 November 1 - December 31	March 28 May 28 July 28 September 28 November 28 January 28
Quarterly	January 1 - March 31 April 1 - June 30 July 1 - September 30 October 1 - December 31	April 28 July 28 October 28 January 28
Semiannual	January 1 - June 30 July 1 - December 31	July 28 January 28
Annual	January 1 - December 31	January 28

The permittee may submit either paper or electronic DMR forms. If submitting electronic DMR forms, the permittee shall use the electronic DMR system approved by the Department (EzDMR) and shall electronically submit the completed DMR forms using the DEP Business Portal at <https://www.fldepportal.com/go/>. Reports shall be submitted to the Department by the twenty-eighth (28th) of the month following the month of operation. Data submitted in electronic format is equivalent to data submitted on signed and certified paper DMR forms.

If submitting paper DMR forms, the permittee shall make copies of the attached DMR forms, without altering the original format or content unless approved by the Department, and shall mail the completed DMR forms to the Department's Southwest District Office at the address specified in Permit Condition I.B.12. by the twenty-eighth (28th) of the month following the month of operation.

*[62-620.610(18)] [62-600.680(1)]*

9. During the period of operation authorized by this permit, reclaimed water or effluent shall be monitored annually for the primary and secondary drinking water standards contained in Chapter 62-550, F.A.C., and the Revised Total Coliform Rule contained in Rule 62-550.830, F.A.C., (except for asbestos, color, odor, and residual disinfectants). These monitoring results shall be reported to the Department annually on the DMR. During years when a permit is not renewed, a certification stating that no new non-domestic wastewater dischargers have been added to the collection system since the last reclaimed water or effluent analysis was conducted may be submitted with the signed DMR in lieu of performing the analysis. When such a certification is submitted with the DMR, monitoring not required this period should be noted on the DMR. The annual reclaimed water or effluent analysis report, and certification if applicable, shall be completed and submitted in a timely manner so as to be received by the Department at the address identified on the DMR by January 28 of each year. Approved analytical methods identified in Rule 62-620.100(3)(j), F.A.C., shall be used for the analysis. If no method is included for a parameter, methods specified in Chapter 62-550, F.A.C., shall be used. *[62-600.660(2) and (3)(d)] [62-600.680(2)] [62-610.300(3)]*

10. The permittee shall submit DEP Form 62-610.300(3)(a)2., Annual Reuse Report, using DEP Form 62-610.300(4)(a)2. on or before January 1 of each year. to the Department and the appropriate water management district on or before January 1 of each year. The form shall be submitted electronically to the Department using the Online Business Portal (<https://www.fldeportal.com/DepPortal/go/home>) [62-610.870(3)(a)]
11. The permittee shall submit an annual report regarding transactions or allocations of costs and expenditures on pollution and mitigation among the utility's permitted wastewater systems, including the prevention of sanitary sewer overflows, collection and transmission system pipe leakages, and inflow and infiltration. This report may be combined with the annual report for the permittee's collection system action plan once Rule 62-600.705, F.A.C., becomes effective. The report shall be electronically submitted to the district office no later than June 30 of each calendar year. [62-600.700(4)]
12. Unless specified otherwise in this permit, all reports and other information required by this permit, including 24-hour notifications, shall be submitted to or reported to, as appropriate, the Department's Southwest District Office at the address specified below:

Florida Department of Environmental Protection  
 Southwest District  
 13051 N Telecom Pkwy, Suite 101  
 Temple Terrace, Florida 33637-0926

Phone Number - (813) 470-5700  
 FAX Number - (813) 470-5996  
[swd\\_dw@dep.state.fl.us](mailto:swd_dw@dep.state.fl.us)

[62-620.305]

13. All reports and other information shall be signed in accordance with the requirements of Rule 62-620.305, F.A.C. [62-620.305]

**II. BIOSOLIDS MANAGEMENT REQUIREMENTS**

**A. Basic Requirements**

1. Biosolids generated by this facility may be land applied and/or transferred to approved Biosolids Treatment Facility (BTF) or disposed of in a Class I solid waste landfill. Transferring biosolids to an alternative biosolids treatment facility does not require a permit modification. However, use of an alternative biosolids treatment facility requires submittal of a copy of the agreement pursuant to Rule 62-640.880(1)(c), F.A.C., along with a written notification to the Department at least 30 days before transport of the biosolids. [62-620.320(6), 62-640.880(1)]
2. The permittee shall monitor and keep records of the quantities of biosolids generated, received from source facilities, treated, distributed and marketed, land applied, used as a biofuel or for bioenergy, transferred to another facility, or landfilled. These records shall be kept for a minimum of five years. [62-640.650(4)(a)]
3. Biosolids quantities shall be monitored by the permittee as specified below. Results shall be reported on the permittee's Discharge Monitoring Report for Monitoring Group RMP-Q in accordance with Condition I.B.8.

Parameter	Units	Max. /Min	Biosolids Limitation		Monitoring Requirements			Notes
			Limit	Statistical Basis	Frequency of Analysis	Sample Type	Monitoring Site Number	
Biosolids Quantity (Transferred)	dry tons	Max	Report	Monthly Total	Monthly	Calculated	RMP-01	

Parameter	Units	Max. /Min	Biosolids Limitation		Monitoring Requirements			Notes
			Limit	Statistical Basis	Frequency of Analysis	Sample Type	Monitoring Site Number	
Biosolids Quantity (Landfilled)	dry tons	Max	Report	Monthly Total	Monthly	Calculated	RMP-02	
Biosolids Quantity (Land-Applied)	dry tons	Max	Report	Monthly Total	Monthly	Calculated	RMP-03	

[62-640.650(5)(a)1]

- Biosolids quantities shall be calculated as listed in Permit Condition II.3 and as described below:

Monitoring Site Number	Description of Monitoring Site Calculations
RMP-01	Quantity of biosolids transferred to Biosolids Treatment Facility.
RMP-02	Quantity of biosolids transferred to landfill.
RMP-03	Quantity of biosolids land applied.

- The treatment, management, transportation, use, land application, or disposal of biosolids shall not cause a violation of the odor prohibition in subsection 62-296.320(2), F.A.C. [62-640.400(6)]
- Storage of biosolids or other solids at this facility shall be in accordance with the Facility Biosolids Storage Plan. [62-640.300(4)]
- Biosolids shall not be spilled from or tracked off the treatment facility site by the hauling vehicle. [62-640.400(9)]

**B. Treatment and Monitoring Requirements**

- The permittee is authorized to produce Class B biosolids.
- The permittee shall achieve Class B pathogen reduction by meeting the pathogen reduction requirements in section 503.32(b)(3) (Use of PSRP (Processes to Significantly Reduce Pathogens)-Lime Stabilization) and of Title 40 CFR Part 503. [62-640.600(1)(b)]
- The permittee shall achieve vector attraction reduction for Class A or B biosolids by meeting the vector attraction reduction requirements in section 503.33(b)(6) (Add alkaline materials to raise the pH under specified conditions) of Title 40 CFR Part 503. [62-640.600(2)(a)]
- Time, pH, Temperature and number of windrow turnings shall be routinely monitored to demonstrate compliance with pathogen reduction requirements specified in Rule 62-640.600, F.A.C. [62-640.650(3)(a)2]
- Treatment of liquid biosolids or septage for the purpose of meeting the pathogen reduction or vector attraction reduction requirements set forth in Rule 62-640.600, F.A.C., shall not be conducted in the tank of a hauling vehicle. Treatment of biosolids or septage for the purpose of meeting pathogen reduction or vector attraction reduction requirements shall take place at the permitted facility. [62-640.400(7)]
- Class B biosolids shall comply with the limits and be monitored by the permittee as specified below. Results shall be reported on the permittee's Discharge Monitoring Report in accordance with Permit Condition I.B.8. Biosolids shall not be land applied if a single sample result for any parameter exceeds the following:



Parameter	Units	Max. /Min	Biosolids Limitation		Monitoring Requirements			Notes
			Limit	Statistical Basis	Frequency of Analysis	Sample Type	Monitoring Site Number	
Nitrogen, Sludge, Tot, Dry Wt (as N)	percent	Max	Report	Single Sample	Annually	Composite	RMP-B	
Phosphorus, Sludge, Tot, Dry Wt (as P)	percent	Max	Report	Single Sample	Annually	Composite	RMP-B	
Potassium, Sludge, Tot, Dry Wt (as K)	percent	Max	Report	Single Sample	Annually	Composite	RMP-B	
Arsenic Total, Dry Weight, Sludge	mg/kg	Max	75.0	Single Sample	Annually	Composite	RMP-B	
Cadmium, Sludge, Tot, Dry Weight (as Cd)	mg/kg	Max	85.0	Single Sample	Annually	Composite	RMP-B	
Copper, Sludge, Tot, Dry Wt. (as Cu)	mg/kg	Max	4300.0	Single Sample	Annually	Composite	RMP-B	
Lead, Dry Weight, Sludge	mg/kg	Max	840.0	Single Sample	Annually	Composite	RMP-B	
Mercury, Dry Weight, Sludge	mg/kg	Max	57.0	Single Sample	Annually	Composite	RMP-B	
Molybdenum, Dry Weight, Sludge	mg/kg	Max	75.0	Single Sample	Annually	Composite	RMP-B	
Nickel, Dry Weight, Sludge	mg/kg	Max	420.0	Single Sample	Annually	Composite	RMP-B	
Selenium Sludge Solid	mg/kg	Max	100.0	Single Sample	Annually	Composite	RMP-B	
Zinc, Dry Weight, Sludge	mg/kg	Max	7500.0	Single Sample	Annually	Composite	RMP-B	
pH	s.u.	Max	Report	Single Sample	Annually	Grab	RMP-B	
Solids, Total, Sludge, Percent	percent	Max	Report	Single Sample	Annually	Composite	RMP-B	
Calcium Carbonate Equivalent	percent	Max	Report	Single Sample	Annually	Composite	RMP-B	
Phosphorus, Sludge, Water Extractable, Dry Wt (as P)	percent	Max	Report	Single Sample	-	-	RMP-B	

[62-640.650(3)(a)(3) and 62-640.700(5)(a)]

7. Sampling and analysis shall be conducted in accordance with 40 CFR Part 503.8 and the U.S. Environmental Protection Agency publication - POTW Sludge Sampling and Analysis Guidance Document, August 1989. In cases where conflicts exist between 40 CFR 503.8 and the POTW Sludge Sampling and Analysis Guidance Document, the requirements in 40 CFR Part 503.8 will apply. [62-640.650(3)(a)1]
8. All samples shall be representative and shall be taken after final treatment of the biosolids but before land application or distribution and marketing. [62-640.650(3)(a)5]
9. Biosolids samples shall be taken at the monitoring site locations listed in Permit Condition II.B.6 and as described below:

Monitoring Site Number	Description of Monitoring Site
RMP-B	After final treatment and before land application disposal.

**C. Land Application at Permitted Sites**

1. Land application of biosolids at the site shall be in accordance with the site permit, the Nutrient Management Plan, and the requirements of Chapter 62-640, F.A.C. [62-640]
2. The biosolids from this facility shall only be land applied at sites identified on the Treatment Facility Biosolids Plan, Form 62-640.210(2)(a), submitted with the permit application or revised in accordance with condition II.C.3 below, which is incorporated as part of this permit. [62-640.300(2)]
3. The permittee shall notify the Department at least 24 hours before beginning biosolids application at a site not listed in the Treatment Facility Biosolids Plan Form 62-640.210(2)(a). The facility's Treatment Facility Biosolids Plan shall be revised to include the new site and submitted to the Department within 30 days of using the site. The revised Treatment Facility Biosolids Plan shall become part of the treatment facility permit. [62-640.300(2)(c) & 62-640.650(6)(a)]
4. Land application of "other solids" as defined in Chapter 62-640, F.A.C., is only allowed if specifically addressed in the Nutrient Management Plan(s) approved for the site where the other solids will be applied. [62-640.860]
5. The permittee shall maintain hauling records to track the transport of biosolids between the treatment facility and the application site. The hauling records for each party shall contain the following information:

Treatment Facility Permittee

1. Date and time shipped and shipment ID
2. Amount of biosolids shipped
3. Concentration of parameters & date of analysis
4. Name and ID number of permitted application site
5. Class of biosolids shipped
6. Signature of certified operator or designee
7. Signature of hauler and name of hauling firm

Site Permittee

1. Date and time received and shipment ID
2. Name and ID number of treatment facility from which biosolids are received
3. Signature of hauler
4. Signature of site manager

A copy of the treatment facility hauling records for each shipment shall be provided upon delivery of the biosolids to the biosolids site manager. The permittee shall report to the Department within 24 hours of discovery of any discrepancy in the delivery of biosolids leaving the treatment facility and arriving at the permitted application site. Treatment facility permittees shall notify the Department, site manager, and site permittee within 24 hours of discovery of sending biosolids that did not meet the requirements of Rule 62-640.600, F.A.C., or subsection 62-640.700(5), F.A.C., to a land application site.

[62-640.650(4) & (5)]

6. The permittee shall maintain copies of the Biosolids Application Site Annual Summaries, received from site permittees in accordance with 62-640.650(5)(e), F.A.C., indefinitely. [62-640.650(4)(d)]
7. The permittee shall submit a Treatment Facility Biosolids Annual Summary to the Department's Southwest District Office on Department Form 62-640.210(2)(b). The summary shall include all biosolids shipped during the period January 1 through December 31 and shall be submitted to the Department by February 19 of the year following the year of application. [62-640.650(5)(c)]

**D. Disposal**

1. Disposal of biosolids, septage, and "other solids" in a solid waste disposal facility, or disposal by placement on land for purposes other than soil conditioning or fertilization, such as at a monofill, surface impoundment, waste pile, or dedicated site, shall be in accordance with Chapter 62-701, F.A.C. [62-640.100(6)(b) & (c)]

**E. Transfer**

1. The permittee shall not be held responsible for treatment and management violations that occur after its biosolids have been accepted by a permitted biosolids treatment facility with which the source facility has an agreement in accordance with subsection 62-640.880(1)(c), F.A.C., for further treatment, management, or disposal. [62-640.880(1)(b)]
2. The permittee shall keep hauling records to track the transport of biosolids between the facilities. The hauling records shall contain the following information:

Source Facility	Biosolids Treatment Facility or Treatment Facility
1. Date and time shipped	1. Date and time received
2. Amount of biosolids shipped	2. Amount of biosolids received
3. Degree of treatment (if applicable)	3. Name and ID number of source facility
4. Name and ID Number of treatment facility	4. Signature of hauler
5. Signature of responsible party at source facility	5. Signature of responsible party at treatment facility
6. Signature of hauler and name of hauling firm	

A copy of the source facility hauling records for each shipment shall be provided upon delivery of the biosolids to the biosolids treatment facility or treatment facility. The treatment facility permittee shall report to the Department within 24 hours of discovery any discrepancy in the quantity of biosolids leaving the source facility and arriving at the biosolids treatment facility or treatment facility.

[62-640.880(4)]

**F. Receipt**

1. If the permittee intends to accept biosolids from other facilities, a permit revision is required pursuant to paragraph 62-640.880(2)(d), F.A.C. [62-640.880(2)(d)]

**III. GROUND WATER REQUIREMENTS**

**A. Construction Requirements**

1. The permittee shall give at least 72-hour notice to the Department's Southwest District Office, prior to the installation of any monitoring wells. [62-520.600(6)(h)]
2. Before construction of new ground water monitoring wells, a soil boring shall be made at each new monitoring well location to properly determine monitoring well specifications such as well depth, screen interval, screen slot, and filter pack. [62-520.600(6)(g)]
3. Within 30 days after installation of a monitoring well, the permittee shall submit to the Department's Southwest District Office well completion reports and soil boring/lithologic logs on the attached DEP Form(s) 62-520.900(3), Monitoring Well Completion Report. [62-520.600(6)(j) and .900(3)]

- All piezometers and monitoring wells not part of the approved ground water monitoring plan shall be plugged and abandoned in accordance with Rule 62-532.500(5), F.A.C., unless future use is intended. *[62-532.500(5)]*

**B. Operational Requirements**

- For the Part IV land application system(s), all ground water quality criteria specified in Chapter 62-520, F.A.C., shall be met at the edge of the zone of discharge. The zone of discharge for Land Application Site R-001 shall extend horizontally 100 feet from the application site or to user’s site property line, whichever is less and vertically to the base of the shallow water table aquifer. *[62-520.200(27)] [62-520.465]*
- The ground water minimum criteria specified in Rule 62-520.400 F.A.C., shall be met within the zone of discharge. *[62-520.400 and 62-520.420(4)]*
- If the concentration for any constituent listed in Permit Condition III.B.6. in the natural background quality of the ground water is greater than the stated maximum, or in the case of pH is also less than the minimum, the representative background quality shall be the prevailing standard. *[62-520.420(2)]*
- During the period of operation authorized by this permit, the permittee shall continue to sample ground water at the monitoring wells identified in Permit Condition III.B.5., below in accordance with this permit and the approved ground water monitoring plan prepared in accordance with Rule 62-520.600, F.A.C. *[62-520.600] [62-610.510]*
- The following monitoring wells shall be sampled for Reuse System R-001 located at Land Application Site RIB-001.

Monitoring Well ID	Alternate Well Name and/or Description of Monitoring Location	Latitude	Longitude	Aquifer Monitored	Well Type	New or Existing
MWC-1	MW-1A.	28°55' 53"	82°26' 51"	Floridan	Compliance	Existing
MWC-2	MW-2.	28°55' 52"	82°27' 4"	Floridan	Compliance	Existing
MWC-3	MW-3A.	28°55' 49"	82°26' 59"	Floridan	Compliance	Existing
MWC-4	MW-4.	28°55' 58"	82°26' 57"	Floridan	Compliance	Existing

*[62-520.600] [62-610.510]*

- The following parameters shall be analyzed for each monitoring well identified in Permit Condition III.5.:

Parameter	Compliance Well Limit	Units	Sample Type	Monitoring Frequency
Water Level Relative to NGVD	Report	ft	In Situ	Quarterly
Nitrogen, Nitrate, Total (as N)	10	mg/L	Grab	Quarterly
Solids, Total Dissolved (TDS)	500	mg/L	Grab	Quarterly
Arsenic, Total Recoverable	10	ug/L	Grab	Quarterly
Chloride (as Cl)	250	mg/L	Grab	Quarterly
Cadmium, Total Recoverable	5	ug/L	Grab	Quarterly
Chromium, Total Recoverable	100	ug/L	Grab	Quarterly
Lead, Total Recoverable	15	ug/L	Grab	Quarterly
Coliform, Fecal	4	#/100mL	Grab	Quarterly
pH	6.5-8.5	s.u.	In Situ	Quarterly
Sulfate, Total	250	mg/L	Grab	Quarterly
Turbidity	Report	NTU	Grab	Quarterly

*[62-520.600(11)(b)] [62-600.670] [62-600.650(3)] [62-520.310(5)]*

7. Water levels shall be recorded before evacuating each well for sample collection. Elevation references shall include the top of the well casing and land surface at each well site (NAVD allowable) at a precision of plus or minus 0.01 foot. *[62-520.600(11)(c)] [62-610.510(3)(b)]*
8. Ground water monitoring wells shall be purged prior to sampling to obtain representative samples. *[62-160.210] [62-600.670(3)]*
9. Analyses shall be conducted on unfiltered samples, unless filtered samples have been approved by the Department's Southwest District Office as being more representative of ground water conditions. *[62-520.310(5)]*
10. Ground water monitoring test results shall be submitted on Part D of Form 62-620.910(10) in accordance with Permit Condition I.B.8. *[62-520.600(11)(b)] [62-600.670] [62-600.680(1)] [62-620.610(18)]*
11. If any monitoring well becomes inoperable or damaged to the extent that sampling or well integrity may be affected, the permittee shall notify the Department's Southwest District Office within two business days from discovery, and a detailed written report shall follow within ten days after notification to the Department. The written report shall detail what problem has occurred and remedial measures that have been taken to prevent recurrence or request approval for replacement of the monitoring well. All monitoring well design and replacement shall be approved by the Department's Southwest District Office before installation. *[62-520.600(6)(l)]*
12. The permittee shall sample all the monitoring well(s) on the groundwater monitoring plan for the primary and secondary drinking water parameters included in Rules 62-550.310 and 62-550.320, F.A.C., (except for asbestos and all parameters in Table 5 of Chapter 62-550, F.A.C., other than Di(2-ethylhexyl) adipate and Di(2-ethylhexyl) phthalate). Results of this sampling shall be submitted to the Department's Southwest District Office with the application for permit renewal. Sampling shall occur no sooner than 180 days before submittal of the renewal application. *[62-520.600(5)(b)]*

#### **IV. ADDITIONAL REUSE AND LAND APPLICATION REQUIREMENTS**

##### **A. Part IV Rapid Infiltration Basins**

1. Advisory signs shall be posted around the site boundaries to designate the nature of the project area. *[62-610.518]*
2. The maximum annual average loading rate to the shall be limited to 7.16 inches per day (as applied to the entire bottom area). *[62-610.523(3)]*
3. R-001 normally shall be loaded for 7 days and shall be rested for 7 days. Infiltration ponds, basins, or trenches shall be allowed to dry during the resting portion of the cycle. *[62-610.523(4)]*
4. Rapid infiltration basins shall be routinely maintained to control vegetation growth and to maintain percolation capability by scarification or removal of deposited solids. Basin bottoms shall be maintained to be level. *[62-610.523(6) and (7)]*
5. Routine aquatic weed control and regular maintenance of storage pond embankments and access areas are required. *[62-610.514 and 62-610.414]*
6. Overflows from emergency discharge facilities on storage ponds or on infiltration ponds, basins, or trenches shall be reported as abnormal events in accordance with Permit Condition IX.20. *[62-610.800(9)]*
7. Holding ponds are provided for reclaimed water storage, such ponds are subject to the requirements of Rule 62-610.414, F.A.C. *[62-610.514(2)]*

8. If subsurface drain systems are needed, they shall be designed in accordance with appropriate portions of paragraph 62-610.300(1)(c), F.A.C., concerning Natural Resources Conservation Services criteria for subsurface drains. The drainage system shall be designed so that the seasonal high-water table is drawn down to a minimum of 36 inches below pond bottoms during resting periods. The requirements of subsection 62-610.850(1), F.A.C., shall apply to discharges to surface waters from the drainage system. *[62-610.517(2)(a)]*
9. A setback distance of 500 feet shall be provided from the edge of the rapid infiltration basin, percolation pond, basin, or trench embankments, or from the edge of an absorption field to potable water supply wells that are existing or have been approved by the Department or by the Department of Health (but not yet constructed); Class I surface waters; or Class II surface waters. The setback distance to Class I and II surface waters shall be reduced to 100 feet if high-level disinfection is provided. Setback distance requirements apply to all Class II waters, regardless of Department classification. *[62-610.521(2)]*

## V. OPERATION AND MAINTENANCE REQUIREMENTS

### A. Staffing Requirements

1. During the period of operation authorized by this permit, the wastewater facilities shall be operated under the supervision of one or more operators certified in accordance with Chapter 62-602, F.A.C.
  - a. **Before modifications to meet the Total Nitrogen:** In accordance with Chapter 62-699, F.A.C., this facility is a Category II, Class C facility (after modifications this facility is a Category III, Class C facility), at a minimum, operators with appropriate certification must be on the site as follows:

A Class C or higher operator 6 hours/day for 5 days/week and one visit on each weekend day. The lead/chief operator must be a Class C operator, or higher.
  - b. **After modifications to meet the Total Nitrogen:** In accordance with Chapter 62-699, F.A.C., this facility is a Category I Class C facility and, at a minimum, operators with appropriate certification must be on the site as follows:

A Class C or higher operator 16 hours/day for 7 days/week. The lead operator must be a Class B, or higher
2. An operator meeting the lead/chief operator class for the plant shall be available during all periods of plant operation. "Available" means able to be contacted as needed to initiate the appropriate action in a timely manner. *[62-699.311(1)]*

### B. Capacity Analysis Report and Operation and Maintenance Performance Report Requirements

1. The application to renew this permit shall include an updated capacity analysis report prepared in accordance with Rule 62-600.405, F.A.C. *[62-600.405(5)]*
2. The application to renew this permit shall include a detailed operation and maintenance performance report prepared in accordance with Rule 62-600.735, F.A.C. *[62-600.735(1)]*

### C. Recordkeeping Requirements

1. The permittee shall maintain the following records and make them available for inspection at the following address: on the site of the permitted facility.
  - a. Records of all compliance monitoring information, including all calibration and maintenance records and all original strip chart recordings for continuous monitoring instrumentation, including, if applicable, a copy of the laboratory certification showing the certification number of the laboratory, for at least three years from the date the sample or measurement was taken;

- b. Copies of all reports required by this permit for at least three years from the date the report was prepared;
- c. Records of all data, including reports and documents, used to complete the application for this permit for at least three years from the date the application was filed;
- d. Monitoring information, including a copy of the laboratory certification showing the laboratory certification number, related to the residuals use and disposal activities for the time period set forth in Chapter 62-640, F.A.C., for at least three years from the date of sampling or measurement;
- e. A copy of the current wastewater facility permit;
- f. Copies of the current operation and maintenance manuals for the wastewater facility and the collection/transmission systems owned or operated by the wastewater facility permittee as required by Chapters 62-600 and 62-604, F.A.C.;
- g. A copy of any required record drawings for the wastewater facility and the collection/transmission systems owned or operated by the wastewater facility permittee;
- h. Copies of the licenses of the current certified operators;
- i. Copies of the logs and schedules showing plant operations and equipment maintenance for three years from the date of the logs or schedules. The logs shall, at a minimum, include identification of the plant; the signature and license number of the operator(s) and the signature of the person(s) making any entries; date and time in and out; specific operation and maintenance activities, including any preventive maintenance or repairs made or requested; results of tests performed and samples taken, unless documented on a laboratory sheet; and notation of any notification or reporting completed in accordance with Rule 62-602.650(3), F.A.C. The logs shall be maintained on-site in a location accessible to 24-hour inspection, protected from weather damage, and current to the last operation and maintenance performed; and
- j. Records of biosolids quantities, treatment, monitoring, and hauling for at least five years.

*[62-620.350, 62-604.500, 62-602.650, 62-640.650(4)]*

**VI. SCHEDULES**

- 1. In accordance with section 403.088(2)(e) and (f), Florida Statutes, a compliance schedule for this facility is contained in Administrative Order AO-047SWD22 which is hereby incorporated by reference. The following improvement actions shall be completed according to the following schedule:

<b>Action Plan</b>	<b>Due Date</b>
a) The land application of the reclaimed water shall be in compliance with all limits specified in the permit except for TN.	First day of the second month following the permit issuance
b) Submit a proposal with the most feasible option to bring the TN into compliance with the final limit 3 mg/L. If necessary, schedule a meeting with DEP SWD office to discuss the proposal.	03/31/2023
c) Obtain the Department's approval for the plan of action.	04/30/2023
d) Implement the plan of action.	12/31/2023
e) Comply with the final limit for TN or obtain Department approved regulatory relief.	01/01/2024
f) Meet the facility classification and operator staffing requirement in accordance to Rule 62-699.310 (2) (a)1., F.A.C as a Category I, Type I, Class A facility.	01/01/2024

- 2. The following improvement actions shall be completed according to the following schedule:

Improvement Action	Completion Date
a) <u>For the previous permit renewal</u> : Submit collected results from the ground water monitoring wells MWC-1, MW-2, MW-3A, and MW-4 for the primary and secondary drinking water parameters included in Rules 62-550.310 and 62-550.320, F.A.C.	Within 30 days from the sampling event
b) <u>For the future permit renewal</u> : Sample the monitoring wells approved by the Department in accordance with Permit Condition III.B.12 and submit the analytical results with the permit renewal application.	Within six months of submittal of item a), above

2. The following improvement actions shall be completed according to the following schedule:
3. The permittee is not authorized to discharge to waters of the state after the expiration date of this permit, unless:
  - a. The permittee has applied for renewal of this permit at least 180 days before the expiration date of this permit using the appropriate forms listed in Rule 62-620.910, F.A.C., and in the manner established in the Department of Environmental Protection Guide to Permitting Wastewater Facilities or Activities Under Chapter 62-620, F.A.C., including submittal of the appropriate processing fee set forth in Rule 62-4.050, F.A.C.; or
  - b. The permittee has made complete the application for renewal of this permit before the permit expiration date.

*[62-620.335(1)-(4)]*

**VII. INDUSTRIAL PRETREATMENT PROGRAM REQUIREMENTS**

1. This facility is not required to have a pretreatment program at this time. *[62-625.500]*

**VIII. OTHER SPECIFIC CONDITIONS**

1. In the event that the wastewater facilities or equipment, including collection/transmission systems, no longer function as intended, are no longer safe in terms of public health and safety (including inactive or abandoned facilities), or odor, noise, aerosol drift, or lighting adversely affects neighboring developed areas at the levels prohibited by paragraphs 62-600.400(2)(a) and 62-604.400(2)(c), F.A.C., corrective action (which may include additional maintenance or modifications of the permitted facilities) shall be taken by the permittee. Other corrective action may be required to ensure compliance with rules of the Department. Additionally, the treatment, management, use or land application of residuals shall not cause a violation of the odor prohibition in subsection 62-296.320(2), F.A.C. *[62-600.410(5), 62-604.500(3) and 62-640.400(6)]*
2. All collection/transmission systems shall be operated and maintained to provide uninterrupted service. All pump stations shall be operated and maintained to provide the emergency pumping capability requirements in paragraph 62-604.400(2)(a), F.A.C., the lightning and transient voltage surge protections in paragraph 62-604.400(2)(b), F.A.C., and the design and signage requirements in paragraph 62-604.400(2)(d), F.A.C. Also, all equipment, pipes, manholes, pump stations, and other appurtenances necessary for the collection/transmission of domestic wastewater, including equipment provided pursuant to subsection 62-604.400(2), F.A.C., shall be maintained to function as intended. *[62-604.500(2) and (3)]*
3. The permittee shall evaluate and update the emergency response plan portion of the collection system operation and maintenance manual annually. The emergency response plan shall assess collection system security including cybersecurity; water quality monitoring for sanitary sewer overflows affecting surface waters; and hurricane and severe storm preparedness and response. *[62-604.500(4)]*
4. Collection/transmission systems shall be maintained to minimize excessive infiltration and inflow into the collection/transmission system, as well as excessive leakage from the collection/transmission system. The permittee shall take corrective actions when infiltration, inflow, or leakage is excessive. Infiltration and inflow are considered excessive if one or both cause or contribute to sanitary sewer overflows. Leakage, or exfiltration,



is considered excessive if it causes or contributes to a violation of surface water quality standards or ground water quality standards. *[62-604.500(5)]*

5. All collection/transmission systems shall be operated and maintained to prevent sanitary sewer overflows. The permittee shall evaluate the cause of all sanitary sewer overflows and evaluate potential corrective measures to avoid future sanitary sewer overflows. Corrective actions shall be taken by the permittee if excessive inflow and infiltration causes or contributes to a sanitary sewer overflow. The owner/operator of a satellite collection system shall take corrective actions for a sanitary sewer overflow in the receiving collection system caused by excessive inflow and infiltration in the satellite collection system. *[62-604.500(6)]*
6. The deliberate introduction of stormwater in any amount into collection/transmission systems designed solely for the introduction (and conveyance) of domestic/industrial wastewater; or the deliberate introduction of stormwater into collection/transmission systems designed for the introduction or conveyance of combinations of storm and domestic/industrial wastewater in amounts which may reduce the efficiency of pollutant removal by the treatment plant is prohibited, except as provided by Rule 62-610.472, F.A.C. *[62-604.130(4)]*
7. Cross-connection, as defined in Rule 62-550.200, F.A.C., between the wastewater facility, including the collection/transmission system, and a potable water system is prohibited. *[62-550.360][62-604.130(3)]*
8. The collection/transmission operation and maintenance manual shall be maintained and revised periodically in accordance with subsection 62-604.500(4), F.A.C., to reflect any alterations performed or to reflect experience resulting from operation. However, a new operation and maintenance manual is not required to be developed for each project if there is already an existing manual that is applicable to the facilities being constructed. *[62-604.500(4)]*
9. Collection/transmission system overflows shall be reported to the Department in accordance with Permit Condition IX. 20. *[62-604.550] [62-620.610(20)]*
10. The operating authority of a collection/transmission system and the permittee of a treatment plant are prohibited from accepting connections of wastewater discharges which have not received necessary pretreatment or which contain materials or pollutants (other than normal domestic wastewater constituents):
  - a. Which may cause fire or explosion hazards; or
  - b. Which may cause excessive corrosion or other deterioration of wastewater facilities due to chemical action or pH levels; or
  - c. Which are solid or viscous and obstruct flow or otherwise interfere with wastewater facility operations or treatment; or
  - d. Which result in the wastewater temperature at the introduction of the treatment plant exceeding 40°C or otherwise inhibiting treatment; or
  - e. Which result in the presence of toxic gases, vapors, or fumes that may cause worker health and safety problems.*[62-604.130(5)]*
11. The treatment facility, storage ponds for Part II systems, rapid infiltration basins, and/or infiltration trenches shall be enclosed with a fence or otherwise provided with features to discourage the entry of animals and unauthorized persons. *[62-610.518(1) and 62-600.400(2)(b)]*
12. Screenings and grit removed from the wastewater facilities shall be collected in suitable containers and hauled to a Department approved Class I landfill or to a landfill approved by the Department for receipt/disposal of screenings and grit. *[62-701.300(1)(a)]*

13. Where required by Chapter 471 or Chapter 492, F.S., applicable portions of reports that must be submitted under this permit shall be signed and sealed by a professional engineer or a professional geologist, as appropriate. *[62-620.310(4)]*
14. The permittee shall provide verbal notice to the Department's Southwest District Office as soon as practical after discovery of a sinkhole or other karst feature within an area for the management or application of wastewater, wastewater residuals (sludges), or reclaimed water. The permittee shall immediately implement measures appropriate to control the entry of contaminants and shall detail these measures to the Department's Southwest District Office in a written report within 7 days of the sinkhole discovery. *[62-620.320(6)]*
15. The permittee shall provide notice to the Department of the following:
  - a. Any new introduction of pollutants into the facility from an industrial discharger which would be subject to Chapter 403, F.S., and the requirements of Chapter 62-620, F.A.C., if it were directly discharging those pollutants; and
  - b. Any substantial change in the volume or character of pollutants being introduced into that facility by a source which was identified in the permit application and known to be discharging at the time the permit was issued.Notice shall include information on the quality and quantity of effluent introduced into the facility and any anticipated impact of the change on the quantity or quality of effluent or reclaimed water to be discharged from the facility. If pretreatment becomes necessary, this permit may be modified to require the permittee to develop and implement a local pretreatment program in accordance with the requirements of Chapter 62-625, F.A.C.

*[62-620.625(2)]*

## **IX. GENERAL CONDITIONS**

1. The terms, conditions, requirements, limitations, and restrictions set forth in this permit are binding and enforceable pursuant to Chapter 403, Florida Statutes. Any permit noncompliance constitutes a violation of Chapter 403, Florida Statutes, and is grounds for enforcement action, permit termination, permit revocation and reissuance, or permit revision. *[62-620.610(1)]*
2. This permit is valid only for the specific processes and operations applied for and indicated in the approved drawings or exhibits. Any unauthorized deviations from the approved drawings, exhibits, specifications, or conditions of this permit constitutes grounds for revocation and enforcement action by the Department. *[62-620.610(2)]*
3. As provided in subsection 403.087(7), F.S., the issuance of this permit does not convey any vested rights or any exclusive privileges. Neither does it authorize any injury to public or private property or any invasion of personal rights, nor authorize any infringement of federal, state, or local laws or regulations. This permit is not a waiver of or approval of any other Department permit or authorization that may be required for other aspects of the total project which are not addressed in this permit. *[62-620.610(3)]*
4. This permit conveys no title to land or water, does not constitute state recognition or acknowledgment of title, and does not constitute authority for the use of submerged lands unless herein provided and the necessary title or leasehold interests have been obtained from the State. Only the Trustees of the Internal Improvement Trust Fund may express State opinion as to title. *[62-620.610(4)]*
5. This permit does not relieve the permittee from liability and penalties for harm or injury to human health or welfare, animal or plant life, or property caused by the construction or operation of this permitted source; nor does it allow the permittee to cause pollution in contravention of Florida Statutes and Department rules, unless specifically authorized by an order from the Department. The permittee shall take all reasonable steps to minimize or prevent any discharge, reuse of reclaimed water, or residuals use or disposal in violation of this permit which has a reasonable likelihood of adversely affecting human health or the environment. It shall not be a defense for

a permittee in an enforcement action that it would have been necessary to halt or reduce the permitted activity in order to maintain compliance with the conditions of this permit. *[62-620.610(5)]*

6. If the permittee wishes to continue an activity regulated by this permit after its expiration date, the permittee shall apply for and obtain a new permit. *[62-620.610(6)]*
7. The permittee shall at all times properly operate and maintain the facility and systems of treatment and control, and related appurtenances, that are installed and used by the permittee to achieve compliance with the conditions of this permit. This provision includes the operation of backup or auxiliary facilities or similar systems when necessary to maintain or achieve compliance with the conditions of the permit. *[62-620.610(7)]*
8. This permit may be modified, revoked and reissued, or terminated for cause. The filing of a request by the permittee for a permit revision, revocation and reissuance, or termination, or a notification of planned changes or anticipated noncompliance does not stay any permit condition. *[62-620.610(8)]*
9. The permittee, by accepting this permit, specifically agrees to allow authorized Department personnel, including an authorized representative of the Department and authorized EPA personnel, when applicable, upon presentation of credentials or other documents as may be required by law, and at reasonable times, depending upon the nature of the concern being investigated, to:
  - a. Enter upon the permittee's premises where a regulated facility, system, or activity is located or conducted, or where records shall be kept under the conditions of this permit;
  - b. Have access to and copy any records that shall be kept under the conditions of this permit;
  - c. Inspect the facilities, equipment, practices, or operations regulated or required under this permit; and
  - d. Sample or monitor any substances or parameters at any location necessary to assure compliance with this permit or Department rules.

*[62-620.610(9)]*

10. In accepting this permit, the permittee understands and agrees that all records, notes, monitoring data, and other information relating to the construction or operation of this permitted source which are submitted to the Department may be used by the Department as evidence in any enforcement case involving the permitted source arising under the Florida Statutes or Department rules, except as such use is proscribed by Section 403.111, F.S., or Rule 62-620.302, F.A.C. Such evidence shall only be used to the extent that it is consistent with the Florida Rules of Civil Procedure and applicable evidentiary rules. *[62-620.610(10)]*
11. When requested by the Department, the permittee shall within a reasonable time provide any information required by law which is needed to determine whether there is cause for revising, revoking and reissuing, or terminating this permit, or to determine compliance with the permit. The permittee shall also provide to the Department upon request copies of records required by this permit to be kept. If the permittee becomes aware of relevant facts that were not submitted or were incorrect in the permit application or in any report to the Department, such facts or information shall be promptly submitted or corrections promptly reported to the Department. *[62-620.610(11)]*
12. Unless specifically stated otherwise in Department rules, the permittee, in accepting this permit, agrees to comply with changes in Department rules and Florida Statutes after a reasonable time for compliance; provided, however, the permittee does not waive any other rights granted by Florida Statutes or Department rules. A reasonable time for compliance with a new or amended surface water quality standard, other than those standards addressed in Rule 62-302.500, F.A.C., shall include a reasonable time to obtain or be denied a mixing zone for the new or amended standard. *[62-620.610(12)]*
13. The permittee, in accepting this permit, agrees to pay the applicable regulatory program and surveillance fee in accordance with Rule 62-4.052, F.A.C. *[62-620.610(13)]*

14. This permit is transferable only upon Department approval in accordance with Rule 62-620.340, F.A.C. The permittee shall be liable for any noncompliance of the permitted activity until the transfer is approved by the Department. *[62-620.610(14)]*
15. The permittee shall give the Department written notice at least 60 days before inactivation or abandonment of a wastewater facility or activity and shall specify what steps will be taken to safeguard public health and safety during and following inactivation or abandonment. *[62-620.610(15)]*
16. The permittee shall apply for a revision to the Department permit in accordance with Rules 62-620.300, F.A.C., and the Department of Environmental Protection Guide to Permitting Wastewater Facilities or Activities Under Chapter 62-620, F.A.C., at least 90 days before construction of any planned substantial modifications to the permitted facility is to commence or with Rule 62-620.325(2), F.A.C., for minor modifications to the permitted facility. A revised permit shall be obtained before construction begins except as provided in Rule 62-620.300, F.A.C. *[62-620.610(16)]*
17. The permittee shall give advance notice to the Department of any planned changes in the permitted facility or activity which may result in noncompliance with permit requirements. The permittee shall be responsible for any and all damages which may result from the changes and may be subject to enforcement action by the Department for penalties or revocation of this permit. The notice shall include the following information:
  - a. A description of the anticipated noncompliance;
  - b. The period of the anticipated noncompliance, including dates and times; and
  - c. Steps being taken to prevent future occurrence of the noncompliance.

*[62-620.610(17)]*

18. Sampling and monitoring data shall be collected and analyzed in accordance with Rule 62-4.246 and Chapters 62-160, 62-600, and 62-610, F.A.C., and 40 CFR 136, as appropriate.
  - a. Monitoring results shall be reported at the intervals specified elsewhere in this permit and shall be reported on a Discharge Monitoring Report (DMR), DEP Form 62-620.910(10), or as specified elsewhere in the permit.
  - b. If the permittee monitors any contaminant more frequently than required by the permit, using Department approved test procedures, the results of this monitoring shall be included in the calculation and reporting of the data submitted in the DMR.
  - c. Calculations for all limitations which require averaging of measurements shall use an arithmetic mean unless otherwise specified in this permit.
  - d. Except as specifically provided in Rule 62-160.300, F.A.C., any laboratory test required by this permit shall be performed by a laboratory that has been certified by the Department of Health Environmental Laboratory Certification Program (DOH ELCP). Such certification shall be for the matrix, test method and analyte(s) being measured to comply with this permit. For domestic wastewater facilities, testing for parameters listed in Rule 62-160.300(4), F.A.C., shall be conducted under the direction of a certified operator.
  - e. Field activities including on-site tests and sample collection shall follow the applicable standard operating procedures described in DEP-SOP-001/01 adopted by reference in Chapter 62-160, F.A.C.
  - f. Alternate field procedures and laboratory methods may be used where they have been approved in accordance with Rules 62-160.220, and 62-160.330, F.A.C.

*[62-620.610(18)]*

19. Reports of compliance or noncompliance with, or any progress reports on, interim and final requirements contained in any compliance schedule detailed elsewhere in this permit shall be submitted no later than 14 days following each schedule date. *[62-620.610(19)]*

20. The permittee shall report to the Department any noncompliance which may endanger health or the environment. Any information shall be provided orally within 24 hours from the time the permittee becomes aware of the circumstances. A written submission shall also be provided within five days of the time the permittee becomes aware of the circumstances. The written submission shall contain a description of the noncompliance and its cause; the period of noncompliance including exact dates and times, and if the noncompliance has not been corrected, the anticipated time it is expected to continue; clean up actions taken and status; and steps taken or planned to reduce, eliminate, and prevent recurrence of the noncompliance. For noncompliance events related to sanitary sewer overflows, bypass events, or unauthorized discharges, these reports must include the data described above (with the exception of time of discovery) as well as the type of event (e.g., sanitary sewer overflow, bypass, unauthorized discharge); type of sanitary sewer overflow structure (e.g., manhole); the discharge location address and latitude/longitude; type of water discharged; discharge volumes and volumes recovered; volume discharged to surface waters and receiving waterbody name; types of human health and environmental impacts of the sanitary sewer overflow, bypass event, or unauthorized discharge (e.g., beach closure); whether the noncompliance was caused by a third party; and whether the noncompliance was related to wet weather. The written submission may be provided electronically using the Department's Business Portal at <https://www.fldepportal.com/go/> (via "Submit" followed by "Report" or "Registration/Notification"). Notice required for public notice of pollution under paragraph IX.20. (d) may be provided together with the written submission using the Business Portal. All noncompliance events related to sanitary sewer overflows or bypass events submitted after (effective date of rule), shall be submitted electronically.
- a. The following shall be included as information which must be reported within 24 hours under this condition:
    - (1) Any unanticipated bypass which causes any reclaimed water or the effluent to exceed any permit limitation or results in an unpermitted discharge,
    - (2) Any upset which causes any reclaimed water or the effluent to exceed any limitation in the permit,
    - (3) Violation of a maximum daily discharge limitation for any of the pollutants specifically listed in the permit for such notice; and,
    - (4) Any unauthorized discharge to surface or ground waters, except for discharges to ground water of reclaimed water meeting Part III or Part V treatment standards under Chapter 62-610, F.A.C.
  - b. Oral reports as required by this subsection shall be provided as follows:
    - (1) For unauthorized releases or spills of treated or untreated wastewater reported pursuant to subparagraph (a)4., that are in excess of 1,000 gallons per incident, or where information indicates that public health or the environment will be endangered, oral reports shall be provided to the Department by calling the STATE WATCH OFFICE TOLL FREE NUMBER (800)320-0519, as soon as practicable, but no later than 24 hours from the time the permittee becomes aware of the discharge. The permittee, to the extent known, shall provide the following information to the State Watch Office:
      - (a) Name, address, and telephone number of person reporting,
      - (b) Name, address, and telephone number of permittee or responsible person for the discharge,
      - (c) Date and time of the discharge and status of discharge (ongoing or ceased),
      - (d) Characteristics of the wastewater spilled or released (untreated or treated, industrial or domestic wastewater),
      - (e) Estimated amount of the discharge,
      - (f) Location or address of the discharge,
      - (g) Source and cause of the discharge,
      - (h) Whether the discharge was contained on-site, and cleanup actions taken to date,
      - (i) Description of area affected by the discharge, including name of water body affected, if any; and,
      - (j) Other persons or agencies contacted.
    - (2) Oral reports, not otherwise required to be provided pursuant to subparagraph IX.20. (b)1., above, shall be provided to the Department within 24 hours from the time the permittee becomes aware of the circumstances.
  - c. If the oral report has been received within 24 hours, the noncompliance has been corrected, and the noncompliance did not endanger health or the environment, the Department shall waive the written report.
  - d. In accordance with Section 403.077, F.S., unauthorized releases or spills reportable to the State Watch Office pursuant to subparagraph (b)1. above shall also be reported to the Department within 24 hours from the time the permittee becomes aware of the discharge. The permittee shall provide to the Department information

- reported to the State Watch Office. Notice of unauthorized releases or spills may be provided to the Department through the Department's Public Notice of Pollution web page at <https://floridadep.gov/pollutionnotice> or by reporting electronically using the Department's Business Portal at <https://www.fldepportal.com/go/> (via "Submit" followed by "Report" or "Registration/Notification").
- (1) If, after providing notice pursuant to paragraph IX.20.(d) above, the permittee determines that a reportable unauthorized release or spill did not occur or that an amendment to the notice is warranted, the permittee may submit a letter to the Department documenting such determination at [pollution.notice@floridadep.gov](mailto:pollution.notice@floridadep.gov).
  - (2) If, after providing notice pursuant to paragraph IX.20.(d) above, the permittee discovers that a reportable unauthorized release or spill has migrated outside the property boundaries of the installation, the permittee must provide an additional notice to the Department that the release has migrated outside the property boundaries within 24 hours after its discovery of the migration outside of the property boundaries.
- e. Unless discharged to surface waters, a spill, release, discharge, upset or bypass involving reclaimed water meeting Part III or Part V treatment standards under Chapter 62-610, F.A.C., shall not be considered to endanger health or the environment and shall be reported under subsection IX.(21) of this permit.

*[62-620.610(20)] [62-620.100(3)]*

21. The permittee shall report all instances of noncompliance not reported under Permit Conditions IX.17., IX.18., or IX.19. of this permit at the time monitoring reports are submitted. This report shall contain the same information required by Permit Condition IX.20. of this permit. *[62-620.610(21)]*

22. Bypass Provisions.

- a. "Bypass" means the intentional diversion of waste streams from any portion of a treatment works.
- b. Bypass is prohibited, and the Department may take enforcement action against a permittee for bypass, unless the permittee affirmatively demonstrates that:
  - (1) Bypass was unavoidable to prevent loss of life, personal injury, or severe property damage; and
  - (2) There were no feasible alternatives to the bypass, such as the use of auxiliary treatment facilities, retention of untreated wastes, or maintenance during normal periods of equipment downtime. This condition is not satisfied if adequate back-up equipment should have been installed in the exercise of reasonable engineering judgment to prevent a bypass which occurred during normal periods of equipment downtime or preventive maintenance; and
  - (3) The permittee submitted notices as required under Permit Condition IX.22.c. of this permit.
- c. If the permittee knows in advance of the need for a bypass, it shall submit prior notice to the Department, if possible at least 10 days before the date of the bypass. The permittee shall submit notice of an unanticipated bypass within 24 hours of learning about the bypass as required in Permit Condition IX.20. of this permit. A notice shall include a description of the bypass and its cause; the period of the bypass, including exact dates and times; if the bypass has not been corrected, the anticipated time it is expected to continue; and the steps taken or planned to reduce, eliminate, and prevent recurrence of the bypass.
- d. The Department shall approve an anticipated bypass, after considering its adverse effect, if the permittee demonstrates that it will meet the three conditions listed in Permit Condition IX.22.b.(1) through (3) of this permit.
- e. A permittee may allow any bypass to occur which does not cause reclaimed water or effluent limitations to be exceeded if it is for essential maintenance to assure efficient operation. These bypasses are not subject to the provisions of Permit Condition IX.22.b. through d. of this permit.

*[62-620.610(22)]*

23. Upset Provisions.

- a. "Upset" means an exceptional incident in which there is unintentional and temporary noncompliance with technology-based effluent limitations because of factors beyond the reasonable control of the permittee.

PERMITTEE: CSWR-Florida Utility Operating Company, LLC  
FACILITY: Beverly Hills WWTF

PERMIT NUMBER: FLA011869-012-DWF/MT

- (1) An upset does not include noncompliance caused by operational error, improperly designed treatment facilities, inadequate treatment facilities, lack of preventive maintenance, careless or improper operation.
  - (2) An upset constitutes an affirmative defense to an action brought for noncompliance with technology-based permit effluent limitations if the requirements of upset provisions of Rule 62-620.610, F.A.C., are met.
- b. A permittee who wishes to establish the affirmative defense of upset shall demonstrate, through properly signed contemporaneous operating logs, or other relevant evidence that:
- (1) An upset occurred and that the permittee can identify the cause(s) of the upset;
  - (2) The permitted facility was at the time being properly operated;
  - (3) The permittee submitted notice of the upset as required in Permit Condition IX.20. of this permit; and
  - (4) The permittee complied with any remedial measures required under Permit Condition IX.5. of this permit.
- c. In any enforcement proceeding, the burden of proof for establishing the occurrence of an upset rests with the permittee.
- d. Before an enforcement proceeding is instituted, no representation made during the Department review of a claim that noncompliance was caused by an upset is final agency action subject to judicial review.

[62-620.610(23)]

Executed in Orlando, Florida.

STATE OF FLORIDA DEPARTMENT OF ENVIRONMENTAL PROTECTION



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for Shannon Herbon  
Program Administrator  
Permitting & Waste Cleanup Program  
Southwest District



# Southwest Florida Water Management District

2379 Broad Street, Brooksville, Florida 34604-6899  
(352) 796-7211 or 1-800-423-1476 (FL only)  
SUNCOM 628-4150 TDD only 1-800-231-6103 (FL only)  
On the Internet at: [WaterMatters.org](http://WaterMatters.org)

An Equal  
Opportunity  
Employer

**Bartow Service Office**  
170 Century Boulevard  
Bartow, Florida 33830-7700  
(863) 534-1448 or  
1-800-492-7862 (FL only)

**Sarasota Service Office**  
6750 Fruitville Road  
Sarasota, Florida 34240-9711  
(941) 377-3722 or  
1-800-320-3503 (FL only)

**Tampa Service Office**  
7601 Highway 301 North  
Tampa, Florida 33637-6759  
(813) 985-7481 or  
1-800-836-0797 (FL only)

August 20, 2018

Rolling Oaks Utilities, Inc./Attn: Mr. Collins  
P.O. Box 641030  
Beverly Hills, FL 34464

Subject: **Notice of Intended Agency Action Letter -- Approval**  
Water Use Permit No.: 20 004153.014  
Project Name: Rolling Oaks Utilities  
County: Citrus

Dear Sir/Madam:

The Southwest Florida Water Management District (District) has completed its review of the application for Water Use Permit No. 20 004153.014. Based upon a review of the information you have submitted, the District hereby gives notice of its intended approval of the application.

The File of Record associated with this application can be viewed at <http://www18.swfwmd.state.fl.us/Search/Search/SearchWupSimple.aspx> and is also available for inspection Monday through Friday, except for District holidays, from 8:00 a.m. through 5:00 p.m. at the District's Tampa Service Office, 7601 U.S. Highway 301 North, Tampa, Florida 33637.

If you have any questions or concerns regarding the application or any other information, please contact the Water Use Permit Bureau in the Tampa Service Office.

Sincerely,

Darrin W. Herbst, P.G.  
Bureau Chief  
Water Use Permit Bureau

cc: Holtz Consulting Engineers, Inc.





# Southwest Florida Water Management District

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(352) 796-7211 or 1-800-423-1476 (FL only)  
SUNCOM 628-4150 TDD only 1-800-231-6103 (FL only)  
On the Internet at: [WaterMatters.org](http://WaterMatters.org)

An Equal  
Opportunity  
Employer

**Bartow Service Office**  
170 Century Boulevard  
Bartow, Florida 33830-7700  
(863) 534-1448 or  
1-800-492-7862 (FL only)

**Sarasota Service Office**  
6750 Fruitville Road  
Sarasota, Florida 34240-9711  
(941) 377-3722 or  
1-800-320-3503 (FL only)

**Tampa Service Office**  
7601 Highway 301 North  
Tampa, Florida 33637-6759  
(813) 985-7481 or  
1-800-836-0797 (FL only)

August 20, 2018

Rolling Oaks Utilities, Inc./Attn: Mr. Collins  
P.O. Box 641030  
Beverly Hills, FL 34464

Subject: **Notice of Agency Action -- Approval**  
Water Use Permit No.: 20 004153.014  
Project Name: Rolling Oaks Utilities  
County: Citrus

Dear Sir/Madam:

The Southwest Florida Water Management District (District) is in receipt of your application for Water Use Permit No. 20 004153.014. Based upon a review of the information you submitted, the application is approved. A copy of the permit is enclosed for your records. Please refer to the attached Notice of Rights to determine any legal rights you may have concerning the District's agency action on the permit application described in this letter.

The District's action in this matter only becomes closed to future legal challenges from members of the public if such persons have been properly notified of the District's action and no person objects to the District's action within the prescribed period of time following the notification. The District does not publish notices of agency action. If you wish to limit the time within which a person who does not receive actual written notice from the District may request an administrative hearing regarding this action, you are strongly encouraged to publish, at your own expense, a notice of agency action in the legal advertisement section of a newspaper of general circulation in the county or counties where the activity will occur. Publishing notice of agency action will close the window for filing a petition for hearing. Legal requirements and instructions for publishing notices of agency action, as well as a noticing form that can be used, are available from the District's website at [www.WaterMatters.org/permits/noticing](http://www.WaterMatters.org/permits/noticing). If you publish notice of agency action, a copy of the affidavit of publication provided by the newspaper should be sent to the District's Tampa Service Office for retention in this permit's File of Record.

Please be advised that the Governing Board has formulated a water shortage plan referenced in a Standard Water Use Permit Condition (Exhibit A) of your permit, and will implement such a plan during periods of water shortage. You will be notified during a declared water shortage of any change in the conditions of your Permit or any suspension of your Permit, or of any restriction on your use of water for the duration of any declared water shortage. Please further note that water conservation is a condition of your Permit and should be practiced at all times.

The ID tags for your withdrawals shall be installed by a District representative. This representative will attempt to contact you within 30 days to discuss placement of your tags. If you have any questions or concerns regarding your tags, please contact Frank Gargano at extension 4289, in the Brooksville Service Office. If you have any questions or concerns regarding your permit or any other information, please contact the Water Use Permit Bureau in the Tampa Service Office.

Sincerely,

Darrin W. Herbst, P.G.  
Bureau Chief  
Water Use Permit Bureau  
Regulation Division

Encl:       Permit  
              Notice of Rights

cc:         Holtz Consulting Engineers, Inc.

**SOUTHWEST FLORIDA WATER MANAGEMENT DISTRICT  
WATER USE PERMIT  
Individual  
PERMIT NO. 20 004153.014**

**PERMIT ISSUE DATE:** August 20, 2018

**EXPIRATION DATE:** August 20, 2038

The Permittee is responsible for submitting an application to renew this permit no sooner than one year prior to the expiration date, and no later than the end of the last business day before the expiration date, whether or not the Permittee receives prior notification by mail. Failure to submit a renewal application prior to the expiration date and continuing to withdraw water after the expiration date is a violation of Chapter 373, Florida Statutes, and Chapter 40D-2, Florida Administrative Code, and may result in a monetary penalty and/or loss of the right to use the water. Issuance of a renewal of this permit is contingent upon District approval.

**TYPE OF APPLICATION:** Renewal  
**GRANTED TO:** Rolling Oaks Utilities, Inc./Attn: Mr. Collins  
P.O. Box 641030  
Beverly Hills, FL 34464

**PROJECT NAME:** Rolling Oaks Utilities  
**WATER USE CAUTION AREA(S):** Not in a WUCA  
**COUNTY:** Citrus

<b>TOTAL QUANTITIES AUTHORIZED UNDER THIS PERMIT (in gallons per day)</b>	
ANNUAL AVERAGE	1,573,000 gpd
PEAK MONTH <sup>1</sup>	2,029,100 gpd

<sup>1</sup> Peak Month: Average daily use during the highest water use month.

**ABSTRACT:**

This is a renewal with modification of an existing permit for public supply use. There is no change in use type from the previous revision. The annual average quantity decreases from 2,500,000 gallons per day (gpd) to 1,573,000 gpd, and peak month decreases from 4,250,000 gpd to 2,029,100 gpd. The projected per capita is 134 gallons per day. The modification includes updated population projections and a reduction in the per capita from 160 to 134 gallons per day. Quantities are based on information provided by the applicant and the District's GIS based Utilities Demographic Population program. The Permittee is not using Alternative Water Supply (AWS) sources because none are available at this location at this time.

Special conditions include those that require the Permittee to continue to record and report monthly meter readings from all withdrawal points, continue to submit the Public Supply Annual Report, modify the permit to reflect incorporation of any new alternative sources of water, continue water quality sampling, abide by per capita rate requirements, continue to implement the water conservation plan and submit a progress reports every 5 years, and continue to maintain a water conserving rate structure.

**WATER USE TABLE (in gpd)**

<b><u>USE</u></b>	<b><u>ANNUAL AVERAGE</u></b>	<b><u>PEAK MONTH</u></b>
Public Supply	1,573,000	2,029,100

**USE TYPE**

Commercial/Industrial

Fire Fighting/Testing

Line Flushing

Residential Multi-Family

Residential Single Family

Treatment Losses  
(Backflushing)

Unaccounted Use

**PUBLIC SUPPLY:**

Population Served: 11,362

Per Capita Rate: 134 gpd/person

**WITHDRAWAL POINT QUANTITY TABLE**

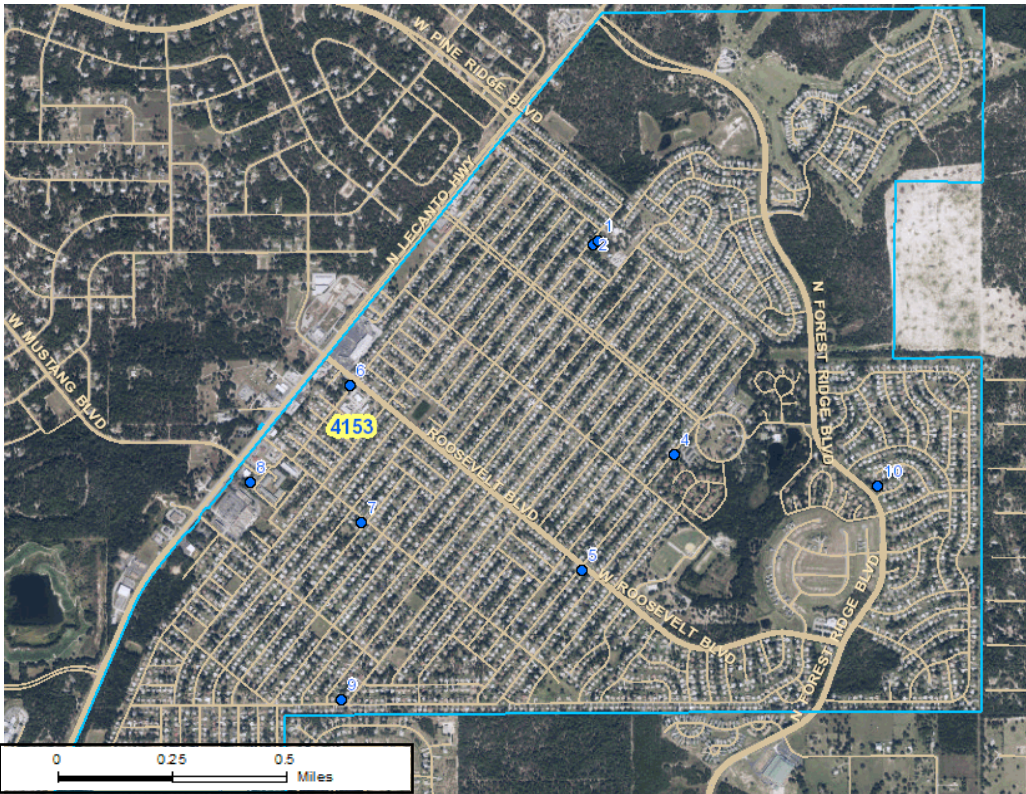
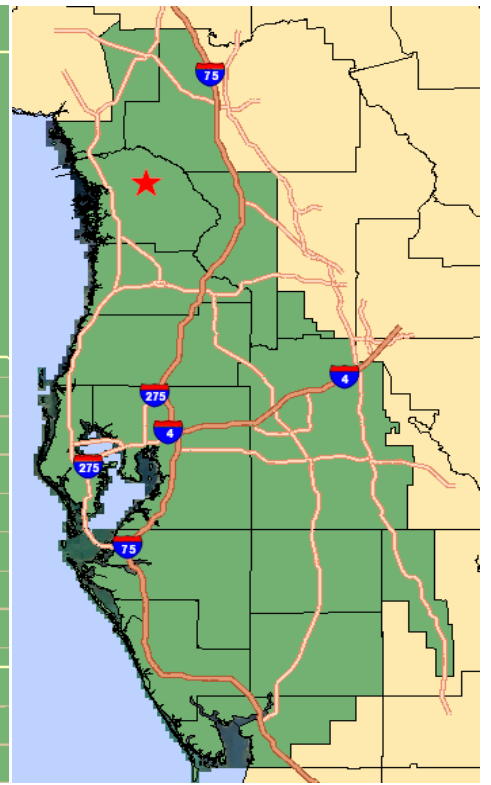
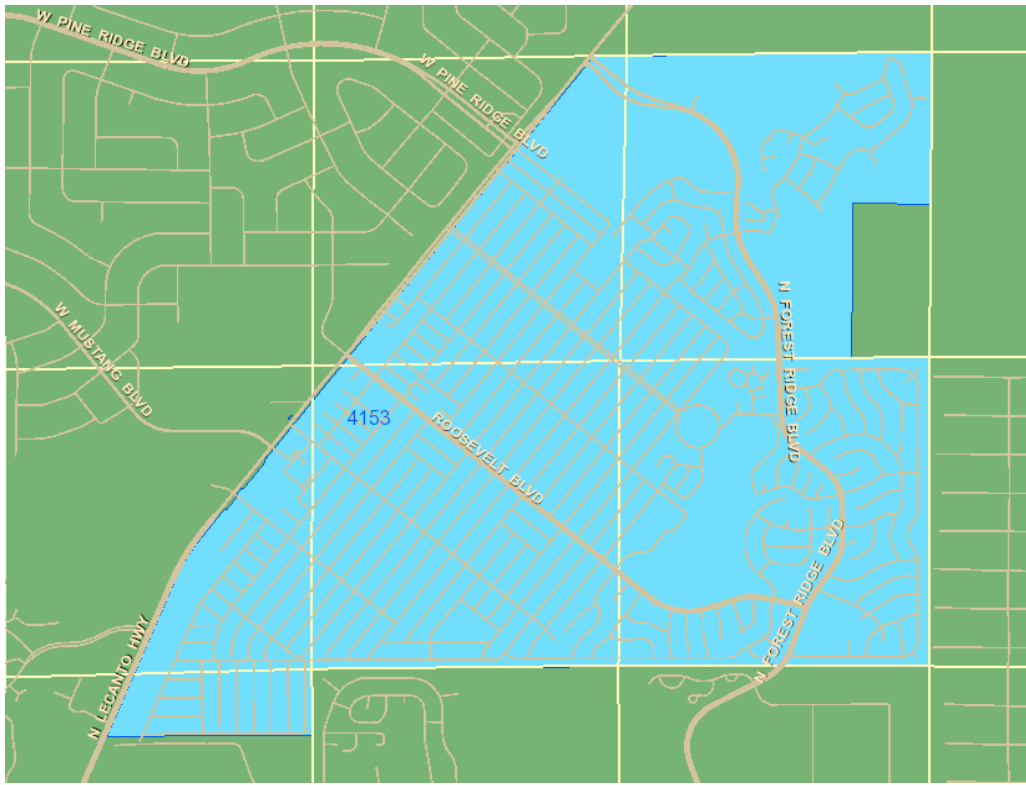
Water use from these withdrawal points are restricted to the quantities given below :

<u>I.D. NO.</u> <u>PERMITTEE/</u> <u>DISTRICT</u>	<u>DIAM</u> <u>(in.)</u>	<u>DEPTH</u> <u>TTL./CSD.FT.</u> <u>(feet bls)</u>	<u>USE DESCRIPTION</u>	<u>AVERAGE</u> <u>(gpd)</u>	<u>PEAK</u> <u>MONTH</u> <u>(gpd)</u>
1 / 1	10	320 / 295	Public Supply	173,000	223,200
2 / 2	10	350 / 295	Public Supply	157,300	202,900
4 / 4	10	280 / 218	Public Supply	110,100	142,000
5 / 5	10	280 / 89	Public Supply	31,500	40,600
6 / 6	10	340 / 219	Public Supply	94,400	121,800
7 / 7	12	405 / 238	Public Supply	204,300	263,500
8 / 8	12	405 / 149	Public Supply	361,800	466,700
9 / 9	12	355 / 192	Public Supply	220,300	284,200
10 / 10	12	300 / 257	Public Supply	220,300	284,200

**WITHDRAWAL POINT LOCATION TABLE**

<u>DISTRICT I.D. NO.</u>	<u>LATITUDE/LONGITUDE</u>
1	28° 55' 36.00"/82° 27' 10.70"
2	28° 55' 35.40"/82° 27' 11.50"
4	28° 55' 04.40"/82° 26' 57.70"
5	28° 54' 47.30"/82° 27' 13.30"
6	28° 55' 14.50"/82° 27' 52.70"
7	28° 54' 54.10"/82° 27' 50.70"
8	28° 55' 00.10"/82° 28' 09.50"
9	28° 54' 28.00"/82° 27' 53.90"
10	28° 54' 59.80"/82° 26' 23.20"

**Location Map**  
**Rolling Oaks Utilities, Inc./Attn: Mr. Collins**  
**WUP No. 20 004153.014**



**Legend**

- DIDs
- WUP Boundary
- 2014 Natural Color Imagery

**CITRUS COUNTY**

**Southwest Florida**  
*Water Management District*

**STANDARD CONDITIONS:**

The Permittee shall comply with the Standard Conditions attached hereto, incorporated herein by reference as Exhibit A and made a part hereof.

**SPECIAL CONDITIONS:**

1. All reports and data required by condition(s) of the permit shall be submitted to the District according to the due date(s) contained in the specific condition. If the condition specifies that a District-supplied form is to be used, the Permittee should use that form in order for their submission to be acknowledged in a timely manner. The only alternative to this requirement is to use the District Permit Information Center ([www.swfwmd.state.fl.us/permits/epermitting/](http://www.swfwmd.state.fl.us/permits/epermitting/)) to submit data, plans or reports online. There are instructions at the District website on how to register to set up an account to do so. If the report or data is received on or before the tenth day of the month following data collection, it shall be deemed as a timely submittal.

All mailed reports and data are to be sent to:

Southwest Florida Water Management District  
Tampa Service Office, Water Use Permit Bureau  
7601 U.S. Hwy. 301 North  
Tampa, Florida 33637-6759

Submission of plans and reports: Unless submitted online or otherwise indicated in the special condition, the original and two copies of each plan and report, such as conservation plans, environmental analyses, aquifer test results, per capita annual reports, etc. are required.

Submission of data: Unless otherwise indicated in the special condition, an original (no copies) is required for data submittals such as crop report forms, meter readings and/or pumpage, rainfall, water level, evapotranspiration, or water quality data.  
(499)

2. Within 90 days of the replacement of any or all withdrawal quantities from ground water or surface water bodies with an Alternative Water Supply, the Permittee shall apply to modify this permit to place equal quantities of permitted withdrawals from the ground and/or surface water resource on standby. The standby quantities can be used in the event that some or all of the alternative source is not available.(363)
3. The Permittee shall immediately implement the District-approved water conservation plan that was submitted in support of the application for this permit. Conservation measures that the Permittee has already implemented shall continue, and proposed conservation measures shall be implemented as proposed in the plan. Progress reports on the implementation of water conservation practices indicated as proposed in the plan as well as achievements in water savings that have been realized from each water conservation practice shall be submitted September 1, 2023, September 1, 2028, September 1, 2033, and September 1, 2037.(449)
4. The Permittee shall investigate the feasibility of increasing the use of or using reclaimed water for irrigation when notified by the District that reclaimed water may be available in sufficient supply to be utilized for this permit. The Permittee shall submit a report documenting the feasibility investigation within six months of the notification. The report shall contain an analysis of reclaimed water sources for the area, including the relative location of these sources to the Permittee's property, the quantity of reclaimed water available, the projected date(s) of availability, costs associated with obtaining the reclaimed water, and an implementation schedule for reuse, if feasible. Infeasibility shall be supported with a detailed explanation. If the use of reclaimed water is determined to be feasible by the Permittee or by the District, then the Permittee shall submit an application to modify this water use permit to include reclaimed water as a source of water. The modification application shall include a date when the reclaimed water will be available and shall indicate a proposed reduction in permitted quantities. If the permit application is not submitted by the Permittee, the District may reduce, following notice to the Permittee, the quantities authorized with this permit to account for the availability of reclaimed water.  
(458)

5. Any wells not in use, and in which pumping equipment is not installed shall be capped or valved in a water tight manner in accordance with Chapter 62-532.500, F.A.C.(568)
  6. Beginning January 1, 2012, the Permittee shall comply with the following requirements:
    - A. Customer billing period usage shall be placed on each utility-metered, customer's bill.
    - B. Meters shall be read and customers shall be billed no less frequently than bi-monthly.
    - C. The following information, as applicable to the customer, shall be provided at least once each calendar year and a summary of the provisions shall be provided to the District annually as described in Section D, below. The information shall be provided by postal mailings, bill inserts, online notices, on the bill or by other means. If billing units are not in gallons, a means to convert the units to gallons must be provided.
      1. To each utility-metered customer in each customer class - Information describing the rate structure and shall include any applicable:
        - a. Fixed and variable charges,
        - b. Minimum charges and the quantity of water covered by such charges,
        - c. Price block quantity thresholds and prices,
        - d. Seasonal rate information and the months to which they apply, and
        - e. Usage surcharges
      2. To each utility-metered single-family residential customer - Information that the customer can use to compare its water use relative to other single-family customers or to estimate an efficient use and that shall include one or more of the following:
        - a. The average or median single-family residential customer billing period water use calculated over the most recent three year period, or the most recent two year period if a three year period is not available to the utility. Data by billing period is preferred but not required.
        - b. A means to calculate an efficient billing period use based on the customer's characteristics, or
        - c. A means to calculate an efficient billing period use based on the service area's characteristics.
      - D. Annual Report: The following information shall be submitted to the District annually by October 1 of each year of the permit term to demonstrate compliance with the requirements above. The information shall be current as of the October 1 submittal date.
        1. Description of the current water rate structure (rate ordinance or tariff sheet) for potable and non-potable water.
        2. Description of the current customer billing and meter reading practices and any proposed changes to these practices (including a copy of a bill per A above).
        3. Description of the means the permittee uses to make their metered customers aware of rate structures, and how the permittee provides information their metered single-family residential customers can use to compare their water use relative to other single-family customers or estimate an efficient use (see C 1 & 2 above).
- (592)
7. The Permittee shall maintain a water conserving rate structure for the duration of the permit term. Any changes to the water conserving rate structure described in the application shall be described in detail as a component of the next Annual Report on Water Rate, Billing and Meter Reading Practices of the year following the change.(659)
  8. The Permittee shall submit a "Public Supply Annual Report" to the District by April 1 of each year on their water use during the preceding calendar year using the form, "Public Supply Water Use Annual Report Form" (Form No. LEG-R.103.00 (05/14)), referred to in this condition as "the Form," and all required attachments and documentation. The Permittee shall adhere to the "Annual Report Submittal Instructions" attached to and made part of this condition in Exhibit B. The Form addresses the following components in separate sections.

#### **Per Capita Use Rate**

A per capita rate for the previous calendar year will be calculated as provided in Part A of the Form using Part C of the Form to determine Significant Use deduction that may apply. Permittees that cannot achieve a per capita rate of 150 gpd according to the time frames included in the "Instructions for Completion of the Water Use Annual Report," shall include a report on why this rate was not achieved, measures taken to comply with this requirement, and a plan to bring the permit into compliance.

**Residential Use**

Residential use shall be reported in the categories specified in Part B of the Form, and the methodology used to determine the number of dwelling units by type and their quantities used shall be documented in an attachment.

**Non-Residential Use**

Non-residential use quantities provided for use in a community but that are not directly associated with places of residence, as well as the total water losses that occur between the point of output of the treatment plant and accountable end users, shall be reported in Part B of the Form.

**Water Conservation**

In an attachment to the Form, the Permittee shall describe the following:

1. Description of any ongoing audit program of the water treatment plant and distribution systems to address reductions in water losses.
2. An update of the water conservation plan that describes and quantifies the effectiveness of measures currently in practice, any additional measures proposed to be implemented, the scheduled implementation dates, and an estimate of anticipated water savings for each additional measure.
3. A description of the Permittees implementation of water-efficient landscape and irrigation codes or ordinances, public information and education programs, water conservation incentive programs, identification of which measures and programs, if any, were derived from the Conserve Florida Water Conservation Guide, and provide the projected costs of the measures and programs and the projected water savings.

**Water Audit**

If the current water loss rate is greater than 10% of the total distribution quantities, a water audit as described in the "Instructions for Completion of the Water Use Annual Report" shall be conducted and completed by the following July 1, with the results submitted by the following October 1. Indicate on Part A of the Form whether the water audit was done, will be done, or is not applicable.

**Alternative Water Supplied Other Than Reclaimed Water**

If the Permittee provides Alternative Water Supplies other than reclaimed water (e.g., stormwater not treated for potable use) to customers, the information required on Part D of the Form shall be submitted along with an attached map depicting the areas of current Alternative Water Use service and areas that are projected to be added within the next year.

**Suppliers of Reclaimed Water**

1. Permittees having a wastewater treatment facility with an annual average design capacity equal to or greater than 100,000 gpd:

The Permittee shall submit the "SWFWMD Annual Reclaimed Water Supplier Report" on quantities of reclaimed water that was provided to customers during the previous fiscal year (October 1 to September 30). The report shall be submitted in Excel format on the Compact Disk, Form No. LEG-R.026.00 (05/09), that will be provided annually to them by the District. A map depicting the area of reclaimed water service that includes any areas projected to be added within the next year, shall be submitted with this report.

2. Permittees that have a wastewater treatment facility with an annual average design capacity less than 100,000 gpd:

- a. The Permittee has the option to submit the "SWFWMD Annual Reclaimed Water Supplier Report," Form No. LEG-R.026.00, as described in sub-part (1) above, or

- b. Provide information on reclaimed water supplied to customers on Part E of the Form as described in the "Instructions for Completion of the Water Use Annual Report".

**Updated Service Area Map**

If there have been changes to the service area since the previous reporting period, the Permittee shall update the service area using the map that is maintained in the District's Mapping and GIS system. (660)

9. The following withdrawal facilities shall continue to be maintained and operated with existing, non-resettable, totalizing flow meter(s) or other measuring device(s) as approved by the Water Use Permit Bureau Chief: District ID Nos. 1, 2, 4, 5, 6, 7, 8, 9, and 10, Permittee ID Nos. 1, 2, 4, 5, 6, 7, 8, 9, and 10. Monthly meter reading and reporting, as well as meter accuracy checks every five years



shall be in accordance with instructions in Exhibit B, Metering Instructions, attached to and made part of this permit.(719)

10. Water quality samples from the withdrawal points listed below shall be collected after pumping the withdrawal point at its normal rate for a pumping time specified below, or to a constant temperature, pH, and conductivity. The frequency of sampling per water quality parameter is listed in the table according to the withdrawal point. The recording and reporting shall begin according to the first sample date for existing wells and shall begin within 90 days of completion of any proposed wells. Samples shall be collected whether or not the well is being used unless infeasible. If sampling is infeasible, the Permittee shall indicate the reason for not sampling on the water quality data form or in the space for comments in the WUP Portal for data submissions. For sampling, analysis and submittal requirements see Exhibit B, Water Quality Sampling Instructions, attached to and made part of this permit.

Existing District ID Nos. 2, 8, 9, and 10, Permittee ID Nos. 2, 8, 9, and 10, after a minimum pumping time of 15 minutes, on a semi-annually (August and February) basis.  
(752)

11. The compliance per capita daily water use rate shall be no greater than 134 gallons per day (gpd). The Permittee shall calculate the compliance per capita rate as described in the Annual Report Condition on this permit and shall submit the calculations with the Annual Report by April 1 of each year.  
If the compliance per capita rate is greater than 134 gpd, the Permittee shall submit a report that documents why this rate was exceeded, measures previously or currently taken to reduce their compliance per capita rate, and a plan that describes additional measures and implementation dates for those measures to bring their compliance per capita rate to or below 134 gpd. This report shall be submitted with the Annual Report by April 1 for each year the compliance per capita rate exceed 134 gpd. This report is subject to District approval. Justification for exceeding the adjusted gross per capita rate does not constitute a waiver of the District's authority to enforce the terms and conditions of the permit.  
(767)

40D-2  
Exhibit A

**WATER USE PERMIT STANDARD CONDITIONS**

1. With advance notice to the Permittee, District staff with proper identification shall have permission to enter, inspect, collect samples, take measurements, observe permitted and related facilities and collect and document any information deemed necessary to determine compliance with the approved plans, specifications and conditions of this permit. The Permittee shall either accompany District staff onto the property or make provision for access onto the property.
2. When necessary to analyze impacts to the water resource or existing users, the District shall require the Permittee to install flow metering or other measuring devices to record withdrawal quantities and submit the data to the District.
3. A District identification tag shall be prominently displayed at each withdrawal point that is required by the District to be metered or for which withdrawal quantities are required to be reported to the District, by permanently affixing the tag to the withdrawal facility.
4. The Permittee shall mitigate any adverse impact to environmental features or offsite land uses as a result of withdrawals. When adverse impacts occur or are imminent, the District shall require the Permittee to mitigate the impacts. Examples of adverse impacts include the following:
  - A. Significant reduction in levels or flows in water bodies such as lakes, impoundments, wetlands, springs, streams or other watercourses; or
  - B. Damage to crops and other vegetation causing financial harm to the owner;  
and
  - C. Damage to the habitat of endangered or threatened species.
5. The Permittee shall mitigate any adverse impact to existing legal uses caused by withdrawals. When adverse impacts occur or are imminent, the District may require the Permittee to mitigate the impacts. Adverse impacts include:
  - A. A reduction in water levels which impairs the ability of a well to produce water;
  - B. Significant reduction in levels or flows in water bodies such as lakes, impoundments, wetlands, springs, streams or other watercourses; or
  - C. Significant inducement of natural or manmade contaminants into a water supply or into a usable portion of an aquifer or water body.
6. Permittee shall notify the District in writing within 30 days of any sale, transfer, or conveyance of ownership or any other loss of permitted legal control of the Project and / or related facilities from which the permitted consumptive use is made. Where Permittee's control of the land subject to the permit was demonstrated through a lease, the Permittee must either submit documentation showing that it continues to have legal control or transfer control of the permitted system / project to the new landowner or new lessee. All transfers of ownership are subject to the requirements of Rule 40D-1.6105, F.A.C. Alternatively, the Permittee may surrender the consumptive use permit to the District, thereby relinquishing the right to conduct any activities under the permit.
7. All withdrawals authorized by this WUP shall be implemented as conditioned by this permit, including any documents submitted as part of the permit application incorporated by reference in a permit condition. This permit is subject to review and modification, enforcement action, or revocation, in whole or in part, pursuant to Section 373.136 or 373.243, F.S.
8. This permit does not convey to the Permittee any property rights or privileges other than those specified herein, nor relieve the Permittee from complying with any applicable local government, state, or federal law, rule, or ordinance.
9. The Permittee shall cease or reduce surface water withdrawal as directed by the District if water levels in lakes fall below the applicable minimum water level established in Chapter 40D-8, F.A.C., or rates of flow in streams fall below the minimum levels established in Chapter 40D-8, F.A.C.
10. The Permittee shall cease or reduce withdrawal as directed by the District if water levels in aquifers fall below the minimum levels established by the Governing Board.

11. A Permittee may seek modification of any term of an unexpired permit. The Permittee is advised that section 373.239, F.S., and Rule 40D-2.331, F.A.C., are applicable to permit modifications.
12. The Permittee shall practice water conservation to increase the efficiency of transport, application, and use, as well as to decrease waste and to minimize runoff from the property. At such time as the Governing Board adopts specific conservation requirements for the Permittee's water use classification, this permit shall be subject to those requirements upon notice and after a reasonable period for compliance.
13. The District may establish special regulations for Water-Use Caution Areas. At such time as the Governing Board adopts such provisions, this permit shall be subject to them upon notice and after a reasonable period for compliance.
14. Nothing in this permit should be construed to limit the authority of the District to declare a water shortage and issue orders pursuant to chapter 373, F.S. In the event of a declared water shortage, the Permittee must adhere to the water shortage restrictions, as specified by the District. The Permittee is advised that during a water shortage, reports shall be submitted as required by District rule or order.
15. This permit is issued based on information provided by the Permittee demonstrating that the use of water is reasonable and beneficial, consistent with the public interest, and will not interfere with any existing legal use of water. If, during the term of the permit, it is determined by the District that a statement in the application and in the supporting data are found to be untrue and inaccurate, the use is not reasonable and beneficial, in the public interest, or does impact an existing legal use of water, the Governing Board shall modify this permit or shall revoke this permit following notice and hearing, pursuant to sections 373.136 or 373.243, F.S. The Permittee shall immediately notify the District in writing of any previously submitted information that is later discovered to be inaccurate.
16. All permits are contingent upon continued ownership or legal control of all property on which pumps, wells, diversions or other water withdrawal facilities are located.

Exhibit B  
Instructions

METERING INSTRUCTIONS

The Permittee shall meter withdrawals from surface waters and/or the ground water resources, and meter readings from each withdrawal facility shall be recorded on a monthly basis within the last week of the month. The meter reading(s) shall be reported to the Water Use Permit Bureau on or before the tenth day of the following month for monthly reporting frequencies. For bi-annual reporting, the data shall be recorded on a monthly basis and reported on or before the tenth day of the month following the sixth month of recorded data. The Permittee shall submit meter readings online using the Permit Information Center at [www.swfwmd.state.fl.us/permits/epermitting/](http://www.swfwmd.state.fl.us/permits/epermitting/) or on District supplied scanning forms unless another arrangement for submission of this data has been approved by the District. Submission of such data by any other unauthorized form or mechanism may result in loss of data and subsequent delinquency notifications. Call the Water Use Permit Bureau in Tampa at (813) 985-7481 if difficulty is encountered.

The meters shall adhere to the following descriptions and shall be installed or maintained as follows:

1. The meter(s) shall be non-resettable, totalizing flow meter(s) that have a totalizer of sufficient magnitude to retain total gallon data for a minimum of the three highest consecutive months permitted quantities. If other measuring device(s) are proposed, prior to installation, approval shall be obtained in writing from the Water Use Permit Bureau Chief.
2. The Permittee shall report non-use on all metered standby withdrawal facilities on the scanning form or approved alternative reporting method.
3. If a metered withdrawal facility is not used during any given month, the meter report shall be submitted to the District indicating the same meter reading as was submitted the previous month.
4. The flow meter(s) or other approved device(s) shall have and maintain an accuracy within five percent of the actual flow as installed.
5. Meter accuracy testing requirements:
  - A. For newly metered withdrawal points, the flow meter installation shall be designed for inline field access for meter accuracy testing.
  - B. The meter shall be tested for accuracy on-site, as installed according to the Flow Meter Accuracy Test Instructions in this Exhibit B, every five years in the assigned month for the county, beginning from the date of its installation for new meters or from the date of initial issuance of this permit containing the metering condition with an accuracy test requirement for existing meters.
  - C. The testing frequency will be decreased if the Permittee demonstrates to the satisfaction of the District that a longer period of time for testing is warranted.
  - D. The test will be accepted by the District only if performed by a person knowledgeable in the testing equipment used.
  - E. If the actual flow is found to be greater than 5% different from the measured flow, within 30 days, the Permittee shall have the meter re-calibrated, repaired, or replaced, whichever is necessary. Documentation of the test and a certificate of re-calibration, if applicable, shall be submitted within 30 days of each test or re-calibration.
6. The meter shall be installed according to the manufacturer's instructions for achieving accurate flow to the specifications above, or it shall be installed in a straight length of pipe where there is at least an upstream length equal to ten (10) times the outside pipe diameter and a downstream length equal to two (2) times the outside pipe diameter. Where there is not at least a length of ten diameters upstream available, flow straightening vanes shall be used in the upstream line.
7. Broken or malfunctioning meter:
  - A. If the meter or other flow measuring device malfunctions or breaks, the Permittee shall notify the District within 15 days of discovering the malfunction or breakage.
  - B. The meter must be replaced with a repaired or new meter, subject to the same specifications given above, within 30 days of the discovery.
  - C. If the meter is removed from the withdrawal point for any other reason, it shall be replaced with another meter having the same specifications given above, or the meter shall be reinstalled within 30 days of its removal from the withdrawal. In either event, a fully functioning meter shall not be off the withdrawal point for more than 60 consecutive days.
8. While the meter is not functioning correctly, the Permittee shall keep track of the total amount of time the withdrawal point was used for each month and multiply those minutes times the pump capacity (in gallons per minute) for total gallons. The estimate of the number of gallons used each month during that period shall be submitted on District scanning forms and noted as estimated per instructions on the form. If the data is submitted

by another approved method, the fact that it is estimated must be indicated. The reason for the necessity to estimate pumpage shall be reported with the estimate.

9. In the event a new meter is installed to replace a broken meter, it and its installation shall meet the specifications of this condition. The permittee shall notify the District of the replacement with the first submittal of meter readings from the new meter.

#### FLOW METER ACCURACY TEST INSTRUCTIONS

1. **Accuracy Test Due Date** - The Permittee is to schedule their accuracy test according to the following schedule:
- A. For existing metered withdrawal points, add five years to the previous test year, and make the test in the month assigned to your county.
  - B. For withdrawal points for which metering is added for the first time, the test is to be scheduled five years from the issue year in the month assigned to your county.
  - C. For proposed withdrawal points, the test date is five years from the completion date of the withdrawal point in the month assigned to your county.
  - D. For the Permittee's convenience, if there are multiple due-years for meter accuracy testing because of the timing of the installation and/or previous accuracy tests of meters, the Permittee can submit a request in writing to the Water Use Permit Bureau Chief for one specific year to be assigned as the due date year for meter testing. Permittees with many meters to test may also request the tests to be grouped into one year or spread out evenly over two to three years.
  - E. The months for accuracy testing of meters are assigned by county. The Permittee is requested but not required to have their testing done in the month assigned to their county. This is to have sufficient District staff available for assistance.

January	Hillsborough
February	Manatee, Pasco
March	Polk (for odd numbered permits)*
April	Polk (for even numbered permits)*
May	Highlands
June	Hardee, Charlotte
July	None or Special Request
August	None or Special Request
September	Desoto, Sarasota
October	Citrus, Levy, Lake
November	Hernando, Sumter, Marion
December	Pinellas

\* The permittee may request their multiple permits be tested in the same month.

2. **Accuracy Test Requirements:** The Permittee shall test the accuracy of flow meters on permitted withdrawal points as follows:
- A. The equipment water temperature shall be set to 72 degrees Fahrenheit for ground water, and to the measured water temperature for other water sources.
  - B. A minimum of two separate timed tests shall be performed for each meter. Each timed test shall consist of measuring flow using the test meter and the installed meter for a minimum of four minutes duration. If the two tests do not yield consistent results, additional tests shall be performed for a minimum of eight minutes or longer per test until consistent results are obtained.
  - C. If the installed meter has a rate of flow, or large multiplier that does not allow for consistent results to be obtained with four- or eight-minute tests, the duration of the test shall be increased as necessary to obtain accurate and consistent results with respect to the type of flow meter installed.
  - D. The results of two consistent tests shall be averaged, and the result will be considered the test result for the meter being tested. This result shall be expressed as a plus or minus percent (rounded to the nearest one-tenth percent) accuracy of the installed meter relative to the test meter. The percent accuracy indicates the deviation (if any), of the meter being tested from the test meter.
3. **Accuracy Test Report:** The Permittees shall demonstrate that the results of the meter test(s) are accurate by submitting the following information within 30 days of the test:
- A. A completed Flow Meter Accuracy Verification Form, Form LEG-R.014.00 (07/08) for each flow meter tested. This form can be obtained from the District's website ([www.watermatters.org](http://www.watermatters.org)) under "ePermitting and Rules" for Water Use Permits.

- B. A printout of data that was input into the test equipment, if the test equipment is capable of creating such a printout;
- C. A statement attesting that the manufacturer of the test equipment, or an entity approved or authorized by the manufacturer, has trained the operator to use the specific model test equipment used for testing;
- D. The date of the test equipment's most recent calibration that demonstrates that it was calibrated within the previous twelve months, and the test lab's National Institute of Standards and Testing (N.I.S.T.) traceability reference number.
- E. A diagram showing the precise location on the pipe where the testing equipment was mounted shall be supplied with the form. This diagram shall also show the pump, installed meter, the configuration (with all valves, tees, elbows, and any other possible flow disturbing devices) that exists between the pump and the test location clearly noted with measurements. If flow straightening vanes are utilized, their location(s) shall also be included in the diagram.
- F. A picture of the test location, including the pump, installed flow meter, and the measuring device, or for sites where the picture does not include all of the items listed above, a picture of the test site with a notation of distances to these items.

### WATER QUALITY INSTRUCTIONS

The Permittee shall perform water quality sampling, analysis and reporting as follows:

1. The sampling method(s) from both monitor wells and surface water bodies shall be designed to collect water samples that are chemically representative of the zone of the aquifer or the depth or area of the water body.
2. Water quality samples from monitor wells shall be taken after pumping the well for the minimum time specified (if specified) or after the water reaches a constant temperature, pH, and conductivity.
3. The first submittal to the District shall include a copy of the laboratory's analytical and chain of custody procedures. If the laboratory used by the Permittee is changed, the first submittal of data analyzed at the new laboratory shall include a copy of the laboratory's analytical and chain of custody procedures .
4. Any variance in sampling and/or analytical methods shall have prior approval of the Water Use Permit Bureau Chief.
5. The Permittee's sampling procedure shall follow the handling and chain of custody procedures designated by the certified laboratory which will undertake the analysis.
6. Water quality samples shall be analyzed by a laboratory certified by the Florida Department of Health utilizing the standards and methods applicable to the parameters analyzed and to the water use pursuant to Chapter 64E-1, Florida Administrative Code, "Certification of Environmental Testing Laboratories."
7. Analyses shall be performed according to procedures outlined in the current edition of Standard Methods for the Examination of Water and Wastewater by the American Public Health Association-American Water Works Association-Water Pollution Control Federation (APHA-AWWA-WPCF) or Methods for Chemical Analyses of Water and Wastes by the U.S. Environmental Protection Agency (EPA).
8. Unless other reporting arrangements have been approved by the Water Use Permit Bureau Chief, reports of the analyses shall be submitted to the Water Use Permit Bureau, online at the District WUP Portal or mailed in hardcopy on or before the tenth day of the following month. The online submittal shall include a scanned upload of the original laboratory report. The hardcopy submittal shall be a copy of the laboratory's analysis form. If for some reason, a sample cannot be taken when required, the Permittee shall indicate so and give the reason in the space for comments at the WUP Portal or shall submit the reason in writing on the regular due date.
9. The parameters and frequency of sampling and analysis may be modified by the District as necessary to ensure the protection of the resource.
10. Water quality samples shall be collected based on the following timetable for the frequency listed in the special condition:

<u>Frequency</u>	<u>Timetable</u>
Weekly	Same day of each week
Quarterly	Same week of <b>February, May, August, November</b>
Semi-annually	Same week of <b>May, November</b>
Monthly	Same week of each month

ANNUAL REPORT SUBMITTAL INSTRUCTIONS

The "Public Supply Water Use Annual Report Form" (Form No. LEG-R.023.00 (01/09)), is designed to assist the Permittee with the annual report requirements, but the final authority for what must be included in the Water Use Annual Report is in this condition and in these instructions. Two identical copies of the "Public Supply Water Use Annual Report Form" and two identical copies of all required supporting documentation shall be included if submitted in hard copy. "Identical copy" in this instance means that if the original is in color, then all copies shall also be printed in color. If submitted electronically, only one submittal is required; however, any part of the document that is in color shall be scanned in color.

1. **Per Capita Use Rate** - A per capita rate for the previous calendar year will be progressively calculated until a rate of 150 gpd per person or less is determined whether it is the unadjusted per capita, adjusted per capita, or compliance per capita. The calculations shall be performed as shown in Part A of the Form. The Permittee shall refer to and use the definitions and instructions for all components as provided on the Form and in the Water Use Permit Applicant's Handbook Part B. Permittees that have interconnected service areas and receive an annual average quantity of 100,000 gpd or more from another permittee are to include these quantities as imported quantities. Permittees in the Southern Water Use Caution Area (SWUCA) or the Northern Tampa Bay Water Use Caution Area (NTBWUCA), as it existed prior to October 1, 2007, shall achieve a per capita of 150 gpd or less, and those in these areas that cannot achieve a compliance per capita rate of 150 gpd or less shall include a report on why this rate was not achieved, measures taken to comply with this requirement, and a plan to bring the permit into compliance. Permittees not in a Water Use Caution Area that cannot achieve a compliance per capita rate of 150 gpd or less by December 31, 2019 shall submit this same report in the Annual Report due April 1, 2020.
2. **Residential Use** - Residential water use consists of the indoor and outdoor water uses associated with each category of residential customer (single family units, multi-family units, and mobile homes), including irrigation uses, whether separately metered or not. The Permittee shall document the methodology used to determine the number of dwelling units by type and the quantities used. Estimates of water use based upon meter size will not be accepted. If mobile homes are included in the Permittees multi-family unit category, the information for them does not have to be separated. The information for each category shall include:
  - A. Number of dwelling units per category,
  - B. Number of domestic metered connections per category,
  - C. Number of metered irrigation connections,
  - D. Annual average quantities in gallons per day provided to each category, and
  - E. Percentage of the total residential water use provided apportioned to each category.
3. **Non-Residential Use** - Non-residential use consists of all quantities provided for use in a community not directly associated with places of residence. For each category below, the Permittee shall include annual average gpd provided and percent of total non-residential use quantities provided. For each category 1 through 6 below, the number of metered connections shall be provided. These non-residential use categories are:
  - A. Industrial/commercial uses, including associated lawn and landscape irrigation use,
  - B. Agricultural uses (e.g., irrigation of a nursery),
  - C. Recreation/Aesthetic, for example irrigation (excluding golf courses) of Common Areas, stadiums and school yards,
  - D. Golf course irrigation,
  - E. Fire fighting, system testing and other accounted uses,-
  - F. K-through-12 schools that do not serve any of the service area population, and
  - G. Water Loss as defined as the difference between the output from the treatment plant and accounted residential water use (B above) and the listed non-residential uses in this section.
4. **Water Audit** - The water audit report that is done because water losses are greater than 10% of the total distribution quantities shall include the following items:
  - A. Evaluation of:
    - 1) leakage associated with transmission and distribution mains,
    - 2) overflow and leakage from storage tanks,
    - 3) leakage near service connections,
    - 4) illegal connections,
    - 5) description and explanations for excessive distribution line flushing (greater than 1% of the treated water volume delivered to the distribution system) for potability,
    - 6) fire suppression,
    - 7) un-metered system testing,

- 8) under-registration of meters, and
    - 9) other discrepancies between the metered amount of finished water output from the treatment plant less the metered amounts used for residential and non-residential uses specified in Parts B and C above, and
  - B. A schedule for a remedial action-plan to reduce the water losses to below 10%.
5. **Alternative Water Supplied other than Reclaimed Water** - Permittees that provide Alternative Water Supplies other than reclaimed water (e.g., stormwater not treated for potable use) shall include the following on Part D of the Form:
- A. Description of the type of Alternative Water Supply provided,
  - B. County where service is provided,
  - C. Customer name and contact information,
  - D. Customer's Water Use Permit number (if any),
  - E. Customer's meter location latitude and longitude,
  - F. Meter ownership information,
  - G. General customer use category,
  - H. Proposed and actual flows in annual average gallons per day (gpd) per customer,
  - I. Customer cost per 1,000 gallons or flat rate information,
  - J. Delivery mode (e.g., pressurized or non-pressurized),
  - K. Interruptible Service Agreement (Y/N),
  - L. Month/year service began, and
  - M. Totals of monthly quantities supplied.
6. **Suppliers of Reclaimed Water** - Depending upon the treatment capacity of the Permittees wastewater treatment plant, the Permittee shall submit information on reclaimed water supplied as follows:
- A. Permittees having a wastewater treatment facility with an annual average design capacity equal to or greater than 100,000 gpd shall utilize the "SWFWMD Annual Reclaimed Water Supplier Report" in Excel format on the Compact Disk, Form No. LEG-R.026.00 (05/09). The "SWFWMD Annual Reclaimed Water Supplier Report" is described in Section 3.1 of Chapter 3, under the subheading "Reclaimed Water Supplier Report" and is described in detail in the Water Use Permit Applicant's Handbook Part B.
  - B. Permittees that have a wastewater treatment facility with an annual average design capacity less than 100,000 gpd can either utilize the "SWFWMD Annual Reclaimed Water Supplier Report," Form No. LEG-R.026.00, as described in sub-part (1) above or provide the following information on Part E of the Form:
    - 1) Bulk customer information:
      - a) Name, address, telephone number,
      - b) WUP number (if any),
      - c) General use category (residential, commercial, recreational, agricultural irrigation, mining),
      - d) Month/year first served,
      - e) Line size,
      - f) Meter information, including the ownership and latitude and longitude location,
      - g) Delivery mode (pressurized, non-pressurized).
    - 2) Monthly flow in gallons per bulk customer.
    - 3) Total gallons per day (gpd) provided for metered residential irrigation.
    - 4) Disposal information:
      - a) Site name and location (latitude and longitude or as a reference to the service area map),
      - b) Contact name and telephone,
      - c) Disposal method, and
      - d) Annual average gpd disposed.



**Darrin W. Herbst, P.G.**

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Authorized Signature

SOUTHWEST FLORIDA WATER MANAGEMENT DISTRICT

This permit, issued under the provision of Chapter 373, Florida Statutes and Florida Administrative Code 40D-2, authorizes the Permittee to withdraw the quantities outlined above, and may require various activities to be performed by the Permittee as described in the permit, including the Special Conditions. The permit does not convey to the Permittee any property rights or privileges other than those specified herein, nor relieve the Permittee from complying with any applicable local government, state, or federal law, rule, or ordinance.

## Notice of Rights

### ADMINISTRATIVE HEARING

1. You or any person whose substantial interests are or may be affected by the District's intended or proposed action may request an administrative hearing on that action by filing a written petition in accordance with Sections 120.569 and 120.57, Florida Statutes (F.S.), Uniform Rules of Procedure Chapter 28-106, Florida Administrative Code (F.A.C.) and District Rule 40D-1.1010, F.A.C. Unless otherwise provided by law, a petition for administrative hearing must be filed with (received by) the District within 21 days of receipt of written notice of agency action. "Written notice" means either actual written notice, or newspaper publication of notice, that the District has taken or intends to take agency action. "Receipt of written notice" is deemed to be the fifth day after the date on which actual notice is deposited in the United States mail, if notice is mailed to you, or the date that actual notice is issued, if sent to you by electronic mail or delivered to you, or the date that notice is published in a newspaper, for those persons to whom the District does not provide actual notice.
2. Pursuant to Subsection 373.427(2)(c), F.S., for notices of intended or proposed agency action on a consolidated application for an environmental resource permit and use of sovereignty submerged lands concurrently reviewed by the District, a petition for administrative hearing must be filed with (received by) the District within 14 days of receipt of written notice.
3. Pursuant to Rule 62-532.430, F.A.C., for notices of intent to deny a well construction permit, a petition for administrative hearing must be filed with (received by) the District within 30 days of receipt of written notice of intent to deny.
4. Any person who receives written notice of an agency decision and who fails to file a written request for a hearing within 21 days of receipt or other period as required by law waives the right to request a hearing on such matters.
5. Mediation pursuant to Section 120.573, F.S., to settle an administrative dispute regarding District intended or proposed action is not available prior to the filing of a petition for hearing.
6. A request or petition for administrative hearing must comply with the requirements set forth in Chapter 28.106, F.A.C. A request or petition for a hearing must: (1) explain how the substantial interests of each person requesting the hearing will be affected by the District's intended action or proposed action, (2) state all material facts disputed by the person requesting the hearing or state that there are no material facts in dispute, and (3) otherwise comply with Rules 28-106.201 and 28-106.301, F.A.C. Chapter 28-106, F.A.C. can be viewed at [www.flrules.org](http://www.flrules.org) or at the District's website at [www.WaterMatters.org/permits/rules](http://www.WaterMatters.org/permits/rules).
7. A petition for administrative hearing is deemed filed upon receipt of the complete petition by the District Agency Clerk at the District's Tampa Service Office during normal business hours, which are 8:00 a.m. to 5:00 p.m., Monday through Friday, excluding District holidays. Filings with the District Agency Clerk may be made by mail, hand-delivery or facsimile transfer (fax). The District does not accept petitions for administrative hearing by electronic mail. Mailed filings must be addressed to, and hand-delivered filings must be delivered to, the Agency Clerk, Southwest Florida Water Management District, 7601 Highway 301 North, Tampa, FL 33637-6759. Faxed filings must be transmitted to the District Agency Clerk at (813) 367-9776. Any petition not received during normal business hours shall be filed as of 8:00 a.m. on the next business day. The District's acceptance of faxed petitions for filing is subject to certain conditions set forth in the District's Statement of Agency Organization and Operation, available for viewing at [www.WaterMatters.org/about](http://www.WaterMatters.org/about).

**JUDICIAL REVIEW**

1. Pursuant to Sections 120.60(3) and 120.68, F.S., a party who is adversely affected by District action may seek judicial review of the District's action. Judicial review shall be sought in the Fifth District Court of Appeal or in the appellate district where a party resides or as otherwise provided by law.
2. All proceedings shall be instituted by filing an original notice of appeal with the District Agency Clerk within 30 days after the rendition of the order being appealed, and a copy of the notice of appeal, accompanied by any filing fees prescribed by law, with the clerk of the court, in accordance with Rules 9.110 and 9.190 of the Florida Rules of Appellate Procedure (Fla. R. App. P.). Pursuant to Fla. R. App. P. 9.020(h), an order is rendered when a signed written order is filed with the clerk of the lower tribunal.



# **EXHIBIT H**

RESIDENTIAL AND GENERAL SERVICE

RATE SCHEDULE RS

AVAILABILITY Available throughout the are served by the company  
 APPLICABILITY For water service for all purposes in private residences and individual metered apartment units.  
 LIMITATION Subject to all rules and regulations of this Tariff and General Rules and Regulations of the Citrus County Water and Wastewater Authority.  
 BILLING PERIOD Monthly

Meter Size	OLD RATE	NEW RATE	Gallonge Charge (Conservation Inverted Block Rates)	OLD	NEW	
	01/01/2022 Base Facility Charge	02/02/2022 Base Facility Charge				
5/8" X 3/4"	\$ 10.66	\$ 12.11	0 - 10,000 Gallons -	\$ 0.64	\$ 0.73	Per One Thousand Gallons
			10,001 - 20,000 Gallons	\$ 1.22	\$ 1.39	Per One Thousand Gallons
			20,001 - 30,000 Gallons -	\$ 1.84	\$ 2.09	Per One Thousand Gallons
			30,001 - 40,000 Gallons -	\$ 2.46	\$ 2.79	Per One Thousand Gallons
			Over 40,000 Gallons	\$ 3.05	\$ 3.46	Per One Thousand Gallons
1"	\$ 26.59	\$ 30.19	0 - 25,000 Gallons -	\$ 0.64	\$ 0.73	Per One Thousand Gallons
			25,001 - 50,000 Gallons -	\$ 1.22	\$ 1.39	Per One Thousand Gallons
			50,001 - 75,000 Gallons -	\$ 1.84	\$ 2.09	Per One Thousand Gallons
			75,001 - 100,000 Gallons -	\$ 2.46	\$ 2.79	Per One Thousand Gallons
			Over 100,000 Gallons	\$ 3.05	\$ 3.46	Per One Thousand Gallons
1-1/2"	\$ 53.15	\$ 60.34	0 - 50,000 Gallons -	\$ 0.64	\$ 0.73	Per One Thousand Gallons
			50,001 - 100,000 Gallons -	\$ 1.22	\$ 1.39	Per One Thousand Gallons
			100,001 - 150,000 Gallons -	\$ 1.84	\$ 2.09	Per One Thousand Gallons
			150,001 - 200,000 Gallons	\$ 2.46	\$ 2.79	Per One Thousand Gallons
			Over 200,000 Gallons	\$ 3.05	\$ 3.46	Per One Thousand Gallons
2"	\$ 85.00	\$ 96.51	0 - 80,000 Gallons -	\$ 0.64	\$ 0.73	Per One Thousand Gallons
			80,001 - 160,000 Gallons -	\$ 1.22	\$ 1.39	Per One Thousand Gallons
			160,001 - 240,000 Gallons -	\$ 1.84	\$ 2.09	Per One Thousand Gallons
			240,001 - 320,000 Gallons -	\$ 2.46	\$ 2.79	Per One Thousand Gallons
			Over 320,000 Gallons	\$ 3.05	\$ 3.46	Per One Thousand Gallons
3"	\$ 169.96	\$ 192.96	0 - 160,000 Gallons -	\$ 0.64	\$ 0.73	Per One Thousand Gallons
			160,001 - 320,000 Gallons -	\$ 1.22	\$ 1.39	Per One Thousand Gallons
			320,001 - 480,000 Gallons -	\$ 1.84	\$ 2.09	Per One Thousand Gallons
			480,001 - 640,000 Gallons -	\$ 2.46	\$ 2.79	Per One Thousand Gallons
			Over 640,000 Gallons	\$ 3.05	\$ 3.46	Per One Thousand Gallons
4"	\$ 265.54	\$ 301.48	0 - 250,000 Gallons -	\$ 0.64	\$ 0.73	Per One Thousand Gallons
			250,001 - 500,000 Gallons -	\$ 1.22	\$ 1.39	Per One Thousand Gallons
			500,001 - 750,000 Gallons -	\$ 1.84	\$ 2.09	Per One Thousand Gallons
			750,001 - 1,000,000 Gallons -	\$ 2.46	\$ 2.79	Per One Thousand Gallons
			Over 1,000,000 Gallons	\$ 3.05	\$ 3.46	Per One Thousand Gallons
6"	\$ 531.04	\$ 602.51	Per gallon charge the same as for 4"			

MINIMUM CHARGE

TERMS OF PAYMENT Bills are due and payable when rendered and become delinquent if not paid within sixteen (16) days for customers residing in the State of Florida and twenty one (21) days for customers residing outside the State of Florida. After five (5) working days written notice is mailed to the customer, separate and apart from any other bill, service may then be discontinued.

EFFECTIVE DATE 2/1/22  
 TYPE OF FILING Rate Case

Rolling Oaks Utilities, Inc.  
 WASTEWATER TARIFF

AVAILABILITY

APPLICABILITY

LIMITATION

BILLING PERIOD

RATE

GALLONAGE CHARGE

MINIMUM CHARGE

GENERAL SERVICE  
 RATE SCHEDULE GS

Available throughout the are served by the company

For water service for all purposes in private residences and individuall metered apartment units.

Subject to all rules and regulations of this Tariff and General Rules and Regulations of the Citrus

County Water and Wastewater Authority.

Monthly

Meter Size

5/8" X 3/4"

1"

1-1/2"

2"

3"

4"

6"

8"

02/01/2022  
 OLD BASE FACILITY CHARGE

NEW BASE FACILITY CHARGE

RESIDENTAIL UP TO 6,000

GALLONS \$3.25 \$3.49

COMMERCIAL NO

MAXIMUM \$3.95 \$4.24

Applicable Base Facility Charge

WATER TARIFF

TECHNICAL TERMS AND ABBREVIATIONS

- 1.0 "BFC" - The abbreviation for "Base Facility Charge" which is the minimum amount the Company may charge its Customers and is separate from the amount the Company bills its Customers for water consumption.
- 2.0 "CERTIFICATE" - A document issued by the Commission authorizing the Company to provide water service in a specific territory.
- 3.0 "AUTHORITY" - The shortened name for the Citrus County Water and Wastewater Authority.
- 4.0 "COMMUNITIES SERVED" - The group of Customers who receive water service from the Company and whose service location is within a specific area or locality that is uniquely separate from another.
- 5.0 "COMPANY" - The shortened name for the full name of the utility which is Rolling Oaks Utilities, Inc..
- 6.0 "CUSTOMER" - Any person, firm or corporation who has entered into an agreement to receive water service from the Company and who is liable for the payment of that water service.
- 7.0 "CUSTOMER'S INSTALLATION" - All pipes, shut-offs, valves, fixtures and appliances or apparatus of every kind and nature used in connection with or forming a part of the installation for rendering water service to the Customer's side of the Service Connection whether such installation is owned by the Customer or used by the Customer under lease or other agreement.
- 8.0 "MAIN" - A pipe, conduit, or other facility used to convey water service to individual service lines or through other mains.
- 9.0 "RATE" - Amount which the Company may charge for water service which is applied to the Customer's actual consumption.
- 10.0 "RATE SCHEDULE" - The rate(s) or charge(s) for a particular classification of service plus the several provisions necessary for billing, including all special terms and conditions under which service shall be furnished at such rate or charge.
- 11.0 "SERVICE" - As mentioned in this tariff and in agreement with Customers, "Service" shall be construed to include, in addition to all water service required by the Customer, the readiness and ability on the part of the Company to furnish water service to the Customer. Service shall conform to the standards set forth in Section 367.111 of the Florida Statutes.
- 12.0 "SERVICE CONNECTION" - The point where the Company's pipes or meters are connected with the pipes of the Customer.
- 13.0 "SERVICE LINES" - The pipes between the Company's Mains and the Service Connection and which includes all of the pipes, fittings and valves necessary to make the connection to the Customer's premises, excluding the meter.
- 14.0 "TERRITORY" - The geographical area described, if necessary, by metes and bounds but, in all cases, with township, range and section in a Certificate, which may be within or without the boundaries of an incorporated municipality and may include areas in more than one municipality.

EFFECTIVE DATE – OCTOBER 31, 2009

TYPE OF FILING – GENERAL RATE CASE

JOHN W. PATTON III  
ISSUING OFFICER  
PRESIDENT  
TITLE

INDEX OF RULES AND REGULATIONS

	Sheet Number	Rule Number
Access to Premises .....	10.0	13.0
Adjustment of Bills .....	12.0	24.0
Adjustment of Bills for Meter Error .....	12.0	26.0
All Water Through Meter .....	12.0	23.0
Application .....	8.0	3.0
Applications by Agents .....	8.0	4.0
Billing Periods.....	10.0	15.0
Change of Customer's Installation .....	9.0	10.0
Change of Occupancy.....	11.0	20.0
Continuity of Service .....	9.0	8.0
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Inspection of Customer's Installation .....	9.0	11.0
Limitation of Use .....	9.0	7.0
Meter Accuracy Requirements .....	13.0	28.0
Meters .....	12.0	22.0
Payment of Water and Wastewater Service Bills Concurrently .....	11.0	17.0

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(Continued from Sheet No. 6.0)

	Sheet Number	Rule Number:
Policy Dispute .....	8.0	1.0
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Refusal or Discontinuance of Service .....	8.0	5.0
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Termination of Service .....	12.0	25.0
Type and Maintenance .....	9.0	9.0
Unauthorized Connections - Water .....	11.0	21.0
Temporary Discontinuance of Service.....	11.0	18.0
Tax Clause .....	11.0	19.0
<u>Index of Customer Deposits and Credit</u>		
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Establishment of Credit.....	14.0	30.0
Interest on Deposit.....	14.0	33.0
Refund of Deposit.....	14.0	34.0

EFFECTIVE DATE – OCTOBER 31, 2009

TYPE OF FILING – GENERAL RATE CASE

JOHN W. PATTON III  
ISSUING OFFICER  
PRESIDENT  
 TITLE

RULES AND REGULATIONS

1.0 Policy Dispute - Any dispute between the Company and the customer or prospective customer regarding the meaning or application of any provision of this tariff shall upon written request by either party be resolved by the Authority.

2.0 General Information - The Company's Rules and Regulations, insofar as they are inconsistent with any Statute; Law or Authority Order shall be null and void. These rules and regulations are a part of the rate schedules, applications and contracts of the Company, and in the absence of specific written agreement to the contrary, they apply without modifications or change to each and every customer to whom the Company renders water service.

In the event that a portion of these Rules and Regulations is declared unconstitutional or void for any reason by any court of competent jurisdiction, such decision shall in no way affect the validity of the remaining portions of the Rules and Regulations for water service unless such court order or decision shall so direct.

The Company shall provide service to all customers requiring such service within the territory described in its certificate upon such terms as are set forth in this tariff.

3.0 Signed Application Necessary - Water service is furnished only upon signed application or agreement accepted by the Company and the conditions of such application or agreement are binding upon the customer as well as upon the Company. A copy of the application or agreement for water service accepted by the Company will be furnished to the applicant on request. The applicant shall furnish to the Company the correct name, street address or lot and block number, at which water service is to be rendered

4.0 Applications by Agents - Applications for water service requested by firms partnerships, associations, corporations, and others, shall be tendered only by duly authorized parties. When water service is rendered under agreement or agreements entered into between the Company and an agent of the principal. The use of such water service by the principal shall constitute full and complete ratification by the principal of the agreement or agreements entered into between agent and the Company and under which such water service is rendered.

5.0 Refusal or Discontinuance of Service - The Company may withhold or discontinue water service rendered under application made by any member or agent of a household, organization or business unless all prior indebtedness to the Company of such household, organization or business for water service has been settled in full.

Service may also be discontinued for any violation by the customer or consumer of any rule or regulation set forth in this tariff.

6.0 Extensions- Extensions will be made to the Company's facilities in compliance with the Rules/Orders/Tariff issued by the Authority.

- 7.0 Limitation of Use - Water service purchased from the Company shall be used by the customer only for the purposes specified in the application for water service and the Customer shall not sell or otherwise dispose of such water service supplied by the Company. Water service furnished to the customer shall be rendered directly to the customer through Company's individual meter and may not be re-metered by the customer for the purpose of selling otherwise disposing of water service to lessees, tenants, or others and under no circumstances shall the customer or customer's agent or any other individual, association or corporation install meters for the purpose of so re-metering said water service. In no case shall a Customer, except with the written consent of the Company extend his lines across a street alley, lane, court, property, line, avenue, or other way, in order to furnish water service for adjacent property through one meter, even though such adjacent property be owned by him. In case of such unauthorized extension, re-metering, sale or disposition of service, customer's water service is subject to discontinuance until such unauthorized extension, re-metering, sale or disposition is discontinued and full payment is made of bills for water service, calculated on proper classification and rate schedules and reimbursement in full made to the Company for all extra expenses incurred for clerical work, testing and inspections.
- 8.0 Continuity of Service - The Company will at all times use reasonable diligence to provide continuous water service, and having used reasonable diligence, shall not be liable to the Customer for failure or interruption of continuous water service. The Company shall not be liable for any act or omission caused directly or indirectly by strikes, labor troubles, accident, litigations, breakdowns, shutdowns, enemies of the United States, wars, United States, state, municipal or other governmental interference, acts of God or other causes beyond its control. If at any time the Company shall interrupt or discontinue its service for preplanned maintenance for any period greater than one hour, all customers effected by said interruption or discontinuance shall be given not less than 24 hours notice.
- 9.0 Type and Maintenance - The Customer's pipes, apparatus and equipment shall be selected, installed, used and maintained in accordance with the standard practice, conforming with the Rules and Regulations of the Company, and in full compliance with all laws and governmental regulations applicable to same. The Company shall not be responsible for the maintenance and operation of the Customer's pipes and facilities. The customer expressly agrees not to utilize any appliance or device which is not properly constructed, controlled, and protected, or which may adversely affect the water service; and the Company reserves the right to discontinue or withhold water service to such apparatus or device.
- 10.0 Change of Customer's Installation - No changes or increases in Customer's installation, which will materially affect the proper operation of the pipes, mains, or stations of the Company shall be made without written consent of the Company. The Customer will be liable for any change resulting from a violation of this rule.
- 11.0 Inspection of Customer's Installation - All Customer's water service installations or changes shall be inspected upon completion by competent authority to insure that Customer's piping, equipment, and devices have been installed in accordance with accepted standard practice and such Local governmental or other rules as may be in effect. Where municipal or other governmental inspection is required by local rules or ordinances, the Company cannot render water service until such inspection has been made and a formal notice of approval from the inspecting authority has been received by the Company.

The Company reserves the right to inspect Customer's installation prior to rendering water service and from time to time thereafter, but assumes no responsibility whatsoever for any portion thereof.

(Continued on Sheet 10.0)

EFFECTIVE DATE – OCTOBER 31, 2009

TYPE OF FILING – GENERAL RATE CASE

JOHN W. PATTON III  
ISSUING OFFICER  
PRESIDENT  
TITLE

(Continued from Sheet 9.0)

- 12.0 Protection of Company's Property - The Customer shall exercise reasonable diligence to protect the Company's property on the Customer's premises, and shall knowingly permit no one but the Company's agents, or persons authorized by law, to have access to the Company's pipes and apparatus.

In the event of any loss, or damage to property of the Company caused by or arising out of carelessness, neglect or misuse by the customer, the cost of making good such loss or repairing such damage shall be paid by the customer.

- 13.0 Access to Premises - The duly authorized agents of the Company shall have access at all reasonable hours to the premises of the customer for the purpose of installing, maintaining and inspecting, or removing the Company's property and other purposes incident to performance under or termination of Company's Agreement with the customer and in such performance shall not be liable for trespass.

- 14.0 Right of Way or Easements - The customer shall grant or cause to be granted to the Company, and without cost to the Company, all rights, easements, permits, and privileges which are necessary for the rendering of water service.

- 15.0 Billing Periods - Bills for water service will be rendered Monthly. Bills are due when rendered and shall be considered as received by the Customer when delivered or mailed to the service address or some other place mutually agreed upon.

A municipal or county franchise tax levied upon a water or wastewater public Company shall not be incorporated into the rate for water or wastewater service but shall be shown as a separate item on the Company's bills to its Customers in such municipality or county.

Non-receipt of bills by the customer shall not release or diminish the obligation of the customer with respect to payment thereof.

- 16.0 Delinquent Bills - Bills are due when rendered, and, for a customer with a Florida Address if not paid within sixteen (16) days thereafter, or within twenty one (21) days for a customer with a billing address outside of Florida, become delinquent and water service may then after five (5) days written notice, be discontinued. A late payment charge of \$5.00 or 1.5% of the payment due, whichever is greater, shall be added to bills remaining unpaid after 16 days for a customer with a Florida Billing address, or 21 days for a customer with a billing address outside of Florida. Service shall be restored only upon

Partial payments of a bill for water service rendered will not be accepted by the Company, except by the Company's agreement or by order from the Authority.

(Continued on Sheet 11.0)

EFFECTIVE DATE – OCTOBER 31, 2009

TYPE OF FILING – GENERAL RATE CASE

JOHN W. PATTON III  
ISSUING OFFICER  
PRESIDENT  
TITLE

(Continued from Sheet 10.0)

17.0 Payment of Water and Wastewater Service Bills Concurrently - When both water and wastewater service are provided by the Company, payment of any water service bill rendered by the Company to a customer shall not be accepted by the Company without the simultaneous or concurrent payment of any wastewater service bill rendered by the Company. If the charges for water service are not so paid, the Company may discontinue both wastewater service and water service to the customer's premises for nonpayment of the water service charges or if the charges for wastewater service are not so paid the Company may discontinue both water service and wastewater service to the customer's premises for nonpayment of the sewer service charge. The Company shall not reestablish or reconnect wastewater service and water service or either of such services until such time as all wastewater service charges and water service charges and all other expenses or charges established or provided for by these rules and regulations are paid.

18.0 Temporary Discontinuance of Service - At any time that water service is not being furnished to the premises, as confirmed by the Company furnishing said water service. Upon application to the Company by the customer for a temporary shutoff of at least 60 days duration, billing for water service to the premises will be suspended for the number of whole months that water service is discontinued to the premises.

The Company may charge a stand-by fee of up to 100% of the minimum bill for each billing period during which period service is discontinued.

19.0 Tax Clause - Rates and/or charges may be increased or a surcharge added in the amount of the applicable proportionate part of any taxes and assessments imposed by any governmental authority in excess of those in effect after the approval of this rule which are assessed on the basis of meters or customers or the price of or revenues from water sold, not including income taxes.

20.0 Change of Occupancy - When change of occupancy takes place on any premises supplied by the Company with water service, WRITTEN NOTICE thereof shall be given at the office of the Company not less than (3) days prior to the date of change by the outgoing customer, who will be held responsible for all water service used on such premises until such written notice is so received and the Company has had reasonable time to discontinue water service. However, if such written notice has not been received, the application of a succeeding occupant for water service will automatically terminate the prior account. Customer's deposit may be transferred from one service location to another, if both locations are supplied by the Company, consumer's deposit may not be transferred from one name to another.

For the convenience of its customers, the Company, will accept telephone orders to discontinue or transfer water service and will use all reasonable diligence in the execution thereof. However, oral orders or advice shall not be deemed binding or be considered formal notification to the Company.

21.0 Unauthorized Connections-Water - Connections to the Company's water system for any purpose whatsoever are to be made only by employees of the Company, unauthorized connections render the service subject to immediate discontinuance without notice and water service will not be restored until such unauthorized connections have been removed and unless settlement is made

(Continued on Sheet 12.0)

JOHN W. PATTON III  
ISSUING OFFICER  
PRESIDENT  
TITLE

(Continued from Sheet 11.0)

in full for all water service estimated by the Company to have been used by reason of such unauthorized connection.

- 22.0 Meters- All water meters shall be furnished by and remain the property of the Company and shall be accessible and subject to its control, the customer shall provide meter space to the Company at a suitable and readily accessible location, and when the Company considers it advisable, within the premises to be served, adequate and proper space for the installation of meters and other similar devices.
- 23.0 All Water Through Meter- That portion of the customer's installation for water service shall be so arranged that all water service shall pass through the meter. No temporary pipes, nipples, or spaces are permitted and under no circumstances are connections allowed which may permit water to bypass the meter or metering equipment.
- 24.0 Adjustment of Bills- When a customer has been overcharged or undercharged as a result of incorrect application of the rate schedules, incorrect reading of the meter, incorrect connection of the meter, or other similar reasons, the amount may be credited or billed to the customer as the case by be. Notwithstanding the above, the Company may hold the deposit of a non-residential customer after a continuous service period of 23 months and shall pay interest on the nonresidential customer's deposit at the rate of 6% per annum upon retaining such deposit.

When service is discontinued, the deposit and accrued interest may be credited against the final account, with the balance, if any, refunded within 15 days. This provision does not relieve customer of obligation to pay any bill for service rendered.

Nothing in this rule shall prohibit the Company from refunding a customer's deposit in less than 23 months.

- 25.0 Termination of Service - When a Customer wishes to terminate service on any premises where water service is supplied by the Company, the Company may require reasonable notice to the Company in accordance with Rule 25-30.325, Florida Administrative Code.
- 26.0 Adjustment of Bills for Meter Error- In meter tests made by the Authority or by the Company, the accuracy of registration of the meter and its performance in service shall be judged by its average error. The average meter error shall be considered to be the average of the errors at the test rate flows.
- 27.0 Fast Meters - Whenever a meter tested is found to register fast in excess of the tolerance provided in the meter accuracy requirements provision herein, the Utility shall refund to the customer the amount billed in error for one-half the period since the last test; said one-half period not to exceed six (6) months except that if it can be shown that the error was due to some cause, the date of which can be fixed, the overcharge shall be computed back to but not beyond such date. The refund shall not include any part of any minimum charge.

(Continued on Sheet 13.0)

EFFECTIVE DATE – OCTOBER 31, 2009

TYPE OF FILING – GENERAL RATE CASE

JOHN W. PATTON III  
ISSUING OFFICER  
PRESIDENT  
TITLE

(Continued from Sheet 12.0)

28.0 Meter Accuracy Requirements- All meters used for measuring quantity of water delivered to a customer shall be in good mechanical condition and shall be adequate in size and design for the type of service which they measure, before being installed for the use of any customer every water meter, whether new, repaired, or removed from service for any cause, shall be adjusted to register within the accuracy limits set forth in the following table:

Meter Type	Accuracy Limits In Percent			
	Maximum Rate	Intermediate Rate	New	Repaired
Displacement	98.5-101.5	98.5-101.5	95-101.5	90-101.5
Current	97-102	None	95-102	90-102
Compound*	97-103	97-103	95-103	90-103

\*The minimum required accuracy for compound meters at any rate within the "changeover" range of flows shall be 85%.

29.0 Filing of Contracts - The Company shall file copies of all contracts for service availability with the Authority within thirty (30) days after execution.

The Company shall file with the Authority copies of all Guaranteed Revenue Contracts or special contracts for the sale of its product or services in a manner not specifically covered by its standard regulations or approved rate schedules prior to execution.

EFFECTIVE DATE – OCTOBER 31, 2009

TYPE OF FILING – GENERAL RATE CASE

JOHN W. PATTON III  
ISSUING OFFICER  
PRESIDENT  
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CUSTOMER DEPOSITS

30.0 ESTABLISHMENT OF CREDIT - Before rendering water service, the Company may require an Applicant for service to satisfactorily establish credit, but such establishment of credit shall not relieve the Customer from complying with the Company's rules for prompt payment. Credit will be deemed so established if the Customer complies with the requirements of Rule 25-30.311, Florida Administrative Code.

31.0 AMOUNT OF DEPOSIT - The amount of initial deposit shall be the following according to meter size:

	<u>Residential</u>	<u>General Service</u>
5/8" X 3/4"	\$40.00	\$50.00
1"		\$125.00
1 1/2"		\$250.00
2"		\$400.00
3"		\$800.00
4"		\$1,250.00
6"		\$2,500.00
8"		\$4,000.00
10"		\$5,750.00
12"		\$10,750.00

Residential Rental  
 ALL \$60.00

32.0 ADDITIONAL DEPOSIT - Under Rule 25-30.311(7), Florida Administrative Code, the Company may require a new deposit, where previously waived or returned, or an additional deposit in order to secure payment of current bills provided.

33.0 INTEREST ON DEPOSIT - The Company shall pay interest on Customer deposits pursuant to Rules 2530.311(4) and (4a). The rate of interest is 6% per annum. The payment of interest shall be made once each year as a credit on regular bills or when service is discontinued as a credit on final bills. No customer depositor will receive interest on his deposit until a customer relationship and the deposit have been in existence for at least 6 (six) months. At such time, the customer depositor shall be entitled to receive interest from the day of commencement of the customer relationship and placement of the deposit. The Company will pay or credit accrued interest to the Customer's account during the month of March each year.

34.0 REFUND OF DEPOSIT - After a residential Customer has established a satisfactory payment record and has had continuous service for a period of 23 months, the Company shall refund the Customer's deposit provided the Customer has met the requirements of Rule 25-30.311(5), Florida Administrative Code. The Company may hold the deposit of a non-residential Customer after a continuous service period of 23 months and shall pay interest on the non-residential Customer's deposit pursuant to Rules 25-30.311(4) and (5), Florida Administrative Code.

Nothing in this rule shall prohibit the Company from refunding a Customer's deposit in less than 23 months.

EFFECTIVE DATE – APRIL 30, 2014

TYPE OF FILING – GENERAL RATE CASE

JOHN W. PATTON III  
ISSUING OFFICER  
PRESIDENT  
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EFFECTIVE DATE – APRIL 30, 2014

TYPE OF FILING – GENERAL RATE CASE

JOHN W. PATTON III  
ISSUING OFFICER  
PRESIDENT  
TITLE

METER TEST DEPOSIT

METER BENCH TEST REQUEST - If any Customer requests a bench test of his or her water meter, in accordance with Rule 25-30.266, Florida Administrative Code, the Company may require a deposit to defray the cost of testing; such deposit shall not exceed the schedule of fees found in Rule 25-30.266, Florida Administrative Code.

METER SIZE	FEE
5/8" x 3/4"	\$81.20
1"	\$86.30
1 1/2"	\$96.45
2"	\$101.50
All Others	Actual Cost

REFUND OF METER BENCH TEST DEPOSIT - The Company may refund the meter bench test deposit in accordance with Rule 25-30.266, Florida Administrative Code.

METER FIELD TEST REQUEST - A Customer may request a no-charge field test of the accuracy of a meter in accordance with Rule 25-30.266, Florida Administrative Code.

METER RE-READ. FEE  
\$40.60

A customer may request a Re-Read of their meter. If the meter is determined to be defective there will not be a charge for this service.

ADJUSTMENT OF METER BOX	FEE
5/8 X 3/4"	\$50.75
All Others	Cost

Testing of Backflow Prevention Device \$101.50

EFFECTIVE DATE – APRIL 30, 2014

TYPE OF FILING – GENERAL RATE CASE

JOHN W. PATTON III  
ISSUING OFFICER  
PRESIDENT  
TITLE

MISCELLANEOUS SERVICE CHARGES

The Company may charge the following miscellaneous service charges in accordance with the terms stated herein. If both water and wastewater services are provided, only a single charge is appropriate unless circumstances beyond the control of the Company require multiple actions.

INITIAL CONNECTION - This charge may be levied for service initiation at a location where service did not exist previously.

Initial Connection Fee \$ 50.75

NORMAL RECONNECTION - This charge may be levied for transfer of service to a new Customer account at a previously served location or reconnection of service subsequent to a Customer requested disconnection.

Normal Reconnection Fee \$ 50.75

VIOLATION RECONNECTION - This charge may be levied prior to reconnection of an existing Customer after disconnection of service for cause according to Rule 25-30.320(2), Florida Administrative Code, including a delinquency in bill payment.

Violation Reconnection Fee \$50.75

PREMISES VISIT \$50.75

LATE PAYMENT FEE \$ 5.10

TAMPERING FEE - This charge may be levied prior to reconnection of an existing customer far cause in the event that the customer has tampered with the water connection for the purpose of eliminating or reducing the amount they would normally owed the utility, including, but not limited to, Jumper Removal, Tampering, or Unauthorized Use.

Tampering Fee

5/8" X 3/4" \$ 152.25

1" \$ 172.55

1 1/2" \$ 192.85

2" \$ 253.75

All Others \$ 253.75 Plus Cost

Tampering with Backflow Device \$147.20

DAMAGE TO UTILITY PROPERTY A person who causes damage to the utility’s property will be responsible for payment of the total cost, plus any taxes, of the repair of the property whether the repair is completed by an independent contractor or the Utility’s employees.

EFFECTIVE DATE – APRIL 30, 2014

TYPE OF FILING – GENERAL RATE CASE

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ISSUING OFFICER  
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MISCELLANEOUS SERVICE CHARGES – CONTINUED

DEVELOPER CHANGING METER LOCATION. If a developer changes a meter location and the move requires the company to adjust the meter, and or change the meter in any way in order to either provide continuing service or to read the meter, there will be a \$147.20 charge for each meter moved.

LANDLORD SERVICE. When an applicant applies for service as a "Renter" the company will notify the Landlord that a renter is now responsible for the water bill. When the renter notifies the utility that they are terminating service the responsibility for the water bill will be automatically transferred to the homeowner on the date that the renter's service has terminated. Because the transfer to the homeowner is expected to be for a short duration, and the fact that a rental property could be rented to several persons over the course of any one year, the homeowner will not be charged a connection fee in order to maintain service when a renter moves out. The utility will read the meter on the day the renter has terminated service, and will establish a new service for the homeowner

NOTIFICATION TO LANDLORD

John Doe  
12345 Colbert Ct  
Beverly Hills, FL. 34465

Re; Rental Property, 12345 Melbourne St, Beverly Hills, Fl. 34465

Mr. Doe, Sally Sue has applied for water and wastewater service at the subject address. He / She has informed our office that you are the homeowner, and her landlord for the subject property. Be advised that you will be responsible for the water and wastewater service at the time your renter terminates their service. In order for you to have water service to clean your property, and to properly maintain it until another person moves in we have made the following concession to you. You will NOT be charged a connection fee to continue service, unless you indicate to us that you will be permanently responsible for the service at this address. You will; however, be charged for water service at the normal Residential Rate for Water Service, and Residential Rate for Wastewater service, if applicable, until such time as a new resident moves into the subject property.

If you have any questions please contact our office at 352-746-4291.

Sincerely,  
Rolling Oaks Utilities, Inc.

EFFECTIVE DATE – APRIL 30, 2014

TYPE OF FILING – GENERAL RATE CASE

JOHN W. PATTON III  
ISSUING OFFICER  
PRESIDENT  
TITLE

ROLLING OAKS UTILITIES, INC  
WASTEWATER TARIFF

FIRST REVISED SHEET NO. 1.0  
CANCELS ORIGINAL SHEET NO. 1.0

**WASTEWATER TARIFF**

**ROLLING OAKS UTILITIES, INC.**

31 S. MELBOURNE STREET  
BEVERLY HILLS, FL. 34465

PHONE 352-746-4291  
EMERGENCY PHONE NUMBER 352-621-4335

FILED WITH THE CITRUS COUNTY  
BOARD OF COUNTY COMMISSIONERS  
WATER AND WASTEWATER AUTHORITY

EFFECTIVE DATE: APRIL 30, 2014  
TYPE OF FILING: GENERAL RATE CASE

JOHN W. PATTON III  
ISSUING OFFICER  
President

## Technical Terms and Abbreviations

- 1.0 "Company"- Rolling Oaks Utilities, Inc.
- 2.0 "Consumer"- Any person, firm, association, corporation, governmental agency or similar organization supplied with sewer service by the Company.
- 3.0 "Service"- Service, as mentioned in this Tariff and in agreement with customers, shall be construed to include, in addition to all sewer service required by the customer the readiness and ability on the part of the company to furnish sewer service to the customer. Service shall conform to the standards set forth in Section 367.III of the Florida Statutes.
- 4.0 "Customer's Installation"- All pipes, shut offs, valves, fixtures and appliances or apparatus a by customer, or used by consumer under lease or otherwise.
- 5.0 "Point of Collection"- The point where the Company's pipes or meters are connected with pipes of the consumer.
- 6.0 "Main"- Shall refer to a pipe, conduit, or other facility installed to convey sewer service from individual service lines or other mains.
- 7.0 "Service Lines"- The pipes of the Company which are connected from the mains to the point of collection.
- 8.0 "Rate Schedule"- Refers to rates or charges for the particular classification of service.
- 9.0 "Authority", Refers to the Citrus County Water and Wastewater Authority.
- 10.0 "Certificate"- Means the sewer certificate issued to the company by the Authority.
- 11.0 "Customer"- Means the person, firm or corporation who has entered into an agreement to receive sewer service from the company and who is liable for the payment of that sewer service.
- 12.0 "Rate" – Amount which the company may charge for water service which is applied to the Customer's actual consumption.
- 13.0 "BFC" – The abbreviation for "Base Facility Charge" which is the minimum amount the Company may charge its Customers and is separate from the amount the Company bills its Customers for Wastewater disposal.
- 14.0 "Service Connection" – The point where the Company's pipe or meters are connected with the pipes of the customer.
- 15.0 "Territory" The geographical area described, if necessary, by metes and bounds but, in all cases, with township, range and section in a Certificate, which may be within or without the boundaries of an incorporated municipality and may include areas in more than one county.

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ROLLING OAKS UTILITIES, INC  
WASTEWATER TARIFF

SECOND REVISED SHEET 7.0  
CANCELS FIRST REVISED SHEET 7.0

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RULES AND REGULATIONS

1.0 POLICY DISPUTE – ANY DISPUTE BETWEEN THE COMPANY AND THE CUSTOMER OR PROSPECTIVE CUSTOMER REGARDING THE MEANING OR APPLICATION OF ANY PROVISION OF THIS TARIFF SHALL UPON WRITTEN REQUEST BY EITHER PARTY BE RESOLVED BY THE CITRUS COUNTY OFFICE OF UTILITY REGULATION.

2.0 GENERAL INFORMATION – THE COMPANY’S RULES AND REGULATIONS, INSOFAR AS THEY ARE INCONSISTENT WITH ANY STATUTE, LAW OR AUTHORITY ORDER SHALL BE NULL AND VOID. THESE RULES AND REGULATIONS ARE PART OF THE RATE SCHEDULES, APPLICATIONS & CONTRACTS OF THE COMPANY, AND IN THE ABSENCE OF SPECIFIC WRITTEN AGREEMENT TO THE CONTRARY, THEY APPLY WITHOUT MODIFICATION OR CHANGE TO EACH AND EVERY CUSTOMER TO WHOM THE COMPANY RENDERS WASTEWATER SERVICE.

IN THE EVENT THAT A PORTION OF THESE RULES AND REGULATIONS IS DECLARED UNCONSTITUTIONAL OR VOID FOR ANY REASON BY ANY COURT OF COMPETENT JURISDICTION, SUCH DECISION SHALL IN NO WAY AFFECT THE REMAINING PORTIONS OF THE RULES AND REGULATIONS FOR WASTEWATER SERVICE UNLESS SUCH COURT ORDER OR DECISION SHALL SO DIRECT.

THE COMPANY SHALL PROVIDE SERVICE TO ALL CUSTOMERS REQUIRING SUCH SERVICE WITHIN THE TERRITORY DESCRIBED IN ITS CERTIFICATE UPON SUCH TERMS AS ARE SET FORTH IN THIS TARIFF.

3.0 SIGNED APPLICATION NECESSARY – WASTEWATER SERVICE IS FURNISHED ONLY UPON SIGNED APPLICATION OR AGREEMENT ACCEPTED BY THE COMPANY AND THE CONDITIONS OF SUCH APPLICATION OR AGREEMENT ARE BINDING UPON THE CUSTOMER AS WELL AS UPON THE COMPANY. A COPY OF THE APPLICATION OR AGREEMENT FOR WASTEWATER SERVICE ACCEPTED BY THE COMPANY WILL BE FURNISHED TO THE APPLICANT UPON REQUEST.

THE APPLICANT SHALL FURNISH TO THE COMPANY THE CORRECT NAME, STREET ADDRESS OR LOT AND BLOCK NUMBER, AT WHICH WASTE WATER SERVICE IS REQUESTED.

4.0 APPLICATIONS BY AGENTS – APPLICATIONS FOR WASTEWATER SERVICE REQUESTED BY FIRM’S PARTNERSHIPS, ASSOCIATIONS, CORPORATIONS, AND OTHERS, SHALL BE TENDERED ONLY BY DULY AUTHORIZED PARTIES. WHEN WASTEWATER SERVICE IS RENDERED UNDER AGREEMENT OR AGREEMENTS ENTERED INTO BY THE COMPANY AND AN AGENT OF THE PRINCIPAL THE USE OF SUCH WASTEWATER SERVICE BY THE PRINCIPAL OR AGENT SHALL CONSTITUTE FULL AND COMPLETE RATIFICATION BY THE PRINCIPAL OF THE AGREEMENT OR AGREEMENTS ENTERED INTO BETWEEN AGENT AND COMPANY, AND UNDER WHICH WASTEWATER SERVICE IS RENDERED.

5.0 WITHHOLDING SERVICE – THE COMPANY MAY WITHHOLD OR DISCONTINUE WASTEWATER SERVICE RENDERED UNDER ANY APPLICATION MADE BY ANY MEMBER OR AGENT OF A HOUSEHOLD, ORGANIZATION OR BUSINESS UNLESS ALL PRIOR INDEBTEDNESS TO THE COMPANY OF SUCH HOUSEHOLD, ORGANIZATION OR BUSINESS FOR WASTEWATER SERVICE HAS BEEN SETTLED IN FULL.

SERVICE MAY ALSO BE DISCONTINUED FOR ANY VIOLATION BY THE CUSTOMER OR CONSUMER OF ANY RULE OR REGULATION SET FORTH IN THE TARIFF.

6.0 EXTENSIONS – EXTENSIONS WILL BE MADE TO THE COMPANY’S FACILITIES IN COMPLIANCE WITH THE RULES / ORDERS / TARIFF BY THE COMMISSION.

7.0 LIMITATION OF USE – WASTEWATER SERVICE PURCHASED FROM THE COMPANY SHALL BE USED BY THE CONSUMER ONLY FOR THE PURPOSES SPECIFIED IN THE APPLICATION FOR WASTEWATER SERVICE. WASTEWATER SERVICE FURNISHED TO THE CONSUMER SHALL BE FOR THE CONSUMER’S OWN USE, AND WASTEWATER SHALL BE RECEIVED DIRECTLY FROM THE CONSUMER INTO THE COMPANY’S MAIN SEWER LINES. IN NO CASE SHALL A CONSUMER, EXCEPT WITH THE WRITTEN CONSENT OF THE COMPANY, EXTEND HIS LINES ACROSS A STREET, ALLEY, LANE, COURT, PROPERTY LINE, AVENUE, OR OTHER WAY, IN ORDER TO FURNISH WASTEWATER SERVICE FOR AN ADJACENT PROPERTY, EVEN THOUGH SUCH ADJACENT PROPERTY BE OWNED BY HIM. IN CAST OF SUCH UNAUTHORIZED EXTENSION, SALE OR DISPOSITION OF SERVICE, CONSUMER’S SEWER SERVICE IS SUBJECT TO DISCONTINUANCE UNTIL SUCH UNAUTHORIZED EXTENSION, SALE OR DISPOSITION IS DISCONTINUED AND FULL PAYMENT IS MADE OF BILLS FOR SEWER SERVICE, CALCULATED ON PROPER CLASSIFICATIONS AND RATE SCHEDULES AND REIMBURSEMENT IN FULL BE MADE TO ETH COMPANY FOR ALL EXTRA EXPENSES INCURRED FOR CLERICAL WORK, TESTING, AND INSPECTIONS.

8.0 CONTINUTY OF SERVICE – THE COMPANY WILL AT ALL TIMES USE REASONABLE DILIGENCE TO PROVIDE CONTINUOUS SEWER SERVICE, AND HAVING USED REASONABLE DILIGENCE, SHALL NOT BE LIABLE TO THE CUSTOMER FOR FAILURE OR INTERRUPTION OF CONTINUOUS SEWER SERVICE. THE COMPANY SHALL NOT BE LIABLE FOR ANY ACT OR OMISSION CAUSED DIRECTLY OR INDIRECTLY BY STRIKES, LABOR TROUBLES, ACCIDENT, LITIGATIONS, BREAKDOWNS, SHUTDOWNS FOR EMERGENCY REPAIRS, OR ADJUSTMENTS, ACTS OF SABOTAGE, ENEMIES OF THE UNITED STATES, WARS, UNITED STATES, STATE, MUNICIPAL OR OTHER GOVERNMENTAL INTERFERENCE, ACTS OF GOD OR OTHER CAUSES BEYOND ITS CONTROL. IF AT ANY TIME THE COMPANY SHALL INTERRUPT OR DISCONTINUE ITS SERVICE FOR ANY PERIOD GREATER THAN ONE HOUR, ALL CUSTOMERS EFFECTED BY SAID INTERRUPTION OR DISCONTINUANCE SHALL BE GIVEN NOT LESS THAN 24 HOURS NOTICE.

- 9.0 TYPE AND MAINTENANCE - THE CUSTOMER'S PIPES, APPARTUS AND EQUIPMENT SHALL BE SELECTED, INSTALLED, USED AND MAINTAINED IN ACCORDANCE WITH THE STANDARD PRACTICE, CONFORMING WITH THE RULES AND REGULATIONS OF THE COMPANY, AND IN FULL COMPLIANCE WITH ALL LAWS AND GOVERNMENTAL REGULATIONS APPLICABLE TO SAME. THE COMPANY SHALL NOT BE RESPONSIBLE FOR THE MAINTENANCE AND OPERATION OF THE CUSTOMER'S PIPES AND FACILITIES. THE CUSTOER EXPRESSLY AGREES NOT TO UTILIZE ANY APPLIANCE OR DEVICE WHICH IS NOT PROPERLY CONSTRUCTED, CONTROLLED AND PROTECTED, OR WHICH MAY ADVERSELY AFFECT THE SEWER SERVICE; AND THE COMPANY RESERVES THE RIGHT TO DISCONTINUE OR WITHHOLD SEWER SERVICE TO SUCH APPARTUS OR DEVICE.
- 10.0 CHANGE OF CUSTOMER'S INSTALLATION - NO CHANGES OR INCREASES IN CUSTOMER'S INSTALLATION, WHICH WILL MATERIALLY AFFECT THE PROPER OPERATION OF THE PIPES, MAINS, OR STATIONS OF THE COMPANY, SHALL BE MADE WITHOUT WRITTEN CONSENT OF THE COMPANY. THE CUSTOMER WILL BE LIABLE FOR ANY CHANGE RESULTING FROM A VIOLATION OF THIS RULE.
- 11.0 INSPECTION OF CUSTOMERS INSTALLATION — ALL CUSTOMER'S SEWER SERVICE INSTALLATIONS OR CHANGES SHALL BE INSPECTED UPON COMPLETION BY COMPETENT AUTHORITY TO INSURE THAT CUSTOMER'S PIPING, EQUIPMENT, AND DEVICES HAVE BEEN INSTALLED IN ACCORDANCE WITH ACCEPTED STANDARD PRACTICE AND SUCH LOCAL GOVERNMENTAL OR OTHER RULES AS MAY BE IN EFFECT. WHERE MUNICIPAL OR OTHER GOVERNMENTAL INSPECTION IS REQUIRED BY LOCAL RULES OR ORDINANCES, THE COMPANY CANNOT RENDER SEWER SERVICE UNTIL SUCH INSPECTION HAS BEEN MADE AND A FORMAL NOTICE OF APPROVAL FROM THE INSPECTING AUTHORITY HAS BEEN RECEIVED BY THE COMPANY.

THE COMPANY RESERVES THE RIGHT TO INSPECT CUSTOMER'S INSTALLATION PRIOR TO RENDERING SEWER SERVICE AND FROM TIME TO TIME THEREAFTER, BUT ASSUMES NO RESPONSIBILITY WHATSOEVER FOR ANY PORTION THEREOF.

- 12.0 PROTECTION OF COMPANY'S PROPERTY — THE CUSTOMER SHALL EXERCISE REASONABLE DILIGENCE TO PROTECT THE COMPANY'S PROPERTY ON THE CUSTOMER'S PREMISES, AND SHALL KNOWINGLY PERMIT NO ONE BUT THE COMPANY'S AGENTS, OR PERSONS AUTHORIZED BY LAW, TO HAVE ACCESS TO THE COMPANY'S PIPES AND APPARTUS.

IN THE EVENT OF ANY LOSS, OR DAMAGE TO PROPERTY OF THE COMPANY CAUSED BY OR ARISING OUT OF CARELESSNESS, NEGLIGENCE OR MISUSE BY THE CUSTOMER, THE COST OF MAKING GOOD SUCH LOSS OR REPAIRING SUCH DAMAGE SHALL BE PAID BY THE CUSTOMER.

13.0 ACCESS TO PREMISES - THE DULY AUTHORIZED AGENTS OF THE COMPANY SHALL HAVE ACCESS AT ALL REASONABLE HOURS TO THE PREMISES OF THE CUSTOMER FOR THE PURPOSE OF INSTALLING, MAINTAINING AND INSPECTING, OR REMOVING THE COMPANY'S PROPERTY AND OTHER PURPOSES INCIDENT TO PERFORMANCE UNDER OR TERMINATION OF THE COMPANY'S AGREEMENT WITH THE CUSTOMER AND IN SUCH PERFORMANCE SHALL NOT BE LIABLE FOR TRESPASS.

14.0 RIGHT OF WAY OR EASEMENTS - THE CUSTOMER SHALL GRANT OR CAUSE TO BE GRANTED TO THE COMPANY, AND WITHOUT COST TO THE COMPANY, ALL RIGHTS, EASEMENTS, PERMITS, AND PRIVILEGES WHICH ARE NECESSARY FOR THE RENDERING OF WASTEWATER SERVICE.

15.0 BILLING PERIODS - BILLS FOR WASTEWATER SERVICE WILL BE RENDERED MONTHLY. BILLS ARE DUE WHEN RENDERED AND SHALL BE CONSIDERED AS RECEIVED BY THE CUSTOMER WHEN DELIVERED OR MAILED TO THE SERVICE ADDRESS OR SOME OTHER PLACE MUTUALLY AGREED UPON.

NON-RECEIPT OF BILLS BY THE CUSTOMER SHALL NOT RELEASE OR DIMINISH THE OBLIGATION OF THE CUSTOMER WITH RESPECT TO PAYMENT THEREOF.

16.0 DELINQUENT BILLS - BILLS ARE DUE WHEN RENDERED, AND IF NOT PAID WITHIN SIXTEEN (16), FOR A FLORIDA RESIDENT, OR 21 DAYS FOR A NON-FLORIDA RESIDENT, DAYS THEREAFTER BECOME DELINQUENT, AND SEWER SERVICE MAY THEN, AFTER FIVE WORKING (5) DAYS WRITTEN NOTICE, BE DISCONTINUED. A LATE PAYMENT CHARGE OF 3% (THREE PERCENT) OF THE CURRENT BILL OR \$5.00 WHICHEVER IS GREATER SHALL BE ADDED TO BILLS REMAINING UNPAID AFTER 20 DAYS. SERVICE SHALL BE RESTORED ONLY UPON PAYMENT OF ALL PAST-DUE BILLS AND PENALTIES, TOGETHER WITH AN APPROPRIATE RECONNECT CHARGE. THERE SHALL BE NO LIABILITY OF ANY KIND AGAINST THE COMPANY BY REASON OF DISCONTINUANCE OF SEWER SERVICE TO THE CONSUMER FOR FAILURE OF THE CONSUMER TO PAY THE BILLS ON TIME.

PARTIAL PAYMENTS OF A BILL FOR WASTEWATER SERVICE RENDERED WILL NOT BE ACCEPTED BY THE COMPANY, EXCEPT BY THE COMPANY'S AGREEMENT OR BY ORDER FROM THE COMMISSION.

17.0 PAYMENT OF WATER AND WASTEWATER SERVICE BILLS CONCURRENTLY -WHEN BOTH WATER AND WASTEWATER SERVICE ARE PROVIDED BY THE COMPANY, PAYMENT OF ANY WASTEWATER SERVICE BILL RENDERED BY THE COMPANY TO A CUSTOMER SHALL NOT BE ACCEPTED BY THE COMPANY WITHOUT THE SIMULTANEOUS OR CONCURRENT PAYMENT OF ANY WATER SERVICE BILL RENDERED BY THE COMPANY. THE COMPANY MAY DISCONTINUE BOTH WATER SERVICE AND WASTEWATER SERVICE TO THE CUSTOMER'S PREMISES FOR NON-PAYMENT OF THE WASTEWATER SERVICE CHARGES OR IF THE CHARGES FOR WATER SERVICE ARE

(CONTINUED TO SHEET NO. 12.0)

(CONTINUED FROM SHEET NO. 11.0)

NOT PAID THE COMPANY MAY DISCONTINUE BOTH WATER SERVICE AND SEWER SERVICE TO THE CONSUMER'S PREMISES FOR NONPAYMENT OF THE WATER SERVICE CHARGE. THE COMPANY SHALL NOT RE-ESTABLISH OR RECONNECT SEWER SERVICE AND WATER SERVICE OR EITHER OF SUCH SERVICES UNTIL SUCH TIME AS ALL SEWER SERVICE CHARGES AND WATER SERVICE CHARGES AND ALL OTHER EXPENSES OR CHARGES ESTABLISHED OR PROVIDED FOR BY THESE RULES AND REGULATIONS ARE PAID IN FULL.

- 18.0 TEMPORARY DISCONTINUANCE OF SERVICE - AT ANY TIME THAT SEWER SERVICE IS NOT BEING FURNISHED TO THE PREMISES, AS CONFIRMED BY THE COMPANY, FURNISHED SAID SEWER SERVICE, UPON APPLICATION TO THE COMPANY BY THE CUSTOMER FOR A TEMPORARY SHUTOFF OF AT LEAST 60 DAYS DURATION. BILLING FOR SEWER SERVICE TO THE PREMISES WILL BE SUSPENDED FOR THE NUMBER OF WHOLE MONTHS THAT SEWER SERVICE IS DISCONTINUED TO THE PREMISES.

THE COMPANY MAY CHARGE A STAND-BY FEE OF THE MINIMUM BILL, OR "BFC", FOR EACH BILLING PERIOD DURING WHICH PERIOD SERVICE IS DISCONTINUED.

- 19.0 EVIDENCE OF CONSUMPTION - THE INITIATION OR CONTINUATION OR RESUMPTION OF WATER SERVICE TO THE PREMISES SHALL CONSTITUTE THE INITIATION, CONTINUATION, OR RESUMPTION OF SANITARY SEWER SERVICE TO THE PREMISES, REGARDLESS OF OCCUPANCY.

- 20.0 TAX CLAUSE - RATES AND/OR CHARGES MAY BE INCREASED, OR A SURCHARGE ADDED IN THE AMOUNT OF THE APPLICABLE PROPORTIONATE PART OF ANY TAXES AND ASSESSMENTS IMPOSED BY ANY GOVERNMENTAL AUTHORITY IN EXCESS OF THOSE IN EFFECT AFTER THE APPROVAL OF THIS RULE WHICH ARE ASSESSED ON THE BASIS OF METERS OR CUSTOMERS, OR THE PRICE OF, OR REVENUES FROM SEWAGE SERVICE SOLD, NOT INCLUDING INCOME TAXES.

- 21.0 CHANGE OF OCCUPANCY - WHEN CHANGE OF OCCUPANCY TAKES PLACE ON ANY PREMISES SUPPLIED BY THE COMPANY WITH SEWER SERVICE, WRITTEN NOTICE THEREOF SHALL BE GIVEN AT THE OFFICE OF THE COMPANY NOT LESS THAN THREE (3) DAYS PRIOR TO THE DATE OF CHANGE BY THE OUTGOING CUSTOMER, WHO WILL BE HELD RESPONSIBLE FOR ALL SEWER SERVICE USED ON SUCH PREMISES UNTIL SUCH WRITTEN NOTICE IS SO RECEIVED AND THE COMPANY HAS HAD REASONABLE TIME TO DISCONTINUE SEWER SERVICE. HOWEVER, IF SUCH WRITTEN NOTICE HAS NOT BEEN RECEIVED, THE APPLICATION OF A SUCCEEDING OCCUPANT FOR SEWER SERVICE WILL

(CONTINUED TO SHEET No. 13.0)

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AUTOMATICALLY TERMINATE THE PRIOR ACCOUNT. CUSTOMER'S DEPOSIT MAY BE TRANSFERRED FROM ONE SERVICE LOCATION TO ANOTHER, IF BOTH LOCATIONS ARE SUPPLIED BY THE COMPANY. CONSUMER'S DEPOSIT MAY NOT BE TRANSFERRED FROM ONE NAME TO ANOTHER.

FOR THE CONVENIENCE OF ITS CUSTOMERS, THE COMPANY, WILL ACCEPT TELEPHONE ORDERS TO DISCONTINUE OR TRANSFER WATER SERVICE AND WILL USE ALL REASONABLE DILIGENCE IN THE EXECUTION THEREOF. HOWEVER, ORAL ORDERS OR ADVICE SHALL NOT BE DEEMED BINDING OR BE CONSIDERED FORMAL NOTIFICATION TO THE COMPANY.

22.0 UNAUTHORIZED CONNECTIONS - SEWER - CONNECTIONS TO THE COMPANY'S SEWER SYSTEM FOR ANY PURPOSE WHATSOEVER ARE TO BE MADE ONLY BY EMPLOYEES OF THE COMPANY, UNAUTHORIZED CONNECTIONS RENDER THE SERVICE SUBJECT TO IMMEDIATE DISCONTINUANCE WITHOUT NOTICE AND SEWER SERVICE WILL NOT BE RESTORED UNTIL SUCH UNAUTHORIZED CONNECTIONS HAVE BEEN REMOVED AND UNLESS SETTLEMENT IS MADE IN FULL FOR ALL SEWER SERVICE ESTIMATED BY THE COMPANY TO HAVE BEEN USED BY REASON OF SUCH UNAUTHORIZED CONNECTION.

23.0 ADJUSTMENT OF BILLS - WHEN A CUSTOMER HAS BEEN OVERCHARGED OR UNDERCHARGED AS A RESULT OF INCORRECT APPLICATION OF THE RATE SCHEDULES, OR IF SEWER SERVICE IS MEASURED BY WATER CONSUMPTION, A METER ERROR IS DETERMINED, THE AMOUNT MAY BE CREDITED OR BILLED TO THE CONSUMER, AS THE CASE MAY BE.

24.0 SCHEDULE OF CUSTOMER DEPOSITS

ESTABLISHMENT OF CREDIT - BEFORE RENDERING WATER SERVICE, THE COMPANY MAY REQUIRE AN APPLICANT FOR SERVICE TO SATISFACTORILY ESTABLISH CREDIT, BUT SUCH ESTABLISHMENT OF CREDIT SHALL NOT RELIEVE THE CUSTOMER FROM COMPLYING WITH THE COMPANY'S RULES FOR PROMPT PAYMENT. CREDIT WILL BE DEEMED SO ESTABLISHED, IN ACCORDANCE WITH RULE 25-30.311, FLORIDA ADMINISTRATIVE CODE, IF:

(CONTINUED TO SHEET NO. 14.0)

(CONTINUED FROM SHEET NO. 13.0)

- (A) THE APPLICANT FOR SERVICE FURNISHES A SATISFACTORY GUARANTOR TO SECURE PAYMENT OF BILLS FOR THE SERVICE REQUESTED.
- (B) THE APPLICANT PAYS A CASH DEPOSIT.
- (C) THE APPLICANT FOR SERVICE FURNISHES AN IRREVOCABLE LETTER OF CREDIT FROM A BANK OR A SURETY BOND.

AMOUNT OF DEPOSIT THE AMOUNT OF INITIAL DEPOSIT SHALL BE THE COMPANY'S ESTIMATE OF THE CUSTOMER'S ACTUAL CHARGE FOR TWO MONTHLY BILLING PERIODS FOR ALL METER SIZES AND CLASSES OF SERVICE EXCEPT AS FOLLOWS:

METER SIZE	RESIDENTIAL HOMEOWNER
5/8" X 3/4"	\$60.00
(\$100.00 FOR COMBINED WATER AND SEWER SERVICE)	

METER SIZE	RESIDENTIAL RENTAL UNIT
5/8" X 3/4"	\$75.00
(\$120.00 FOR COMBINED WATER AND SEWER SERVICE)	

METER SIZE	GENERAL / COMMERCIAL SERVICE
3/4"	\$ 110.00
1"	\$ 275.00
1 1/2"	\$ 550.00
2"	\$ 880.00
3"	\$ 1,760.00
4"	\$ 2,750.00
6"	\$ 5,500.00
8"	\$ 8,800.00
10"	\$12,650.00
12"	\$23,650.00

ADDITIONAL DEPOSIT- UNDER RULE 25-30.311(7), FLORIDA ADMINISTRATIVE CODE, THE COMPANY MAY REQUIRE A NEW DEPOSIT, WHERE PREVIOUSLY WAIVED OR RETURNED, OR AN ADDITIONAL DEPOSIT IN ORDER TO SECURE PAYMENT OF CURRENT BILLS PROVIDED. THE COMPANY SHALL PROVIDE THE CUSTOMER WITH REASONABLE WRITTEN NOTICE OF NOT LESS THAN 30 DAYS WHERE SUCH REQUEST OR NOTICE IS SEPARATE AND APART FROM ANY BILL FOR SERVICE.

(CONTINUED TO SHEET NO. 14.1)

ROLLING OAKS UTILITIES, INC.  
WASTEWATER TARIFF

SECOND REVISED SHEET NO. 14.1  
CANCELS FIRST REVISED SHEET NO. 14.1

(CONTINUED FROM SHEET NO. 14.0)

THE TOTAL AMOUNT OF THE REQUIRED DEPOSIT SHALL NOT EXCEED AN AMOUNT EQUAL TO THE AVERAGE ACTUAL CHARGE FOR WATER AND WASTEWATER SERVICE FOR TWO MONTHLY BILLING PERIODS FOR THE 12-MONTH PERIOD IMMEDIATELY PRIOR TO THE DATE OF NOTICE. IN THE EVENT THE CUSTOMER HAS HAD SERVICE LESS THAN 12 MONTHS, THE COMPANY SHALL BASE ITS NEW OR ADDITIONAL DEPOSIT UPON THE AVERAGE ACTUAL MONTHLY BILLINGS AVAILABLE.

INTEREST ON DEPOSIT - THE COMPANY SHALL PAY INTEREST ON CUSTOMER DEPOSITS PURSUANT TO RULE 25-30.311(4) AND (4)(A). THE RATE OF INTEREST IS 6% PER ANNUM. THE PAYMENT OF INTEREST SHALL BE MADE ONCE EACH YEAR AS A CREDIT ON REGULAR BILLS OR WHEN SERVICE IS DISCONTINUED AS A CREDIT ON FINAL BILLS. NO CUSTOMER DEPOSITOR WILL RECEIVE INTEREST ON HIS OR HER DEPOSIT UNTIL A CUSTOMER RELATIONSHIP AND THE DEPOSIT HAVE BEEN IN EXISTENCE FOR AT LEAST SIX (6) MONTHS. AT SUCH TIME, THE CUSTOMER DEPOSITOR SHALL BE ENTITLED TO RECEIVE INTEREST FROM THE DAY OF THE COMMENCEMENT OF THE CUSTOMER RELATIONSHIP AND PLACEMENT OF THE DEPOSIT. THE COMPANY WILL PAY OR CREDIT ACCRUED INTEREST TO THE CUSTOMER'S ACCOUNT DURING THE MONTH OF MARCH EACH YEAR.

REFUND OF DEPOSIT - AFTER A RESIDENTIAL CUSTOMER HAS ESTABLISHED A SATISFACTORY PAYMENT RECORD AND HAS HAD CONTINUOUS SERVICE FOR A PERIOD OF 23 MONTHS, THE COMPANY SHALL REFUND THE CUSTOMER'S DEPOSIT PROVIDED THE CUSTOMER HAS NOT, IN THE PRECEDING 12 MONTHS: MADE MORE THAN ONE LATE PAYMENT OF THE BILL (AFTER THE EXPIRATION OF 20 DAYS FROM THE DATE OF MAILING OR DELIVERY BY THE COMPANY), PAID WITH A CHECK REFUSED BY A BANK, BEEN DISCONNECTED FOR NON-PAYMENT, OR AT ANY TIME TAMPERED WITH THE METER OR USED SERVICE IN A FRAUDULENT OR UNAUTHORIZED MANNER.

NOTWITHSTANDING THE ABOVE, THE COMPANY MAY HOLD THE DEPOSIT OF A NON-RESIDENTIAL CUSTOMER AFTER A CONTINUOUS SERVICE PERIOD OF 23 MONTHS AND SHALL PAY INTEREST ON THE NONRESIDENTIAL CUSTOMER'S DEPOSIT AT THE RATE OF 7% PER ANNUM UPON RETAINING SUCH DEPOSIT.

(CONTINUED TO SHEET NO. 14.2)



(CONTINUED FROM SHEET NO. 14.1)

WHEN SERVICE IS DISCONTINUED, THE DEPOSIT AND ACCRUED INTEREST MAY BE CREDITED AGAINST THE FINAL ACCOUNT WITH THE BALANCE, IF ANY, REFUNDED WITHIN 15 DAYS. THIS PROVISION DOES NOT RELIEVE CUSTOMER OF OBLIGATION TO PAY ANY BILL FOR SERVICE RENDERED.

NOTHING IN THIS RULE SHALL PROHIBIT THE COMPANY FROM REFUNDING A CUSTOMER'S DEPOSIT IN LESS THAN 23 MONTHS.

25.0 MISCELLANEOUS SERVICE CHARGES - THE COMPANY MAY CHARGE THE FOLLOWING MISCELLANEOUS SERVICE CHARGES IN ACCORDANCE WITH THE TERMS STATED HEREIN. IF BOTH WATER AND WASTEWATER SERVICES ARE PROVIDED, ONLY A SINGLE CHARGE IS APPROPRIATE UNLESS CIRCUMSTANCES BEYOND THE CONTROL OF THE COMPANY REQUIRES MULTIPLE ACTIONS.

INITIAL CONNECTION - THIS CHARGE WOULD BE LEVIED FOR SERVICE INITIATION AT A LOCATION WHERE SERVICE DID NOT EXIST PREVIOUSLY.

NORMAL RECONNECTION - THIS CHARGE WOULD BE LEVIED FOR TRANSFER OF SERVICE TO A NEW CUSTOMER ACCOUNT AT A PREVIOUSLY SERVED LOCATION, OR RECONNECTION OF SERVICE SUBSEQUENT TO A CUSTOMER REQUESTED DISCONNECTION.

VIOLATION RECONNECTION - THIS CHARGE WOULD BE LEVIED PRIOR TO RECONNECTION OF AN EXISTING CUSTOMER AFTER DISCONNECTION OF SERVICE FOR CAUSE ACCORDING TO RULE 25-30.320(2), FLORIDA ADMINISTRATIVE CODE, INCLUDING A DELINQUENCY IN BILL PAYMENT.

24.0 SPECIAL CONTRACTS - THE COMPANY SHALL FILE WITH THE AUTHORITY COPIES OF ALL GUARANTEED REVENUE CONTRACTS OR SPECIAL CONTRACTS FOR THE SALE OF ITS PRODUCT OR SERVICES IN A MANNER NOT SPECIFICALLY COVERED BY ITS STANDARD REGULATIONS OR APPROVED RATE SCHEDULES PRIOR TO EXECUTION.

(CONTINUED TO SHEET NO. 14.3)

(CONTINUED FROM SHEET NO. 14.2)

PREMISES VISIT CHARGE (IN LIEU OF DISCONNECTION) - THIS CHARGE WOULD BE LEVIED WHEN A SERVICE REPRESENTATIVE VISITS A PREMISES FOR THE PURPOSE OF DISCONTINUING SERVICE FOR NONPAYMENT OF A DUE AND COLLECTIBLE BILL AND DOES NOT DISCONTINUE SERVICE BECAUSE THE CUSTOMER PAYS THE SERVICE REPRESENTATIVE OR OTHERWISE MAKES SATISFACTORY ARRANGEMENTS TO PAY THE BILL.

LATE PAYMENT CHARGE - THIS CHARGE WILL BE LEVIED WHEN A CUSTOMER'S BILLING ACCOUNT IS NOT PAID WITHIN 16 DAYS, FOR A FLORIDA RESIDENT, AND 21 DAYS, FOR A NON-FLORIDA RESIDENT, AND IS THEREFORE DELINQUENT.

SCHEDULE OF MISCELLANEOUS SERVICE CHARGES

INITIAL CONNECTION FEE	\$ 50.75
NORMAL RECONNECTION FEE	\$ 50.75
VIOLATION RECONNECTION FEE	\$ 50.75
PREMISES VISIT (IN LIEU OF DISCONNECTION)	\$ 50.75
LATE PAYMENT CHARGE	\$ 5.10
TAMPERING / UNAUTHORIZED USE	
METER SIZE	
5/8" TO 3/4"	\$152.25
1"	\$172.55
1 1/2"	\$192.85
2"	\$253.75
ALL OTHERS	\$253.75 PLUS COST
SERVICE LATERAL FEE	\$629.30
WASTEWATER LATERAL	

DAMAGE TO UTILITY PROPERTY A person who causes damage to the utility's property will be responsible for payment of the total cost, plus any taxes, of the repair of the property whether the repair is completed by an independent contractor or the Utility's employees.

## RESIDENTIAL SERVICE

## RATE SCHEDULE

AVAILABILITY - AVAILABLE THROUGHOUT THE AREA SERVED BY THE COMPANY.

APPLICABILITY - FOR SEWER SERVICE FOR ALL PURPOSES IN PRIVATE RESIDENCES AND INDIVIDUALLY METERED APARTMENT UNITS.

LIMITATIONS - SUBJECT TO ALL OF THE RULES AND REGULATIONS OF THIS TARIFF AND GENERAL RULES AND REGULATIONS OF THE COMMISSION.

RATE - \$12.43 PER MONTH

GALLONAGE CHARGE RESIDENTIAL - \$ 2.85 PER 1,000 GALLONS APPLIED TO A MAXIMUM OF 6,000 GALLONS PER MONTH WATER USAGE. SEE ATTACHED SCHEDULE.

GALLONAGE CHARGE GENERAL / COMMERCIAL

METER SIZE	BASE FACILITY CHARGE
3/4"	\$ 12.43
1"	\$ 30.57
1 1/2"	\$ 60.81
2"	\$ 97.09
3"	\$ 193.84
4"	\$ 302.69
5"	\$ 453.77
6"	\$ 604.84
>=8"	\$ 967.54

GALLONAGE CHARGE GENERAL / COMMERCIAL - \$ 2.85 PER 1,000 GALLONS APPLIED TO ALL GALLONS USED.

TERMS OF PAYMENT - BILLS ARE DUE AND PAYABLE WHEN RENDERED AND BECOME DELINQUENT IF NOT PAID WITHIN TWENTY (16) DAYS FOR FLORIDA RESIDENTS, OR 21 DAYS FOR NON FLORIDA RESIDENTS. AFTER FIVE (5) WORKING DAYS WRITTEN NOTICE, SEPARATE AND APART FROM ANY BILL, SERVICE MAY THEN BE DISCONTINUED.

BULK SERVICE

RATE SCHEDULE-ES

AVAILABILITY - AVAILABLE THROUGHOUT THE AREA SERVED BY THE COMPANY.

APPLICABILITY - FOR WATER SERVICE TO ALL CUSTOMERS RECEIVING BULK SERVICE BY CONTRACT SPECIFICALLY CALLING FOR SUCH SERVICE.

LIMITATIONS - SUBJECT TO ALL RULES AND REGULATIONS OF THIS TARIFF AND GENERAL RULES AND LIMITATIONS OF THE AUTHORITY.

BILLING PERIOD - MONTHLY

RATE -

BASE CHARGE - THE BASE CHARGE IMPOSED EACH MONTH FOR BOTH WATER AND WASTEWATER SERVICE SHALL BE THE GREATER OF: (1) THE BASE CHARGE APPLICABLE UTILIZING THE STANDARD GENERAL SERVICE TARIFF RATES FOR THE SIZE METER UTILIZED IN PROVIDING SUCH BULK SERVICE; OR TO THE EXTENT THAT METER SIZE IS NOT REFLECTED IN THE GENERAL SERVICE TARIFF, THE BASE CHARGE THAT WOULD BE CALCULATED UTILIZING THE AWWA METER EQUIVALENT STANDARDS PROVIDED FOR IN RULE 25-30.055, FAC, AND APPLYING THOSE STANDARDS TO THEN EXISTING RATES; OR (2) THE GENERAL SERVICE BASE FACILITY CHARGE FOR A 5/8" X 3/4" METER MULTIPLIED BY THE NUMBER OF ERCS BEHIND THE BULK METER IN ANY GIVEN MONTH. IF THIS LATTER BASIS FOR DETERMINING THE BASE CHARGE IS UTILIZED, THE BASE CHARGE PER ERC SHALL BE DISCOUNTED 4.83% FOR WATER AND 6.61% FOR WASTEWATER TO RECOGNIZE THE SAVINGS AND BILLING AND OTHER RELATED COSTS TO THE UTILITY AS A RESULT OF THE BULK SERVICE AGREEMENT.

GALLONAGE CHARGE - THE GALLONAGE CHARGE IMPOSED SHALL BE BASED UPON APPLICATION OF THE RESIDENTIAL SERVICE CHARGE PER 1,000 GALLONS AS CONTAINED IN THE UTILITY'S RESIDENTIAL SERVICE TARIFF MULTIPLIED BY THE NUMBER OF GALLONS OF WATER WHICH HAS PASSED THROUGH THE BULK SERVICE METER DURING ANY GIVEN MONTH FOR BOTH WATER AND SEWER SERVICE. TO THE EXTENT AND AT SUCH TIME AS THE BASE CHARGE PROVISIONS UNDER (2) ABOVE ARE UTILIZED IN ESTABLISHING THE BASE CHARGE FOR SEWER SERVICES, A 6,000 GALLON PER ERC CAP SHALL BE PLACED ON THE CHARGES FOR SEWER SERVICE THROUGH THE BULK METER.

TERMS OF PAYMENT - BILLS ARE DUE AND PAYABLE WHEN RENDERED AND BECOME DELINQUENT IF NOT PAID WITHIN (20) DAYS. AFTER FIVE (5) WORKING DAYS WRITTEN NOTICE, SERVICE MAY THEN BE DISCONTINUED. (WRITTEN NOTICE IS TO BE MAILED TO THE CUSTOMER SEPARATE AND APART FROM ANY OTHER BILL.)

CUSTOMER'S GUARANTEE DEPOSIT RECEIPT

**Rolling Oaks Utilities, Inc. PO BOX 641030, Beverly Hills, FL 34464-1030**

**Service Address: 12345 S. TYLER ST**

**Total Deposit: \$100.00**

**Turn on Fee: \$50.00**

**Total Paid: \$150.00**

**Date paid: 01/01/2010**

**MARY SMITH**

**12345 S TYLER ST**

**BEVERLY HILLS, FL 34465**

**Billing —**

Mail Dates - Bills are mailed the first day of the month (or earlier if the first falls on a weekend or holiday. **Due Date** - Payment is due in the office by the 16th of every month.

**Service Dates** -15th to the 15th and billed on the following 1st.

**Billing dates are from the:**

***If you start service:***

***Or if you stop service:***

***Your first bill will be mailed:***

***Your Final bill will be mailed:***

December 16 through January 15

February 1st

January 16 through February 15

March 1st

February 16 through March 15

April 1st

March 16 through April 15

May 1st

April 16 through May 15

June 1st

May 16 through June 15

July 1st

June 16 through July 15

August 1st

July 16 through August 15

September 1st

August 16 through September 15

October 1st

September 16 through October 15

November 1st

October 16 through November 15

December 1st

November 16 through December 15

January 1st

**Late Notices -**

Late Notices — Late notices are mailed on the 17th or the next working day. Late Due Date -Five working days for payment to be received in the office.

**Disconnection —**

Any account that remains unpaid after the due date on the late notice is subject to disconnection.

APPLICATION FOR WATER AND SEWER SERVICE

**ROLLING OAKS UTILITIES, INC.**

ACCOUNT NO. \_\_\_\_\_.

DATE PROCESSED \_\_\_\_\_.

Service Address

Mailing Address

Name \_\_\_\_\_

Name \_\_\_\_\_

Address \_\_\_\_\_

Address \_\_\_\_\_

\_\_\_\_\_

City, State \_\_\_\_\_

Phone \_\_\_\_\_

Zip Code \_\_\_\_\_

Work Phone \_\_\_\_\_

email \_\_\_\_\_

Work Phone \_\_\_\_\_

Cell Phone \_\_\_\_\_

		Date
Turn-On	Water	_____
	Sewer	_____

		Date
Turn Off	Water	_____
	Sewer	_____

SS# \_\_\_\_\_

Driver's License \_\_\_\_\_

State \_\_\_\_\_

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

COPY OF CUSTOMER'S BILL

ROLLING OAKS UTILITIES 352-746-4291  
PO Box 641030 Act: 3463-00  
Beverly Hills, FL 34464-1030 Due Date: 08/20/2010  
Svc Addr : 12345 N TAMARISK AVE  
SERVICE CHARGES BALANCE.

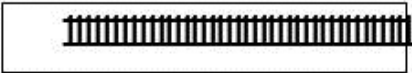
Act: 3463-00  
23.07  
08/20/2010  
28.07

FIRST CLASS MAIL  
U.S. POSTAGE PAID  
BEVERLY HILLS, FL 34464  
PERMIT NO. 101

SEE REVERSE SIDE

WATER	8.89 0.00	8.89
CITY REG FEE-W	0.27 0.00	0.27
SEWER	21.16 0.00	21.16
CITY REG FEE-S	0.64 0.00	0.64

TEMP-RETURN SERVICE REQUESTED



\*\*CRH014

OVERPAY/DEP.	CR -7.89 0.00	-7.89
Totals:	23.07 0.00	23.07

Current: 4380000 07/14/2010  
Previous: 4376000 06/22/2010  
Usage: 4000  
Last Payment: 34.80 - 07/20/2009

MARY SMITH  
12345 N TAMARISK AVE  
BEVERLY HILLS FL 34465-3876

>>> PLEASE PAY \$ 23.07 BY 08/20/2009 <<<  
>>> PLEASE PAY \$ 28.07 AFTER 08/20/2009 <<<

|||||

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SHEET NUMBER

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SERVICE AVAILABILITY POLICY

SERVICE AVAILABILITY

ALL REQUESTS FOR SERVICE AVAILABILITY WILL BE HANDLED IN ACCORDANCE WITH CHAPTER 75-30 - FLORIDA ADMINISTRATIVE CODE, THE PUBLIC SERVICE COMMISSION'S RULES FOR SERVICE AVAILABILITY. EACH PROSPECTIVE CUSTOMER WILL BE REQUIRED TO PAY THE FOLLOWING SERVICE AVAILABILITY CHARGES.

	PER ERC
SEWER PLANT CAPACITY CHARGE:	\$137.00
SEWER MAIN EXTENSION CHARGE:	\$491.00

CALCULATION OF SERVICE AVAILABILITY CHARGES

SERVICE AVAILABILITY CHARGES ARE COMPUTED ON THE BASIS OF 350 GALLONS DEMAND PER ERC PER DAY.

ALL CONNECTIONS, INCLUDING COMMERCIAL AND MULTIPLE DWELLING UNITS, WILL BE COMPUTED BASED UPON THE CALCULATION OF USE CHARACTERISTICS OF THE PROPERTY BY THE CONTRIBUTOR'S ENGINEER, AS APPROVED BY THE UTILITY. THE FOLLOWING IS A SCHEDULE OF MINIMUM DAILY FLOWS WHICH SHALL BE USED IN CALCULATING SERVICE AVAILABILITY CHARGES:

ERC		MINIMUM
EQUIVALENTS		DAILY FLOWS
SINGLE FAMILY	1.0	350 GPD
DUPLEX OR TRIPLEX	0.86	300 GPD
TOWNHOUSE	0.86	300 GPD
APARTMENT (ONE BATHROOM)	0.71	250 GPD
MOBILE HOME	0.86	300 GPD

ANY OTHER WATER USAGE WILL BE SUBJECT TO GALLONAGE DETERMINATION BY CONTRIBUTOR'S ENGINEER USING STANDARD ENGINEERING PRACTICES AND APPROVED BY UTILITY.

TAX GROSS-UP OF CIAC

AS A RESULT OF FEDERAL LEGISLATION ENACTED EFFECTIVE JUNE 12, 1996, CONTRIBUTIONS-IN-AID-OF CONSTRUCTION RECEIVED THEREAFTER ARE NO LONGER SUBJECT TO TAXATION. HOWEVER, BECAUSE OF THE OUTSTANDING BULK SERVICE ARRANGEMENT WITH MORRISON HOMES, THIS TARIFF SHEET SHALL REMAIN APPLICABLE TO CONTRIBUTIONS RECEIVED FROM MORRISON HOMES PURSUANT TO THE BULK SERVICE AGREEMENT DATED MARCH 11, 1996. ALL OTHER CONTRIBUTIONS RECEIVED AFTER JUNE 12, 1996, ARE NOT SUBJECT TO THIS TAX IMPACT CHARGE.

PRIOR TO THE FEDERAL TAX REFORM ACT OF 1986, SECTION 118(B) OF THE INTERNAL REVENUE CODE PROVIDED FOR THE EXCLUSION OF CERTAIN TYPES OF CONTRIBUTIONS IN AID OF CONSTRUCTION (CIAC) FROM THE TAXABLE INCOME OF A CORPORATE UTILITY. SUCH AMOUNTS WERE, THEREFORE, TAX EXEMPT.

HOWEVER, PURSUANT TO THE FEDERAL TAX REFORM ACT OF 1986, SECTION 118(B) WAS AMENDED TO RECLASSIFY CIAC (BOTH CASH AND PROPERTY) AS TAXABLE SOURCE OF REVENUE, EFFECTIVE JANUARY 1, 1987. THE NET RESULT OF THIS ACTION IS THAT A UTILITY WHICH IS A CORPORATION MUST NOW PAY INCOME TAX ON THE CIAC IT COLLECTS.

SINCE THE AMOUNT OF THIS ADDITIONAL TAX LIABILITY IS DIRECTLY ATTRIBUTABLE TO THE CONTRIBUTORS {DEVELOPERS, BUILDERS, ETC.} OF THE CIAC, THOSE UTILITIES THAT ARE AUTHORIZED BY THE FLORIDA PUBLIC SERVICE COMMISSION TO COLLECT THE TAX EFFECT OF CIAC ARE REQUIRED TO COLLECT THE AMOUNT FROM THOSE CONTRIBUTORS.

BY ORDERS NOS. 16971, 23541, PSC-92-0961-F0E-WS; PSC-92-0961A-F0E-WS, AND PSC-94-1265-F0E-WS, THE COMMISSION ESTABLISHED SPECIFIC GUIDELINES FOR A UTILITY TO ADMINISTER IN THE CALCULATION, COLLECTION, AND REPORTING OF CIAC TAX LIABILITIES AS WELL AS IN THE REFUNDING OF EXCESS OF TAX COLLECTIONS:

1) THE TAX GROSS-UP AMOUNT TO BE COLLECTED SHALL BE DETERMINED BY USING THE MARGINAL RATE OF FEDERAL AND STATE CORPORATE INCOME TAX RELATED TO THE VALUE OF ALL PROPERTY AND CASH CONTRIBUTIONS INCLUDED IN TAXABLE INCOME OF THE UTILITY, INCLUDING THE TAX APPLICABLE TO THE TAX GROSS-UP, OR "TAX ON TAX" EFFECT. THE FIRST YEAR'S TAX DEPRECIATION ON ALL PROPERTY CONTRIBUTIONS SHALL BE USED TO REDUCE THE TAX GROSS-UP COLLECTED.

**THE TAX GROSS-UP AMOUNT TO BE COLLECTED SHALL BE DETERMINED USING THE FOLLOWING FORMULA:**

**FULL GROSS-UP:**

**DEPRECIABLE PLANT:**

**FOR UTILITIES USING STRAIGHT-LINE DEPRECIATION FOR TAX PURPOSES, THE GROSS-UP FORMULA SHALL BE:  $\{(CP - (CP * (1/TL) * .5)) * CTR\} * (1 / (1 - CTR))$**

**FOR UTILITIES USING AN ACCELERATED RATE OF DEPRECIATION FOR TAX PURPOSES, THE GROSS-UP FORMULA SHALL BE:  $((CP - (CP * AR)) * CTR) * (1 / (1 - CTR))$**

(CONTINUED ON SHEET 25.0)

(CONTINUED FROM SHEET NO. 24.0)

**FOR LAND (AND CASH), THE GROSS-UP FORMULA SHALL BE:**

$$(CL * CTR) * (1/(1-CTR))$$

**WHERE:**

**CP = CONTRIBUTED PLANT**

**TL = TAX LIFE OF CONTRIBUTED PLANT**

**AR = ACCELERATED RATE FROM TAX TABLE**

**CTR = COMBINED FEDERAL AND STATE INCOME TAX RATE**

**CL = CONTRIBUTED LAND (AND CASH)**

2) THE CIAC TAX GROSS-UP AMOUNTS, AS DETERMINED BY USING THE APPROPRIATE FORMULA, SHALL BE DEPOSITED AS RECEIVED INTO A FULLY FUNDED INTEREST BEARING ESCROW ACCOUNT, HEREINAFTER REFERRED TO AS THE "CIAC TAX ACCOUNT." MONIES IN THE CIAC TAX ACCOUNT MAY BE WITHDRAWN PERIODICALLY FOR THE PURPOSE OF PAYING THAT PORTION OF THE ESTIMATED FEDERAL AND STATE INCOME TAX LIABILITY WHICH IS DIRECTLY ATTRIBUTABLE TO THE CIAC CONVEYED TO THE UTILITY. ANNUALLY, FOLLOWING THE PREPARATION AND FILING OF THE UTILITY'S ANNUAL FEDERAL AND STATE INCOME TAX RETURNS, A DETERMINATION SHALL BE MADE BY THE COMMISSION AS TO THE ACTUAL FEDERAL AND STATE INCOME TAX LIABILITY THAT IS DIRECTLY ATTRIBUTABLE TO THE RECEIPT OF CIAC. CIAC TAX GROSS-UP MONIES RECEIVED DURING THE TAX YEAR THAT ARE IN EXCESS OF THE ACTUAL AMOUNT OF CIAC TAX LIABILITY, INCLUDING INTEREST EARNED ON SUCH EXCESS MONIES, SHALL BE REFUNDED ON A PRO RATA BASIS TO THE CONTRIBUTORS OF THE CIAC. THE UTILITY SHALL MAINTAIN ADEQUATE RECORDS TO ACCOUNT FOR THE RECEIPT, DEPOSIT, AND WITHDRAWAL OF MONIES IN THE CIAC TAX ACCOUNT. A DETAILED STATEMENT OF THE CIAC TAX ACCOUNT AND THE ANNUAL DETERMINATION OF ACTUAL TAX LIABILITY ATTRIBUTABLE TO THE RECEIPT OF CIAC, SHALL BE SUBMITTED NO LATER THAN 120 DAYS AFTER THE DATE OF FILING OF THE TAX RETURN FOR THE APPLICABLE YEAR. THE UTILITY SHALL SUBMIT ALL INFORMATION IN ACCORDANCE WITH THE REQUIREMENTS ESTABLISHED BY THE COMMISSION.

3) THE AMOUNT OF CIAC TAX MONIES COLLECTED BY A UTILITY SHALL NOT BE TREATED AS CIAC FOR RATE MAKING PURPOSES.

ALL DEVELOPER AGREEMENTS IN WHICH CIAC TAX MONIES ARE REQUIRED SHALL INDICATE THE AMOUNT OF SUCH MONIES SEPARATELY FROM ANY OTHER CIAC AMOUNT REQUIRED, AS WELL AS THE TAX GROSS-UP FORMULA UTILIZING THE APPROPRIATE VALUES. THE AGREEMENT SHALL REFLECT THAT THE AUTHORITY FOR THE COLLECTION OF CIAC GROSS-UP DERIVES FROM THIS TARIFF.

SCHEDULE OF FEES AND CHARGES WASTEWATER

<u>DESCRIPTION</u>	<u>AMOUNT</u>	<u>SHEET NO.</u>
PLANT CAPACITY CHARGE		
RESIDENTIAL – PER ERC (350 GPD)	\$139.06	23.0
ALL OTHERS – PER GALLON	\$ .3914	
MAIN EXTENSION CHARGE		
RESIDENTIAL – PER ERC (350 GPD)	\$498.37	23.0
ALL OTHERS – PER GALLON	\$ 1.4028	
CUSTOMER CONNECTION (TAP-IN) CHARGE ALL SIZES	\$629.30	23.0
CIAC TAX IMPACT CHARGE REFER TO SHEETS NO. 24.0 – 25.0	ACTUAL COST PLUS 1.5%	24.0 – 25.0

# **EXHIBIT I**

# **ROLLING OAKS UTILITIES, INC.**

**Evaluation of the Rate Application for the  
Pro Forma Year Ended December 31, 2019**

October 2021



October 15, 2021

Mr. Randy Oliver, County Administrator  
Citrus County Water and Wastewater Authority  
3600 W. Sovereign Path  
Lecanto, FL 34461

Mr. Steve Spratt, System Manager  
Florida Governmental Utilities Authority  
280 Wekiva Springs Road, Suite 2070  
Longwood, FL 32770

**Subject: Rolling Oaks Utilities, Inc. – Request for Increase in Water and Wastewater Rates**

Gentlemen:

Raftelis Financial Consultants, Inc. (“Raftelis”), has prepared an evaluation of the Minimum Filing Requirements submitted by Rolling Oaks Utilities, Inc. (the “Company” or “Utility”) with respect to the Company’s request for an increase in monthly user charges for its potable water and wastewater utility systems (collectively, the “System”). The purpose of the evaluation was to determine if the monthly rates for water and wastewater utility service as filed by the Company on behalf of the System were considered as being reasonable and justifiable based on the information presented in the Minimum Filing Requirements and other information as provided by the Company.

The time period recognized by the Company for the determination of monthly user rates was the Test Year ended December 31, 2019 (the “Test Year”), as adjusted or proposed changes by the Company (the “Pro Forma Year”). In order to evaluate the proposed water and wastewater rates by the Company for the Pro Forma Year, we relied upon i) information contained in the Minimum Filing Requirements and other information as submitted by the Company (the “Application”); ii) financial and statistical information provided by or made available by the Company, including financial statements, previously submitted Annual Reports, accounting records, customer and expense analyses and other supporting information; and iii) County ordinances with respect to the creation of and the rules and for the Citrus County Water and Wastewater Authority (the “Authority”), general ratemaking practices used by the County and the Florida Public Service Commission (“FPSC”), and other information available to Raftelis and the Authority.

Subsequent to the date of the acceptance of the Application, the County and the Company have engaged in discussions and exchanges of information with respect to the Application. These discussions have led to a stipulated agreement by the parties relative to the matters set forth below and as described in the Stipulation Agreement between the Rolling Oak Utility and the Authority anticipated to be accepted by the parties dated December 2021 (the “Stipulation Agreement”). The stipulated adjustments to the Application are contained in the Evaluation of the Rate Application for the Pro Forma Year Ended December 31, 2019 (the “Pro Forma Year”), prepared by Raftelis, for the County (the “Rate Study”) and approved by the Utility.

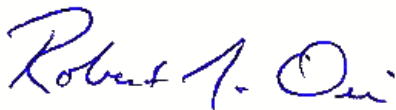
Randy Oliver, Citrus County  
Steve Spratt, Florida Governmental Utility Authority  
October 15, 2021  
Page 2

The accompanying schedules were predicated in part upon estimates and assumptions, the reliability of which is dependent upon future events and transactions. As such, this report should not be considered as audit or review of financial statements of the Company involving the application of generally accepted auditing standards and we are not expressing any opinion as to the conformance of the reported financial information in accordance with generally accepted accounting principles. Furthermore, there will usually be a difference between the adjusted results that are based on estimates and assumptions as contained in the Minimum Filing Requirements of the Company and the results that actually occur for the System because future events and circumstances frequently do not occur entirely as expected. These differences could be material. We have no responsibility to update this report for events and circumstances becoming known to us or occurring after the date of this report.

We appreciate the fine cooperation and assistance provided by the Authority in the review of the Company's Minimum Filing Requirements.

Respectfully submitted,

**RAFTELIS FINANCIAL CONSULTANTS, INC.**

A handwritten signature in blue ink that reads "Robert J. Ori". The signature is written in a cursive style with a large, stylized initial "R".

**Robert J. Ori**  
*Executive Vice President*

RJO/dlc  
Attachments



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# Evaluation of the Rate Application for the Pro Forma Year Ending December 31, 2019

## Overview of Rate Study

Rolling Oaks Utilities, Inc. (the “Company” or “Utility”) has filed for i) an increase in overall revenues produced by the monthly rates for potable water service and for wastewater service (collectively, “utility service”). The utility system owned by the Company in the County includes i) a potable water- utility comprising of a raw water supply, treatment, and distribution system (the “Water System”) and ii) a wastewater system consisting of a collection, treatment, and disposal system (the “Wastewater System” and collectively with the Water System, the “System”). It should be noted that the System’s last formal rate filing resulted in an approval of rates in 2014 or approximately seven years ago. It is our understanding that the Company has not filed for periodic annual inflationary price index adjustments as provided by the County’s Code of Ordinances, Chapter 102, Article IV – Water and Wastewater Utilities Regulatory Ordinance, as supplemented from time to time and especially supplemented by County Resolution No. 99-142 dated September 14, 1999 (collectively, the “Regulatory Ordinance”) and, with the exception of a change for the amortization of rate case expenses, the rates have remained unchanged since the 2014 effective implementation year.

The determination of utility service rates for those utility systems located in the County that are regulated by the Citrus County Water and Wastewater Authority (the “Authority”) to exclusively serve a particular service area has been developed using a style of rate making known as the utility (rate base / rate of return) approach. This approach is generally used for the review of rates for investor-owned utility systems by the County and is also the general approach used by the Florida Public Service Commission (“FPSC”) in the regulation of private utilities under their jurisdiction. Under this approach, the rates for service permitted for the System will include the summation of the following revenue requirements:

1. Reasonable operation and maintenance expenses; plus
2. Depreciation Expense on utility plant-in-service allocable to the customers, less the amortization of contributed plant made on behalf of the System by a third party other than the owners of the System that is considered used and useful to provide service; plus
3. Taxes, including income taxes (if applicable); plus
4. A fair rate of return on the investment made by the Company; less
5. Other System operating revenues (not from monthly rates for service), if any, that annually accrue to the benefit of the Company.

When rates are functioning properly, the total charges by the Utility to its customers should equal the above referenced items (i.e., the “revenue requirements”). On September 28, 2020 the Company filed an Application to adjust rates, which included the Minimum Filing Requirements (“MFR”) as set forth in the Regulatory Ordinance. On or about November 16, 2020 the County notified the Company of its acceptance of the Application and this acceptance date is considered as the official date of filing by the Authority. The proposed Company rates as contained

in the MFR were predicated on the estimated revenue requirements for the Pro Forma Year ended December 31, 2019 (the “Pro Forma Year”).

Raftelis Financial Consultants, Inc. (“Raftelis” or “Consultant”), which is assisting the Authority in the review of the rate request by the Company, has reviewed the Company’s MFRs and other information provided by the Company, evaluated financial and customer records, and have recommended changes to the proposed rates, fees, and charges as initially filed by the Company in the MFR and has prepared this report of such recommendations (the “Report”) for consideration by the Authority. Additionally, Raftelis and the Authority have attended meetings with the Company to discuss the rate application, issues, analyses and results as part of the overall rate review process. The Authority and the Company (collectively, the “parties”) have initially agreed to the proposed recommended rates as contained in this report and which are planned to be presented to the Board of County Commissioners (the “BOCC”), acting as the Authority Board, for their consideration and approval.

## Recommended Water and Wastewater Rate Adjustments

This Report includes an analysis and evaluation of the Company’s Rate Application and the resulting Consultant’s Recommendation. The Company is seeking rates that will increase Pro Forma Year Water System revenues by \$382,306 or 32.84%. Based on a review of the MFR and other financial information as requested from and provided by the Company, the Consultants on behalf of the County have determined a proposed water revenue increase of \$136,837 or 11.79%, which is being recommended for approval by the Authority. Table 2-W at the end of this Report, summarizes the Company-filed and Consultant-recommended overall Water System rate adjustments, the financial results are also summarized below:

Company-requested and Consultant-recommended Rate Adjustments – Water System			
Description	Company-filed	Consultant-recommended	Difference
Total Pro Forma Year System Revenue Requirements	\$1,620,686	\$1,377,654	(\$243,032)
Total Pro Forma Year Rate Revenues – Current Rates	\$1,164,020	\$1,164,020	\$--
Miscellaneous Revenues	74,360	76,797	2,437
Total Test Year System Revenues	\$1,238,380	\$1,240,817	\$2,437
Proposed Pro Forma Year Additional Revenue Requirement	\$382,306	\$136,837	(\$245,469)
Percentage Increase to Pro Forma Year Rate Revenues	32.84%	11.76%	(28.56%)

Note: minor differences may exist due to rounding.

The Company is seeking rates that will increase Pro Forma Year Wastewater System revenues by \$342,437 or 21.22%. Based on a review of the MFR and other financial information as requested from and provided by the Company, the Consultants on behalf of the County have determined a proposed wastewater revenue increase of \$98,263 or 5.74%, which is being recommended for approval by the Authority. Table 2-S at the end of this Report, summarizes the Company-filed and Consultant-recommended overall Wastewater System rate adjustments, the financial results are also summarized on the following page:

**(Remainder of page intentionally left blank)**

### Company-requested and Consultant-recommended Rate Adjustments – Wastewater System

Description	Company-filed	Consultant-recommended	Difference
Total Pro Forma Year System Revenue Requirements	\$2,030,682	\$1,788,494	(\$242,188)
Total Pro Forma Year Rate Revenues Based – Current Rates	\$1,613,564	\$1,613,564	\$--
Miscellaneous Revenues	74,682	76,667	1,985
Total Test Year System Revenues	\$1,688,246	\$1,690,231	\$1,985
Proposed Pro Forma Year Additional Revenue Requirement	\$342,436	\$98,263	(\$244,173)
Percentage Increase to pro Forma Year Rate Revenues	21.22%	5.74%	(15.48%)

Note: minor differences may exist due to rounding.

In addition to the proposed rates based on the review of the rate application and as filed by the Company and recognizing i) the rates are based on the Pro Forma 2019 operating results (essentially actual costs) and ii) the proposed rates will not be implemented until late 2021 or early 2022, it is proposed that the recommended rates be further adjusted by the 2020 annual price index to reflect the estimated effects for inflation, which we consider as reasonable since costs are anticipated to increase. The price index was based on the formula used by the FPSC, which is recognized by the Authority for the indexing of rates by franchised utilities under their jurisdiction. The price index rate adjustment recognized in this report is calculated on Table 1-W for the Water System and Table 1-S for the Wastewater System and is summarized below:

### Estimated 2020 Price Index Applied to Recommended Rates

	Water [1]	Wastewater [2]
Total Operations and Maintenance Expenses – Staff-recommended	\$1,207,225	\$1,587,015
Less Pass-through Items	(102,469)	(366,144)
Costs to be Indexed	\$1,104,756	\$1,220,872
Multiply by Annual Price Index Adjustment Factor [3]	1.79%	1.79%
Total Indexed Costs	\$19,775	\$21,854
Divide by Expansion Factor for Regulatory Assessment Fee (0.955)	\$20,707	\$22,884
Divide by Applicable Rate Revenue – Staff-recommended	\$1,300,857	\$1,711,827
Recognized Price Index Rate Adjustment	<u>1.59%</u>	<u>1.34%</u>

[1] Amounts shown derived from Table 1-W.

[2] Amounts shown derived from Table 1-S.

[3] Reflects the FPSC-published index for 2020 per FPSC Order No. PSC-2019-0525-PAA-WS dated December 17, 2019; it should be noted that the 2021 FPSC published index for 2021 is 1.17%.

The remainder of the Report provides a discussion of the evaluation of the Company’s Application by Raftelis on behalf of the County as it relates to the development of the recommended water rates, fees, and charges.

**(Remainder of page intentionally left blank)**

## Discussion of Rate Application Review and Adjustments

This section of our report provides a discussion of the review of the Company's rate application, including supplemental information provided by the Company, as well as our recommendations to the rate filing for consideration by the Authority.

### COMPANY INVESTMENT – RATE BASE – WATER SYSTEM

Table 3-W at the end of this Report provides a summary of the Company-requested and Consultant-recommended rate base (investment) for the Water System. The recommended rate base (investment) served as the basis for the determination of the rate of return to be earned by the Company on its applicable water plant investment, which is a component of the revenue requirements of the Company. A summary of all the Consultant-recommended rate base adjustments is also included on Table 3-W for the Water System. Based on i) the Application as filed by the Company; ii) information contained in the Annual Reports previously filed by the Company to the County; and iii) a review of information and documents supporting plant additions and retirements in utility plant since the last rate review, the rate base for the Water System recommended for the determination of the Company's rate of return is as follows:

Water System Rate Base – For the Pro Forma Year Ending December 31, 2019			
	As Filed by Company [1]	Consultant Adjustments [2]	Recommended by Consultant
Utility Plant-in-service	\$3,396,097	(\$149,184)	\$3,246,914
Land and Land Rights	9,928	--	9,928
Less Non-Used and Useful Plant	(138,247)	(12,507)	(150,754)
Adjusted Gross Plant-in-service	\$3,267,778	(\$161,691)	\$3,106,088
Less Accumulated Depreciation	(2,687,377)	44,121	(2,643,256)
Net Plant-in-service	\$580,401	(\$117,569)	\$462,832
Contributions-in-aid-of-construction	(\$2,169,093)	(\$30,314)	(\$2,199,407)
Less Amortization of CIAC	1,739,138	22,499	1,761,637
Net Contributions-in-aid-of-construction	(\$429,955)	(\$7,815)	(\$437,770)
Provision for Working Capital [3]	\$179,399	(\$30,563)	\$148,836
Water Rate Base	\$329,845	(\$155,948)	\$173,897

Note: minor differences may exist due to rounding.

[1] As filed by Company per MFR.

[2] Adjustments recommended by Raftelis based on review of Application and financial and accounting records made available by the Company. Reference Table 3-W for details and adjustment descriptions or reference tables.

[3] Based on 45 days of annual operation and maintenance expenses for the Pro Forma Year per the Authority's Rules adopted by Resolution No. 99-142.

As shown above, adjustments have been identified for the Company's filed amount for Gross Plant-in-service for the Pro Forma Year for the Water System. The adjustments included several components. The first component was to adjust the Gross Plant-in-service balances to recognize plant-in-service additions and deletions as provided by the Company in response to Authority interrogatories and financial record requests, which were provided to the

Authority subsequent to the filing of the Application made by the Company. The build-up analysis for the Water System Gross Plant-in-service is shown on Table 4A-W. This analysis relied on the Company's General Ledger financial reports since it detailed the plant additions and deletions since 2014 and was considered being generally reliable when compared to other responses made by the Company since it more fully supported the information as presented by the Company in its Annual Reports as filed with the Authority. It should be noted that there were some minor reconciliatory differences to the information presented in the Annual Reports and as provided by the Company. This is shown on Table 4A-W. When comparing column (r) (build-up analysis) to column (u) (2019 Annual Report) on Table 4A-W, one can see the differences. It should be noted that the Company did provide a schedule of water utility plant-in-service per the 2019 General Ledger, and it does reconcile to the Company's rate application for the respective calendar year. Finally, a comparison to the amounts as contained in each respective year's Annual Report as filed by the Company was also conducted and the reported Gross Plant-in-service balances did not reconcile to the interrogatory responses. It should be noted that when comparing the respective Annual Reports, in several instances the ending balance of a particular plant account did not match the beginning balance in the following year. Finally, the Company did not provide sufficient evidence as to documenting all the plant additions and it is suggested that, if considered material to the rate application, the documents be furnished prior to the final approval of rates by the Authority. It should be noted that the reported gross plant in service actually declined when comparing the beginning (2014) and ending (2019) reported gross plant in service values as shown on Table 4A-W and was not considered by Raftelis to be material to the overall rate recommendation being made at this time.

In the Company's filing, they used an average balance analysis in the determination of rates. The second component of our recommended rate base adjustments is to use Test Year-end estimated plant values instead of an average account balance. Since the filing is based on an historical test year, which reflects financial information more than over a year ago, it is recommended that the rate base be determined for this evaluation on a Test Year-end basis to simplify the rate case evaluation and to determine the beginning rate base for reporting periods after the rate filing.

The third adjustment to rate base was associated with the Company's Pro Forma year capital additions, which were all associated with the purchase of replacement vehicles for existing and the purchase of vehicles for new employees for the Water System. Specifically, the Company recognized Transportation Equipment (Account 341) additions totaling \$158,000 in Transportation Equipment vehicle costs additions. Based on a review of the plant additions identified by the Company for Account 341 as shown on Table 4A-W, which totaled over \$128,000 during the last five years, it appears that vehicle replacements are being made by the Company as needed. Based on interrogatory responses, there are a total of 12 employees providing service (exclusive of the Utility Director) and not all the employees require the use of a vehicles. It is simply not practical that the Company would replace all the vehicles at one time when vehicle additions appear to have been made by the Company. Furthermore, the Company did not include any Transportation Equipment retirements associated with the replacement of the vehicles assumed in their rate application request nor was there any documentation as to the vehicle additions in support of the Pro Forma plant additions, which places additional doubt on the asset additions. It should be noted that based on discussions with the Authority and the Company coupled with the apparent need to reduce water loss and provide for increased field services requirements, additional employees for the Water System have been recognized. As shown on Table 4C-W, an allowance for two vehicles (allocated 50% to the Water System) has been reflected in the analysis to reflect providing vehicles to the additional field service personnel. As shown on Table 4-W, the recommended adjustment to the Company filed Gross Plant-in-service balance for the Pro Forma Year 2019 was to increase the utility plant balance by \$31,610 (resulting in a reduction to the Company-requested plant addition of \$126,390 as shown on Table 4C-W).



We are also recommending an adjustment to the used and useful calculation as filed by the Company. Based on a review of the customer and Equivalent Residential Connection (ERC) details as reported by the Company in their respective Annual Reports, the data on the customers being served has not been reported on a consistent basis – in many years no growth was reported or that the statistics were restated. The Company reported that the majority of the customer or account growth occurred in the first year (2015) of the five- (5) year historical review period, yet essentially no growth was reported in the 2015 Annual Report (a net change of four (4) customers and no reported additional CIAC paid from new development that would be considered as new growth). Additionally, the Company also reported in the 2019 Annual Report that the estimated increase in ERCs (using existing lines) was estimated to be 25 annually, which is well below (i.e., 50%) the projected growth assumed in the rate filing. Based on this information and as shown on Table 5-W, we have assumed an annual growth rate of 35 accounts per year consistent with the trends and findings as contained in the Company’s Annual Reports and adjusted the non-used and useful percentage accordingly (from 52.86% to 52.59%). The recommended change to the total water utility plant in service was increased by \$12,507 to account for the change in the non-used and useful percentage coupled with recommended changes to certain plant account balances.

The Accumulated Depreciation balance as filed by the Company is also being recommended for adjustment as shown on Table 4B-W. The adjustment was predicated on i) the assumed Gross Plant-in-service calculation as shown on Table 4A-W, which was predicated on the asset additions and deletions as provided by the Company in response to certain interrogatories and financial information through the Test Year 2019; ii) the average service lives and corresponding depreciation accrual rates as contained in the Authority’s ordinances and regulations and as reported in the Company’s Annual Reports; iii) the recommended Pro Forma plant additions (Transportation Equipment additions) as previously discussed; and iv) the recommended non-used and useful plant adjustments as previously discussed. As shown on Table 4-W, the recommended adjustment to the Company-filed Accumulated Depreciation balance for the Pro Forma Year 2019 was to decrease the balance by \$44,121.

The Company has received contributions-in-aid-of-construction (“CIAC”) over the years, which represents cost-free capital (cash and/or property) from new development. The unamortized amount of CIAC serves to reduce the Company’s investment in the utility or rate base. With respect to the CIAC balance (before amortization), such amounts were based on the balances as reported in the Annual Reports and is shown on Table 6-W. The Company reports that for the period January 1, 2014 to December 31, 2019, the Company collected capital contributions from approximately 100 homes and a few commercial customers. It should be noted that the Company did provide additional information regarding the daily water and wastewater connections for the historical years 2016 to 2019 and the additional customers identified as a new connection did not reconcile to the Annual Reports (the trends in growth were comparable but less than 50 units per year). For the purposes of our analysis, we relied upon the information contained in the Annual Reports since it also reported the contributions received by the Company linked to the new growth (account names or development) being reported for the System. Based on the reported information and as shown on Table 3-W, the Company filed net CIAC (total CIAC less accumulated amortization) was increased by \$7,815.

The final adjustment to rate base is associated with the recommended change in the working capital balance. The Company’s working capital calculate did employ the formulaic approach as contained in the Authority’s Rules adopted by Resolution No. 99-142 whereby the allowance is based on 45 days of annual operation and maintenance expenses. As discussed later in this report, the Pro Forma Year operation and maintenance expenses are recommended to be reduced directly affects the working capital rate base allowance. As shown on Table 7-W, the recommended working capital rate base allowance is \$148,836, which represents a reduction of \$30,563 when compared to the Company’s request.

Based on the above staff-recommended analyses and assumptions, the recommended rate base for the Water System is \$173,897, which represents a reduction of \$155,948 to the amounts reported in the Company's rate filing.

## COMPANY INVESTMENT – RATE BASE – WASTEWATER SYSTEM

Table 3-S at the end of this Report provides a summary of the Company-requested and Consultant-recommended rate base (investment) for the Wastewater System. The recommended rate base (investment) served as the basis for the determination of the rate of return to be earned by the Company on its applicable wastewater plant investment, which is a component of the revenue requirements of the Company. A summary of all the Consultant-recommended rate base adjustments is also included on Table 3-W for the Wastewater System. Based on i) the Application as filed by the Company; ii) information contained in the Annual Reports previously filed by the Company to the County; and iii) a review of information and documents supporting plant additions and retirements in utility plant since the last rate review, the rate base for the Wastewater System recommended for the determination of the Company's rate of return is as follows:

<b>Wastewater System Rate Base – For the Pro Forma Year Ending December 31, 2019</b>			
	As Filed by Company [1]	Consultant Adjustments [2]	Recommended by Consultant
Utility Plant-in-service	\$5,667,900	(\$135,259)	\$5,532,641
Land and Land Rights	9,861	--	9,861
Less Non-Used and Useful Plant	(50,388)	18,417	(31,971)
Adjusted Gross Plant-in-service	\$5,627,373	(\$116,842)	\$5,510,531
Less Accumulated Depreciation	(4,974,157)	(28,465)	(5,002,622)
Net Plant-in-service	\$653,216	(\$145,307)	\$507,909
Contributions-in-aid-of-construction	(\$2,169,093)	\$2,514	(\$2,166,579)
Less Amortization of CIAC	1,739,138	29,246	1,768,384
Net Contributions-in-aid-of-construction	(\$429,955)	\$31,760	(\$398,195)
Provision for Working Capital [3]	\$233,513	(\$27,854)	\$195,659
Wastewater Rate Base	\$446,744	(\$211,557)	\$243,645

Note: minor differences may exist due to rounding.

[1] As filed by Company per MFR.

[2] Adjustments recommended by Raftelis based on review of Application and financial and accounting records made available by the Company. Reference Table 3-S for details and adjustment descriptions or reference tables.

[3] Based on 45 days of annual operation and maintenance expenses for the Pro Forma Year per the Authority's Rules adopted by Resolution No. 99-142.

As shown above, adjustments have been identified for the Company's filed amount for Gross Plant-in-service for the Pro Forma Year for the Wastewater System. The adjustments included several components. The first component was to adjust the Gross Plant-in-service balances to recognize plant-in-service additions and deletions as provided by the Company in response to Authority interrogatories and financial record requests, which were provided to the Authority subsequent to the filing of the Application made by the Company. The build-up analysis for the

Wastewater System Gross Plant-in-service is shown on Table 4A-S. This analysis relied on the Company's General Ledger financial reports since it detailed the plant additions and deletions since 2014 and was considered being generally reliable when compared to other responses made by the Company since it more fully supported the information as presented by the Company in its Annual Reports as filed with the Authority. It should be noted that there were some minor reconciliatory differences to the information presented in the Annual Reports and as provided by the Company. This is shown on Table 4A-S. When comparing column (r) (build-up analysis) to column (u) (2019 Annual Report) on Table 4A-S, one can see the differences. It should be noted that the Company did provide a schedule of wastewater utility plant-in-service per the 2019 General Ledger, and it does reconcile to the Company's rate application for the respective calendar year. Finally, a comparison to the amounts as contained in each respective year's Annual Report as filed by the Company was also conducted and the reported Gross Plant-in-service balances did not reconcile to the interrogatory responses. It should be noted that when comparing the respective Annual Reports, in several instances the ending balance of a particular plant account did not match the beginning balance in the following year. Finally, the Company did not provide sufficient evidence as to documenting all the plant additions and it is suggested that, if considered material to the rate application, the documents be furnished prior to the final approval of rates by the Authority. It should be noted that the reported gross plant in service remained essentially unchanged when comparing the beginning (2014) and ending (2019) reported gross plant in service values as shown on Table 4A-S and was not considered by Raftelis to be material to the overall rate recommendation being made at this time.

In the Company's filing, the used an average balance analysis in the determination of rates. The second component of our recommended rate base adjustments is to use Test Year-end estimated plant values instead of an average account balance. Since the filing is based on an historical test year, which reflects financial information more than over a year ago, it is recommended that the rate base be determined for this evaluation on a Test Year-end basis to simplify the rate case evaluation and to determine the beginning rate base for reporting periods after the rate filing.

The third adjustment to rate base was associated with the Company's Pro Forma year capital additions, which were all associated with the purchase of replacement vehicles for existing and the purchase of vehicles for new employees for the Wastewater System. Specifically, the Company recognized Transportation Equipment (Account 391) additions totaling \$158,000 in Transportation Equipment vehicle costs additions. Based on a review of the plant additions identified by the Company for Account 391 as shown on Table 4A-S, which totaled approximately \$50,000 during the last five years, it appears that vehicle replacements are being made by the Company as needed. Based on interrogatory responses, there are a total of 12 employees providing service (exclusive of the Utility Director) and not all the employees require the use of a vehicles. As mentioned for the water system, it is simply not practical that the Company would replace all the vehicles at one time when vehicle additions appear to have been made by the Company. Furthermore, the Company did not include any Transportation Equipment retirements associated with the replacement of the vehicles assumed in their rate application request nor was there any documentation as to the vehicle additions in support of the Pro Forma plant additions, which places additional doubt on the asset additions. It should be noted that based on discussions with the County and the need to provide for increased field services requirements, additional employees for the Wastewater System have been recognized. As shown on Table 4C-S, an allowance for two vehicles (allocated 50% to the Wastewater System) has been reflected in the analysis to reflect providing vehicles to the additional field service personnel. As shown on Table 4-S, the recommended adjustment to the Company-filed Gross Plant-in-service balance for the Pro Forma Year 2019 was to increase the utility plant balance by \$26,204 (resulting in a reduction to the Company-requested plant addition of \$131,796 as shown on Table 4C-S).

We are also recommending an adjustment to the used and useful calculation as filed by the Company. Based on a review of the customer and Equivalent Residential Connection (ERC) details as reported by the Company in their respective Annual Reports, the data on the customers being served has not been reported on a consistent basis – in many years no growth was reported or that the statistics were restated. The Company reported that the majority of the customer or account growth occurred in the first year (2015) of the five- (5) year historical review period, yet the amount of customer growth reported in the 2015 Annual Report (a net change of 61 wastewater customers, which is not consistent with the reported change in water customers for the same period plus there was no reported additional CIAC paid from new development that would be considered as new growth). Additionally, the Company also reported in the 2019 Annual Report that the estimated increase in ERCs (using existing lines) was estimated to be 55 annually, which is well below (i.e., 50%) of the projected growth assumed in the rate filing yet much higher than the projected water growth. In addition, based on a review of the “Summary of Daily Water and Sewer Connections” for the past four (4) years as provided by the Company, in almost all the cases, all water connections received wastewater service. Based on this information, historical trends as reported by the Company and maintaining consistency with the assumed net average change in water accounts, and recommending no change in the level of service (gallons per max day of service) per ERC based on our evaluation of the daily wastewater treatment flows and customers served, we have assumed an annual growth rate of 35 accounts per year consistent with the trends and findings as contained in the Company’s Annual Reports and adjusted the non-used and useful percentage accordingly (from 86.44% to 85.05%).

The Accumulated Depreciation balance as filed by the Company is also being recommended for adjustment as shown on Table 4B-S. The adjustment was predicated on i) the assumed Gross Plant-in-service calculation as shown on Table 4A-S, which was predicated on the asset additions and deletions as provided by the Company in response to certain interrogatories and financial information through the Test Year 2019; ii) the average service lives and corresponding depreciation accrual rates as contained in the Authority’s ordinances and regulations and as reported in the Company’s Annual Reports; iii) the recommended Pro Forma plant additions (Transportation Equipment additions) as previously discussed; and iv) the recommended non-used and useful plant adjustments as previously discussed. As shown on Table 4-S, the recommended adjustment to the Company-filed Accumulated Depreciation balance for the Pro Forma Year 2019 was to increase the balance by \$28,395.

The Company has received contributions-in-aid-of-construction (“CIAC”) over the years, which represents cost-free capital (cash and/or property) from new development. The unamortized amount of CIAC serves to reduce the Company’s investment in the utility or rate base. With respect to the CIAC balance (before amortization), such amounts were based on the balances as reported in the Annual Reports and is shown on Table 6-S. The Company reports that for the period January 1, 2014 to December 31, 2019, the Company collected capital contributions from approximately 100 homes and a few commercial customers. It should be noted that the Company did provide additional information regarding the daily water and wastewater connections for the historical years 2016 to 2019 and the additional customers identified as a new connection did not reconcile to the Annual Reports (the trends in growth were comparable but less than 50 units per year). For the purposes of our analysis, we relied upon the information contained in the Annual Reports since it also reported the contributions received by the Company relative to the new growth. Based on the reported information and as shown on Table 4-S, the Company linked to the new growth (account names or development) being reported for the System. Based on the reported information and as shown on Table 3-S, the Company filed net CIAC (total CIAC less accumulated amortization) was decreased by \$31,760.

The final adjustment to rate base is associated with the recommended change in the working capital balance. The Company’s working capital calculate did employ the formulaic approach as contained in the Authority’s Rules

adopted by Resolution No. 99-142 whereby the allowance is based on 45 days of annual operation and maintenance expenses. As discussed later in this report, the Pro Forma Year operation and maintenance expenses are recommended to be reduced directly affects the working capital rate base allowance. As shown on Table 7-S, the recommended working capital rate base allowance is \$195,659, which represents a reduction of \$27,854 when compared to the Company's request.

Based on the above staff-recommended analyses and assumptions, the recommended rate base for the Wastewater System is \$305,373, which represents a reduction of \$141,401 to the amounts reported in the Company's rate filing.

## **MISCELLANEOUS OPERATING REVENUES – WATER AND WASTEWATER SYSTEM**

With respect to the determination of the revenue requirements to be recovered from monthly user rates, any recurring operating revenues derived from System operations should be recognized (serves to reduce the expenditures to be recovered from monthly user or service rates). The Company did recognize the availability of these revenues in the determination of their proposed rates for service. Based on the Company interrogatory responses, which delineated the breakdown of the revenues by source / type, the amount of operating revenues was greater than what was reflected the Company's rate filing. Accordingly, an adjustment to the other operating revenues of \$2,437 for the Water System as shown on Table 9-W and \$1,985 for the Wastewater as shown on Table 9-S was recognized consistent with the Company interrogatory responses. This adjustment served to reduce the amount of revenues to be recovered from rates.

It must be noted that when evaluating the Company's Annual Reports, there is no reporting of these revenues in the water or wastewater statements, which needs to be addressed by the parties moving forward as it could potentially affect the rate recommendations in this report.

## **OPERATING EXPENSES – WATER AND WASTEWATER SYSTEM**

Table 10-W at the end of this Report provides a summary of the Company-requested and the recommended operating expenses (exclusive of depreciation expense) for the Water System included in the development of the revenue requirements in support of the Consultant-recommended rates. The development of the Pro Forma Year Water System operating expenses by the Company includes Pro Forma Year adjustments associated with the recognition of additional personnel, the installation of new meters, the recognition of an allowance for uncollected revenues (bad debt expense), and other adjustments. The adjustments proffered by the Company resulted in a requested increase of \$411,306 above the reported Test Year 2019 Water System expenses of \$1,043,821, a net change of over 39%.

Table 10-S at the end of this Report provides a summary of the Company-requested and the recommended operating expenses (exclusive of depreciation expense) for the Wastewater System included in the development of the revenue requirements in support of the Consultant-recommended rates. The development of the Pro Forma Year Wastewater System operating expenses by the Company includes Pro Forma Year adjustments associated with the recognition of additional personnel, the recognition of an allowance for uncollected revenues (bad debt expense), and other adjustments. The adjustments proffered by the Company resulted in a requested increase of \$351,571 above the reported Test Year 2019 Wastewater System expenses of \$1,461,367, a net change of over 24%.

A review of the actual annual change in operating expenses as reported by the Company and as recommended by the County is included on Tables 10B-W and 10B-S for the water and wastewater systems, respectively. As can be seen on this summary analysis, the historical change in expenses has been relatively constant which indicates a

declining margin when considering inflation on the cost of providing service. The tables also indicate the Company's Operation and Maintenance Expense request which is considered as being significant and illustrated the need to evaluate the Company's request. The following is a discussion of our adjustments to the Company-requested expenses:

### Accounts 601 and 701 – Salaries and Wages – Employees

The Company filed for a substantial increase in the cost of salaries and wages for its employees, which included adjustments to i) bring the salaries for existing employees more in line with market conditions and ii) to add additional salaries for new personnel. Based on information provided by the Company, it appears that there are 13 employees allocated to the System, which would include the President (as Utilities Director).

With respect to the issue regarding market-based salaries, it appears based on the information provided by the Company in response to interrogatories that the hourly salaries paid are below market. Based on a comparison to the Citrus County Utility System salaries provided by the Company as well as a general salary comparison based on available information to Raftelis, we would agree that the salaries appear low. However, when comparing the salary information provided by the Company coupled with an estimated allowance for the Utility President, it appears that the existing salaries are not completely unreasonable. This is summarized below and is presented on a total Utility System basis:

<b>Total System – Test Year 2019 Existing Personnel Cost Analysis</b>	
	Test Year 2019 Amount
Salaries and Wages – Employees – 2019 Annual Report	
Water System	\$428,826
Wastewater System	505,465
<b>Total Salaries</b>	<b>\$934,291</b>
Reported by Company [1]	\$429,878
Add Allowance of Utilities Director	146,300
<b>Total Estimated Salaries</b>	<b>\$576,178</b>
Difference	\$358,113
Allowance for Overtime / Other at 50% Assumption	\$288,089
<b>Net Difference</b>	<b>\$70,024</b>

[1] Per Company Interrogatory Workpaper ROU\_W-6 and ROU\_WW-6 – Proforma O&M Expense Adjustments Salaries & New Positions.

[2] Based on AWWA 2020 Salary Survey, Water / Wastewater Executive median salary for population served ranging between 10,000 and 25,000 people is \$150,143 and for managing 25 employees or less is \$142,444. Assumed \$146,300, which is the average of the two salary levels.

[3] No additional information provided by the Company on salaries per employee and assumed that other salaries, such as overtime, is reflected in the reported salaries.

Based on the information provided the Company, it appears that the salary expense reflects other expenses, such as overtime, that would tend to promote potentially a stressful environment and limited personnel coverage. However, based on responses to Authority interrogatories, the hourly rates paid by the Company (for the Test Year as filed)

appear to be low based on a review of salaries for other public utilities in the area, including Citrus County. Based on discussions with the County, the salaries currently being paid by the Company (reflects increased hourly wages) as of August 2021, and other factors, an adjustment to the existing employee salaries was recognized.

The other requested expense adjustment focused on the addition of new employees to increase levels of service associated with field services associated with water loss detection, continued maintenance on the water distribution and wastewater collection system, and customer service. Based on the need to i) reduce water loss as being requested by the Southwest Water Management District; ii) for additional operators to effectively operate the water production and wastewater treatment plants as stated in the Company’s interrogatory responses; and iii) more effectively administer the Utility from a financial reporting, customer service and administrative basis, and discussions with the County, we have recognized the addition of certain utility personnel in the development of the Pro Forma Utility operating expenses. With respect to some of the additional positions, the Company has hired the additional personnel since the date of the Application. In the development of the Pro Forma salaries and wages expenses, the following personnel and corresponding salary costs were recognized:

Total System – Pro Forma Year 2019 Summary of Salaries and Wages Cost Comparison [1]			
Personnel Title / Function	Current Status	Test Year Salary – 2019	Salary Recognized in Rates
Water “C” Operator	Current - Full Time	\$23,920.00	\$33,696.00
Customer Service Representative	Current - Full Time	26,208.00	31,657.60
Water “C” Operator	Current - Full Time	29,120.00	34,715.20
Wastewater “B” Operator	Current - Full Time	34,320.00	40,851.20
Office Supervisor	Current - Full Time	22,880.00	34,715.20
Operations Manager (Water “A” and Wastewater “C” Operator)	Current - Full Time	65,024.96	67,776.65
Water Supervisor (Water and Wastewater “C” Operator)	Current - Full Time	37,960.00	43,409.60
Wastewater “C” Operator	Current - Full Time	32,364.80	36,753.60
Water Maintenance, Operator Trainee	Current - Full Time	20,800.00	30,638.40
Office Manager	Current - Full Time	30,160.00	35,734.40
Water and Wastewater “C” Operator	Prior Position, Vacant - Full Time	33,280.00	33,280.00
Water Technician, Leak Detection	Future Position Recognized - Full Time	-	31,200.00
Sewer Technician (Line Inspections - Maintenance)	Hired 11.19.2020 per Company - Full Time	-	32,240.00
Wastewater “C” Operator	Future Position Recognized - Full Time	-	37,440.00
Customer Service Representative	Hired 11.23.2020 per Company - Part Time	-	14,560.00
Finance Officer / Accountant	Hired 08.17.2020 per Company - Full Time	-	50,003.00
<b>Totals</b>		<b>\$356,037.76</b>	<b>\$588,671.05</b>

[1] Per Company Interrogatory responses; reference Table 10A-W (Water) and Table 10A-S (Wastewater) for salary information.

As summarized above shown on Table 10A-W for the Water System and Table 10A-S for the Wastewater System, we have recognized the additional personnel in our rate evaluation. All the other personnel additions assumed by the Company were not recognized since i) the new employees have not been hired as of the date of rate filing by the Company or at the time of the Company response to the Authority interrogatories; ii) there was no reduction in expenses made by the Company associated with the conversion of outside services or management costs to the new personnel associated their reduction or transfer in their respective work efforts or requirements; and iii) the overall number of employees served per ERC was similar to Citrus County, which was a service comparison of the County. With respect to the last reason and assuming the addition of five (5) new employees above the Test Year actuals, the ERCs served per employee ratio approaches the Citrus County ratio as calculated by the Company (6,262 Water ERCs per 2019 Annual Report divided by 17 employees = 368 ERCs per employee and Citrus County was estimated at 390 ERCs per employee). Based on the above, the salary adjustment being recognized in our analysis is as follows for the combined Water and Wastewater Systems:

<b>Total System – Test Year 2019 Summary of Additional Salary Expenses – Employees Recognized [1]</b>	
	<u>Test Year 2019 Amount</u>
Total Company Salary Requested Increase	\$393,290
Staff-recommended Adjustments:	
Remove Market Adjustments	\$46,966
Remove Disallowed Increased Personnel	95,300
Net Additional Personnel Costs Recognized	\$251,023

[1] Amounts derived from Table 10A-W for the Water System and 10A-S for the Wastewater System.

### **Accounts 604 and 704– Employee Pensions and Benefits**

Based on the recommended adjustments to Salaries and Wages – Employees (Accounts 601 and 701) as discussed above, a corresponding reduction in the employee pensions and benefits was recognized. The adjustment was based on the recommended salary adjustment (dollars) multiplied by an estimated benefit ratio based on historical cost relationships. Based on the recommended salary adjustments and payroll expense relationships and as shown on Table 10A-W and Table 10A-S for the Water and Wastewater Systems, respectively, an adjustment of \$31,141 has been recognized for the combined Utility System.

### **Accounts 631 and 731 – Contractual Services Engineering / Accounts 633 and 733 – Contractual Services Legal**

In the review of the Company responses to the Authority, there were certain costs that were identified in the above-referenced accounts as contractual services that were related to the preparation of the Company’s rate case. These expenses are non-recurring (since associated with the specific purpose – i.e., rate case preparation) and should not be reflected as a cost component included in the respective contractual services expense items. Accordingly, these expenses were removed from the specific operation and maintenance expense line items (reference Table 10A-W and Table 10A-S for the respective Water and Wastewater Systems) and reclassified as a rate case expense (reference Table 11-W and Table 11-S for the respective Water and Wastewater Systems).



## Accounts 670 and 770 – Bad Debt Expense

The Company included an adjustment to recognize the certain revenues billed to customers for utility service would not be collectible and written off as a bad debt expense. This is common in the industry that not all billed revenues would be collected. In the development of the rate filing by the Company, the Company recognized a 2.0% uncollectible rate applied to the respective Water and Wastewater Systems rate revenues in the determination of the bad debt expense assumed in the determination of the annual cost of operations and maintenance for the Pro Forma Year 2019.

Based on our experience, a 2.0% uncollectible rate that occurs on an annual recurring basis is very high in the utility industry. Based on a review of the Annual Reports as prepared by the Company and submitted to the Authority, the Company has never reported any bad debt expense. Additionally, it is our understanding that the Company, which is allowed by the Authority per its rules and regulations, can discontinue utility service for the non-payment of service. Additionally, the Company does collect a utility deposit from new accounts establishing service which, in many instances, can be applied to a delinquent utility balances upon the closure of the account. Recognizing these customer policies and availability of funds, our experience is that the bad debt ratio ranging 0.10% to 0.50% is reasonable. We are recommending a bad debt ratio of 0.5% for our analysis and have adjusted the Pro Forma 2019 operating expense by \$56,478 for the combined utility system.

## Operating Expenses – Water Meters

The Company included as an operation and maintenance expense for the Pro Forma Year 2019 the cost of implementing its meter replacement program in the amount of \$171,000. Prior to 2015, it appears that this expenditure had been capitalized in the past by the Company (is clearly above the capitalization ratio of \$2,500 as indicated as their policy per interrogatory responses). The Company has indicated that it is now expensing the cost of the meter replacement program based on the expenses incurred and this appears consistent with the lack of asset additions reported by the Company as shown on Table 4A-W for Utility Plant Account No. 334 (Meters and Meter Installations). Furthermore, based on information provided by the Company and as shown on Table 10C-W, it appears that the Company has consistently replaced water meters since 2015 and has expensed the cost of the meter replacements. We accept that this can be considered as a recurring expense based on recent trends and the number of meters that are assumed to be replaced during the foreseeable future.

For the Pro Forma Year, we recognized a meter allowance based on a straight-line replacement approach based on the number of meters in service, the meters replaced to date, and the current meter replacement expense reflected in the Test Year operating expenses, as summarized on Table 10C-W. The Company request to increase operating expenses by an additional \$171,000 did not consider the expenses already reflected in the Test Year operating expenses and was valued at a replacement quantity that has never been achieved by the Company since 2014. It is recommended that the Company's meter replacement operating expenses be adjusted downward by \$149,937 to reflect a replacement amount representative of a recurring replacement program predicated on the meters estimated to require replacement in the future. As shown on Table 10A-W, based on these policies and considerations, we have estimated the total water meter replacement expenses to be \$58,385 for the Pro Forma Year (actual expenses as invoiced during the Test Year 2019 of \$37,322 plus an additional allowance of \$21,063).

## Taxes Other than Income Taxes – Regulatory Assessment Fees

An expenditure requirement of the System is associated with the payment of regulatory assessment fee to provide funds associated with the daily operations of the Authority as required by the Authority rules and regulations. The

current rate charged by the Authority to support the funding of the regulation of the franchised utilities located in Citrus County is 4.5% of the revenues of the respective utility. Based on the cost and expenditure adjustments recommended, the regulatory assessment fee is projected to be less than as filed by the Company in the Application. Based on our recommendations, the projected combined Water and Wastewater System regulatory assessment fee payment was set at \$142,476, which represents an expense adjustment of \$17,320 when compared to the Company requested expense of \$159,796.

## **RATE OF RETURN**

As referenced in the Application, the Company requested an overall rate of return of 5.76% for the System in the determination of the Pro Forma Year revenue requirements. The Authority currently uses the leverage formula as adopted by the FPSC in the development of the return on equity. The leverage formula referenced in Order No. PSC-2019-0267-PAA-WS as published by the FPSC was used in the determination of the Company's return on equity. The review of the Company's requested overall rate of return is included on Table 8-W and Table 8-S for the respective utility systems (is calculated on a System basis but shown separately in the determination of water and wastewater rates) at the end of this report. Although minor adjustments to the calculation were identified, no change in the overall rate of return of 5.76% is being recommended based on the analyses presented herein.

## **RATE DESIGN – MONTHLY USER CHARGES**

The Company's existing rates currently employ a rate structure that includes a base charge that recovers a portion of the fixed costs to provide service and a volumetric charge that recovers all of the variable costs and the remaining fixed costs not recovered in the base charge. Table 1-W presents the existing Water System rates of the Company. The volumetric rate for the Water currently consists of a water conservation tiered structure for both the residential class and General Service (commercial) rate classifications. The corresponding wastewater existing Wastewater Rates are shown on Table 1-S.

The Company has proposed that the rates continue to maintain a rate structure that includes a base charge and a volumetric charge that maintains a water conservation promoting structure that is currently in effect. The base charge is charged predicated on the size of the meter serving the customer premise. The methodology to determine the base charge by meter size is common in the industry and Raftelis recommends that it be continued. The proposed volumetric rate consists of a water conservation tiered structure, which Raftelis agrees should be continued and is consistent with the consumptive use permit requirements mandated by the Southwest Florida Water Management District.

In the development of the recommended rates for water and wastewater service, it was determined by uniformly applying to all the Company's proposed monthly user rates the required annual revenue requirement decrease based on the analysis contained in this Report. Table 1-W at the end of this Report provides a summary of the current, proposed, and recommended monthly user rates for the water system. Approximately 92% of the customers served by the System are classified as residential with the approximately 73% of the billed flow occurring in the first usage block (represents 0 to 10,000 gallons per month – the average monthly use per residential customer is estimated at 5,250).

In addition to the proposed rate adjustments based on the review of the rate application and as filed by the Company and recognizing i) the rates are based on the Pro Forma 2019 operating results (essentially actual costs) and ii) the proposed rates will not be implemented until late 2021 or early 2022, it is also proposed that the recommended rates be further adjusted by an annual price index to reflect the estimated effects for inflation, which we consider as

reasonable since costs are anticipated to increase. The price index was based on the formula used by the Florida Public Service Commission (“FPSC”), which is recognized by the Authority for the indexing of rates by franchised utilities under their jurisdiction. The price index rate adjustment recognized in this report is calculated on Table 1-W for the Water System and Table 1-S for the Wastewater System and is summarized on the following page:

Estimated 2020 Price Index Applied to Recommended Rates		
	Water [1]	Wastewater [2]
Total Operations and Maintenance Expenses – Staff-recommended	\$1,207,225	\$1,587,015
Less Pass-through Items	(102,469)	(366,144)
Costs to be Indexed	\$1,104,756	\$1,220,872
Multiply by Annual Price Index Adjustment Factor [3]	1.79%	1.79%
Total Indexed Costs	\$19,775	\$21,854
Divide by Expansion Factor for Regulatory Assessment Fee (0.955)	\$20,707	\$22,884
Divide by Applicable Rate Revenue – Staff-recommended	\$1,300,857	\$1,711,827
Recognized Price Index Rate Adjustment	<u>1.59%</u>	<u>1.34%</u>

[1] Amounts shown derived from Table 1-W.

[2] Amounts shown derived from Table 1-S.

[3] Reflects the FPSC-published index for 2020 per FPSC Order No. PSC-2019-0525-PAA-WS dated December 17, 2019; it should be noted that the 2021 FPSC published index for 2021 is 1.17%.

The following illustrates the estimated impact to the average water residential customer using 5,000 gallons per month of water service (served through a 5/8-inch × 3/4-inch meter):

Water System – Comparison of Rate Impact to Typical Residential Customer			
	As Filed by Company [1]	Consultant Adjustments [2]	Recommended by Consultant
Base Charge	\$14.16	(\$2.05)	\$12.11
Volume Charge at 5,000 Gallons	3.25	0.40	3.65
Total Monthly Bill	<u>\$17.41</u>	<u>(\$1.65)</u>	<u>\$15.76</u>

[1] Based on Company Filed and Recommended Rates as shown on Table W-1; amount shown includes recommended price index adjustment.

[2] Amounts shown does not include the prospective adjustment for the amortization of the rate case expenses over 4 years; such rates will be less once the rate case amortization period ends.

The following illustrates the estimated impact to the average wastewater residential customer using 5,000 gallons per month of water service (served through a 5/8-inch × 3/4-inch meter):

**(Remainder of page intentionally left blank)**

<b>Wastewater System – Comparison of Rate Impact to Typical Residential Customer</b>			
	<b>As Filed by Company [1]</b>	<b>Consultant Adjustments [2]</b>	<b>Recommended by Consultant</b>
Base Charge	\$20.97	(\$2.42)	\$18.55
Volume Charge at 5,000 Gallons	19.70	(2.25)	17.45
<b>Total Monthly Bill</b>	<b>\$40.67</b>	<b>(\$4.67)</b>	<b>\$36.00</b>

[1] Based on Company Filed and Recommended Rates as shown on Table S-1; amount shown includes recommended price index adjustment.

[2] Amounts shown does not include the prospective adjustment for the amortization of the rate case expenses over 4 years; such rates will be less once the rate case amortization period ends.

The following illustrates the estimated impact to the average water residential customer using 5,000 gallons per month of water service (served through a 5/8-inch × 3/4-inch meter):

<b>Combined Water and Wastewater System – Comparison of Rate Impact to Typical Residential Customer</b>			
	<b>As Filed by Company [1]</b>	<b>Consultant Adjustments [2]</b>	<b>Recommended by Consultant</b>
Base Charge	\$35.13	(\$4.47)	\$30.66
Volume Charge at 5,000 Gallons	22.95	(1.85)	21.10
<b>Total Monthly Bill</b>	<b>\$58.08</b>	<b>(\$6.32)</b>	<b>\$51.76</b>

[1] Based on Company Filed and Recommended Rates as shown on Tables W-1 (Water) and S-1 (Wastewater); amount shown includes recommended price index adjustment.

[2] Amounts shown does not include the prospective adjustment for the amortization of the rate case expenses over 4 years; such rates will be less once the rate case amortization period ends.

## **FUTURE PERIOD RATE ADJUSTMENT – RATE CASE EXPENSE AMORTIZATION**

Included as a revenue requirement to be recovered from the monthly rates is rate case expense amortization. Raftelis is recommending the water and wastewater rates be adjusted in the future once such expenses have been recovered by the Company. Raftelis is recommending rates be adjusted after four (4) years to reflect the cost recovery of the current rate proceeding's rate case expense amortization. Table W-1 and Table S-1 for the respective Water and Wastewater Systems provide the calculation of the recommended rate reductions associated with rate case expense amortization in the future. The future recommended rates after the reduction of the rate case expense amortizations are also summarized on Table 1-W at the end of this report.

## **SUMMARY OF RECOMMENDED RATES AND FEES**

A summary of the recommended rates, fees, and charges based on the analysis conducted by Raftelis, on behalf of County staff and based on the financial and statistical information for the Pro Forma Year Ended December 31, 2020, is included on Table 1-W for the Water System and Table 1-S for the Wastewater System at the end of this Report.

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