FLORIDA PUBLIC SERVICE COMMISSION

INSTRUCTIONS FOR COMPLETING Information Package to Comply with Rule 25-30.037(3), Florida Administrative Code FOR TRANSFER OF MAJORITY ORGANIZATIONAL CONTROL (Section 367.071, Florida Statutes)

General Information

The attached form has been prepared by the Florida Public Service Commission to aid utilities under its jurisdiction to file information required by Chapter 367, Florida Statutes, and Chapter 25-30, Florida Administrative Code. Any questions regarding this form should be directed to the Division of Economic Regulation, Bureau of Certification, Economics and Tariffs (850) 413-6900.

Instructions

- 1. Fill out the attached application form completely and accurately.
- 2. Complete all the items that apply to your utility. If an item is not applicable, mark it "N.A.". Do not leave any items blank.
- 3. Notarize the completed application form.
- 4. Remit the proper filing fee pursuant to Rule 25-30.020, Florida Administrative Code, with the application.
- 5. The **original and five copies** of the completed application and attached exhibits; the **original and two copies** of the revised tariff sheet(s); and the proper filing fee should be mailed to:

Office of Commission Clerk Florida Public Service Commission 2540 Shumard Oak Boulevard Tallahassee, Florida 32399-0850

Information Package to Comply with Rule 25-30.037(3), Florida Administrative Code FOR TRANSFER OF MAJORITY ORGANIZATIONAL CONTROL (Pursuant to Section 367.071, Florida Statutes)

TO: Office of Commission Clerk Florida Public Service Commission 2540 Shumard Oak Blvd. Tallahassee, Florida 32399-0850

The	undersigned	hereby	makes	application	for	the	transfer	of	the	majority
organizat	ional control	of				ut	ility opera	ating	und	er Water
Certificate No and/or Wastewater Certificate No located in										
County, Florida, and submits the following information:										

PART I APPLICANT INFORMATION

A) The full name (as it appears on the certificate), address and telephone number of the seller:

Name of utility			
() Phone No.		() Fax No.	
Office street addre	ess		
City	State	Zip Code	
Mailing address if	different from street add	dress	
Internet address if	applicable		

PSC/ECR 015-R (Rev. 2/91)

B) The name, address and telephone number of the person to contact concerning this application:

C)

D)

		()
Name		Phone No.
Street address		
City	State	Zip Code
The full name (as of the buyer:	it appears on the certifi	cate), address and telephone numbe
Name of utility		
()		() Fax No.
Phone No.		Fax No.
Office street addre	ess	
City	State	Zip Code
Mailing address if	different from street add	lress
Internet address if	applicable	
		e buyer's corporate officers, directors own an interest in the utility.

PART II FINANCIAL AND TECHNICAL INFORMATION

- A) Exhibit ______ A statement by the buyer indicating how the transfer is in the public interest, including a summary of the buyer's experience in water and/or wastewater utility operations, a showing of the buyer's financial ability to provide service and a statement that the buyer will fulfill the commitments, obligations and representations of the seller with regard to utility matters.
- B) List the names and locations of other water and/or wastewater utilities owned by the buyer and PSC certificate numbers, if any.
- C) Exhibit _____ A copy of the purchase agreement.
- D) Exhibit _____ A statement of how the buyer is financing the purchase.
- E) Exhibit ______ A list of all entities, including affiliate which have provided or will provide funding to the buyer, and an explanation of the manner and amount of such funding, which shall include their financial statements and copies of any financial agreements with the utility. This requirement shall not apply to any person or entity holding less than 10 percent ownership interest in the utility.
- F) Exhibit _____ A statement from the buyer that after reasonable investigation, the system being acquired appears to be in satisfactory condition and in compliance with all applicable standards set by the DEP.

If the system is in need of repair or improvement, has any outstanding Notice of Violation(s) of any standard(s) set by the DEP or any outstanding consent orders with the DEP, the buyer shall provide a list of the improvements and repairs needed and the approximate cost to make them, a list of the action taken by the utility with regard to the violations, a copy of the Notice of Violation(s), a copy of the consent order and a list of the improvements and repairs consented to and the approximate cost.

PART III NOTICE OF ACTUAL APPLICATION

- A) Exhibit ______ An affidavit that the notice of actual application was given in accordance with Section 367.045(1)(a), Florida Statutes, and Rule 25-30.030, Florida Administrative Code, by regular mail to the following:
 - (1) the governing body of the municipality, county, or counties in which the system or the territory proposed to be served is located;

- (2) the privately owned water and wastewater utilities that hold a certificate granted by the Public Service Commission and that are located within the county in which the utility or the territory proposed to be served is located;
- (3) if any portion of the proposed territory is within one mile of a county boundary, the utility shall notice the privately owned utilities located in the bordering counties and holding a certificate granted by the Commission;
- (4) the regional planning council;
- (5) the Office of Public Counsel;
- (6) the Public Service Commission's Office of Commission Clerk;
- (7) the appropriate regional office of the Department of Environmental Protection; and
- (8) the appropriate water management district. Copies of the Notice and a list of entities noticed shall accompany the affidavit. <u>THIS MAY BE A LATE-FILED EXHIBIT</u>.
- B) Exhibit ______ An affidavit that the notice of actual application was given in accordance with Rule 25-30.030, Florida Administrative Code, by regular mail or personal delivery to each customer of the system being transferred. A copy of the Notice shall accompany the affidavit. THIS MAY BE A LATE-FILED EXHIBIT.
- C) Exhibit _____ Immediately upon completion of publication, an affidavit that the notice of actual application was published once in a newspaper of general circulation in the territory in accordance with Rule 25-30.030, Florida Administrative Code. A copy of the proof of publication shall accompany the affidavit. <u>THIS MAY BE A LATE-FILED EXHIBIT</u>.

PART IV <u>FILING FEE</u>

Indicate the filing fee enclosed with the application:

\$ (for water)
\$ (for wastewater).

<u>Note</u>: Pursuant to Rule 25-30.020, Florida Administrative Code, the amount of the filing fee as follows:

(1) For applications in which the utility to be transferred has the capacity to serve up to 500 ERC's, the filing fee shall be **\$750**.

- (2) For applications in which the utility to be transferred has the capacity to serve from 501 to 2,000 ERC's the filing fee shall be **\$1,500**.
- (3) For applications in which the utility to be transferred has the capacity to serve from 2,001 ERC's to 4,000 ERC's the filing fee shall be **\$2,250**.
- (4) For applications in which the utility to be transferred has the capacity to serve more than 4,000 ERC's the filing fee shall be **\$3,000**.

PART V OTHER

- A) Exhibit _____ Evidence that the utility owns the land where the utility treatment facilities are located. If the utility does not own the land, a copy of the agreement which provides for the long term, continuous use of the land such as a 99-year lease. The Commission may consider a written easement or other cost-effective alternative.
- B) Exhibit _____ The original and two copies of revised tariff sheet(s) reflecting the change in ownership. Sample tariff sheets are attached.
- C) Exhibit _____ The utility's current certificate(s). If not available, an explanation of the steps taken to obtain the certificate(s).

PART VI <u>AFFIDAVIT</u>

I ______ (applicant) do solemnly swear or affirm that the facts stated in the forgoing application and all exhibits attached thereto are true and correct and that said statements of fact thereto constitutes a complete statement of the matter to which it relates.

BY:	
	Applicant's Signature
-	Applicant's Name (Typed)
-	Applicant's Title *
Subscribed and sworn to before me this	day of the month of
in the year of by	who is
personally known to me	or produced the following identification
Type of Identification Produced	
-	Notary Public's Signature

Print, Type or Stamp Commissioned Name of Notary Public

* If applicant is a corporation, the affidavit must be made by the president or other officer authorized by the by-laws of the corporation to act for it. If applicant is a partnership or association, a member of the organization authorized to make such affidavit shall execute same.

SAMPLE PUBLICATION / LEGAL NOTICE FOR

Information Package to Comply with Rule 25-30.037(3), Florida Administrative Code FOR TRANSFER OF MAJORITY ORGANIZATIONAL CONTROL

(Section 367.071, Florida Statutes)

LEGAL NOTICE

Notice is hereby given on <u>(Date)</u>, pursuant to Section 367.071, Florida Statutes, of the application for a transfer of majority organizational control of <u>(Name of Utility)</u> from <u>(Name of Seller)</u> to <u>(Name of Buyer)</u>, providing service to the following described territory in <u>(County)</u>, Florida.

[Insert a brief description of the area proposed to be served. Use the Survey of Public Lands method (township, range, section, and quarter section) if possible, or a metes and bounds description, and also the subdivision or project name. The description should <u>NOT</u> refer to land grants or plat books, but may use geographic boundaries (i.e., road right-of-ways, railroads, rivers, creeks, etc.). The object is to make the description as brief, but as accurate as possible.]

Any objection to the said application must be made in writing <u>and filed</u> with the Office of Commission Clerk, Florida Public Service Commission, 2540 Shumard Oak Boulevard, Tallahassee, Florida 32399-0850, within thirty (30) days from the date of this notice. At the same time, a copy of said objection should be mailed to the applicant whose address is set forth below. The objection must state the grounds for the objection with particularity.

(Utility name and address)

SECTION 367.071, FLORIDA STATUTES SALE, ASSIGNMENT, OR TRANSFER OF CERTIFICATE OF AUTHORIZATION, FACILITIES, OR CONTROL

(1) No utility shall sell, assign, or transfer its certificate of authorization, facilities or any portion thereof, or majority organizational control without determination and approval of the commission that the proposed sale, assignment, or transfer is in the public interest and that the buyer, assignee, or transferee will fulfill the commitments, obligations, and representations of the utility.

(2) The commission may impose a penalty pursuant to s. 367.161 when a transfer occurs prior to approval by the commission. The transferor remains liable for any outstanding regulatory assessment fees, fines, or refunds of the utility.

(3) An application for proposed sale, assignment, or transfer shall be accompanied by a fee as provided by s. 367.145. No fee is required to be paid by a governmental authority that is the buyer, assignee, or transferee.

(4) An application shall be disposed of as provided in s. 367.045, except that:

(a) The sale of facilities, in whole or part, to a governmental authority shall be approved as a matter of right; however, the governmental authority shall, prior to taking any official action, obtain from the utility or commission with respect to the facilities to be sold the most recent available income and expense statement, balance sheet, and statement of rate base for regulatory purposes and contributions-in-aid- of-construction. Any request for rate relief pending before the commission at the time of sale is deemed to have been withdrawn. Interim rates, if previously approved by the commission, must be discontinued, and any money collected pursuant to interim rate relief must be refunded to the customers of the utility with interest.

(b) When paragraph (a) does not apply, the commission shall amend the certificate of authorization as necessary to reflect the change resulting from the sale, assignment, or transfer.

(5) The commission by order may establish the rate base for a utility or its facilities or property when the commission approves a sale, assignment, or transfer thereof, except for any sale, assignment, or transfer to a governmental authority.

(6) Any person, company, or organization that obtains ownership or control over any system, or part thereof, through foreclosure of a mortgage or other encumbrance, shall continue service without interruption and may not remove or dismantle any portion of the system previously dedicated to public use which would impair the ability to provide service,

without the express approval of the commission. This provision may be enforced by an injunction issued by a court of competent jurisdiction.

History.—

s. 1, ch. 71–278; s. 3, ch. 76–168; s. 1, ch. 77–457; ss. 9, 25, 26, ch. 80–99; ss. 2, 3, ch. 81–318; ss. 7, 15, ch. 82–25; ss. 6, 26, 27, ch. 89–353; s. 2, ch. 90–166; s. 4, ch. 91–429.

CHAPTER 25-30.020, F.A.C. FEES REQUIRED TO BE PAID BY WATER AND WASTEWATER UTILITIES

(1) When a utility files any application for a certificate of authorization pursuant to sections 367.045, 367.071 and 367.171, Florida Statutes, or files any request for a rate change pursuant to sections 367.081, 367.0814 and 367.0822, Florida Statutes (except an index or pass-through), or files for authorization to collect or change service availability charges pursuant to section 367.101, Florida Statutes, the utility shall remit a fee to the Commission's Office of Commission Clerk. A separate fee shall apply for water service and wastewater service. A separate fee shall also apply for each section listed above. For purposes of this rule, capacity is determined by combining the capacities of all systems included in the application. For purposes of this rule, an equivalent residential connection (ERC) is 350 gallons per day (gpd) for water service and 280 gallons per day (gpd) for wastewater service.

(2) The amount of the fee to be filed pursuant to subsection (1) of this rule shall be as follows:

(a) For an original certificate application filed pursuant to Section 367.045, Florida Statutes, the amount of the fee shall be as follows:

- 1. For utilities with the existing or proposed capacity to serve up to 500 ERCs, \$750;
- 2. For utilities with the existing or proposed capacity to serve from 501 to 2,000 ERCs, \$1,500;
- 3. For utilities with the existing or proposed capacity to serve from 2,001 to 4,000 ERCs, \$2,250;
- 4. For utilities with the existing or proposed capacity to serve more than 4,000 ERCs, \$3,000.

(b) For an application for extension or deletion of territory filed pursuant to Section 367.045, Florida Statutes, the amount of the fee shall be as follows:

- 1. For applications in which the area to be extended or deleted has the proposed capacity to serve up to 100 ERCs, \$100;
- 2. For applications in which the area to be extended or deleted has the proposed capacity to serve from 101 to 200 ERCs, \$200;
- 3. For applications in which the area to be extended or deleted has the proposed capacity to serve from 201 to 500 ERCs, \$500;
- 4. For applications in which the area to be extended or deleted has the proposed capacity to serve from 501 to 2,000 ERCs, \$1,000;
- 5. For applications in which the area to be extended or deleted has the proposed capacity to serve from 2,001 to 4,000 ERCs, \$1,750;
- 6. For applications in which the area to be extended or deleted has the proposed capacity to serve more than 4,000 ERCs, \$2,250.
- (c) For an application for transfer or change in majority organizational control filed

pursuant to Section 367.071, Florida Statutes, the amount of the fee shall be as follows:

- 1. For applications in which the utility to be transferred has the capacity to serve up to 500 ERCs, \$750;
- 2. For applications in which the utility to be transferred has the capacity to serve from 501 to 2,000 ERCs, \$1,500;
- 3. For applications in which the utility to be transferred has the capacity to serve from 2,001 to 4,000 ERCs, \$2,250;
- 4. For applications in which the utility to be transferred has the capacity to serve more than 4,000 ERCs, \$3,000.

(d) For an application for a grandfather certificate filed pursuant to Section 367.171, Florida Statutes, the amount of the fee shall be as follows:

- 1. For applications in which the utility has the capacity to serve up to 100 ERCs, \$100;
- 2. For applications in which the utility has the capacity to serve from 101 to 200 ERCs, \$200;
- 3. For applications in which the utility has the capacity to serve from 201 to 500 ERCs, \$500;
- 4. For applications in which the utility has the capacity to serve from 501 to 2,000 ERCs, \$1,000;
- 5. For applications in which the utility has the capacity to serve from 2,001 to 4,000 ERCs, \$1,750;
- 6. For applications in which the utility has the capacity to serve more than 4,000 ERCs, \$2,250.

(e) For file and suspend rate cases filed pursuant to Section 367.081, Florida Statutes, the amount of the fee shall be as follows:

- 1. For utilities with the existing capacity to serve up to 500 ERCs, \$1,000;
- 2. For utilities with the existing capacity to serve from 501 to 2,000 ERCs, \$2,000;
- 3. For utilities with the existing capacity to serve from 2,001 to 4,000 ERCs, \$3,500;
- 4. For utilities with the existing capacity to serve more than 4,000 ERCs, \$4,500.

(f) For staff-assisted rate cases filed pursuant to Section 367.0814, Florida Statutes, the amount of the fee shall be as follows:

- 1. For utilities with the existing capacity to serve up to 100 ERCs, \$200;
- 2. For utilities with the existing capacity to serve from 101 to 200 ERCs, \$500;
- 3. For utilities with the existing capacity to serve more than 200 ERCs, \$1,000.

(g) For an application for a limited proceeding pursuant to Section 367.0822, Florida Statutes, the amount of the fee shall be as follows:

- 1. For utilities with the existing capacity to serve up to 100 ERCs, \$100;
- 2. For utilities with the existing capacity to serve from 101 to 200 ERCs, \$200;

- 3. For utilities with the existing capacity to serve from 201 to 500 ERCs, \$500;
- 4. For utilities with the existing capacity to serve from 501 to 2,000 ERCs, \$1,000;
- 5. For utilities with the existing capacity to serve from 2,001 to 4,000 ERCs, \$1,750;
- 6. For utilities with the existing capacity to serve more than 4,000 ERCs, \$2,250.

(h) For an application for approval of charges or conditions for service availability filed pursuant to section 367.101, Florida Statutes, the amount of the fee shall be as follows:

- 1. For utilities with existing and proposed capacity to serve up to 100 ERCs, \$100;
- 2. For utilities with existing and proposed capacity to serve from 101 to 200 ERCs, \$200;
- 3. For utilities with existing and proposed capacity to serve from 201 to 500 ERCs, \$500;
- 4. For utilities with existing and proposed capacity to serve from 501 to 2,000 ERCs, \$1,000;
- 5. For utilities with existing and proposed capacity to serve from 2,001 to 4,000 ERCs, \$1,750;
- 6. For utilities with existing and proposed capacity to serve more than 4,000 ERCs, \$2,250.

Specific Authority: 350.127(2) and 367.121(1), F.S.

Law Implemented: 367.045(1)(d), (2)(e), 367.071(3), 367.081(5), 367.0822(2), 367.101(2), 367.145 and 367.171(2)(b), F.S. History: New 10/29/80, Formerly 25-10.11, Transferred from 25-10.011 and Amended 11/9/86, 11/30/93.

CHAPTER 25-30.025, F.A.C. OFFICIAL DATE OF FILING

(1) The "official date of filing" is the date on which the Director of the Division of Economic Regulation determines the utility has filed completed sets of the minimum filing requirements (MFRs), including testimony that may be required by Rule 25-30.436(2) and payment of the appropriate filing fee to the Office of Commission Clerk.

(2) The Director of the Division of Economic Regulation shall determine the official date of filing for any utility's application and advise the applicant. The Commission shall resolve any dispute regarding the official date of filing.

Specific Authority: 367.121(1), F.S. Law Implemented: 367.083, F.S. History: New 3/26/81, Formerly 25-10.12, Transferred from 25-10.012, Amended 11/9/86, 11/30/93.

CHAPTER 25-30.030, F.A.C. NOTICE OF APPLICATION

(1) When a utility applies for a certificate of authorization, an extension or deletion of its service area, or a sale, assignment or transfer of its certificate of authorization, facilities or any portion thereof or majority organizational control, it shall provide notice of its application in the manner and to the entities described in this section.

(2) Before providing notice in accordance with this section, a utility shall obtain from the Commission a list of the names and addresses of the municipalities, the county or counties, the regional planning council, the Office of Public Counsel, the Commission's Office of Commission Clerk, the appropriate regional office of the Department of Environmental Protection, the appropriate water management district, and privately-owned water and wastewater utilities that hold a certificate granted by the Public Service Commission and that are located within the county in which the utility or the territory proposed to be served is located. In addition, if any portion of the proposed territory is within one mile of a county boundary, the utility shall obtain from the Commission a list of the names and addresses of the privately-owned utilities located in the bordering counties and holding a certificate granted by the Commission. The utility's request for the list shall include a complete legal description of the territory to be requested in the application that includes:

(a) a reference to township(s), range(s), land section(s) and county; and

(b) a complete and accurate description of the territory served or proposed to be served in one of the following formats. The description may reference interstates, state roads, and major bodies of water. The description shall not rely on references to government lots, local streets, recorded plats or lots, tracts, or other recorded instruments.

- 1. Sections: If the territory includes complete sections, the description shall only include the township, range, and section reference. If the territory includes partial sections, the description shall either identify the subsections included or excluded.
- 2. Metes and bounds: A point of beginning which is referenced from either a section corner or a subsection corner, such as a quarter corner. The perimeter shall be described by traversing the proposed territory and closing at the point of beginning. The description shall include all bearings and distances necessary to provide a continuous description.
- (3) The notice shall be appropriately styled:

(a) Notice of Application for an Initial Certificate of Authorization for Water, Wastewater, or Water and Wastewater Certificate;

- (b) Notice of Application for an Extension of Service Area;
- (c) Notice of Application for Deletion of Service Area;

(d) Notice of Application for a Transfer of Water, Wastewater, or Water and Wastewater Certificate(s); or

(e) Notice of Application for a Transfer of Majority Organizational Control.

(4) The notice shall include the following:

(a) the date the notice is given;

(b) the name and address of the applicant;

(c) a description, using township, range and section references, of the territory proposed to be either served, added, deleted, or transferred; and

(d) a statement that any objections to the application must be filed with the Office of Commission Clerk, 2540 Shumard Oak Boulevard, Tallahassee, Florida 32399-0870, no later than 30 days after the last date that the notice was mailed or published, whichever is later.

(5) Within 7 days of filing its application, the utility shall provide a copy of the notice by regular mail to:

(a) the governing body of the county in which the utility system or the territory proposed to be served is located;

(b) the governing body of any municipality contained on the list obtained pursuant to (2) above;

(c) the regional planning council designated by the Clean Water Act, 33 U.S.C. 1288(2);

(d) all water or wastewater utilities contained on the list(s) obtained pursuant to (2) above;

- (e) the office of Public Counsel;
- (f) the Commission's Office of Commission Clerk;
- (g) the appropriate regional office of the Department of Environmental Protection;

and

(h) the appropriate Water Management District.

(6) No sooner than 21 days before the application is filed and no later than 7 days after the application is filed, the utility shall also provide a copy of the Notice, by regular mail or personal service, to each customer, of the system to be certificated, transferred, acquired, or deleted.

(7) The Notice shall be published once in a newspaper of general circulation in the territory proposed to be served, added, deleted, or transferred. The publication shall be within 7 days of filing the application.

(8) A copy of the notice(s) and list of the entities receiving notice pursuant to this rule shall accompany the affidavit required by sections 367.045(1) (e) and (2) (f), Florida Statutes. The affidavit shall be filed no later than 15 days after filing the application.

(9) This rule does not apply to applications for grandfather certificates filed under section 367.171, Florida Statutes, or to applications for transfers to governmental authorities filed under Section 367.071, Florida Statutes, or to name changes.

Specific Authority: 367.121(1), F.S. Law Implemented: 367.031, 367.045, 367.071, F.S. History: New 4/5/81, formerly 25-10.061, Transferred from 25-10.0061 and Amended 11/9/86, Amended 1/27/91, Amended 11/30/93.

CHAPTER 25-30.031, F.A.C. WRITTEN OBJECTION

(1) A written objection to a Notice of Application is timely if it is filed within 30 days after the last day that the Notice is mailed or published by the applicant, whichever is later.

(2) A written objection must state the grounds for the objection with particularity.

Specific Authority: 367.121(1), F.S. Law Implemented: 367.045, F.S. History: New 11/9/86. Amended 1/27/91.

CHAPTER 25-30.032, F.A.C. APPLICATIONS

(1) Each utility subject to regulation by the Commission shall apply for an initial certificate of authorization, amendment to an existing certificate of authorization, transfer, or name change by filing a completed application and 12 copies, in accordance with either 25-30.033, 25-30.034, 25-30.035, 25-30.036, 25-30.037(1) or (2), or 25-30.039, F.A.C. However, a utility shall apply for a transfer to a governmental authority by filing a completed application and two copies, in accordance with Rule 25-30.037 (3) and (4), F.A.C. The application shall be filed with the Office of Commission Clerk, 2540 Shumard Oak Boulevard, Tallahassee, Florida 32399-0870. Sample application, Economics and Tariffs, 2540 Shumard Oak Boulevard, Tallahassee, Florida 32399-0850.

(2) A utility may file combined applications if it is applying for certificates of authorization or any amendments thereto for both water and wastewater systems; however, the utility shall remit a separate application fee for each service. The Commission will treat a combined application as if a separate application had been filed for each service.

(3) The official filing date of an application for an original certificate, any amendment to an existing certificate, or any transfer shall be the date a completed application is filed with the Office of Commission Clerk, except that the noticing requirements set forth in Rule 25-30.030, F.A.C., do not need to be completed at that time. If, however, the utility has not completed the noticing within the time limits prescribed by Rule 25-30.030, F.A.C., the official filing date shall be the date the noticing is complete.

Specific Authority: 367.121, F.S. Law Implemented: 367.031, 367.045, 367.071, F.S. History: New 1/27/91, Amended 11/30/93.

CHAPTER 25-30.037, F.A.C. APPLICATION FOR AUTHORITY TO TRANSFER

(3) In case of a change in majority organizational control, the application shall include the following information:

- (a) the complete name and address of the seller;
- (b) the complete name and address of the buyer;
- (c) the name(s) and address(es) of all of the buyer's corporate officers, directors, partners and any other person(s) who will own an interest in the utility;
- (d) the names and locations of any other water or wastewater utilities owned by the buyer;
- (e) a statement describing the financing of the purchase;
- (f) a statement describing how the transfer is in the public interest, including a summary of the buyer's experience in water or wastewater utility operations, a showing of the buyer's financial ability to provide service, and a statement that the buyer will fulfill the commitments, obligations and representations of the seller with regard to utility matters;
- (g) a list of all entities, including affiliates, that have provided, or will provide, funding to the buyer, and an explanation of the manner and amount of such funding, which shall include their financial statements and copies of any financial agreements with the utility. This requirement shall not apply to any person or entity holding less than 10 percent ownership interest in the utility;
- (h) a statement from the buyer that after reasonable investigation, the system being acquired appears to be in satisfactory condition and in compliance with all applicable standards set by the DEP or, if the system is in need of repair or improvement, has any outstanding Notice of Violation(s) of any standard(s) set by the DEP or any outstanding consent orders with the DEP, the buyer shall provide a list of the improvements and repairs needed and the approximate cost to make them, a list of the action taken by the utility with regard to the violations, a copy of the Notice of Violation(s), a copy of the consent order and a list of the improvements and repairs consented to and the approximate cost;
- (i) evidence that the utility owns the land upon which the utility treatment facilities are located, or a copy of an agreement which provides for the

continued use of the land, such as a 99-year lease. The Commission may consider a written easement or other cost effective alternative;

- (j) the original and two copies of sample tariff sheets reflecting the change in ownership; and
- (k) the utility's current certificate(s), or if not available, the applicant shall provide an explanation of the steps the applicant took to obtain the certificate(s).

Specific Authority: 367.121, F.S. Law Implemented: 367.071 F.S. History: New 1/27/91, Amended 11/30/93.